

# CS233, CME251: Geometric and Topological Data Analysis

Leonidas Guibas  
Computer Science Department  
Stanford University

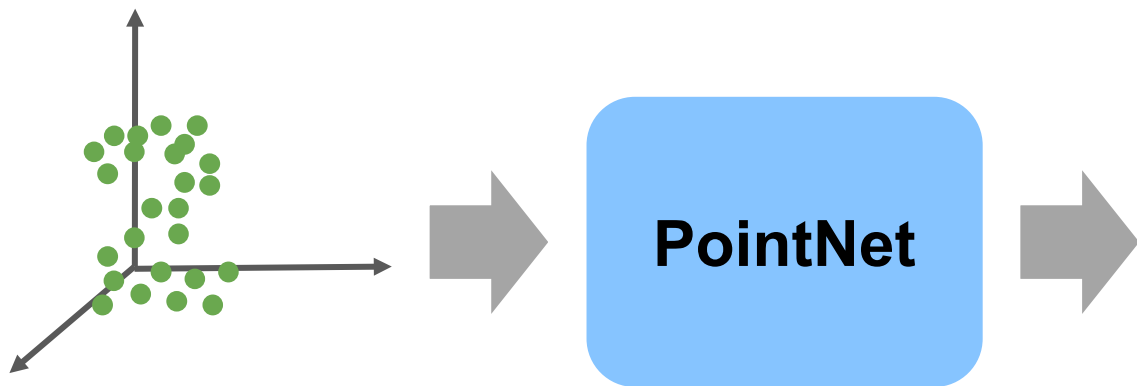


Lecture 15  
01 June 2020



**Last Time: Deep Nets for Point  
Cloud Data**

# PointNet and PointNet++



*Object Classification*

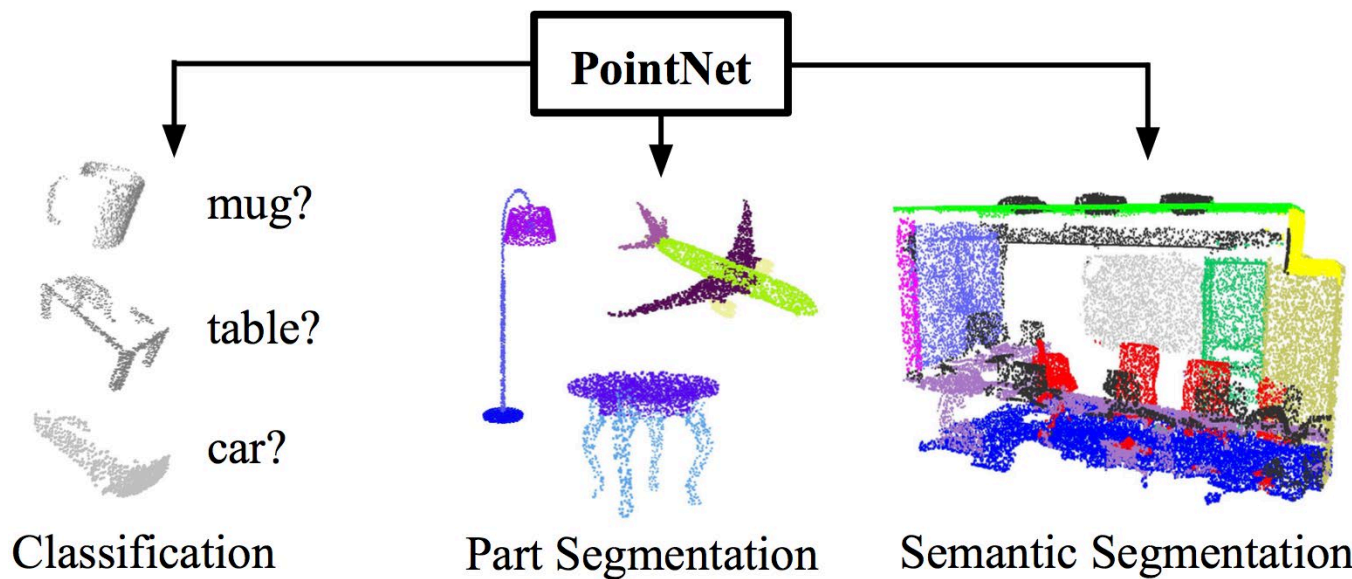
*Object Part Segmentation*

*Semantic Scene Parsing*

...

**End-to-end learning** for irregular point data

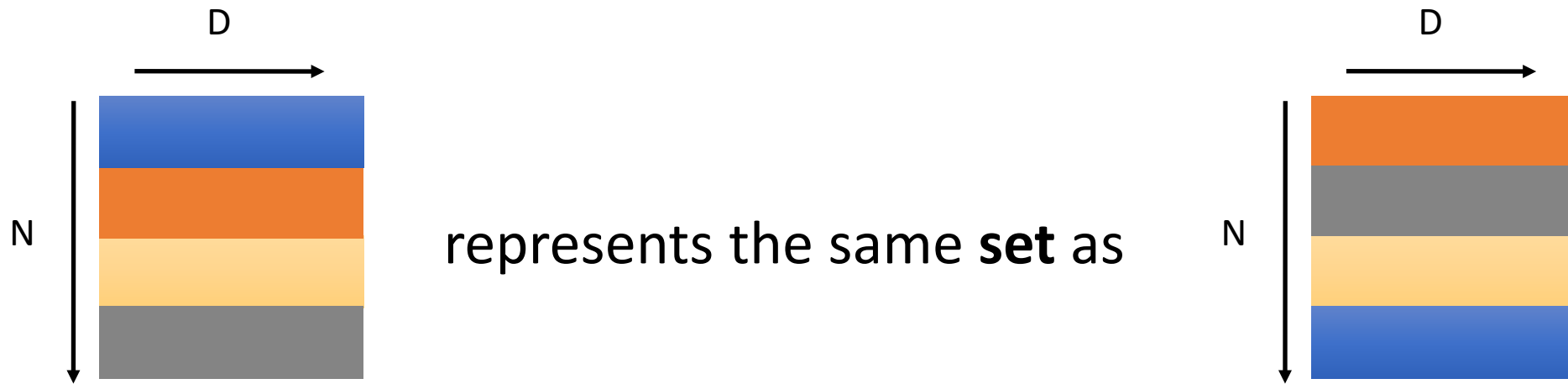
**Unified** framework for various tasks



Charles R. Qi, Hao Su, Kaichun Mo, Leonidas J. Guibas.  
PointNet: Deep Learning on Point Sets for 3D  
Classification and Segmentation. (CVPR'17)

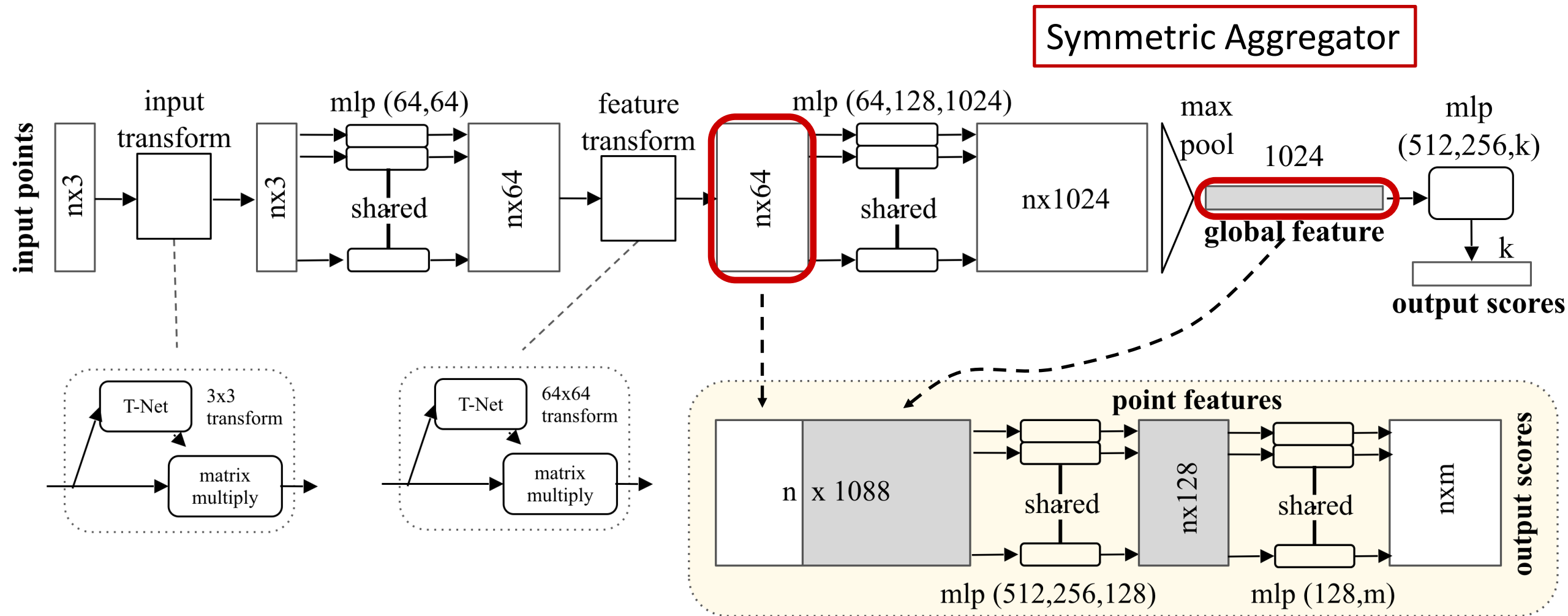
# Networks for Unordered Inputs

Point cloud: set of  $N$  **unordered** points, each represented by a  $D$  dim vector

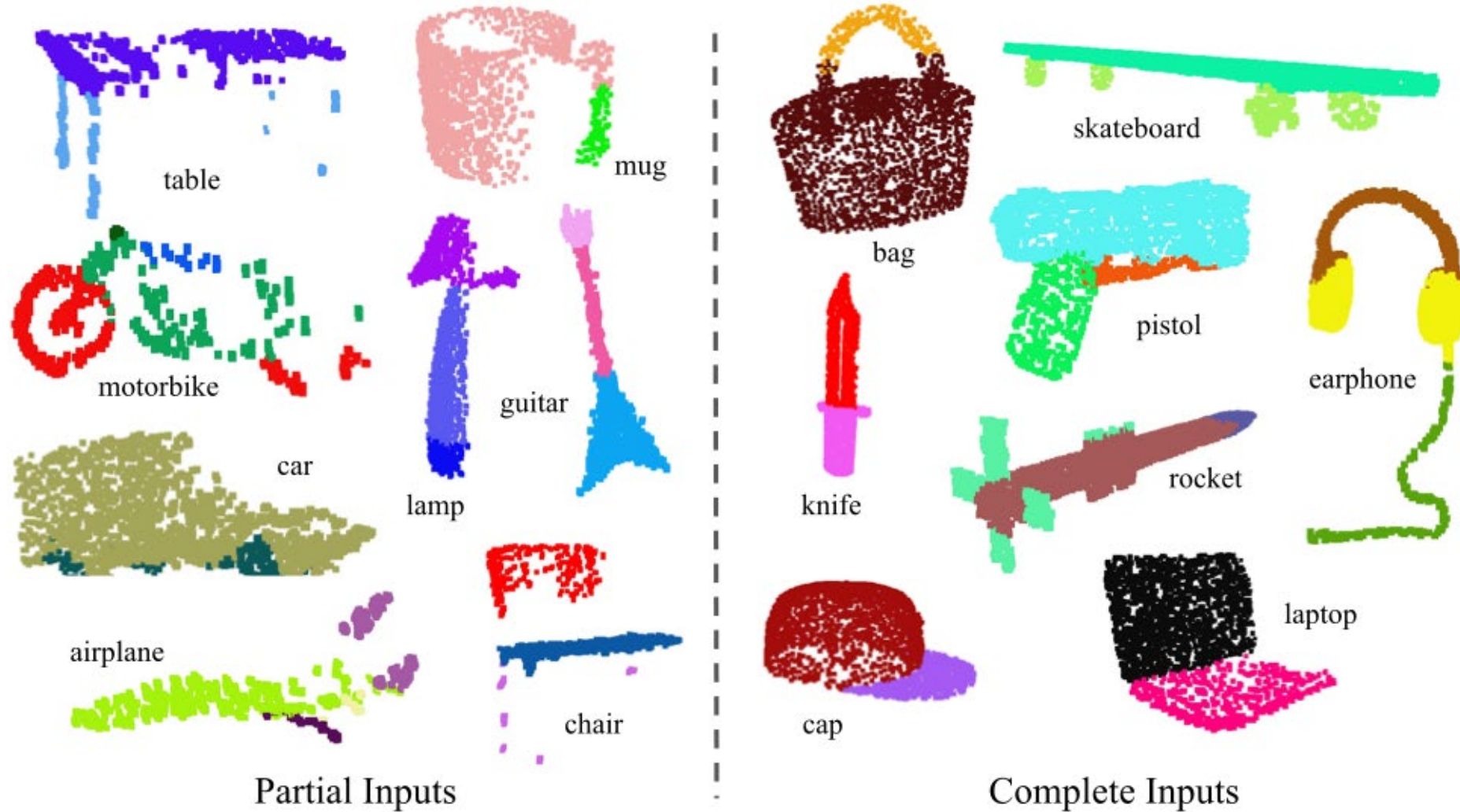


**Model needs to be invariant to  $N!$  permutations**

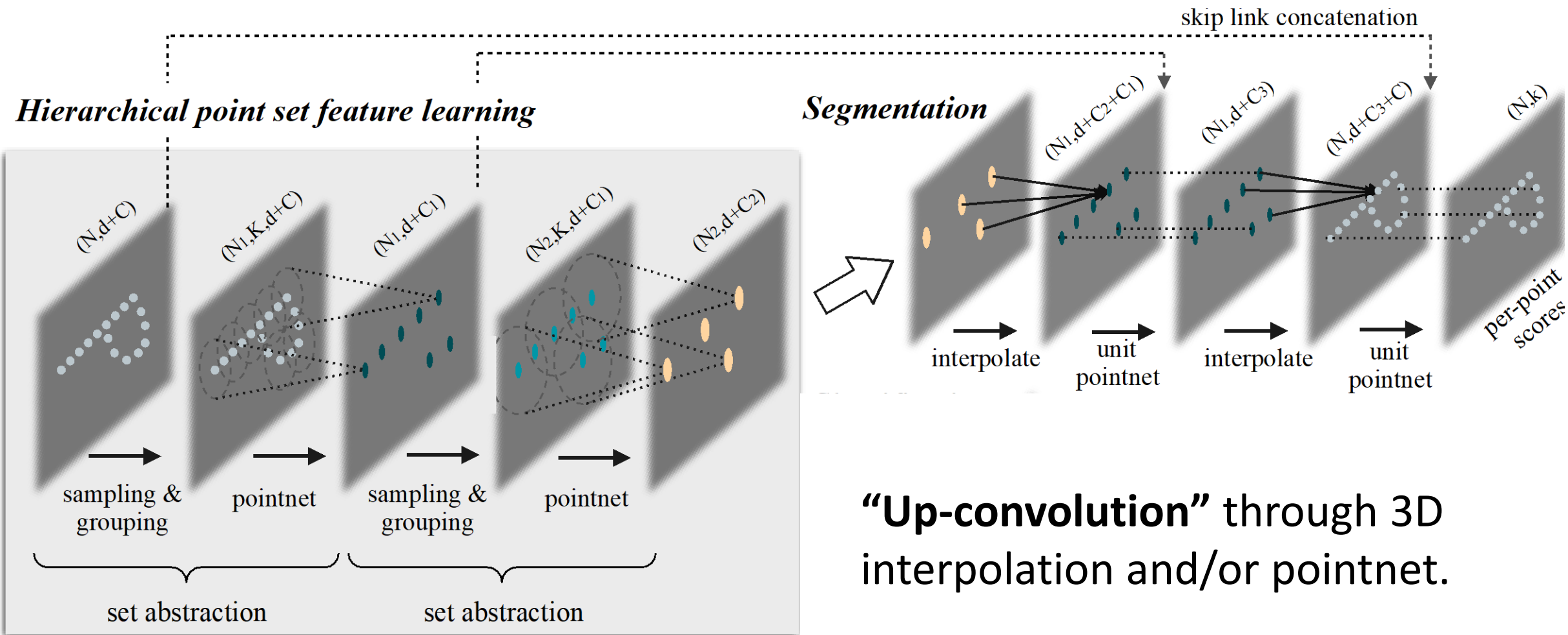
# PointNet Classification and Segmentation



# Results on Object Part Segmentation

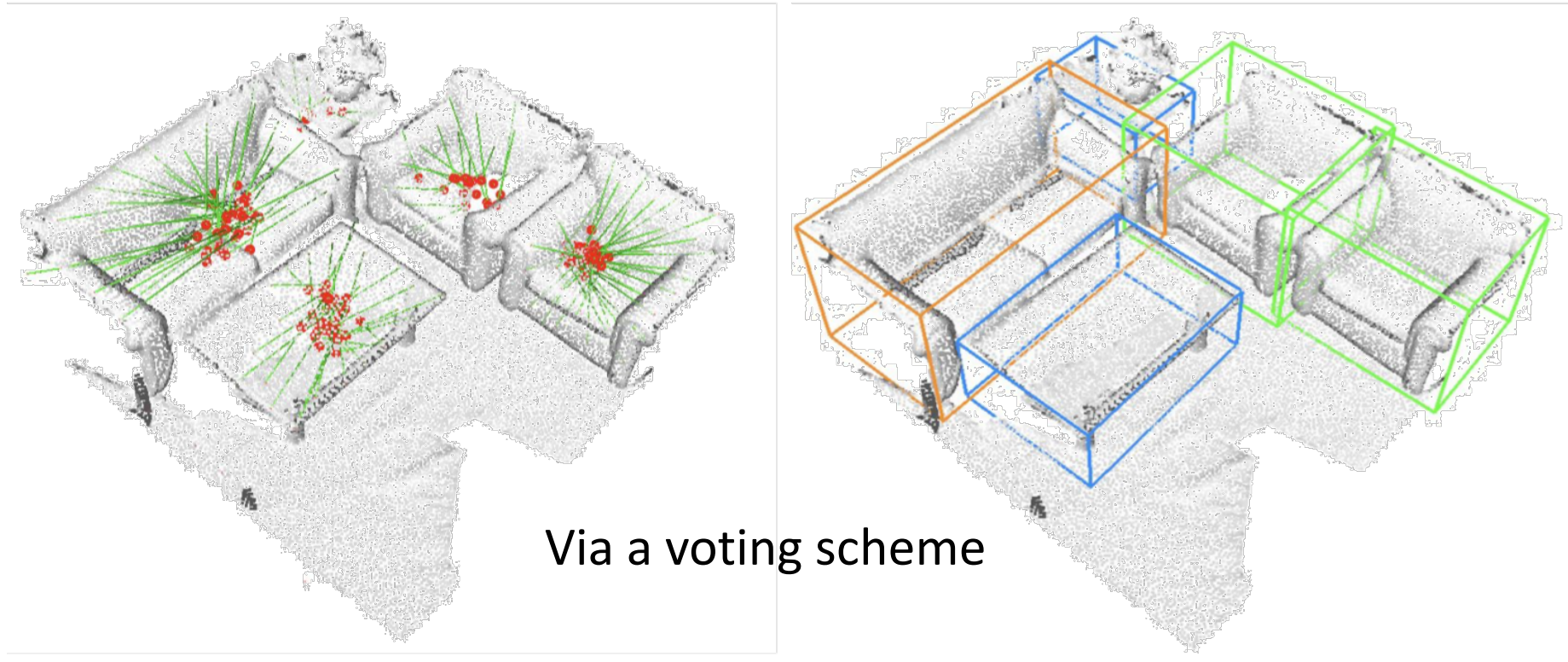


# PointNet++ for Classification and Segmentation



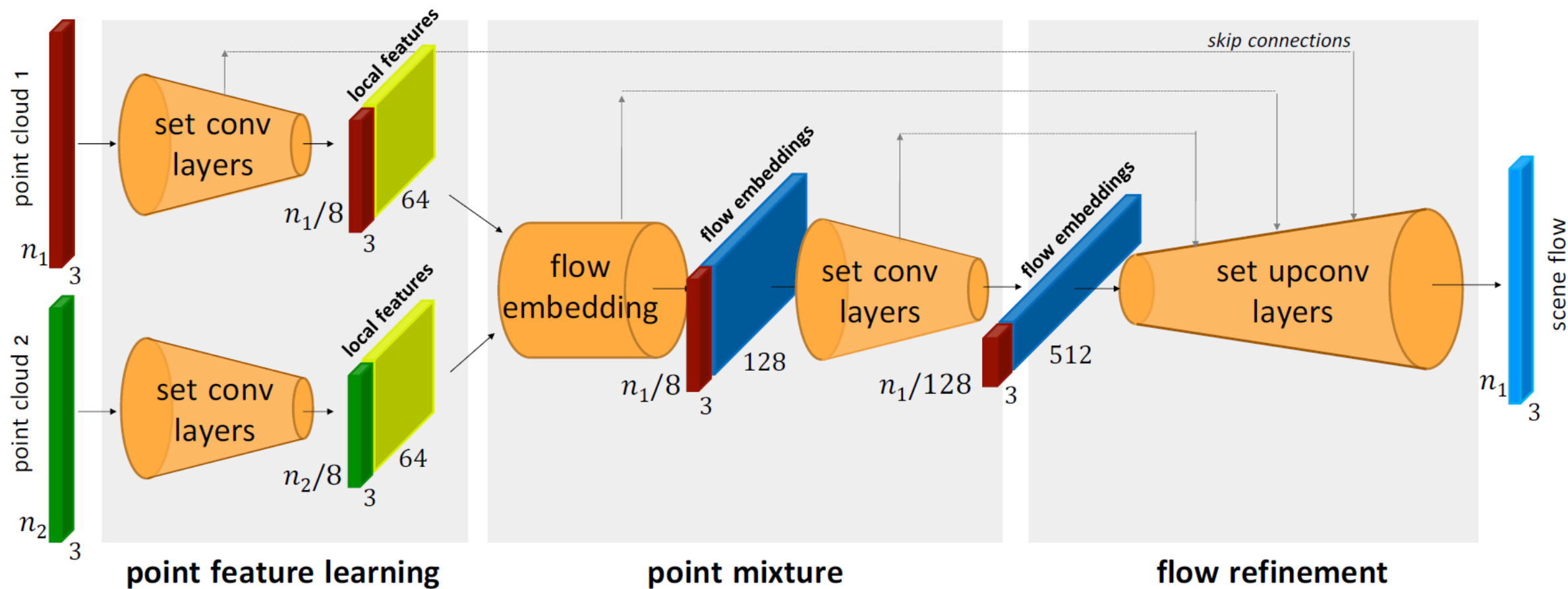
**“Up-convolution”** through 3D interpolation and/or pointnet.

