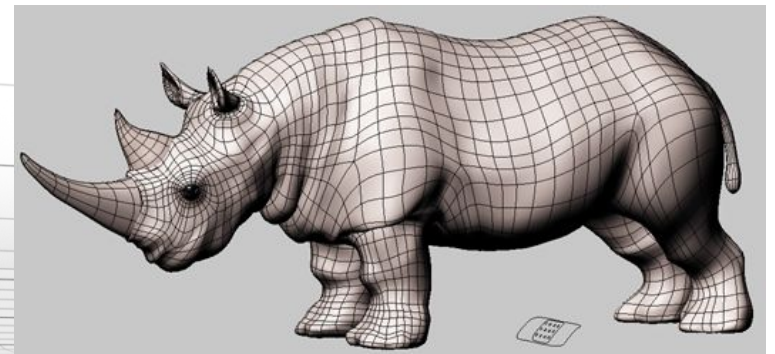
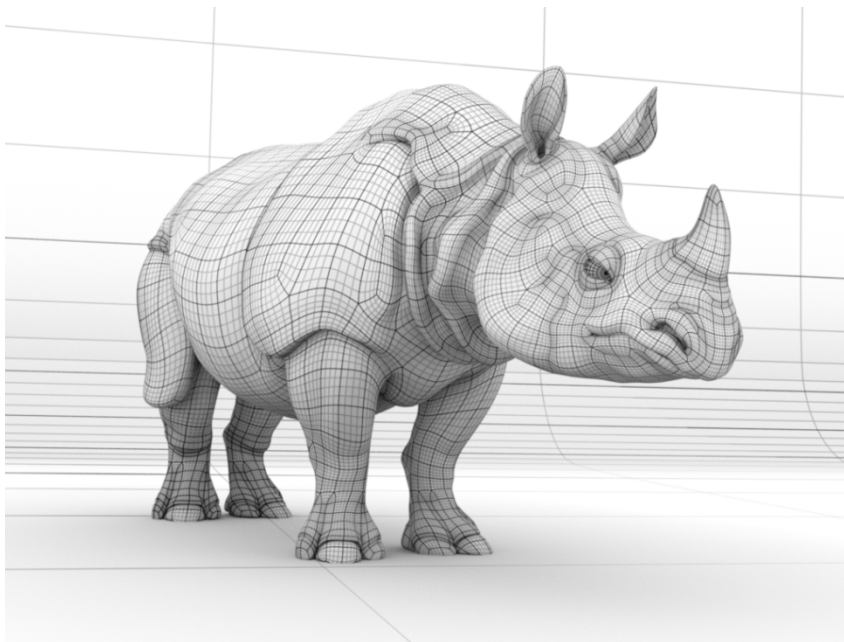


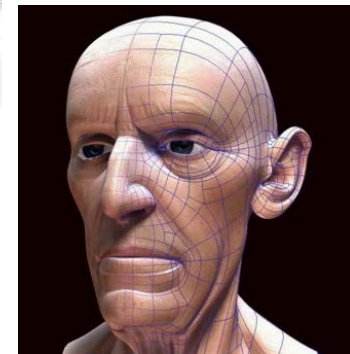
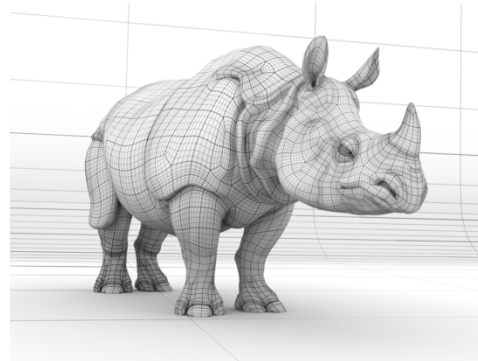
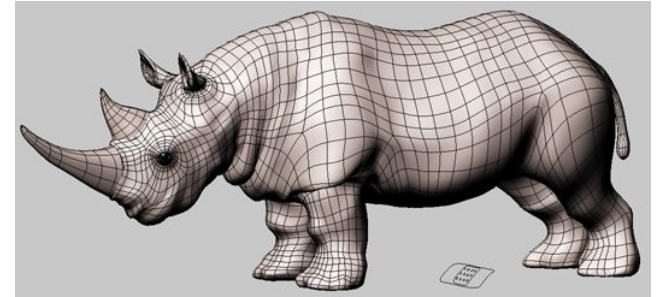
B-Spline and Subdivision Surfaces



Slides from Mirela Ben-Chen

Surface Models

- B-Spline surfaces
 - NURBS surfaces
- Subdivision surfaces
 - Theory
 - Zoo



Reminder: B-Spline Curves

B-Spline Curve

Decouple number of control points from degree of curve

“Glue” a few degree p Bézier curves, with continuity conditions

[Applet \(Curve\)](#)