# Point Cloud Object Amodal Bounding Box Detection



[Charles R. Qi, Or Litany, Kaiming He, Leonidas J. Guibas.  
Deep Hough Voting for 3D Object Detection in Point Clouds. ICCV '19]

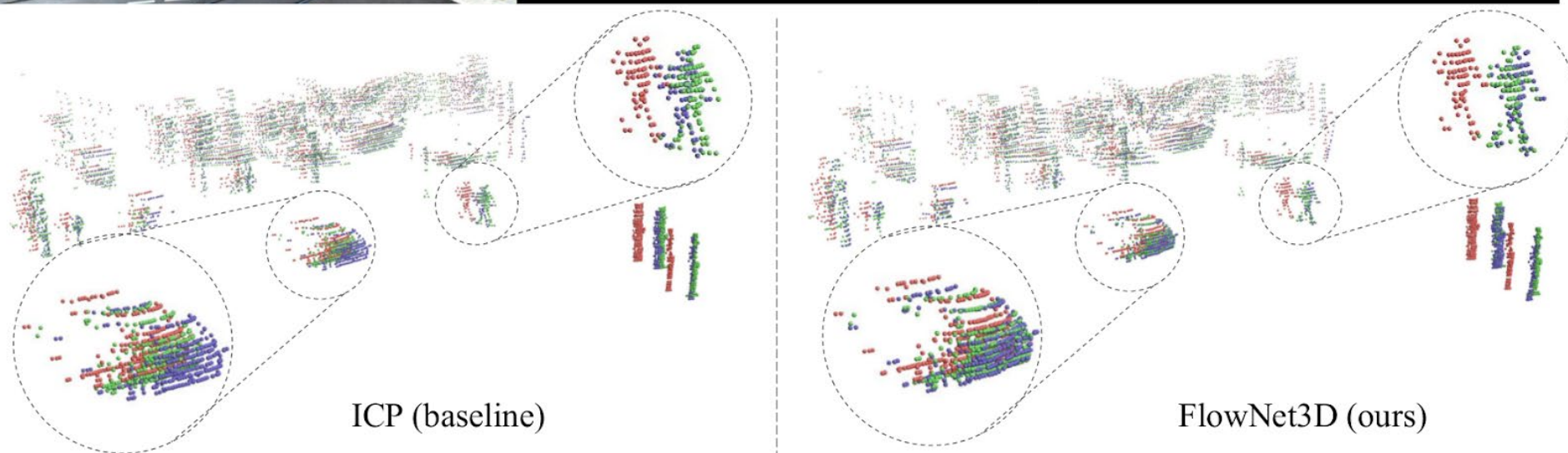
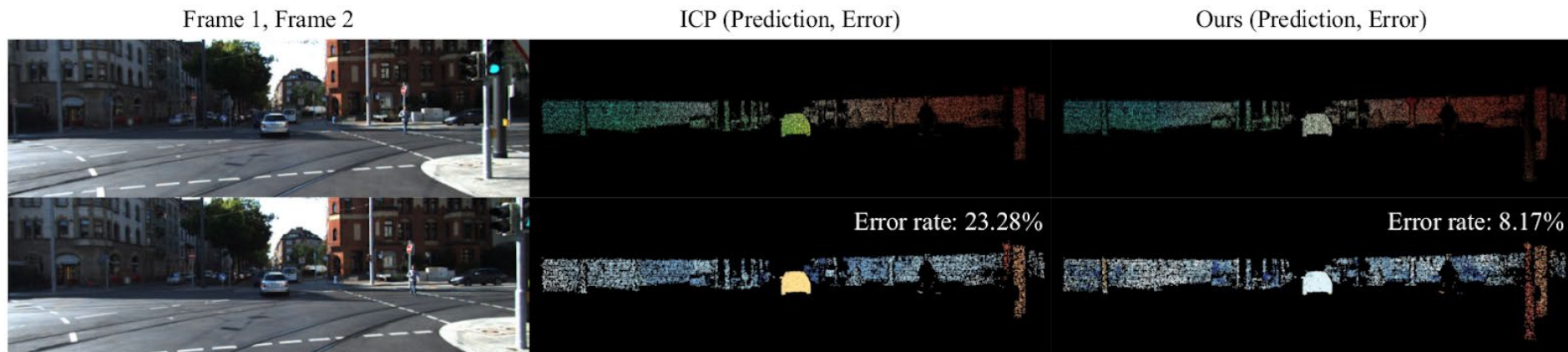
# FlowNet3D



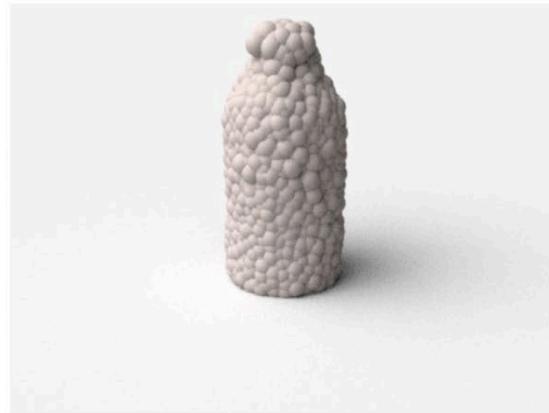
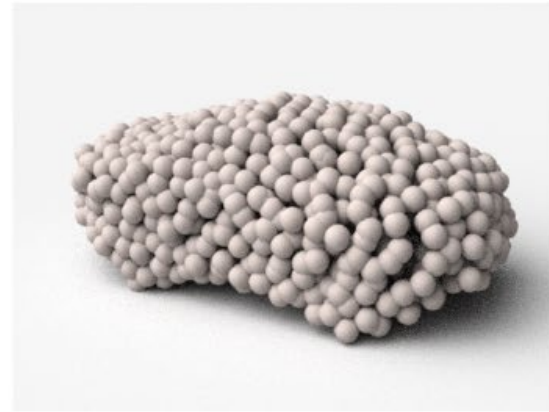
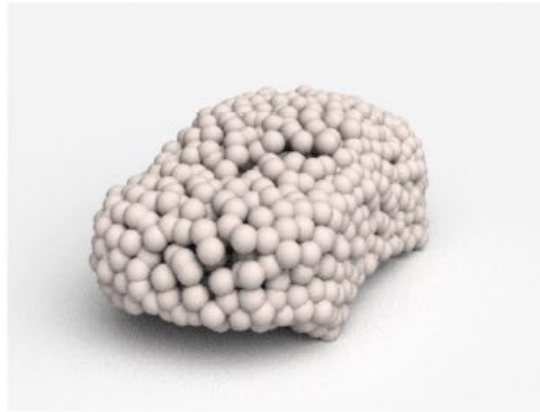
Composed of many many mini-pointnet++ modules ...

Pointnet++

# KITTI Results



# Point Cloud Synthesis from a Single Image

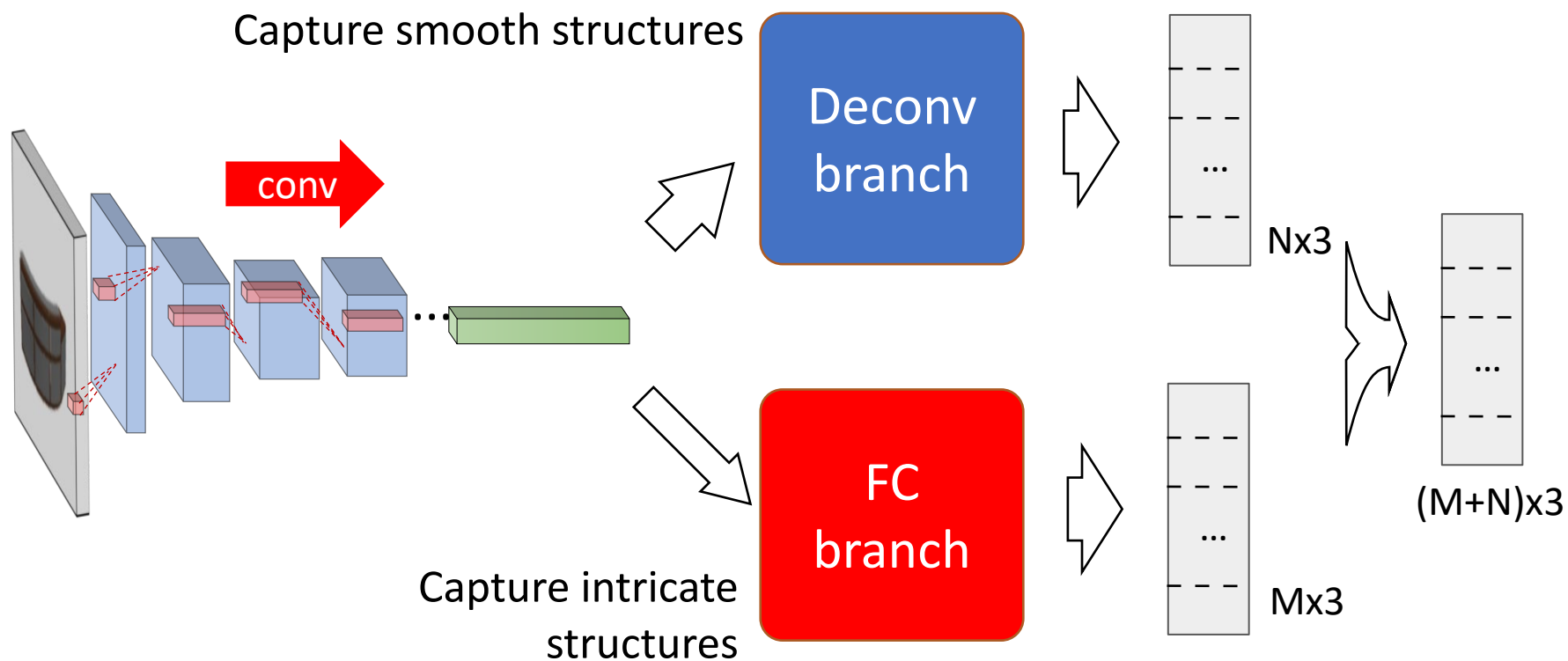


Input

Reconstructed 3D point cloud

[H. Su, H. Fan, LG, 2017]

# Two-Branch Architecture



**Set union by array concatenation**

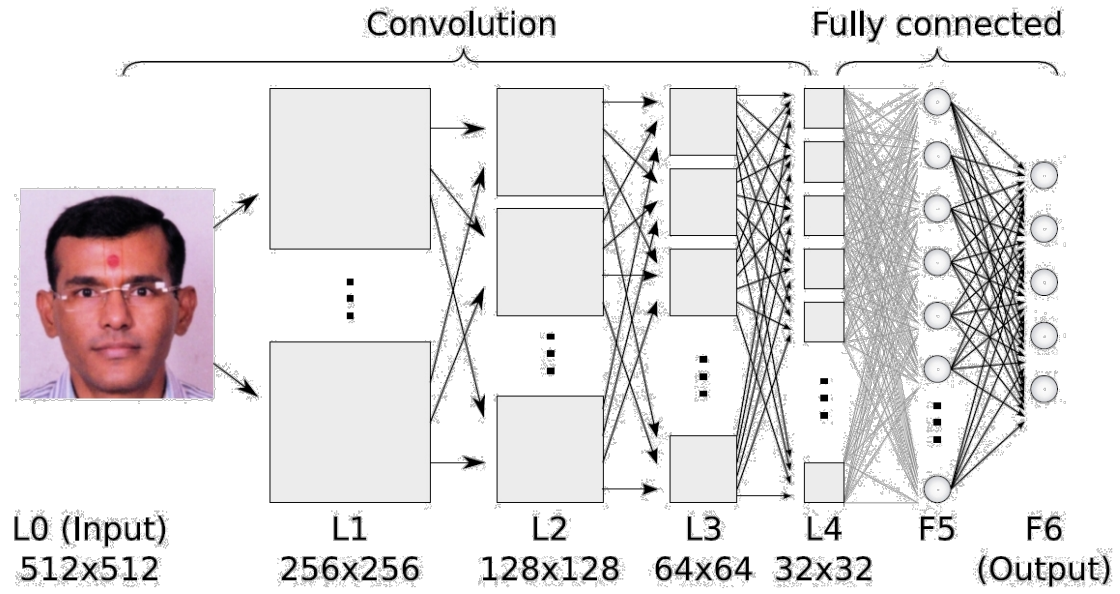
# General Set Processor

- Scalability
- Multi-modality
- Sampling
- **Set processing**



# Today: Functional Maps for Joint Data Analysis

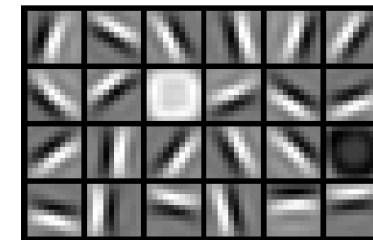
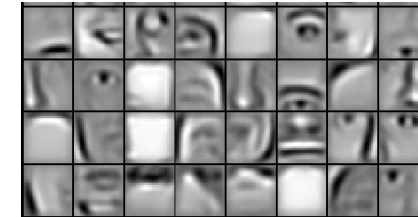
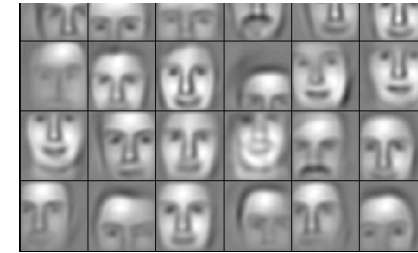
# Vertical Learning Networks



[Makwana, 2016]

Data-driven feature learning at ascending abstraction layers

“Deep” nets



[Lee et al., 2009]

# Horizontal Networks

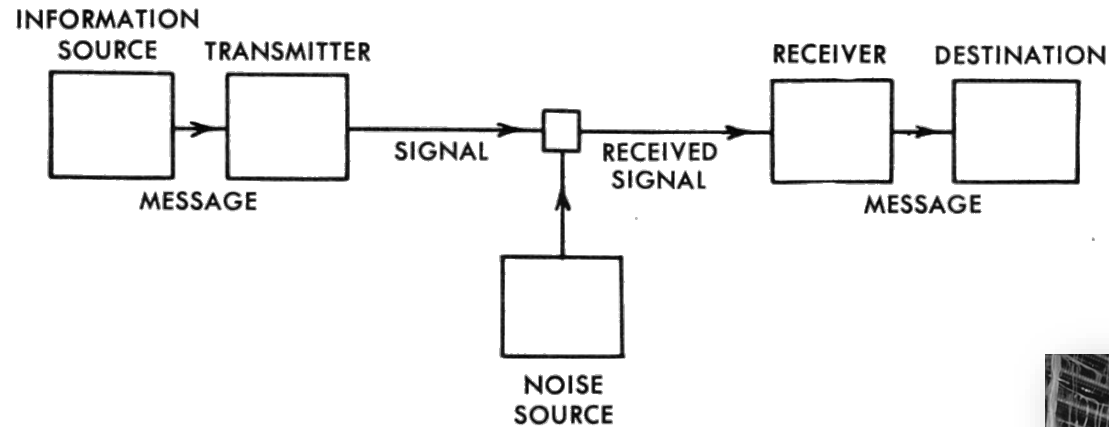


34

Similarity as a communications channel



*The Mathematical Theory of Communication*



Claude Shannon

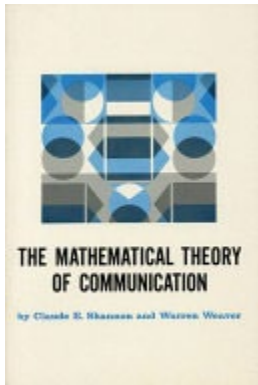
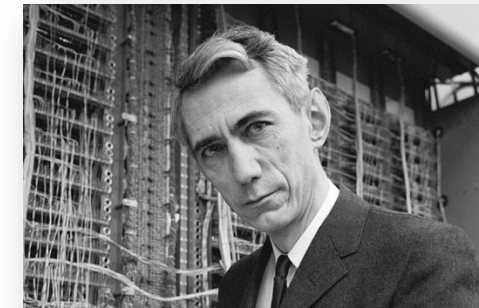


Fig. 1. — Schematic diagram of a general communication system.

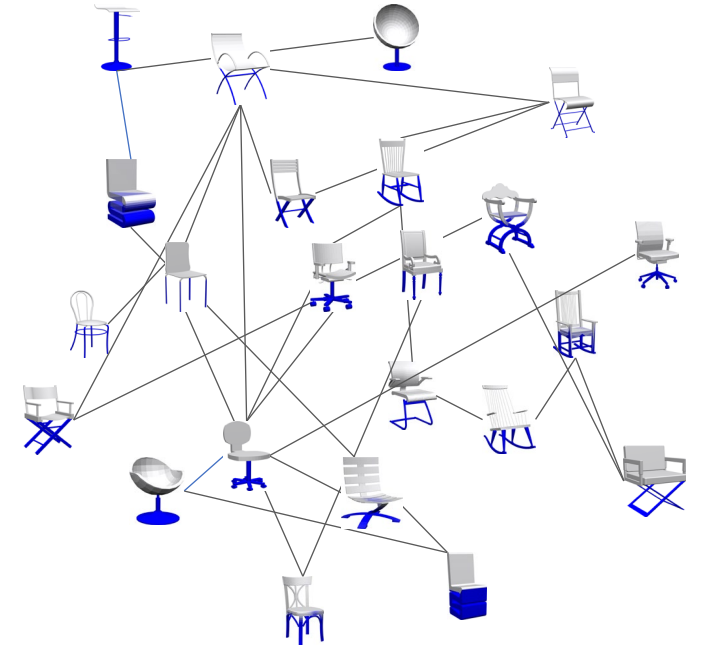


# The Network View: Information Transport Between Visual Data

# Networks of Images



# Or of Shapes, Or of Both



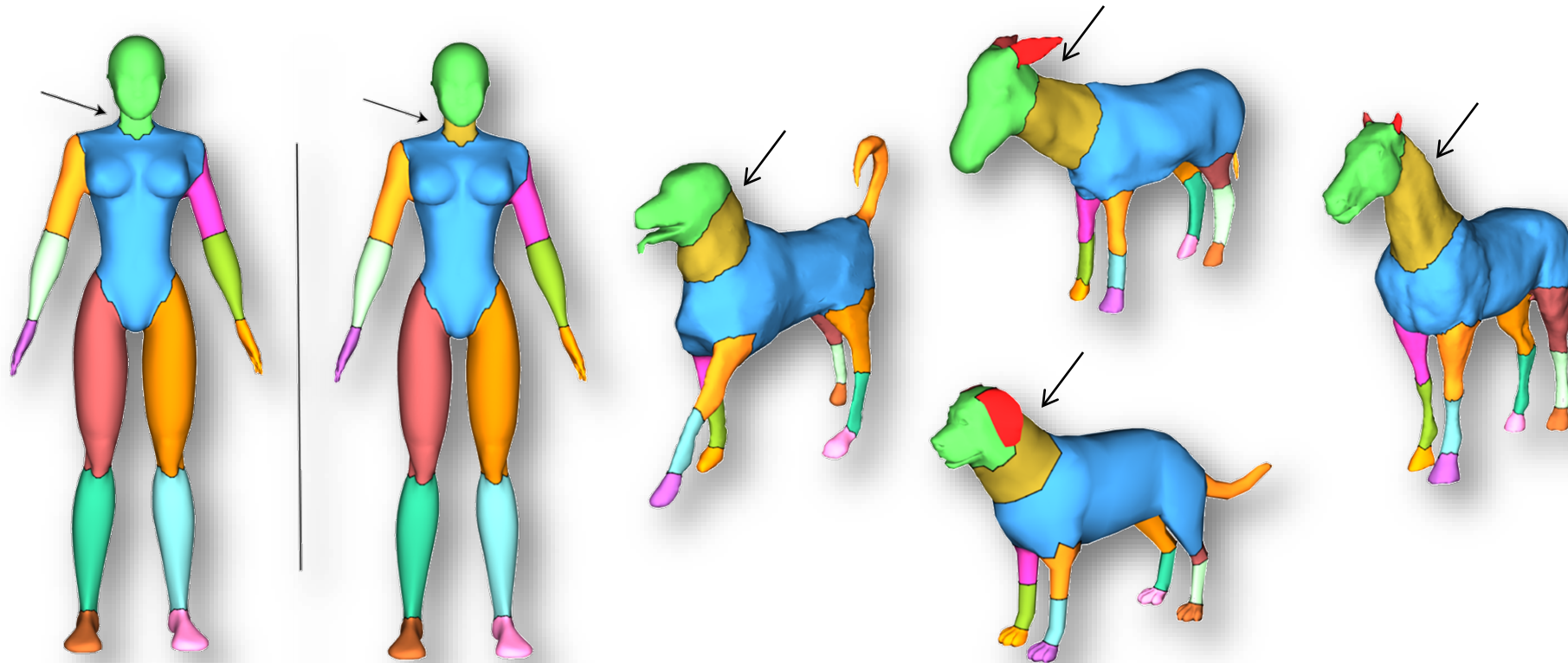
# Relations Between Visual Data



# Each Data Set Is Not Alone

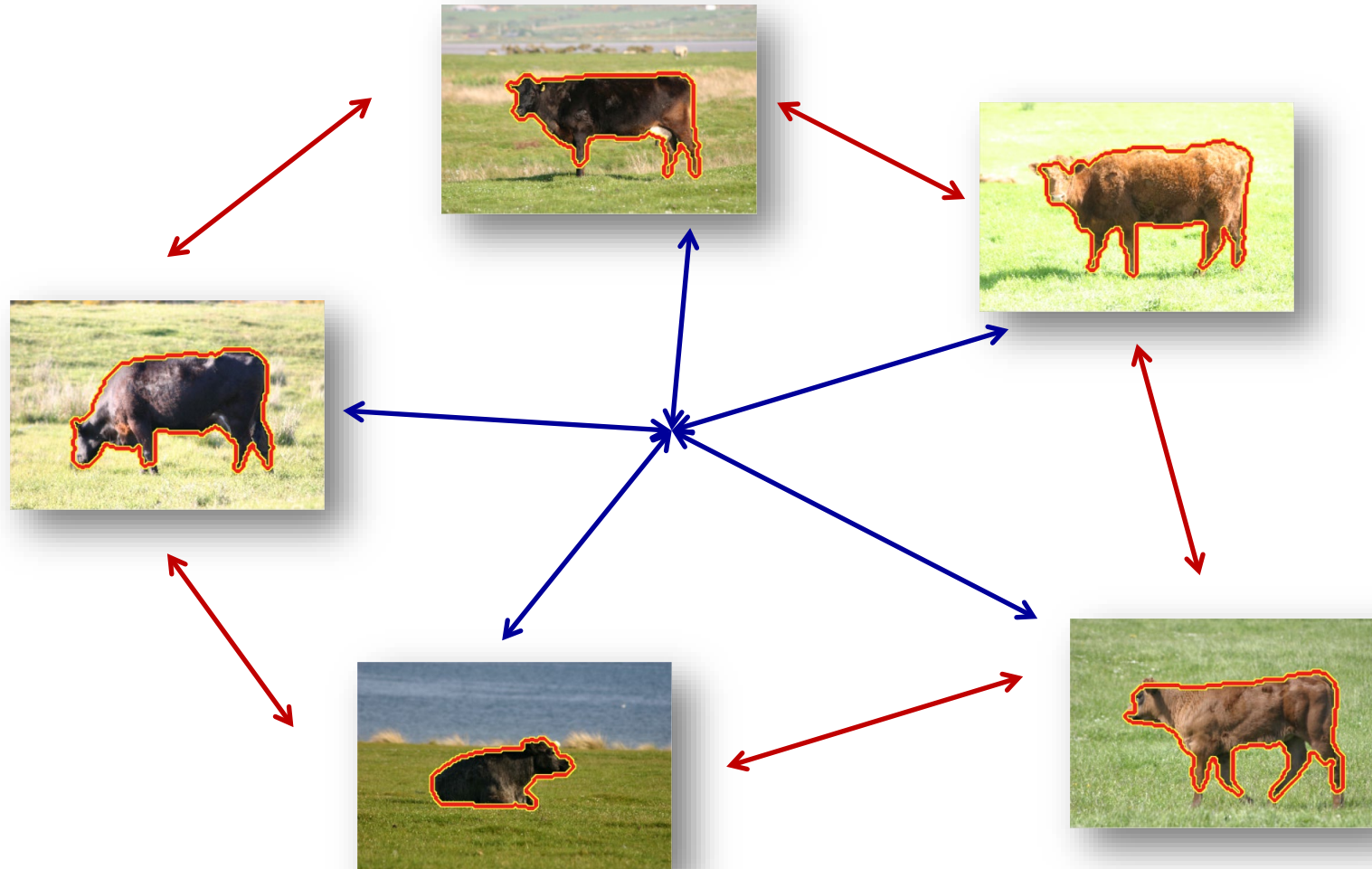
[Q. Huang, V. Koltun, L. Guibas, 2011]

- The interpretation of a particular piece of geometric data is deeply influenced by our interpretation of other related data

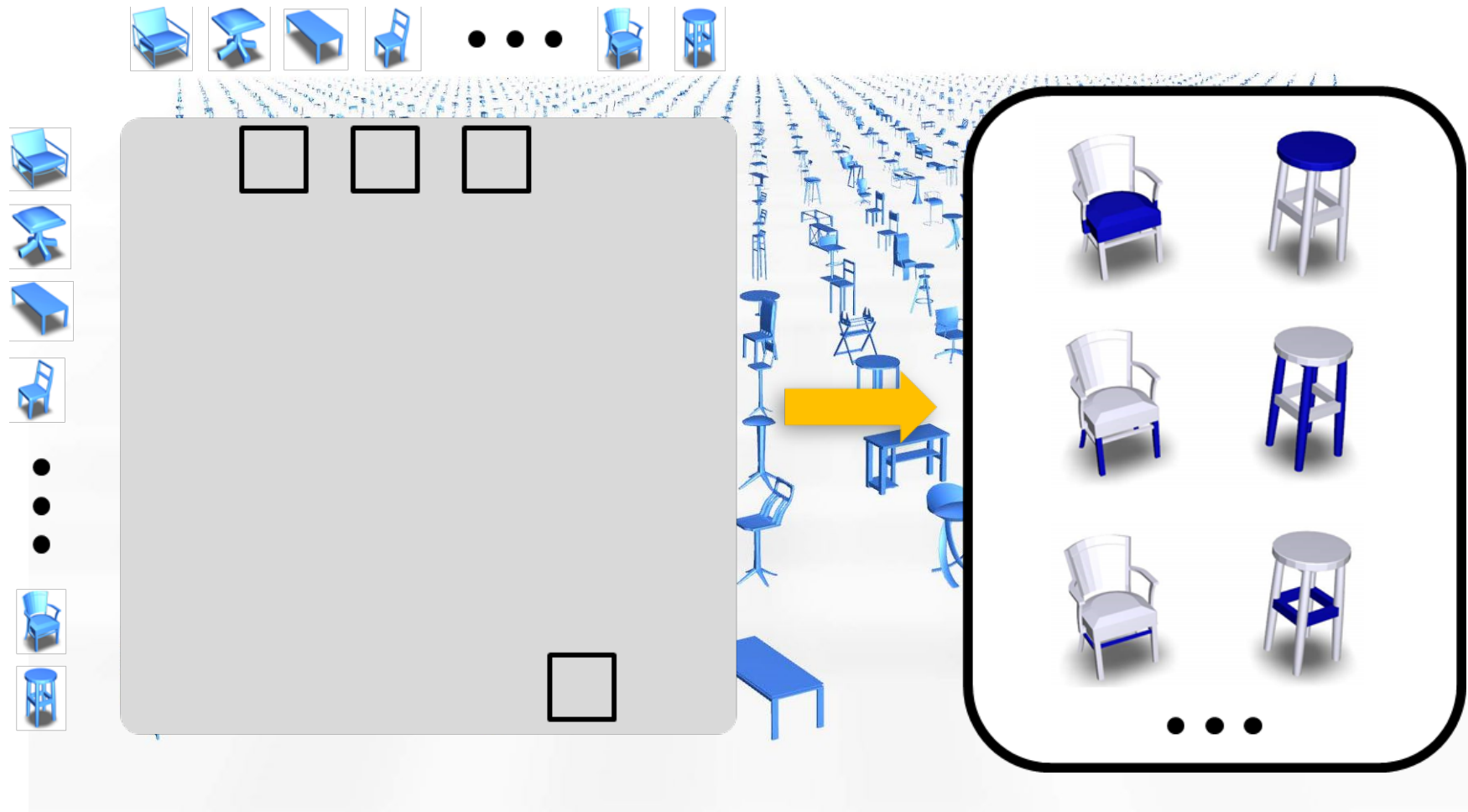


3D Segmentation

# Co-Segmentation



# Semantic Structure Emerges from the Network



[Q. Huang, F. Wang, L. Guibas, '14]

# Societies, or Social Networks of Data Sets

Our understanding of data can greatly benefit from extracting these relations and building relational networks.

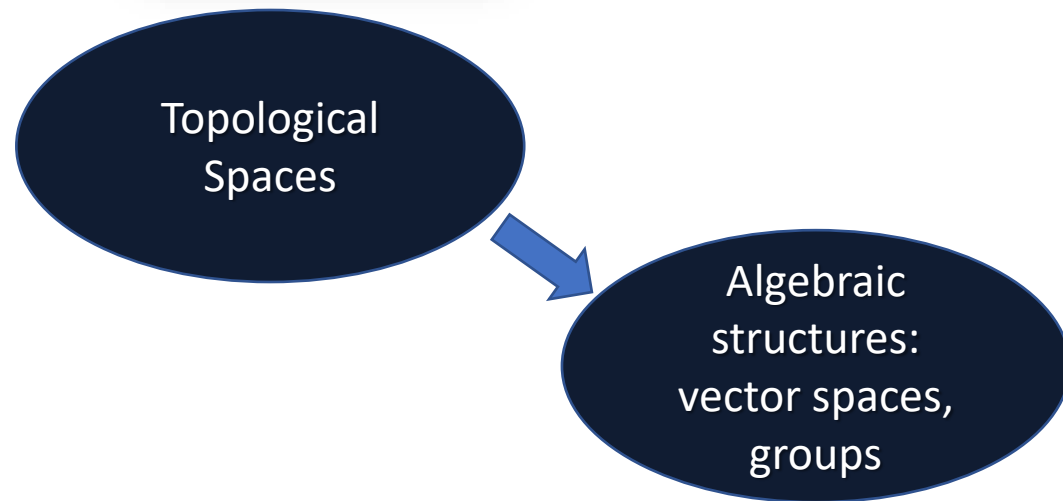
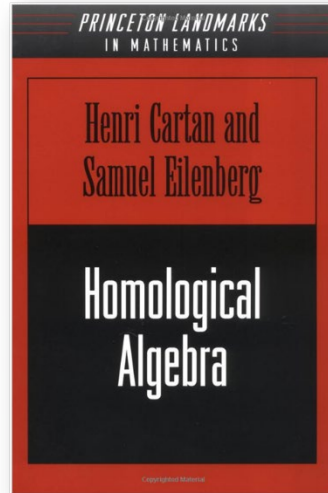
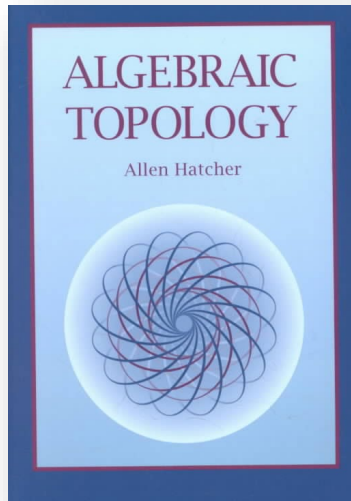
We can exploit the relational network to

- transport information around the network
- assess the validity of operations or interpretations of data (by checking consistency against related data)
- assess the quality of the relations themselves (by checking consistency against other relations through cycle closure, etc.)
- extract shared structure among the data



Thus the network becomes the great regularizer in joint data analysis.

# V+H: Functorial Data Analysis



$$\begin{array}{ccc} H_*(X) & \xrightarrow{\phi} & H_*(Y) \\ H_* \uparrow & & \uparrow H_* \\ X & \xrightarrow{f} & Y \end{array}$$

$$\begin{array}{ccc} L(X) & \xrightarrow{\phi} & L(Y) \\ DN \uparrow & & \uparrow DN \\ X & \xrightarrow{f} & Y \end{array}$$

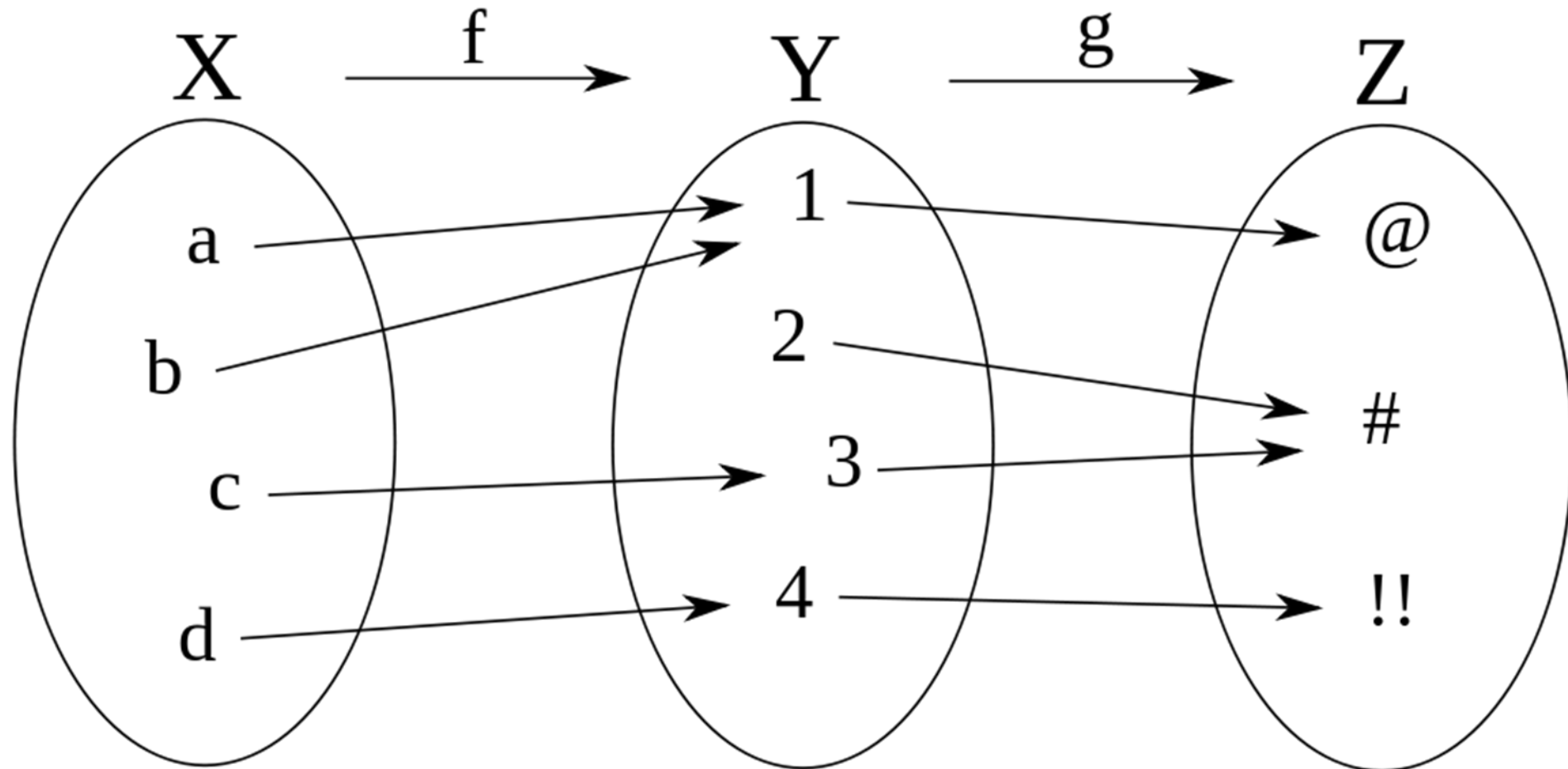
# Maps as First-Class Citizens

# Maps

$$\phi : X \rightarrow Y$$

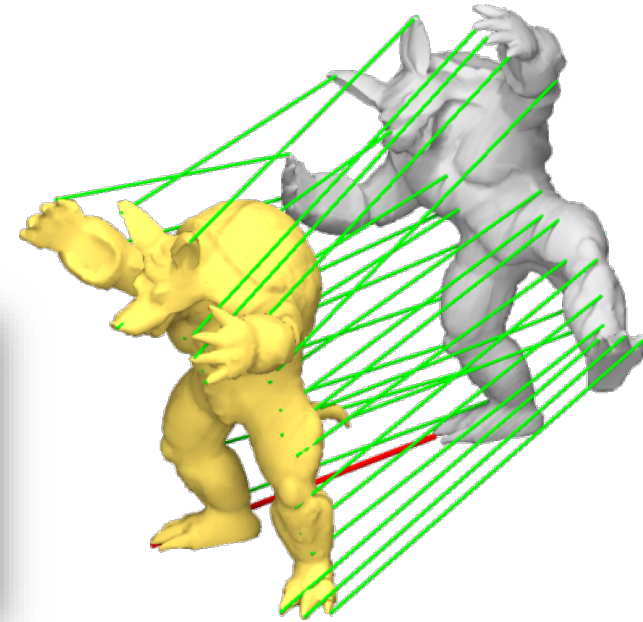
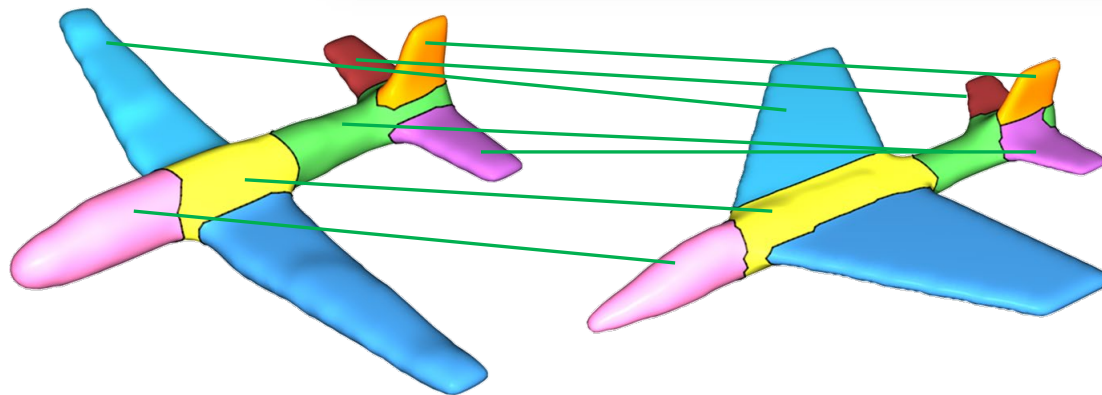
**Map from  $X$  to  $Y$**

# Algebraic Structure: Map Composition



# Relationships as Correspondences or Maps

- Multiscale mappings
  - Point/pixel level
  - part level

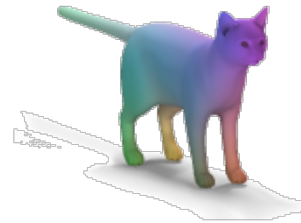


Maps capture what is the same or similar across two data sets



# Correspondences or Maps are Information Transporters

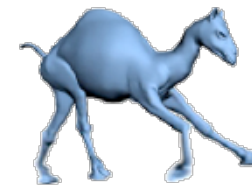
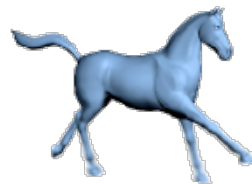
texture and  
parametrization



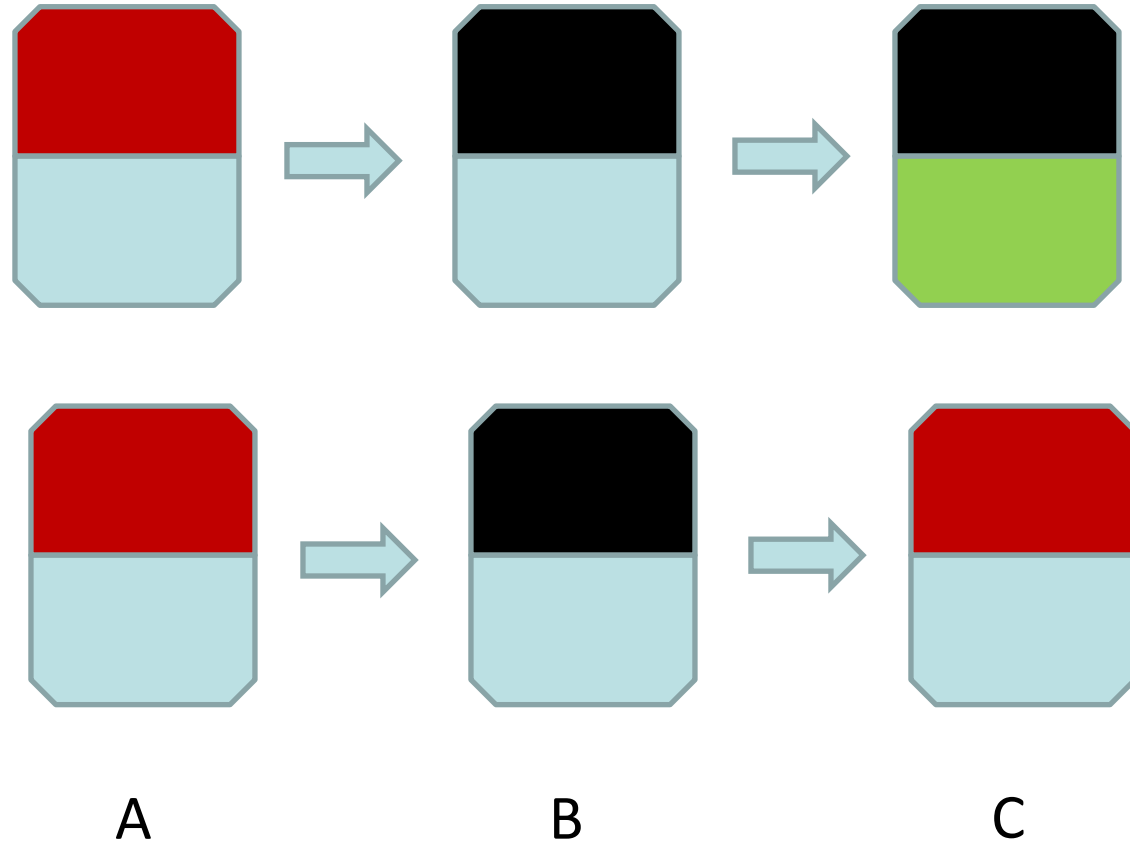
segmentation  
and labels



deformation



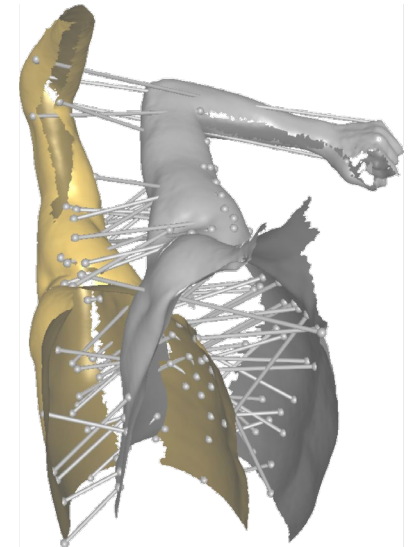
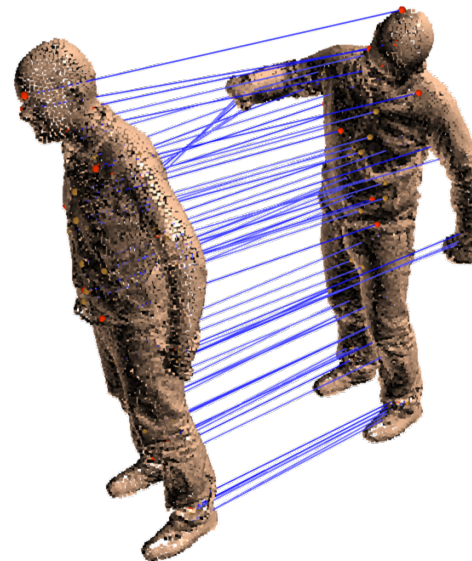
# Maps vs. Distances/Similarities Networks vs. Graphs



Persistence of correspondences

# What Makes a Map Good?

- 1<sup>st</sup> order descriptor or feature preservation (e.g., corners go to corners)
- 2<sup>nd</sup> order attribute preservation (e.g., Euclidean or geodesic distances)
- Smoothness or continuity
- Respect for “internal structure” (symmetries, etc)
- Semantic correctness may still be elusive



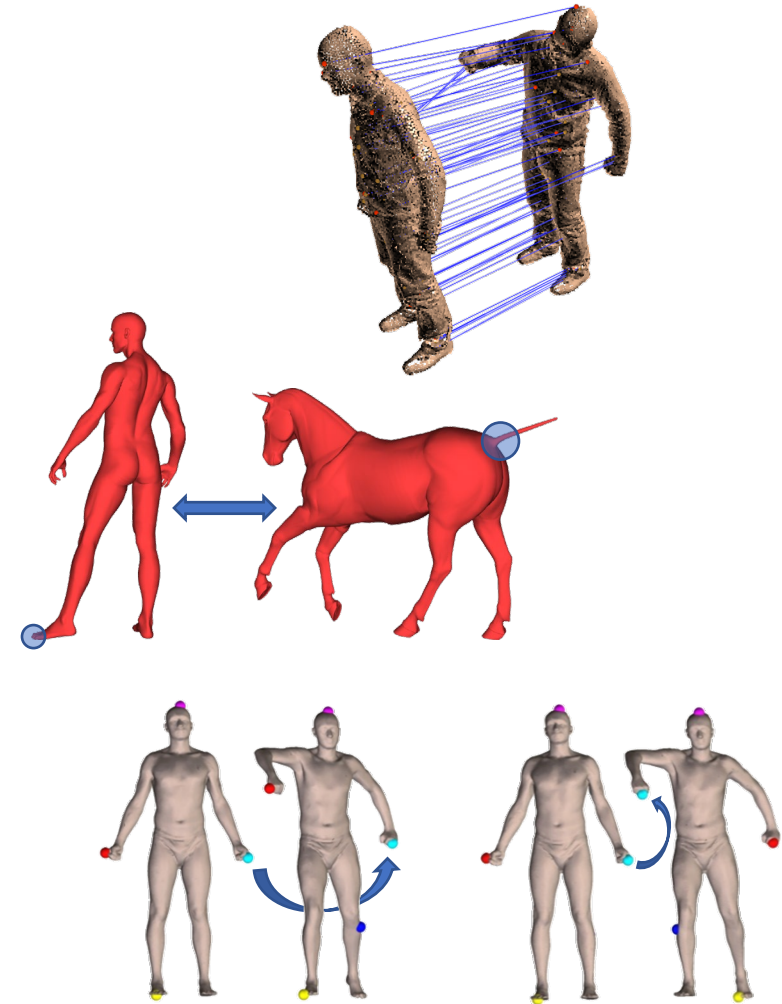
# Problems and Issues



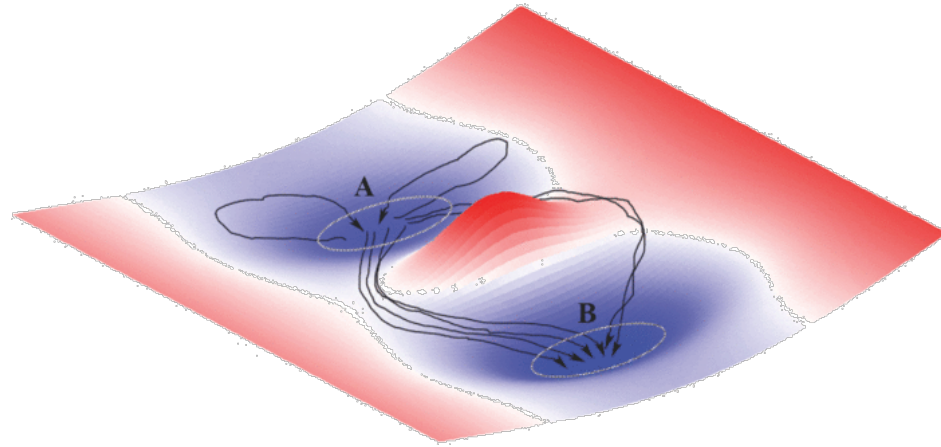
**Symmetry, ambiguity, scale, bad data**

# Maps Challenges: Representation and Computation

- Map representation as a data structuring problem
  - hard to encode compactly
  - hard to select correct scale
  - hard to enforce consistency across abstraction levels
- Also, difficult to compute
  - typically based on matching features/descriptors
  - symmetries, discrete and continuous, lead to ambiguities
  - 2<sup>nd</sup> order attribute preservation leads to NP-hard quadratic assignment problems

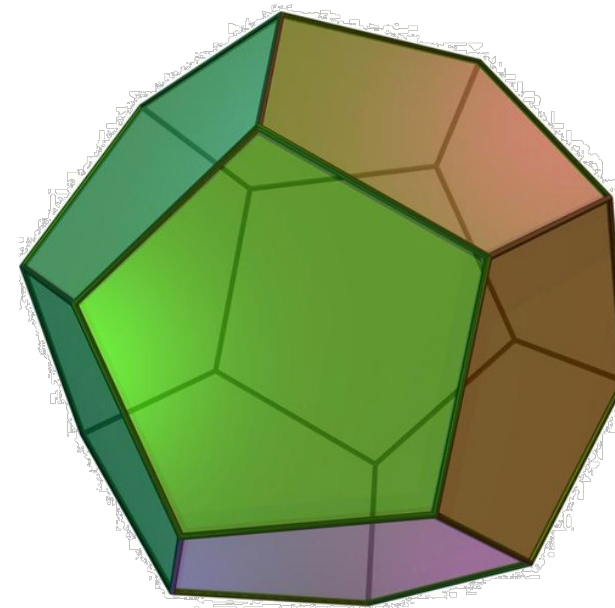


# Non-Convex, Combinatorial Optimization



multiple minima

NP-hard quadratic assignments

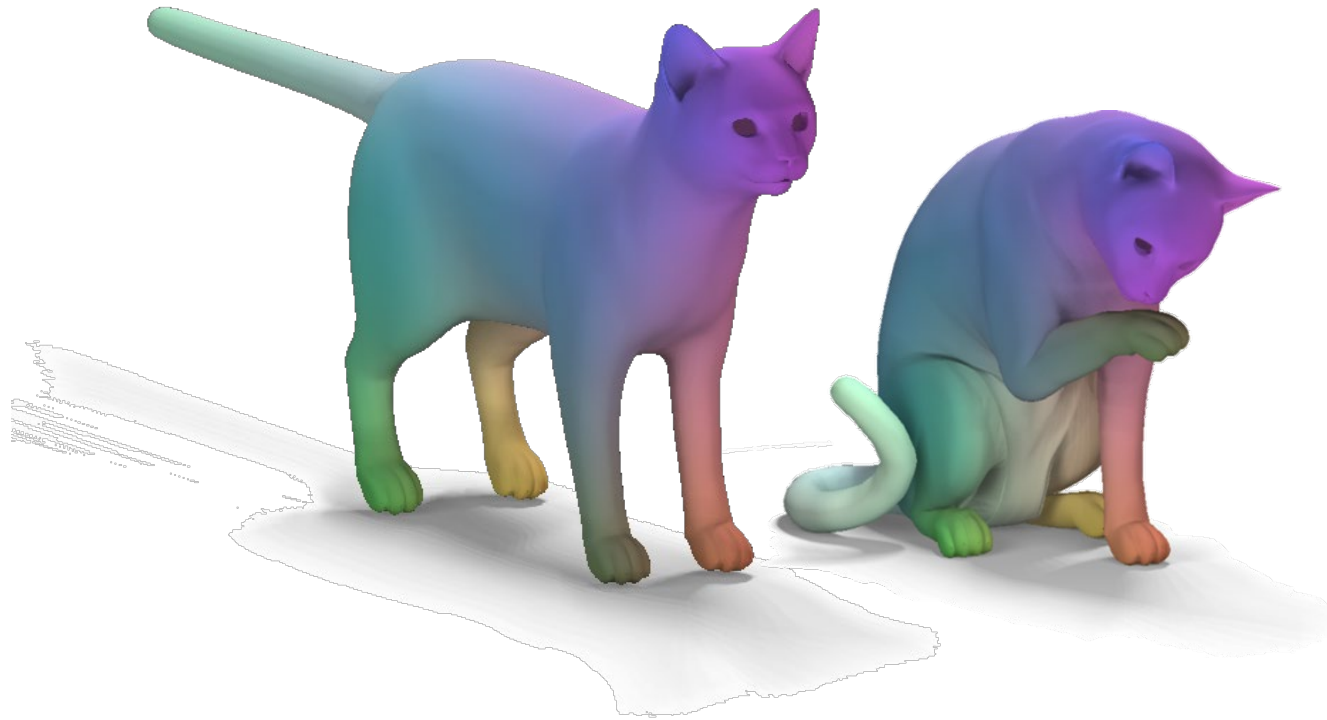


$n!$  permutations

**Symmetry, ambiguity, scale, bad data**

# A Potential Way Out

Find alternative **representation** more amenable to optimization

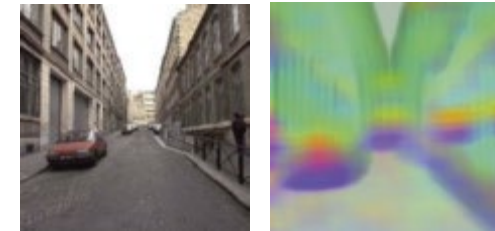
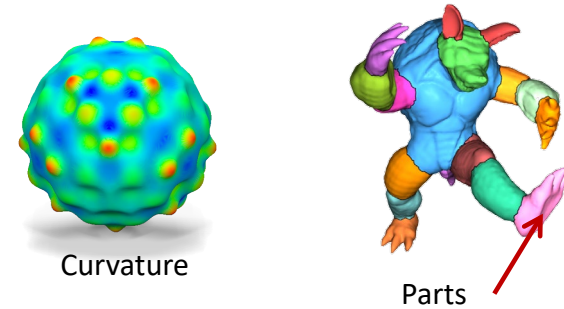


**Redefine the notion of map**

# Technical Approach: Function Spaces and Functional Maps

# A Dual View: Functions and Operators

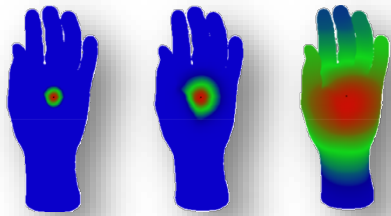
- Functions on data
  - Properties, attributes, descriptors, part indicators, etc.
  - But also beliefs, opinions, etc
- Operators on functions
  - Maps of functions to functions
    - Laplace-Beltrami operator on a manifold



SIFT flow, C. Liu 2011

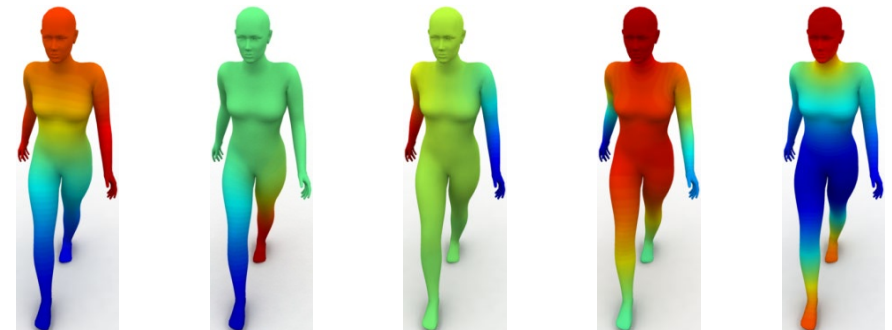
$M$

$$\Delta : C^\infty(M) \rightarrow C^\infty(M), \Delta f = \operatorname{div} \nabla f$$



$$\frac{\partial u}{\partial t} = -\Delta u$$

heat diffusion

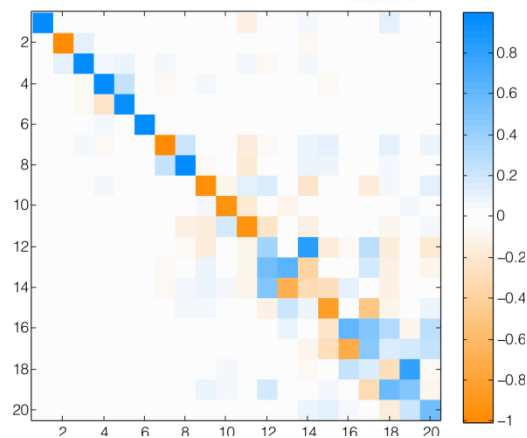


Laplace Beltrami eigenfunctions

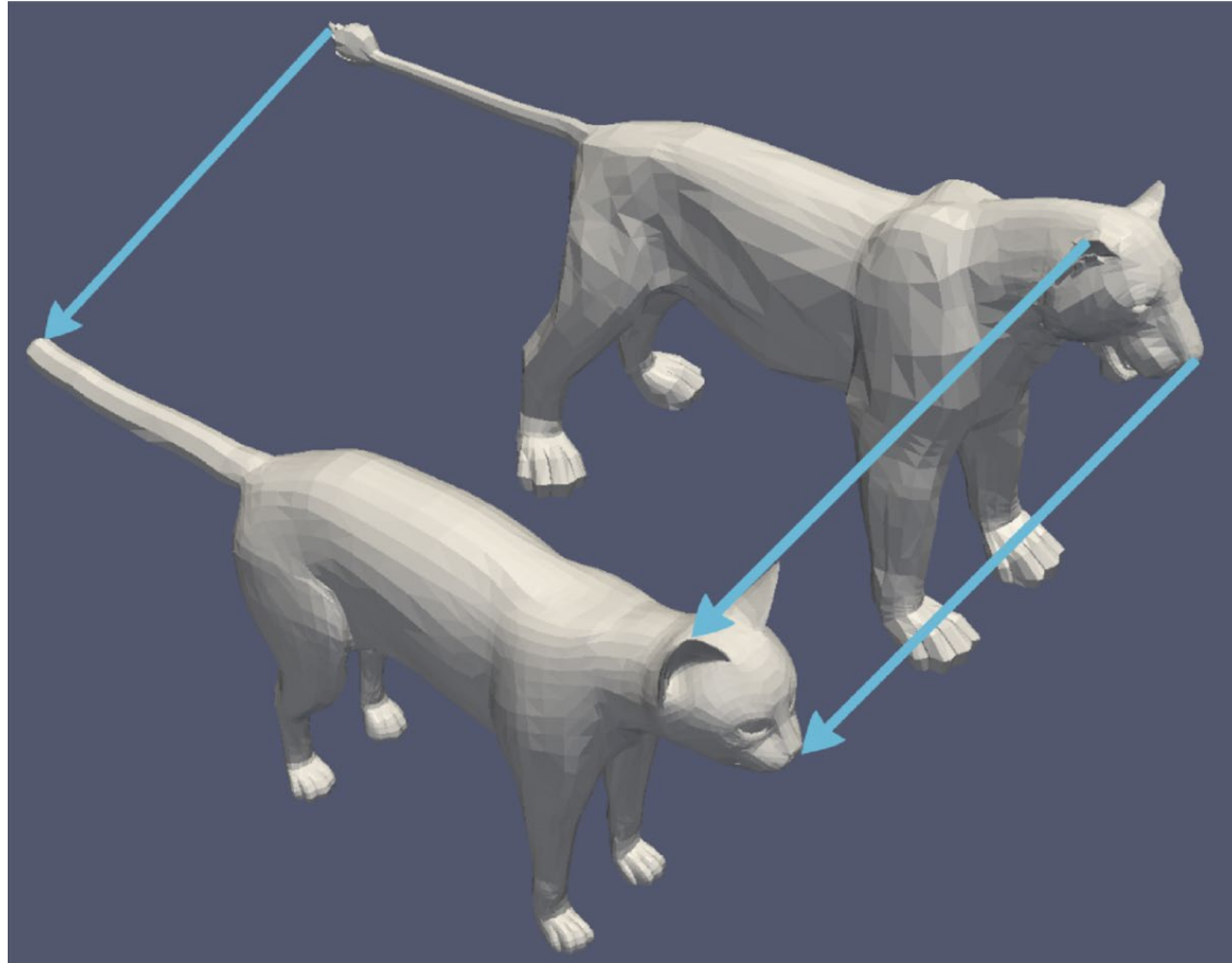


# Functional Maps (a.k.a. Operators)

[M. Ovsjanikov, M. Ben-Chen, J. Solomon, A. Butscher, L. G., Siggraph '12]

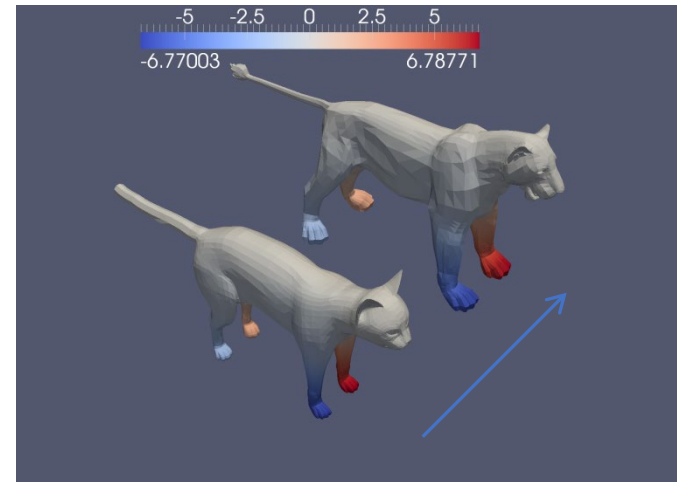
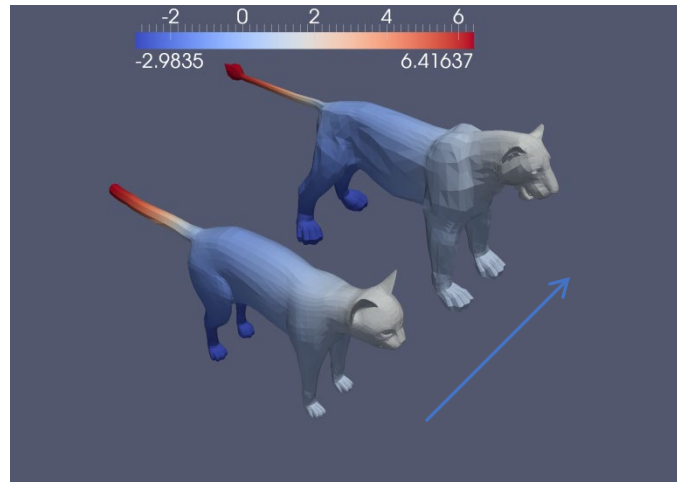
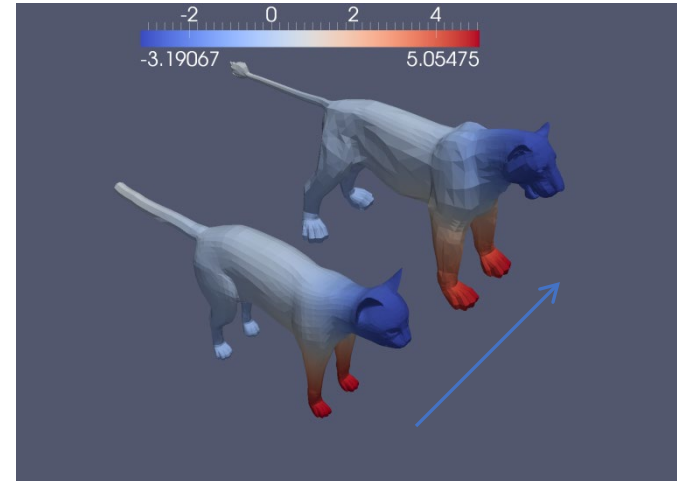
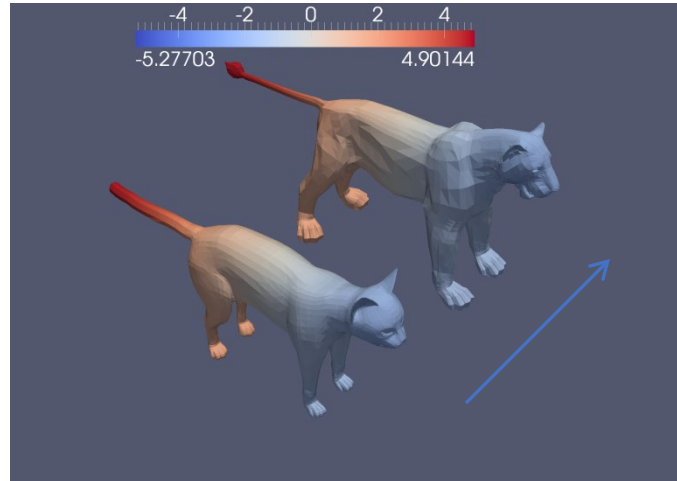


# Starting from a Regular Map $\phi$



$\phi: \text{lion} \rightarrow \text{cat}$

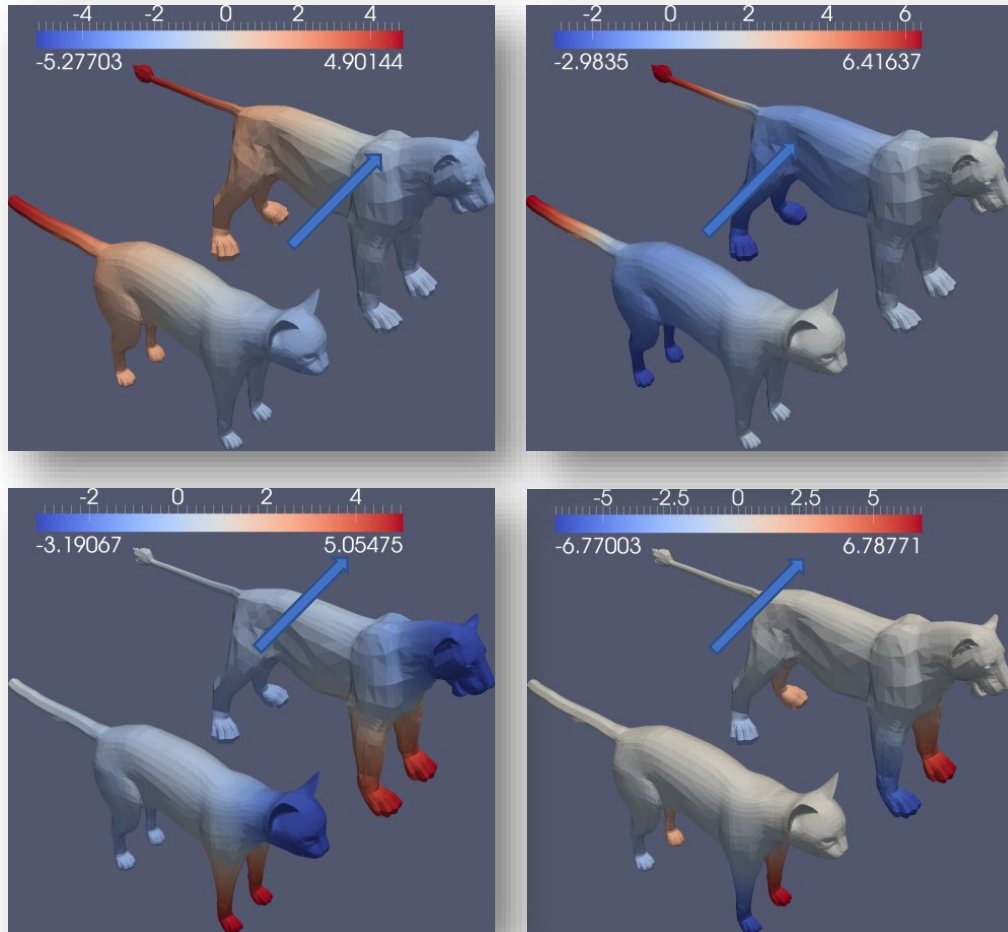
# Attribute Transfer via Pull-Back



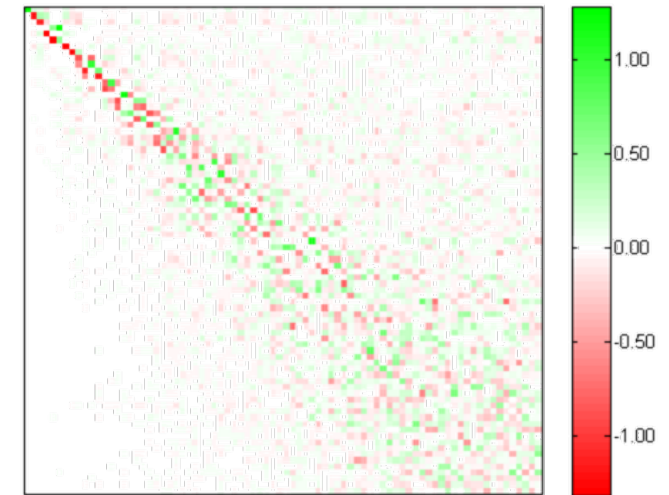
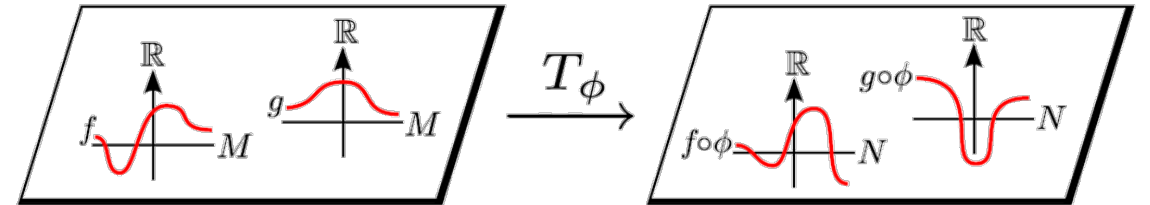
$T_\phi: \text{cat} \rightarrow \text{lion}$

# A Contravariant Functor

from cat to lion



Functions on cat are transferred to lion using  $T_\phi$



$T_\phi$  is a linear operator (matrix)

$$T_\phi : L^2(\text{cat}) \rightarrow L^2(\text{lion})$$

# Functional Map

$$\phi : M \rightarrow N$$

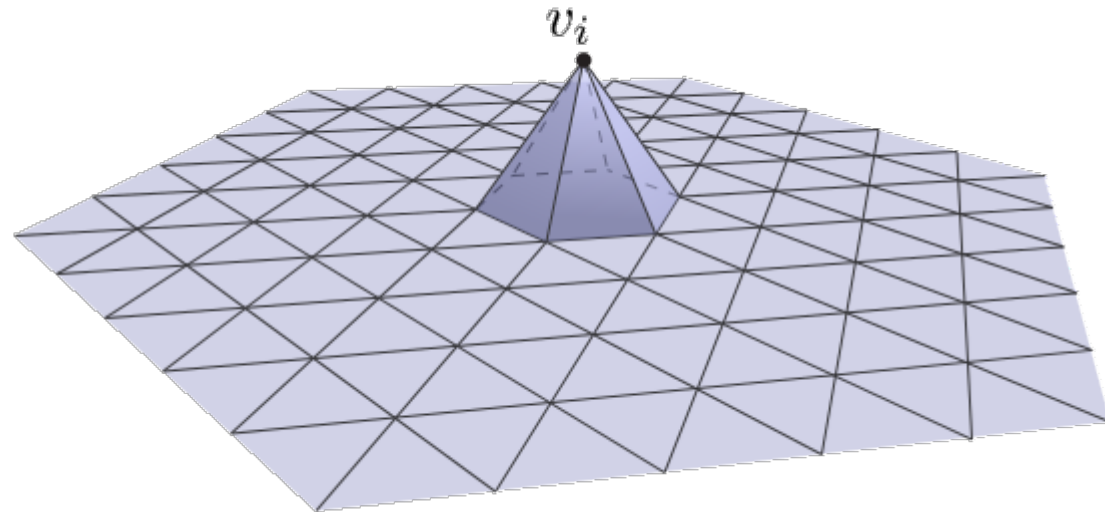
$$T_\phi : L^2(N) \rightarrow L^2(M)$$

**Dual of a**  
**point-to-point map**

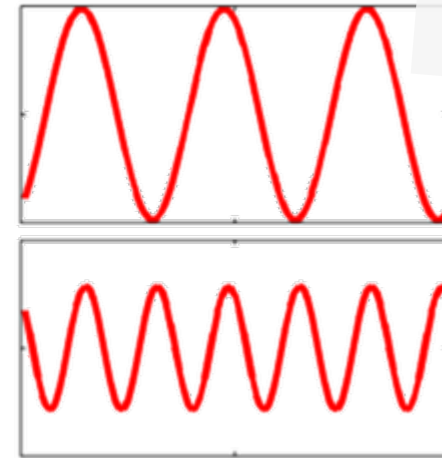
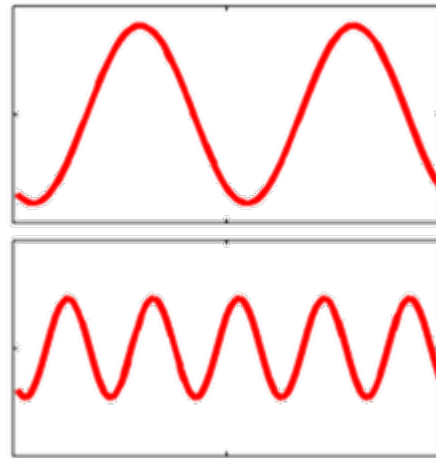
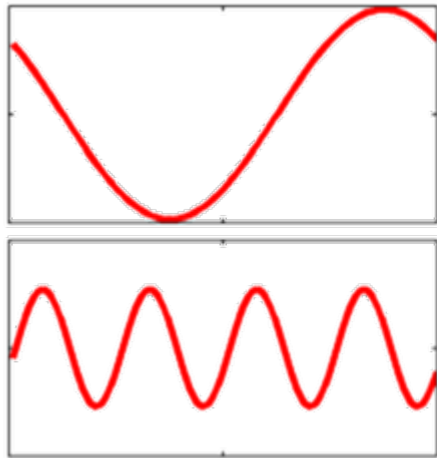
# Bases for a Function Space

Point basis  
Finite-element basis

Local bases



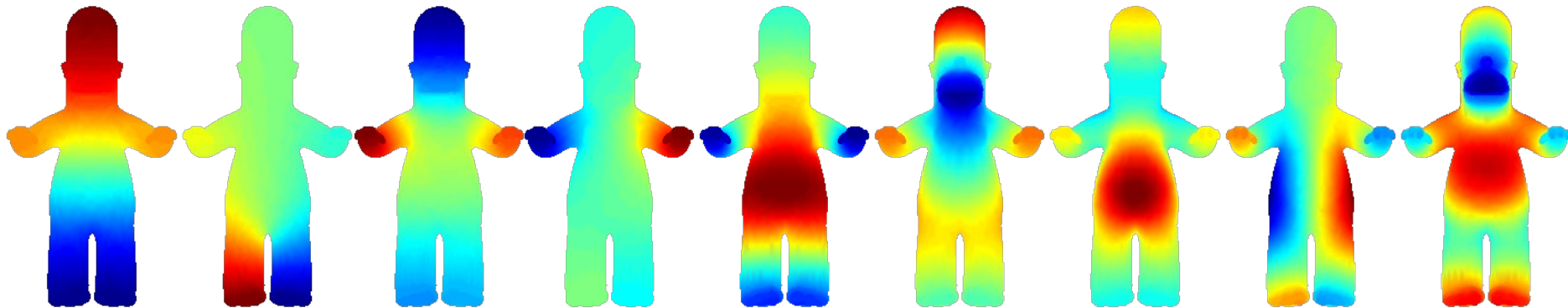
# Hierarchical Bases for a Function Space



Fourier

Laplace-Beltrami

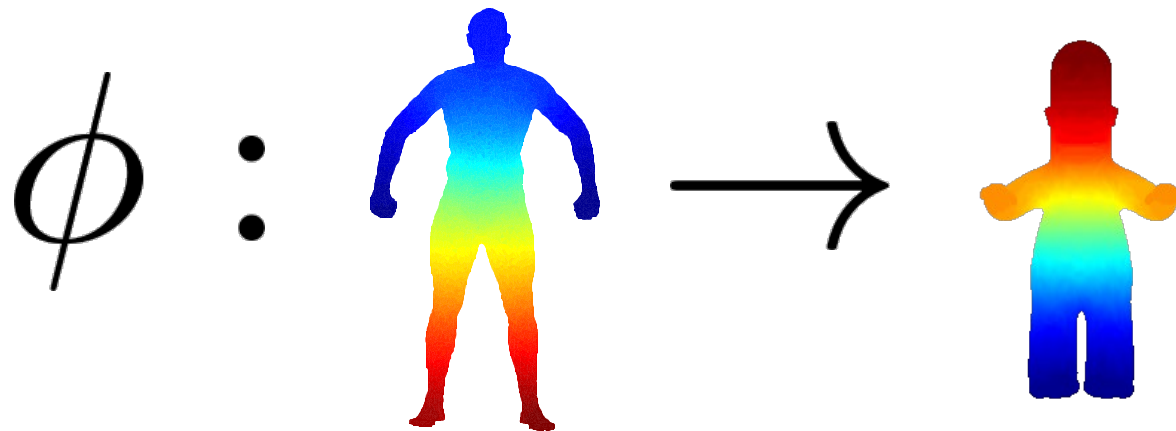
global support



# Application of Basis

$$f(x) = a_1 \cdot \text{[Person 1]} + a_2 \cdot \text{[Person 2]} + a_3 \cdot \text{[Person 3]} + \dots$$

---



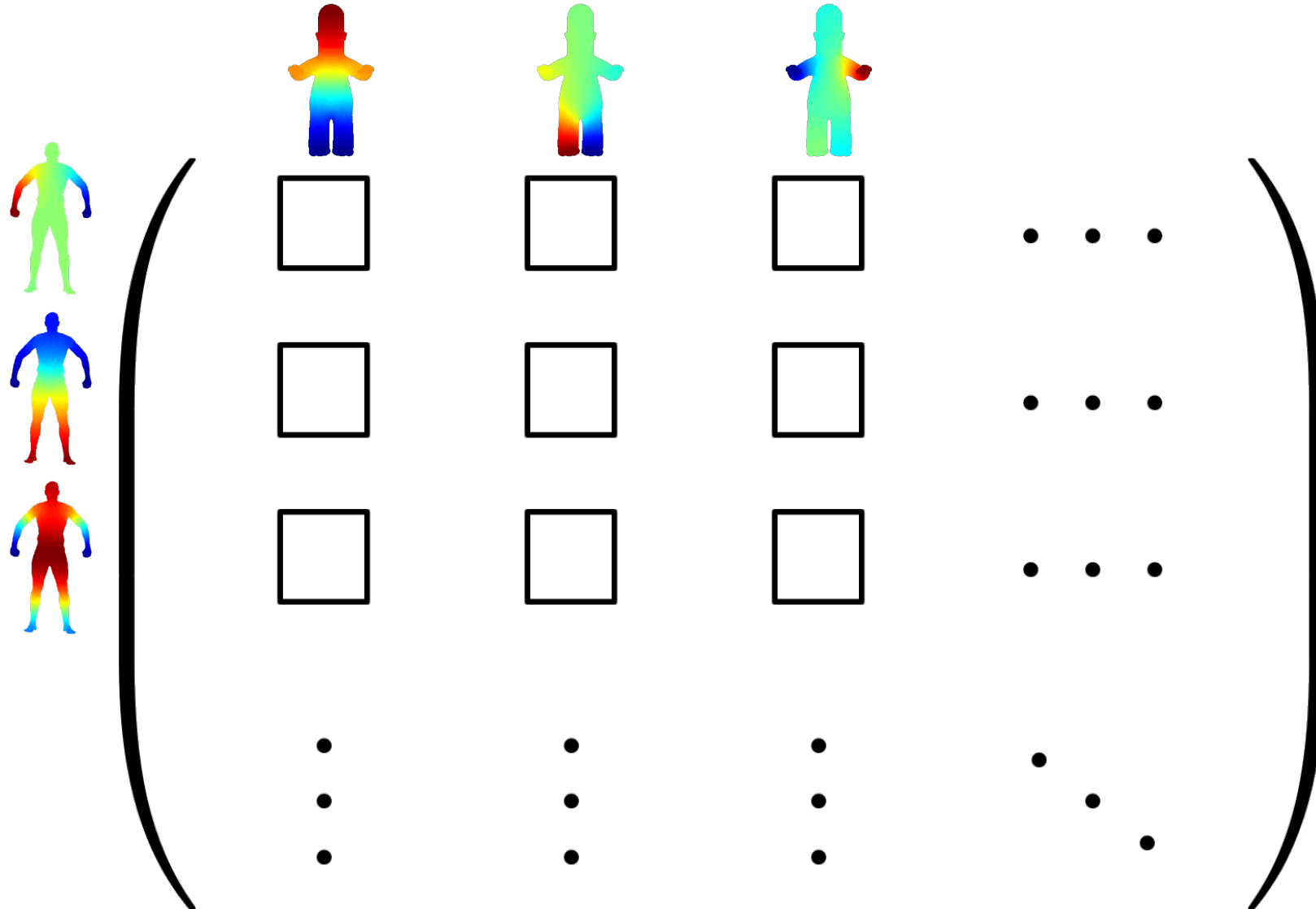
# Application of Basis

$$T_\phi[f](x) = T_\phi[a_1 \cdot \text{img}_1 + a_2 \cdot \text{img}_2 + a_3 \cdot \text{img}_3 + \dots]$$

$$= a_1 T_\phi[\text{img}_1] + a_2 T_\phi[\text{img}_2] + a_3 T_\phi[\text{img}_3] + \dots$$

**Enough to know these**

# Functional Map Matrix



# Functional Map Representation

## Definition

For a fixed choice of basis functions  $\{\phi^M\}$  and  $\{\phi^N\}$ , and a bijection  $T : M \rightarrow N$ , define its **functional representation** as a matrix  $C$ , s.t. for all  $f = \sum_i a_i \phi_i^M$ , if  $T_F(f) = \sum_i b_i \phi_i^N$  then:

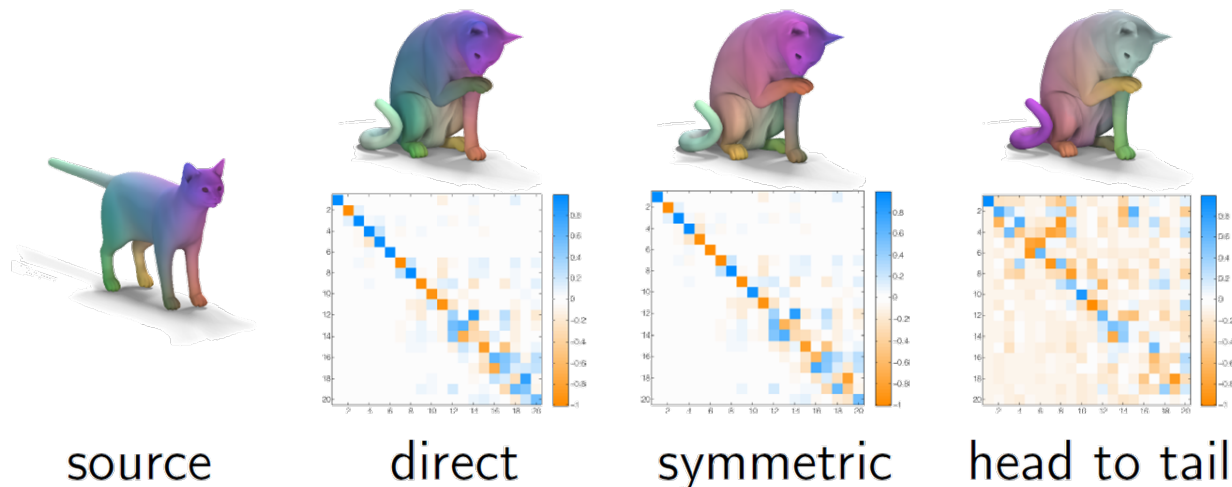
$$\mathbf{b} = C\mathbf{a}$$

If  $\{\phi^M\}$  and  $\{\phi^N\}$  are both orthonormal w.r.t. some inner product, then

$$C_{ij} = \langle T_F(\phi_i^M), \phi_j^N \rangle.$$

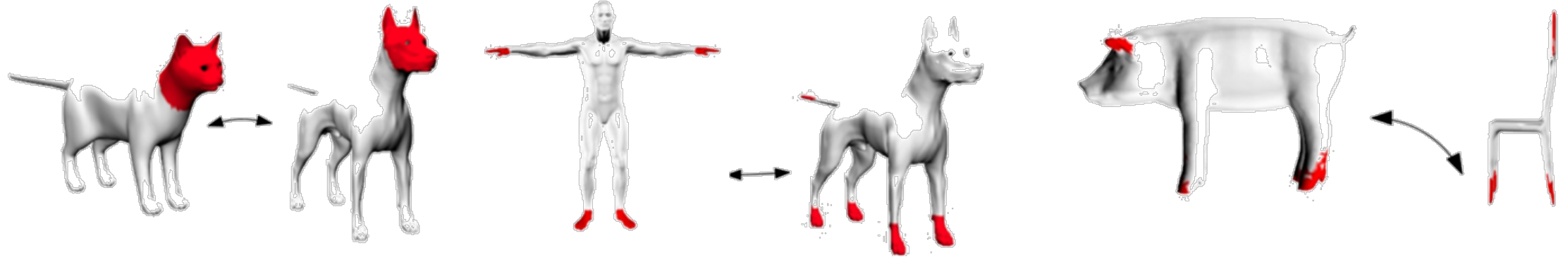
# Maps as Linear Operators

- An ordinary shape map lifts to a linear operator mapping the function spaces
- With a truncated hierarchical basis, compact representations of functional maps are possible as ordinary matrices
- Map composition becomes ordinary matrix multiplication
- Functional maps can express many-to-many associations, generalizing classical 1-1 maps



Using truncated  
Laplace-Beltrami  
basis

# FMaps Can Represent Broader Classes of Correspondences



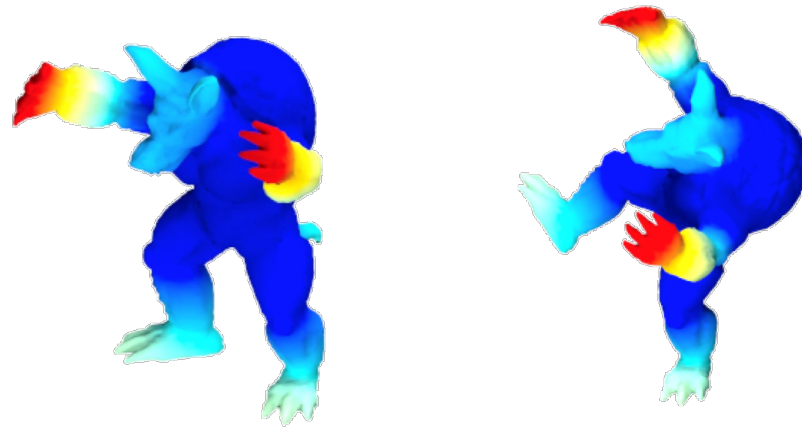
FMaps include point-to-point maps, but are much more general

# Estimating the Mapping Matrix

Suppose we don't know  $C$ . However, we expect a pair of functions  $f : M \rightarrow \mathbb{R}$  and  $g : N \rightarrow \mathbb{R}$  to correspond. Then,  $C$  must satisfy:

$$C\mathbf{a} \approx \mathbf{b}$$

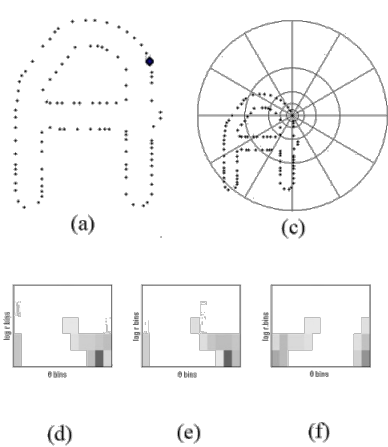
where  $f = \sum_i \mathbf{a}_i \phi_i^M$ ,  $g = \sum_i \mathbf{b}_i \phi_i^N$



Given enough  $\{\mathbf{a}_i, \mathbf{b}_i\}$  pairs in correspondence, we can recover  $C$  through a linear least squares system.

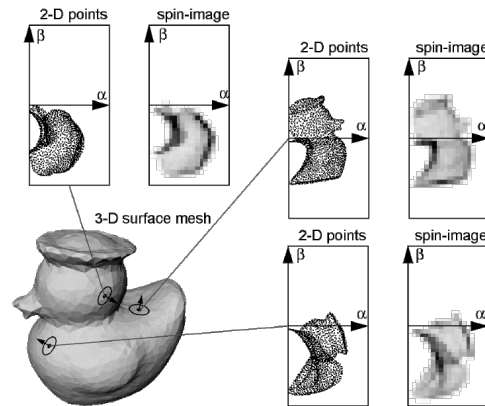
# Plenty of Functions: Descriptors for Points and Parts

- For shapes, there are many descriptors with various types of invariances



**Shape Contexts:**  
[Belongie et al. '00, Frome et al. '04]

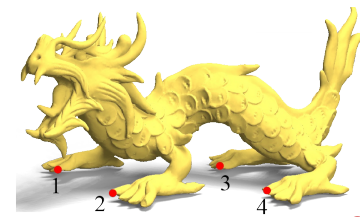
Rigid invariance  
(extrinsic)



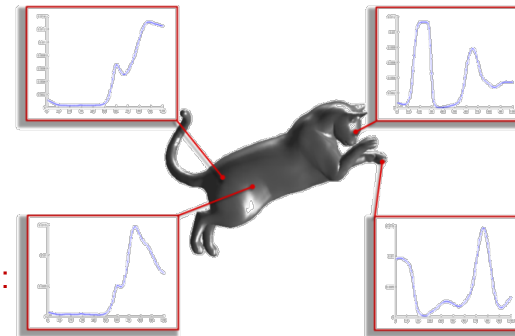
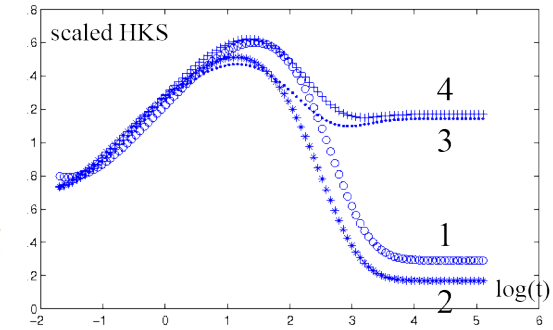
**Spin Images:**  
[Johnson, Hebert '99]

Isometric invariance  
(intrinsic)

**Wave Kernel Signatures (WKS):**  
[Aubry et. al. '11]



**Heat Kernel Signatures (HKS):**  
[Sun, Ovsjanikov, G. '08]]



# Function Preservation Constraints

Suppose we don't know  $C$ . However, we expect a pair of functions  $f : M \rightarrow \mathbb{R}$  and  $g : N \rightarrow \mathbb{R}$  to correspond. Then,  $C$  must be s.t.

$$C\mathbf{a} \approx \mathbf{b}$$

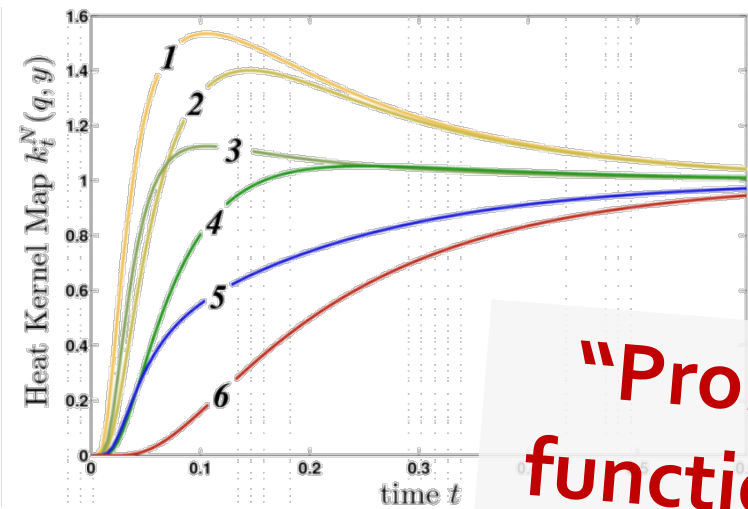
Function preservation constraint is quite general and includes:

- Descriptor preservation (e.g. Gaussian curvature, spin images, HKS, WKS).
- Landmark correspondences (e.g. distance to the point).
- Part correspondences (e.g. indicator function).
- Texture preservation

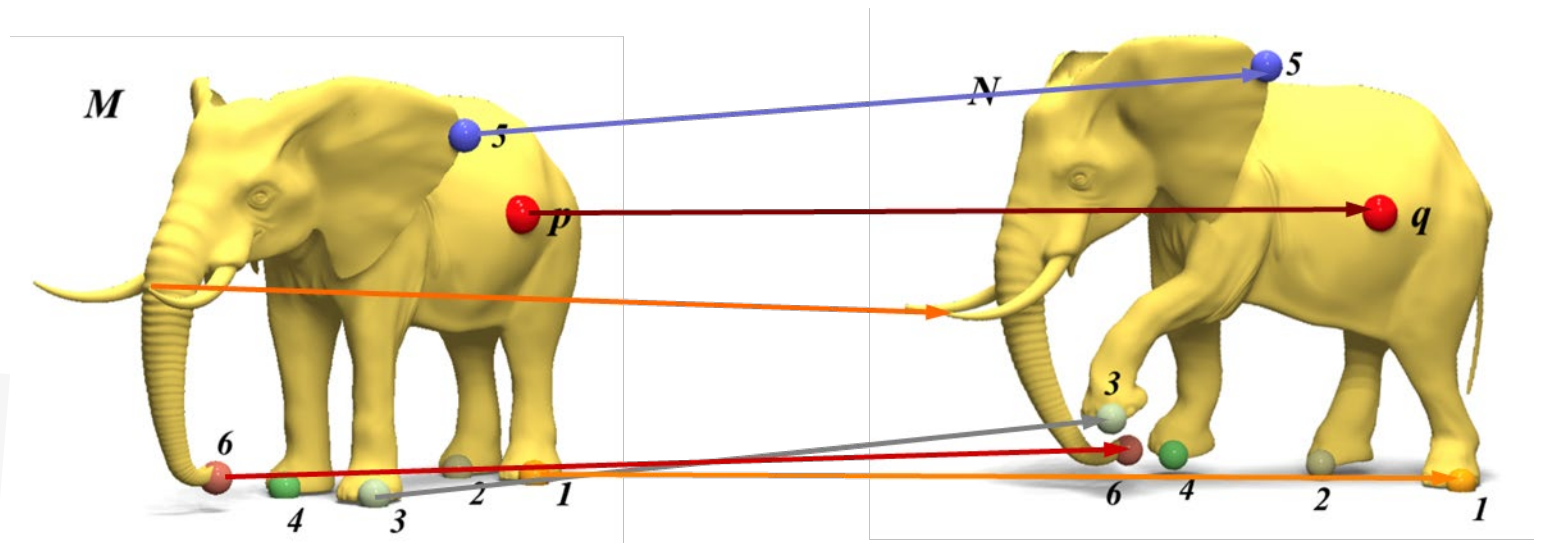
**“Probe  
functions”**

# Map Estimation

$$CD_1 = D_2 \implies C = D_2 D_1^{-1}$$



**“Probe function”**



**Map from a linear solve**

# Commutativity Regularization

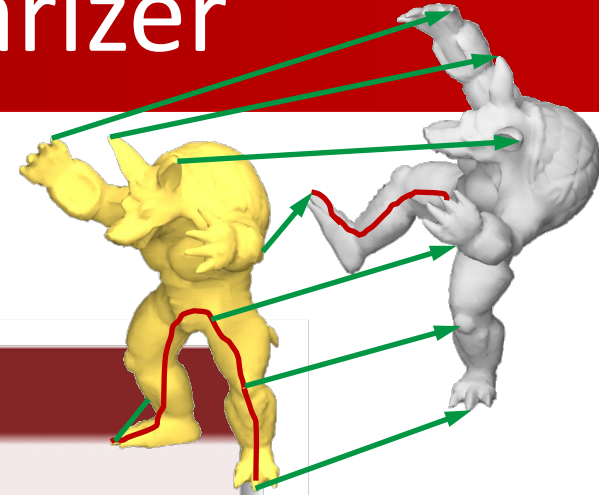
In addition, we can phrase an operator commutativity constraint: given two operators  $S_1 : \mathcal{F}(M, \mathbb{R}) \rightarrow \mathcal{F}(M, \mathbb{R})$  and  $S_2 : \mathcal{F}(N, \mathbb{R}) \rightarrow \mathcal{F}(N, \mathbb{R})$

$$\begin{array}{ccc} \mathcal{F}(M, \mathbb{R}) & \xrightarrow{C} & \mathcal{F}(N, \mathbb{R}) \\ S_1 \downarrow & & \downarrow S_2 \\ \mathcal{F}(M, \mathbb{R}) & \xrightarrow{C} & \mathcal{F}(N, \mathbb{R}) \end{array}$$

Thus:  $CS_1 = S_2C$  or  $\|CS_1 - S_2C\|$  should be minimized

Note: this is a linear constraint on  $C$ .  $S_1$  and  $S_2$  could be symmetry operators or e.g. Laplace-Beltrami or heat operators.

# Isometry (Length Preservation) Regularizer



Lemma 1:

The mapping is *isometric*, if and only if the functional map matrix commutes with the Laplacian:

$$C\Delta_1 = \Delta_2 C$$

**Differentiate and then transport**

**Transport and then differentiate**

$\Delta_1$  Laplacian on Shape 1  
 $\Delta_2$  Laplacian on Shape 2

# Conformal (Angle Preservation) Regularization

Lemma 3:

If the mapping is *conformal* if and only if:

$$C^T \Delta_1 C = \Delta_2$$

Using these regularizations, we get a very efficient shape matching method.

# Volume Preservation Regularizer

Lemma 2:

The mapping is *locally volume preserving*, if and only if the functional map matrix is *orthonormal*:

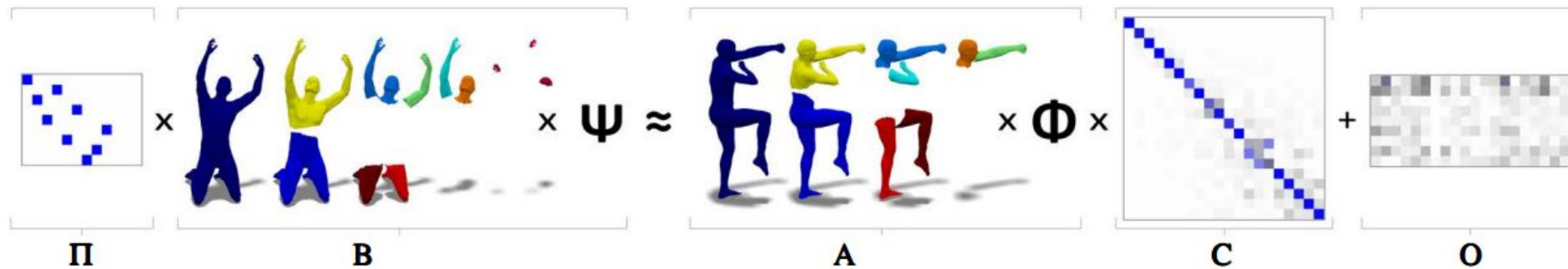
$$C^T C = I$$

Rotations/reflections in functions space

# Sparcity in a Localized Basis

$$\min \|C\|_{2,1}$$

Sum of Euclidean norms of cols

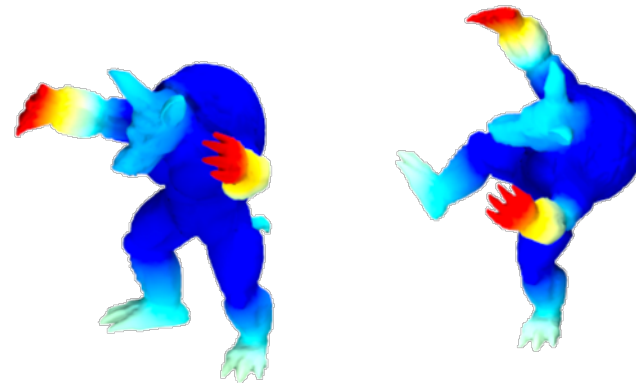


Sparse Modeling of Intrinsic Correspondences (Pokrass, Bronstein<sup>2</sup>, Sprechmann, Sapiro)

# Basic FMaps Pipeline

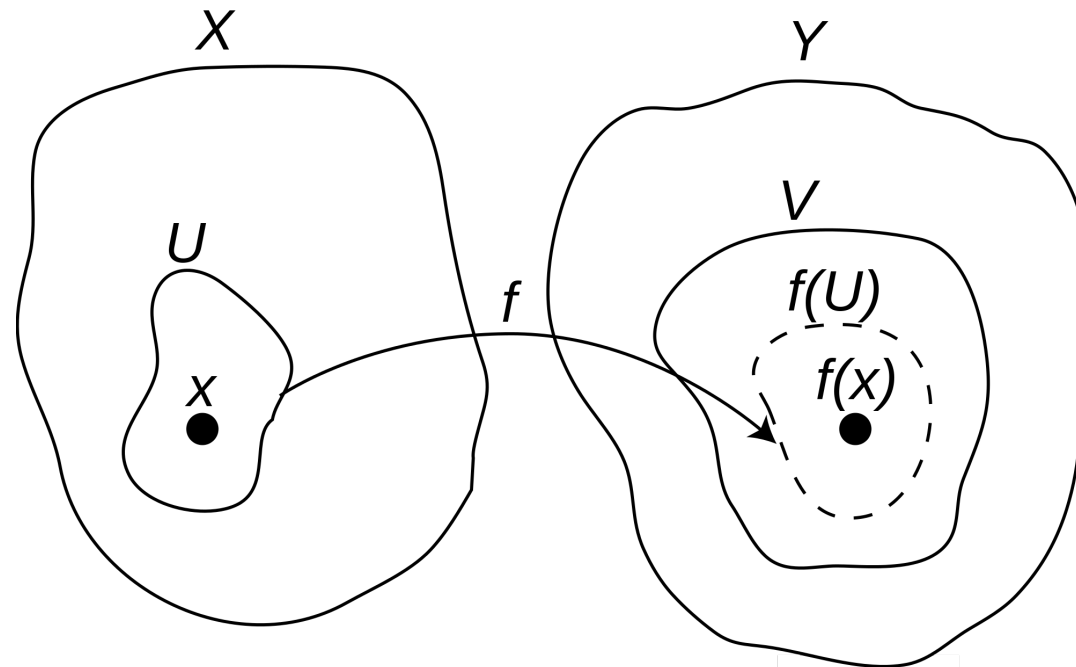
Given a pair of shapes:  $\mathcal{M}, \mathcal{N}$

1. Compute the first  $k$  ( $\sim 80-100$ ) eigenfunctions of the Laplace-Beltrami operator. Store them in matrices:  $\Phi_{\mathcal{M}}, \Phi_{\mathcal{N}}$
2. Compute descriptor functions (e.g., Heat Kernel Signatures) on  $\mathcal{M}, \mathcal{N}$ . Express them in  $\mathbf{A}, \mathbf{B}$ , as columns of  $\Phi_{\mathcal{M}}, \Phi_{\mathcal{N}}$
3. Solve  $C_{\text{opt}} = \arg \min_C \|C\mathbf{A} - \mathbf{B}\|^2 + \|C\Delta_{\mathcal{M}} - \Delta_{\mathcal{N}}C\|^2 + \dots$   
 $\Delta_{\mathcal{M}}, \Delta_{\mathcal{N}}$  : diagonal matrices of eigenvalues of LB operator
4. Convert the functional map  $C_{\text{opt}}$  to a point to point map  $T$ .



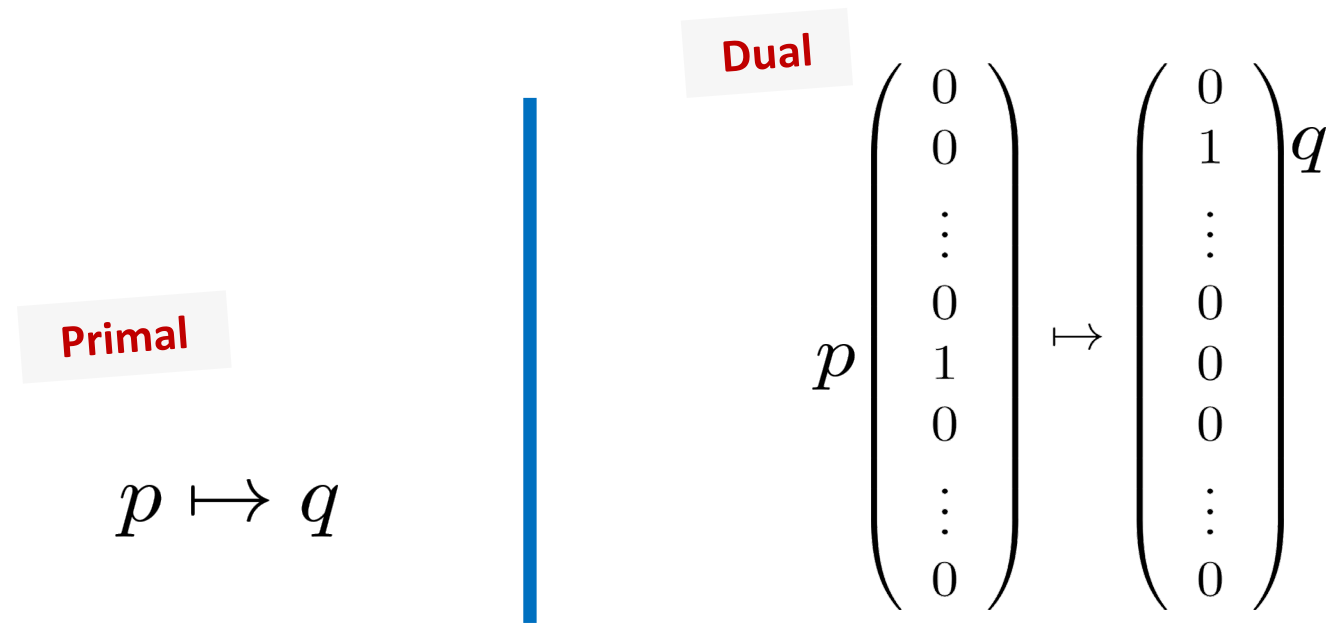
# Map Continuity

- Not explicitly enforced
- Implicit in the choice of basis



# From Functional to Point-to-Point Maps

- Can try transporting delta functions individually -- expensive



$$\delta_x = (\phi_1^M(x), \phi_2^M(x), \phi_3^M(x), \dots)$$

# From Functional to Point-to-Point Maps

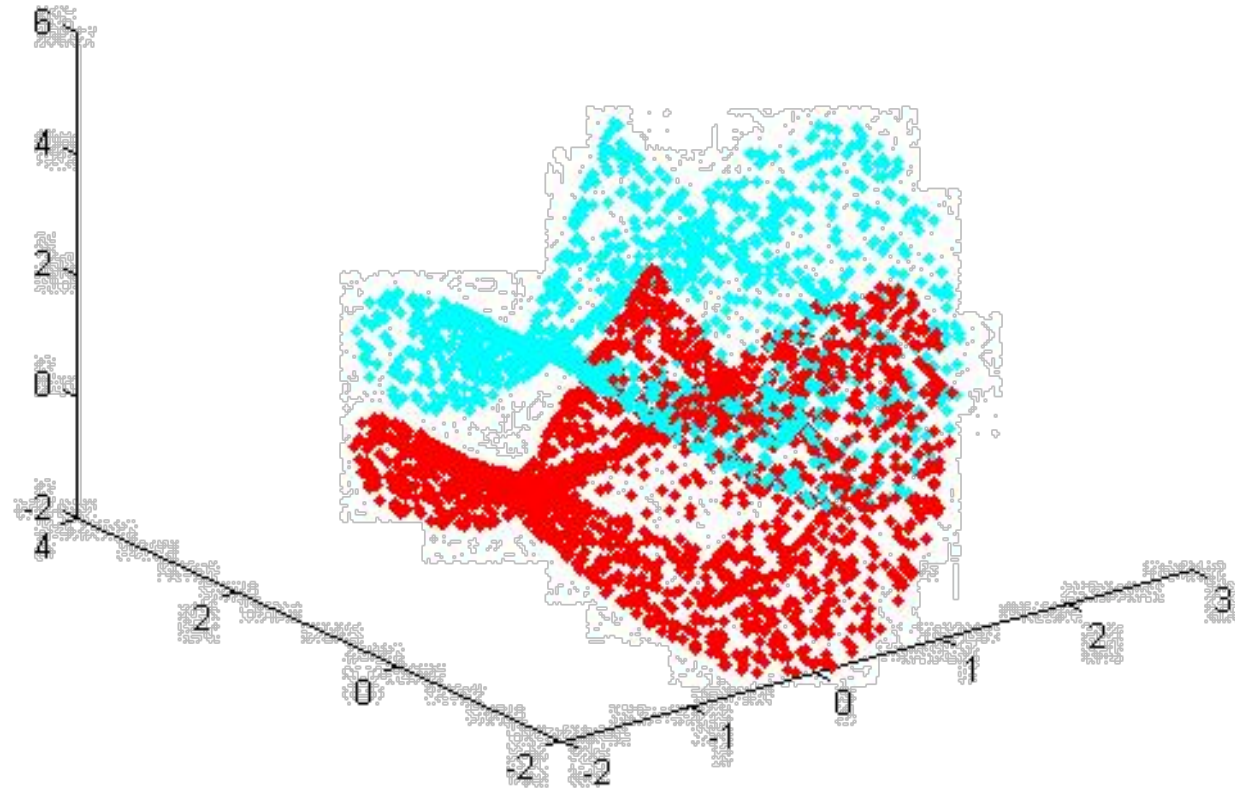
$$C\Phi_M^T \leftrightarrow \Phi_N$$

Image of each point on surface M

Each point on surface N in LB basis

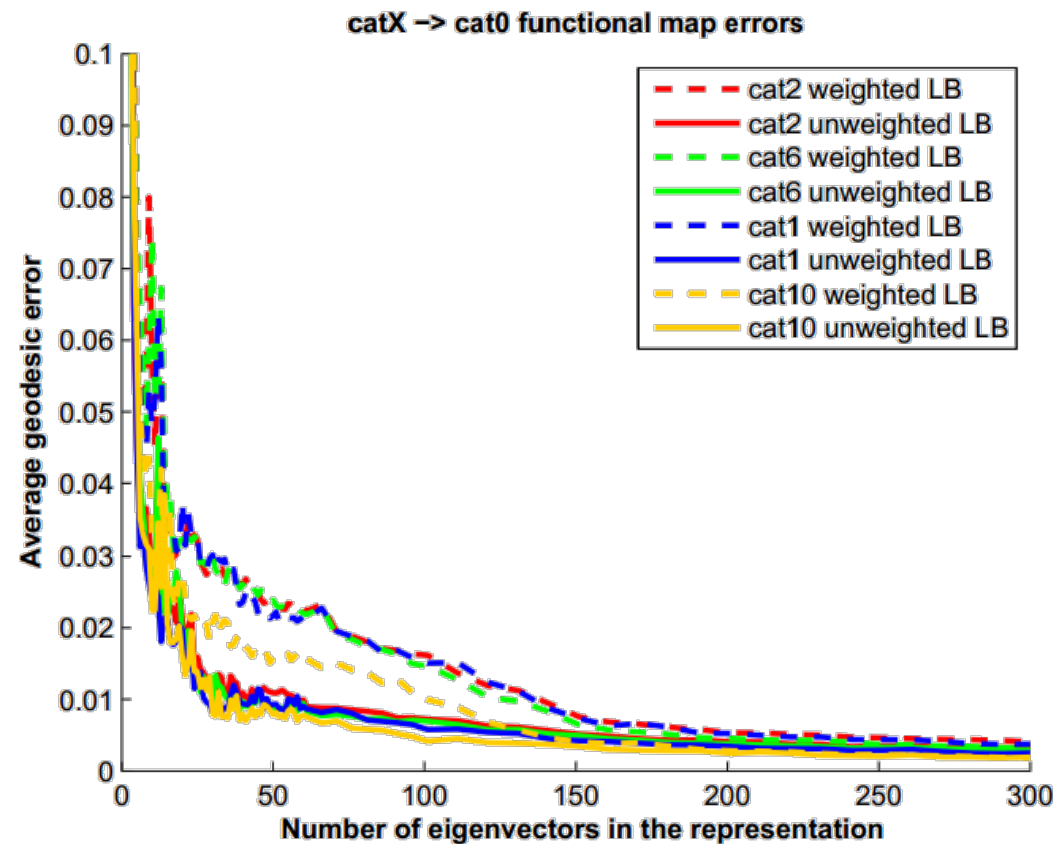
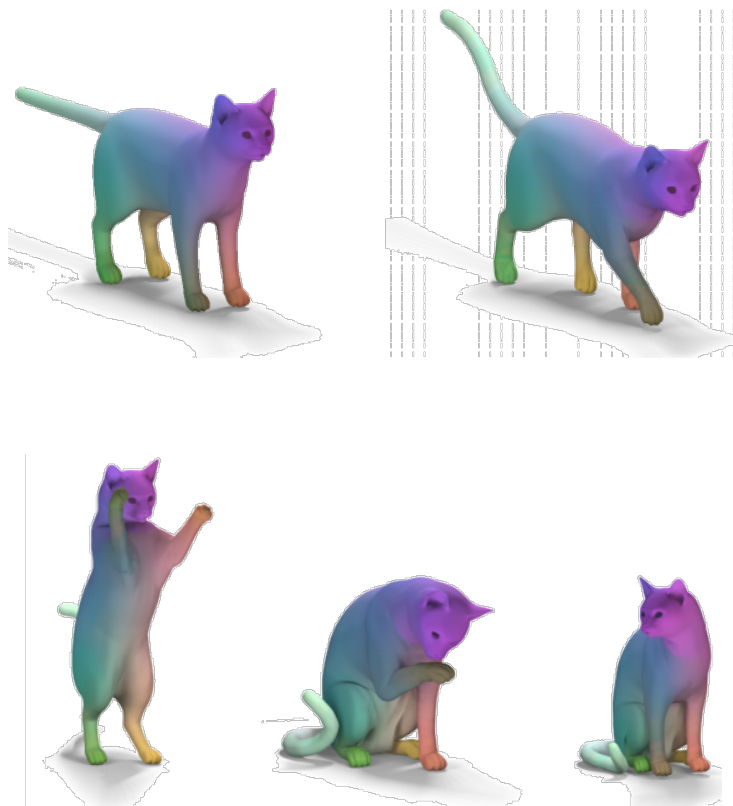
So transport, and then use nearest neighbor search

# From Functional to Point-to-Point Maps



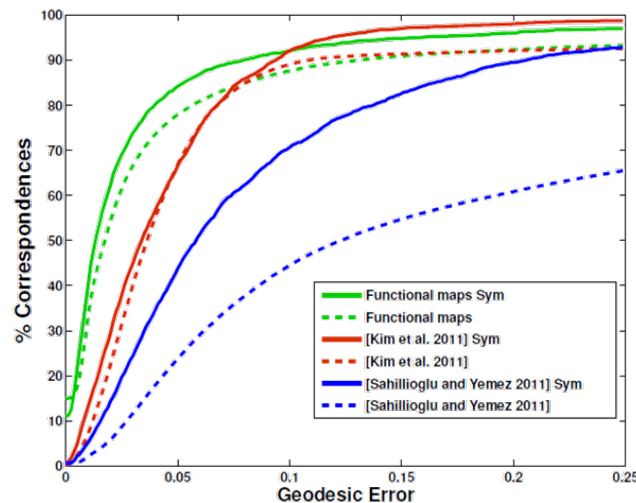
**ICP in Function Space!**

# Ground Truth Comparison

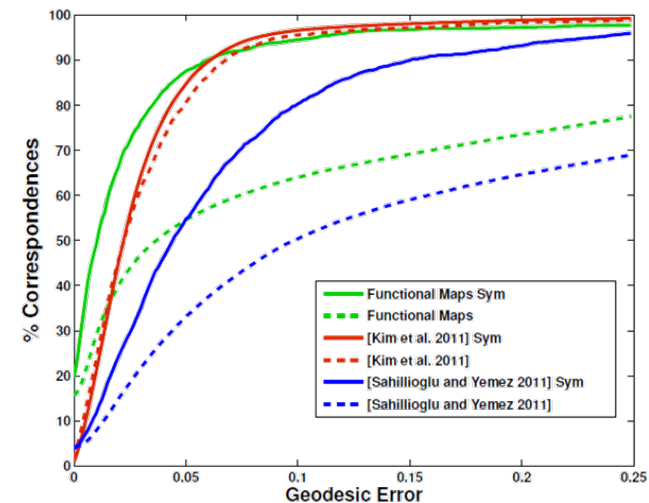


# Map Estimation Quality

A very simple method that puts together a modest set of constraints and uses 100 basis functions outperforms state-of-the-art:



SCAPE



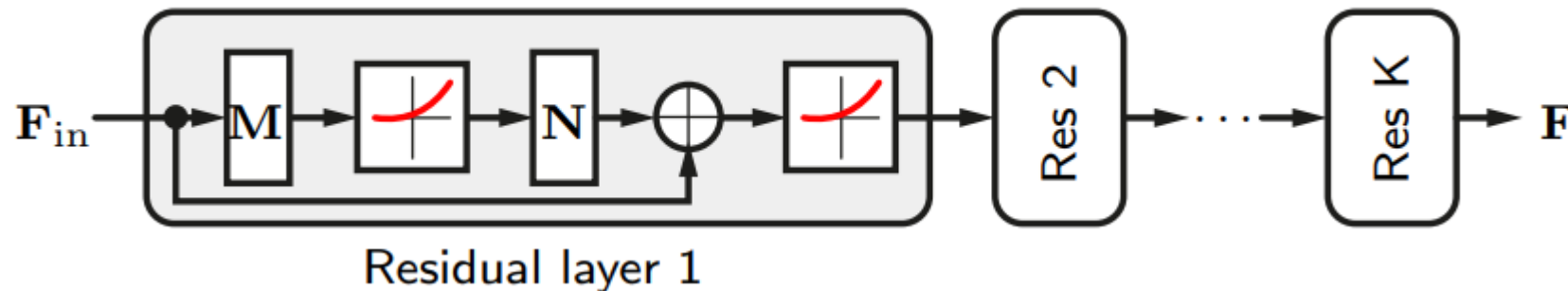
TOSCA

Roughly 10 probe functions + 1 part correspondence

# Much Follow-On Work on FMaps

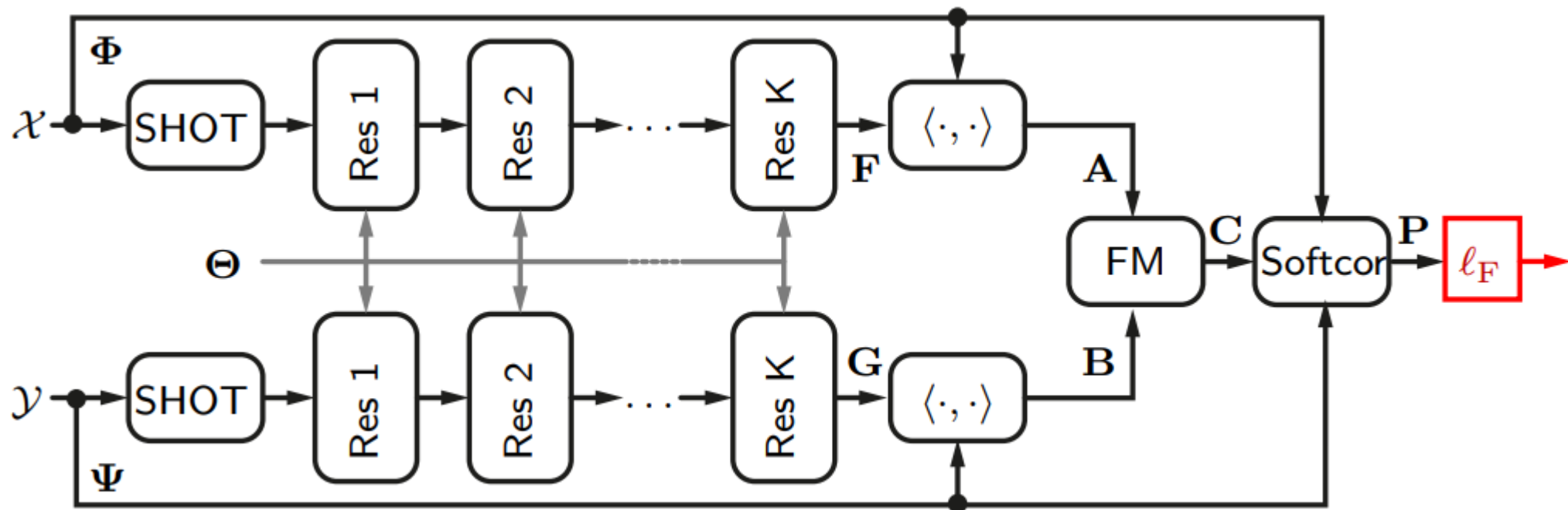
# Deep Functional Maps

- Main idea: Learn pointwise descriptors resulting in *best functional maps*
- Residual network for descriptor learning:



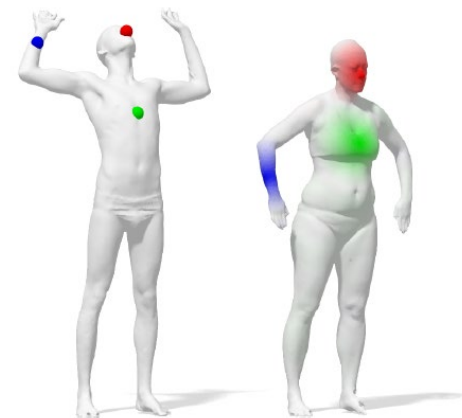
- **Input:** pointwise descriptor  $F_{in}$  (e.g., 352-dimensional SHOT)
- $N$  fully-connected residual layers with ELU activation parameterized by  $\Theta = \{M_1, N_1, \dots, M_K, N_K\}$
- **Output:** transformed pointwise descriptor  $F$

# FMNet



- **Functional map layer:**  $\mathbf{C} = \arg \min \|\mathbf{CA} - \mathbf{B}\|_F^2$
- **Soft correspondence layer:**  $\mathbf{P} = \|\Psi \mathbf{C} \Phi^T\|_{\|\cdot\|}$
- **Functional map loss:**

$$l_F = \sum_{(x,y) \in (\mathcal{X}, \mathcal{Y})} P(x, y) d_y(y, \pi^*(x)) = \|\mathbf{P} \odot \mathbf{D}_y\|_F$$



# Making Functional Maps Point-to-Point

## Question:

When does a linear functional mapping correspond to a pull-back by a point-to-point map?

## (Known) Theoretical result:

A functional map is point-to-point iff it preserves pointwise products of functions:

$$C(fh) = C(f)C(h) \quad \forall f, h \quad (fh)(x) = f(x)h(x)$$

# Making Functional Maps Point-to-Point

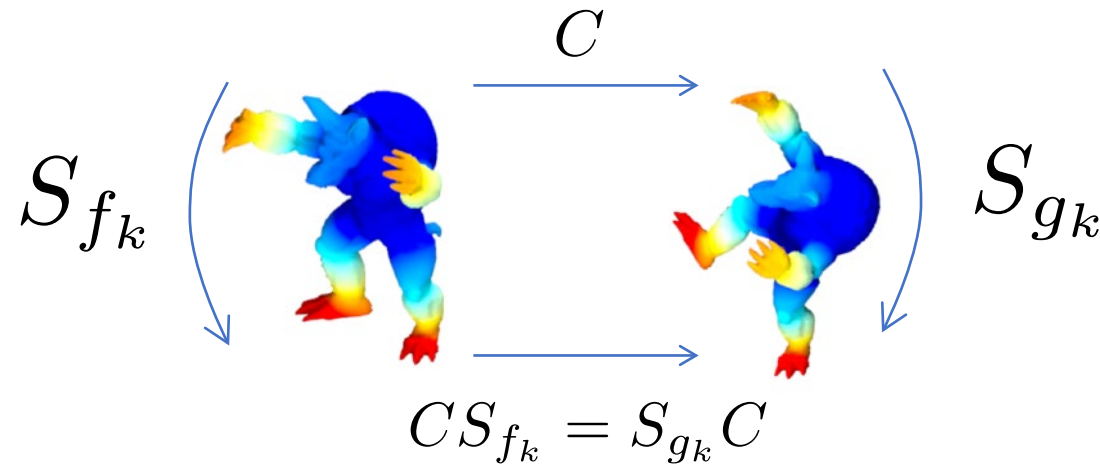
## Approach

Represent descriptor functions via their action on functions through multiplication:

Functions as operators

$$S_{f_k} = \Phi_{\mathcal{M}}^+ \text{Diag}(f_k) \Phi_{\mathcal{M}}$$

$$S_{g_k} = \Phi_{\mathcal{N}}^+ \text{Diag}(g_k) \Phi_{\mathcal{N}}$$



# Extended Basic Pipeline

Given a pair of shapes  $\mathcal{M}, \mathcal{N}$  :

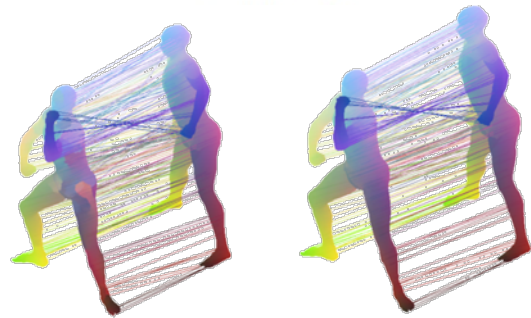
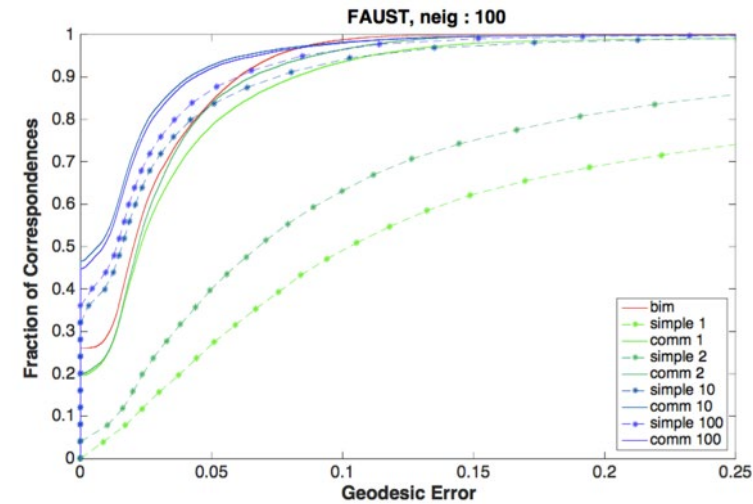
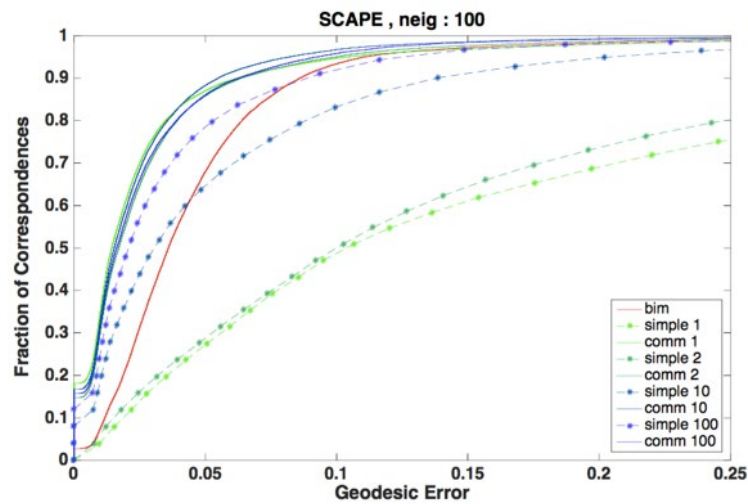
1. Compute the multi-scale bases for functions on the two shapes. Store them in matrices:  $\Phi_{\mathcal{M}}, \Phi_{\mathcal{N}}$
2. Compute descriptor functions (e.g., Gauss curvature) on  $\mathcal{M}, \mathcal{N}$  . Express them in  $\Phi_{\mathcal{M}}, \Phi_{\mathcal{N}}$  as columns of :  $\mathbf{A}, \mathbf{B}$

3. Solve 
$$C_{\text{opt}} = \arg \min_C \|\mathbf{C}\mathbf{A} - \mathbf{B}\|^2 + \|\mathbf{C}\Delta_{\mathcal{M}} - \Delta_{\mathcal{N}}\mathbf{C}\|^2 + \sum_k \|CS_{f_k} - S_{g_k}C\|^2$$

4. Convert the functional map  $C_{\text{opt}}$  to a point to point map  $T$ .



# Results with Extended Basic Pipeline



Incorporating multiplicative operators improves results significantly.

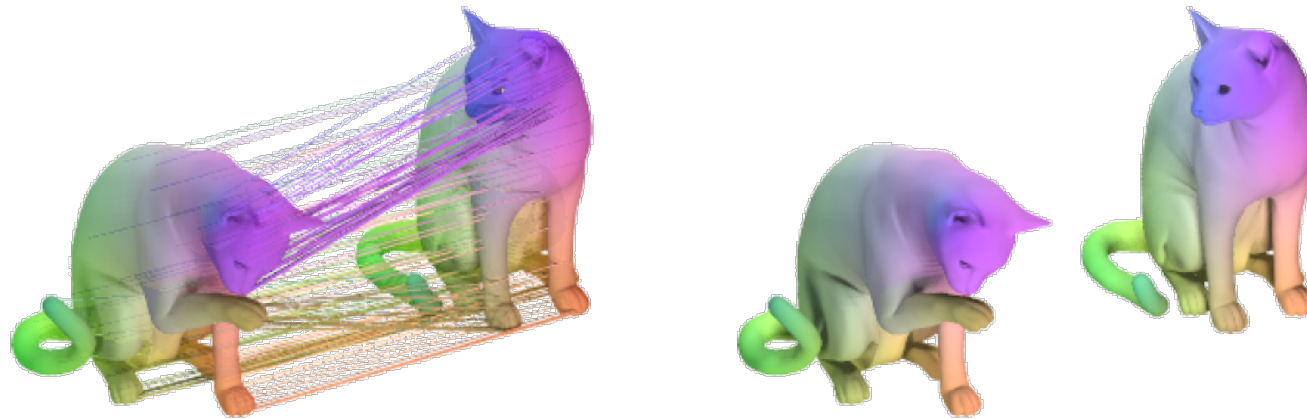
*Informative Descriptor Preservation via Commutativity for Shape Matching,*  
Nogneng, O., Eurographics 2017

# Application: Segmentation Transfer



# Map Visualization

Even given a map  $T : M \rightarrow N$ , it is often hard to visualize it.



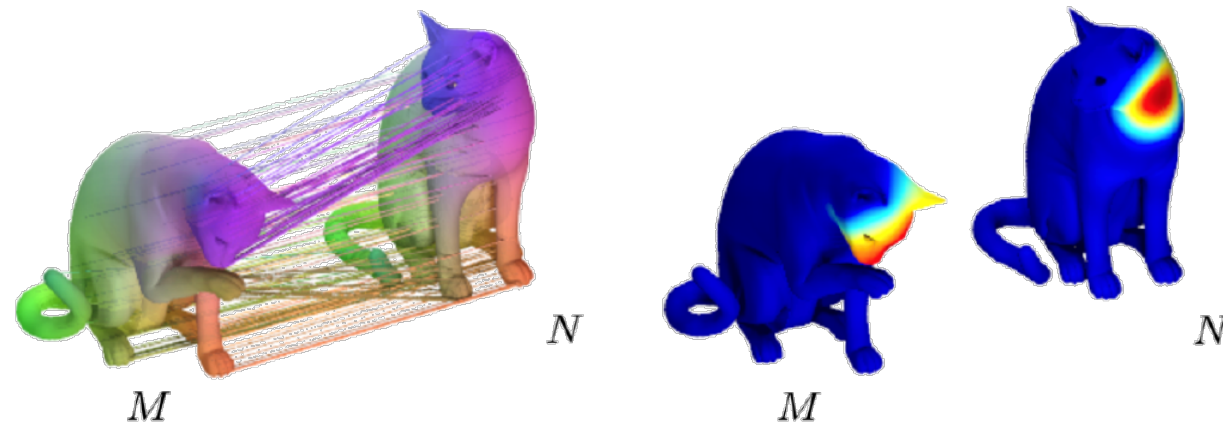
Common visualizations:

- Connecting (some) points by lines
- Plotting a function  $f$  on  $N$  and  $f \circ T$  on  $M$ .

Question: how to pick a “good” function  $f$ .

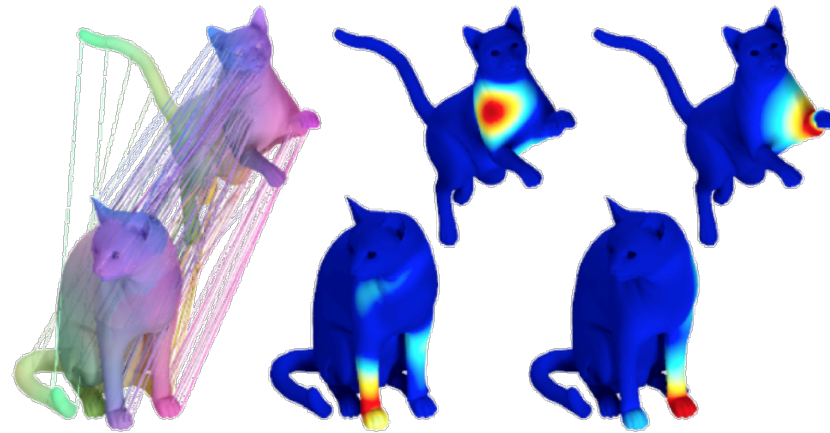
# Map Visualization

Singular vectors of the functional representation  $C$  of  $T$  identify most distorted regions in a multi-scale way.



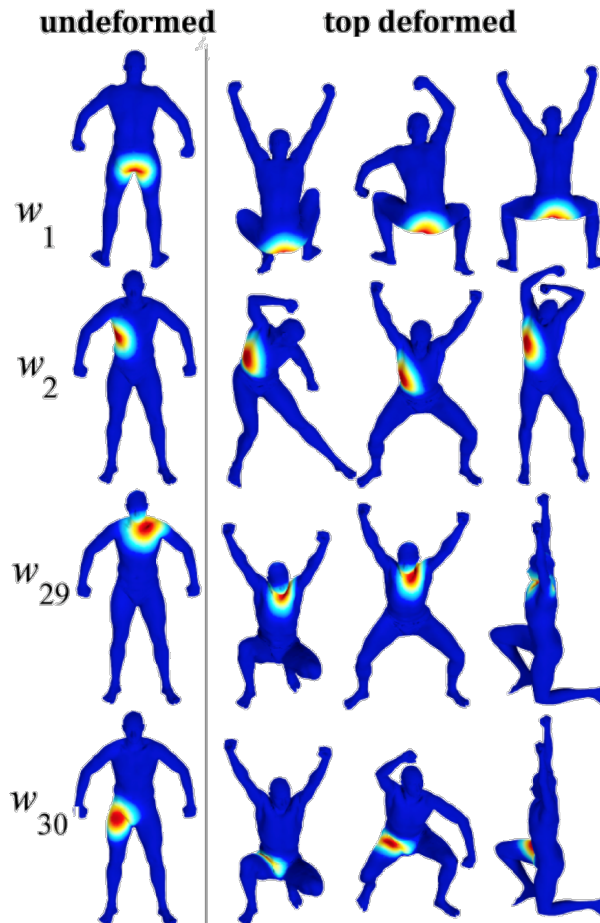
# Map Visualization

Can show that singular vectors of the functional representation  $C$  of  $T$  identify most distorted regions in a multi-scale way.

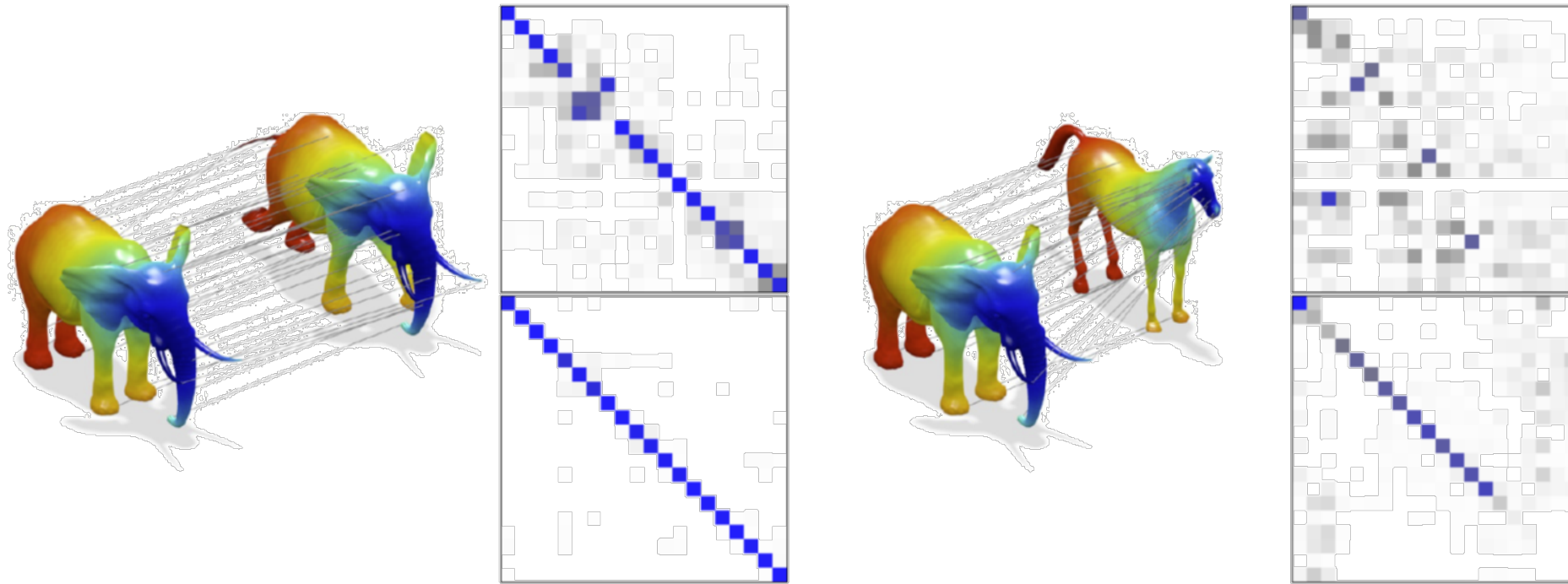


# Multiple Shapes

With same method, can visualize maps to *multiple* shapes.

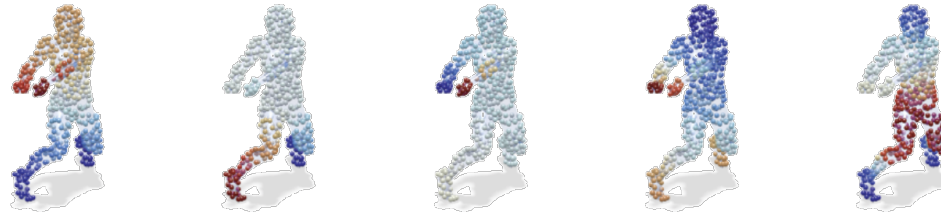
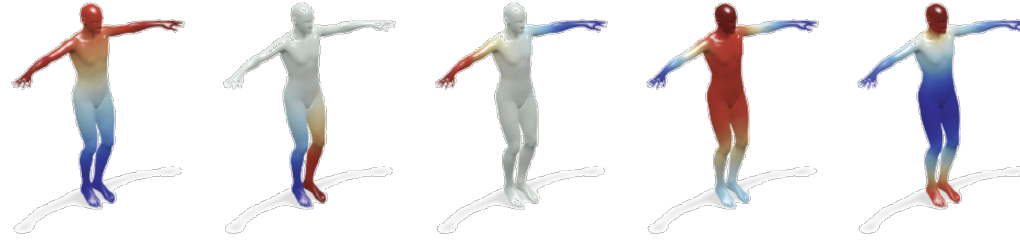


# Coupled Bases

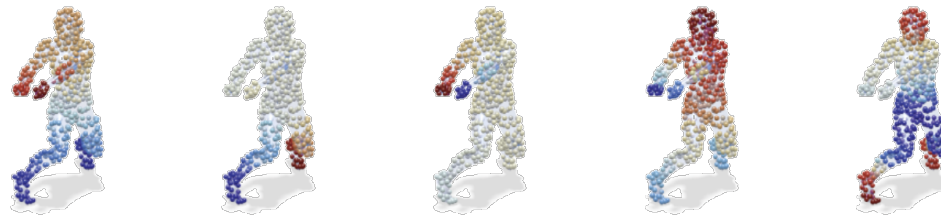
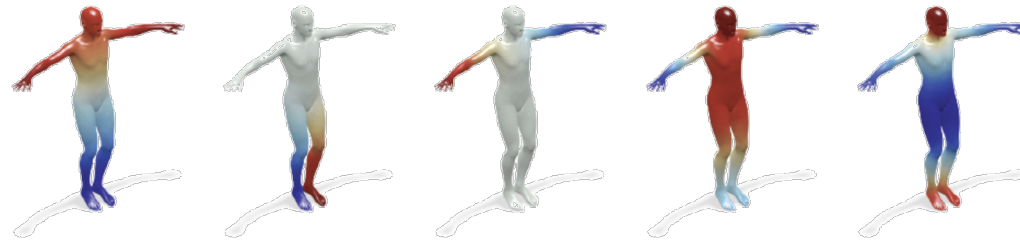


$$\begin{aligned} \min_{\mathbf{P}, \mathbf{Q}} \quad & \text{trace}(\mathbf{P}^\top \Lambda_X \mathbf{P}) + \text{trace}(\mathbf{Q}^\top \Lambda_Y \mathbf{Q}) + \mu \|\mathbf{P}^\top \mathbf{A} - \mathbf{Q}^\top \mathbf{B}\| \\ \text{s.t.} \quad & \mathbf{P}^\top \mathbf{P} = \mathbf{I}, \mathbf{Q}^\top \mathbf{Q} = \mathbf{I} \end{aligned}$$

# Coupled Bases



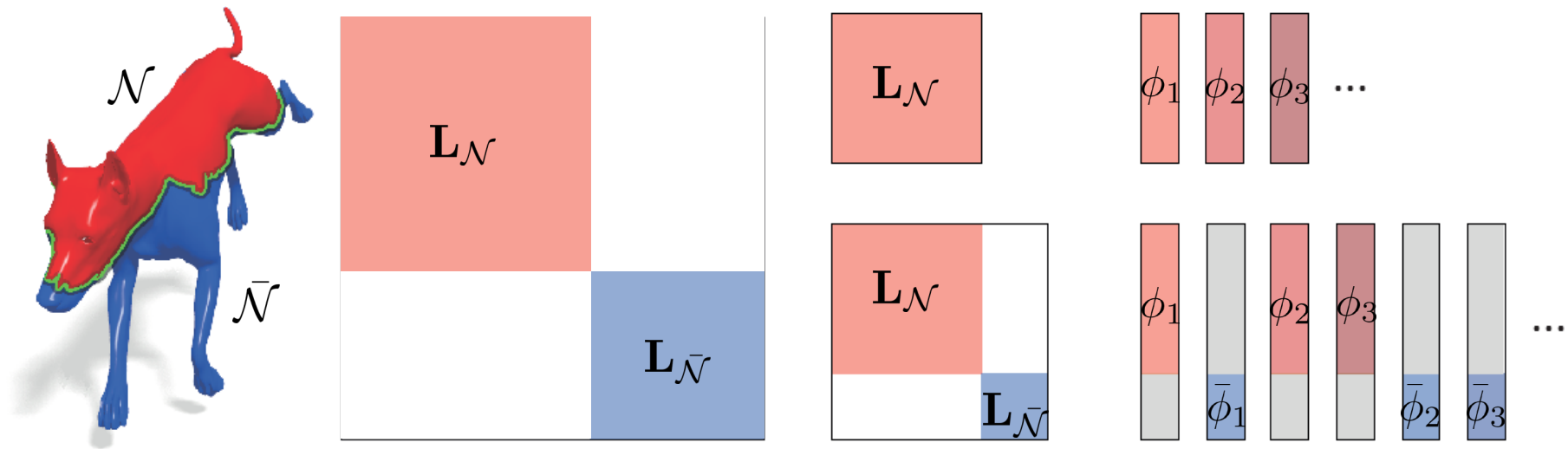
Laplacian eigenbases



Coupled bases

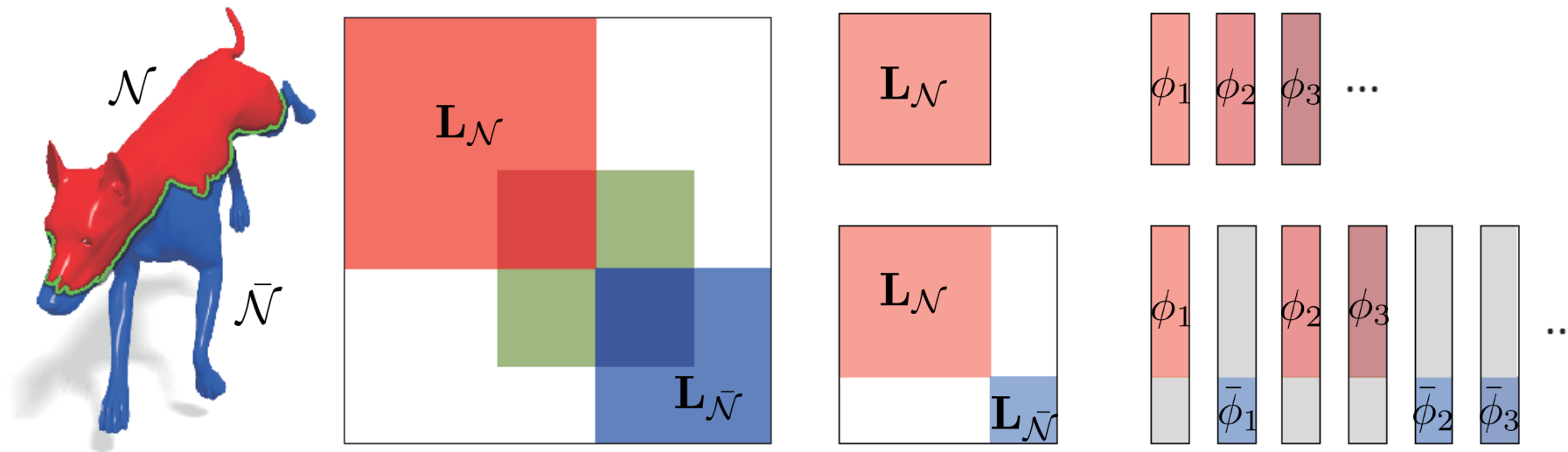
# Partial Functional Maps

Block diagonal case

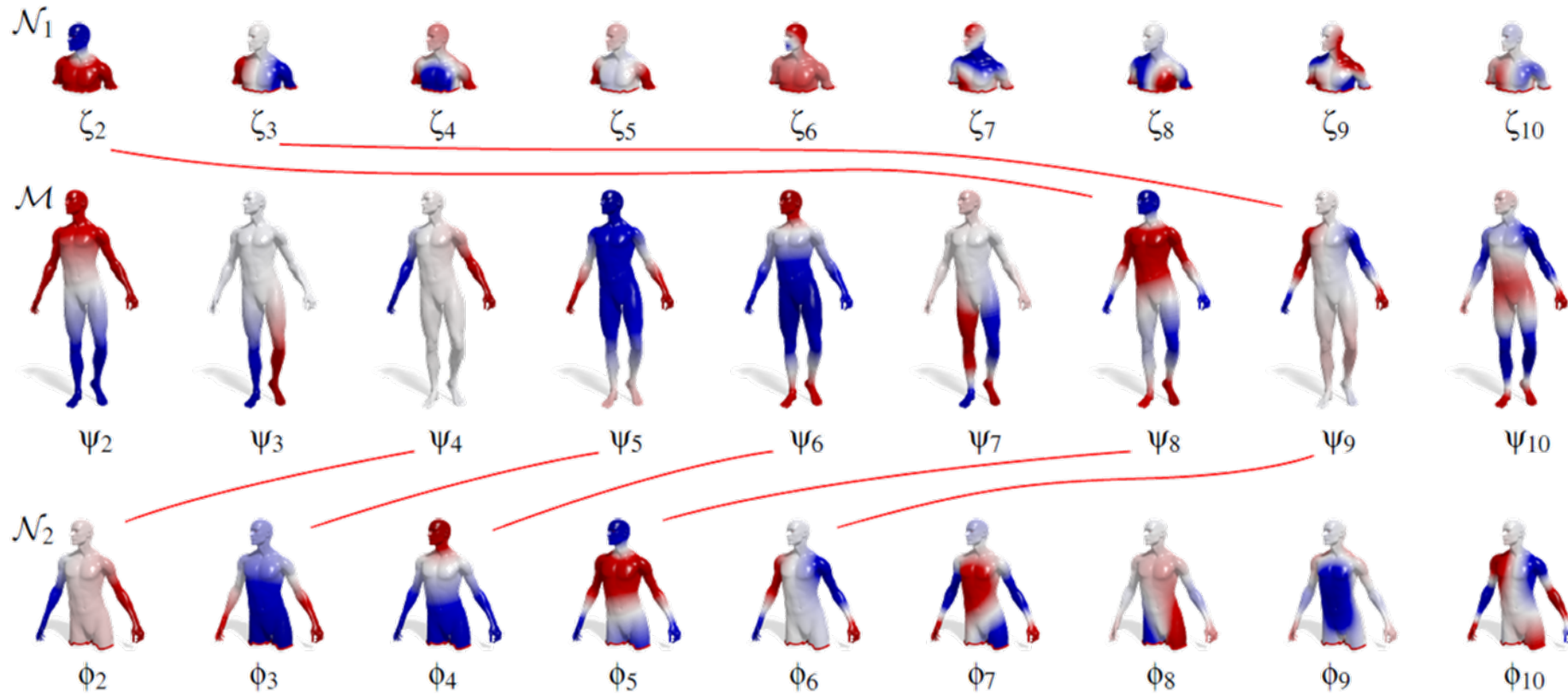


# Partial Functional Maps

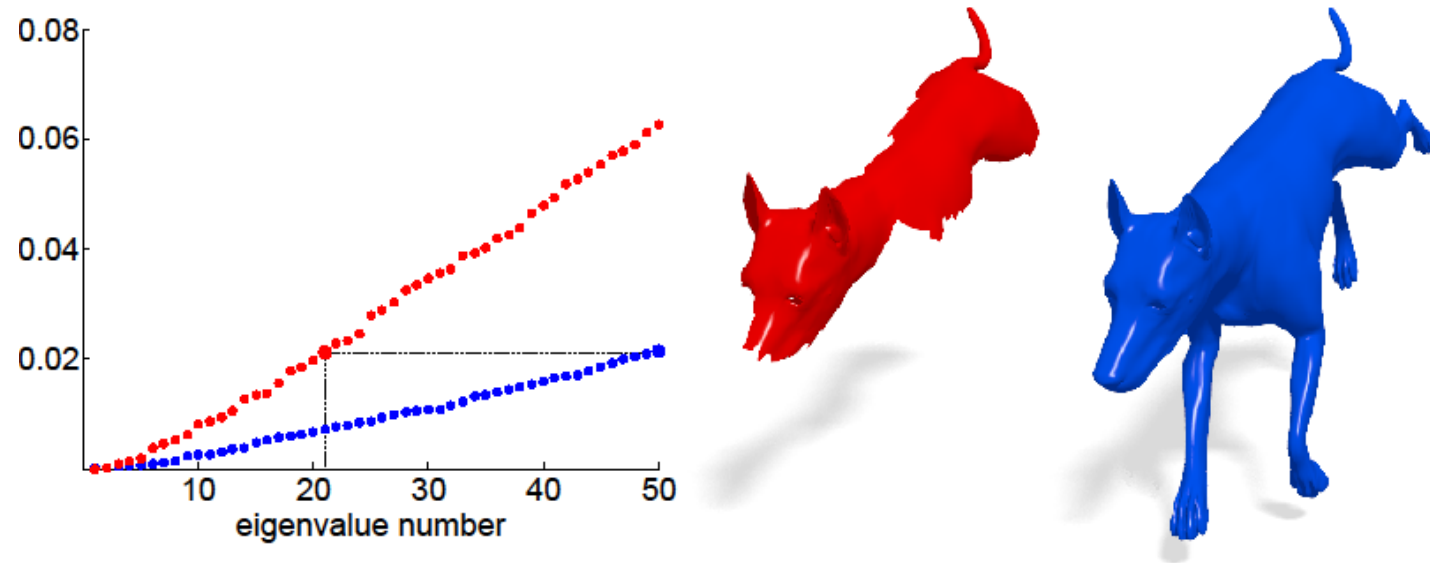
Weak coupling: eigenfunctions of the partial shape show up among those of the full shape, up to some bounded perturbation



# Eigenfunction Preservation



# The Slope Rule

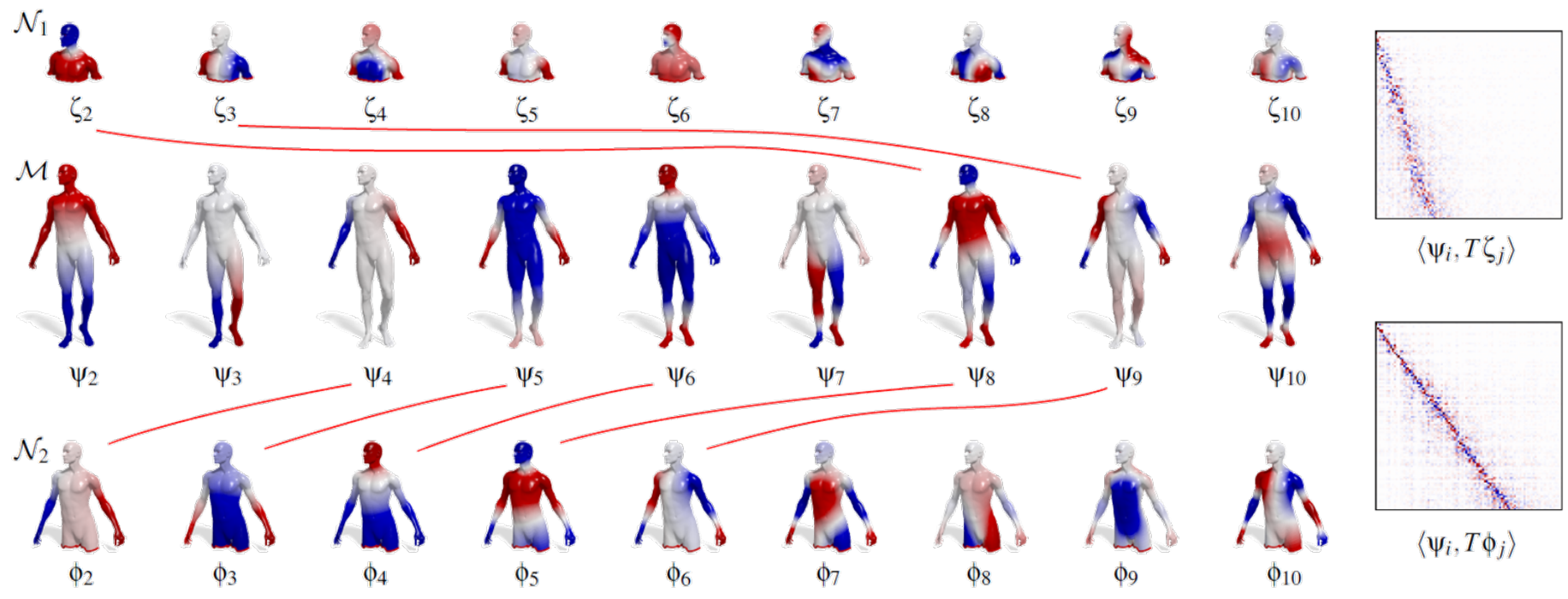


**Weyl's law:**

$$\lambda_j \approx \frac{1}{|S|} j$$

The Laplacian spectrum has slope inversely proportional to the surface area.

# The Slope Rule



# Partial Functional Maps





The End