Reminder: B-Spline Curves

B-Spline Curve

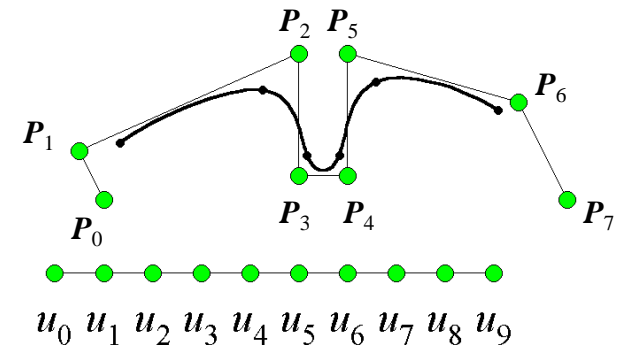
Building blocks:

$n + 1$ control points P_i

Knot vector $U = \{ u_0, u_1, \dots, u_m \}$

The degree p

m, n, p satisfy $m = n + p - 1$



$$C(t) = \sum_{i=0}^n N_{i,p}(t) P_i \quad ; \quad N_{i,0}(u) = \begin{cases} 1 & u_i \leq u < u_{i+1} \\ 0 & \text{otherwise} \end{cases}$$
$$N_{i,p}(u) = \frac{u - u_i}{u_{i+p} - u_i} N_{i,p-1}(u) + \frac{u_{i+p+1} - u}{u_{i+p+1} - u_{i+1}} N_{i+1,p-1}(u)$$

[Applet \(Basis functions\)](#)

B-Spline Surfaces

A collection of Bezier patches, with continuity conditions

Decoupling the degree and the number of control points

B-Spline Surfaces

B-Spline surface - tensor product surface of B-Spline curves

$$S(u, v) = \sum_{i=0}^n \sum_{j=0}^m N_{i,p}(u) N_{j,q}(v) \mathbf{P}_{ij}$$

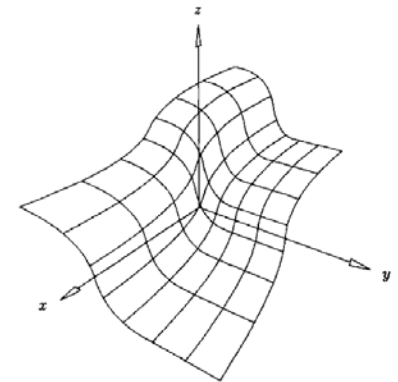
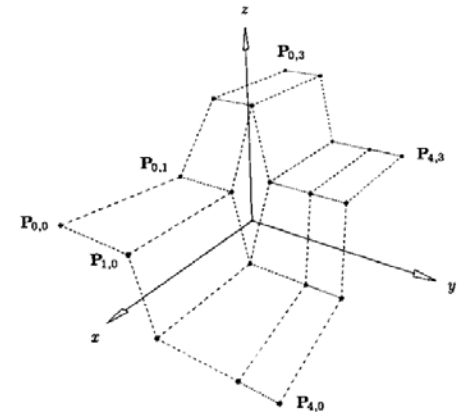
Building blocks:

Control net, $m + 1$ rows, $n + 1$ columns: \mathbf{P}_{ij}

Knot vectors $U = \{ u_0, u_1, \dots, u_h \}$,

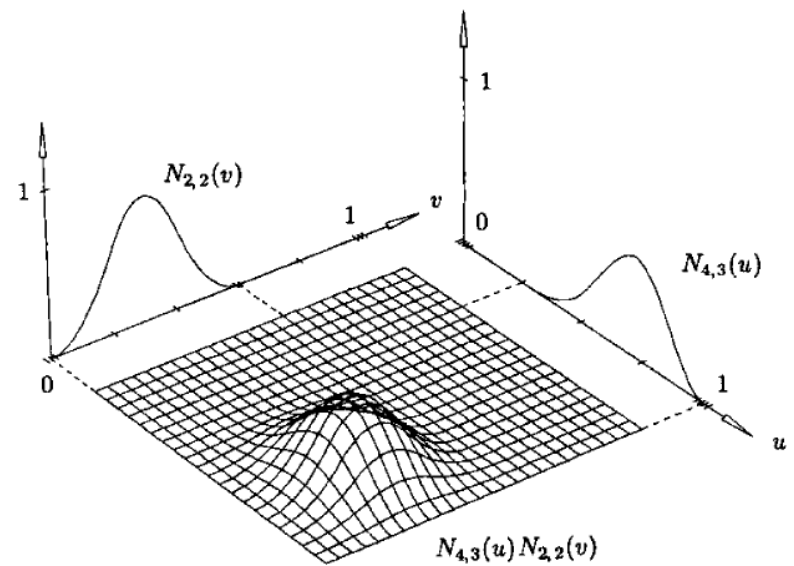
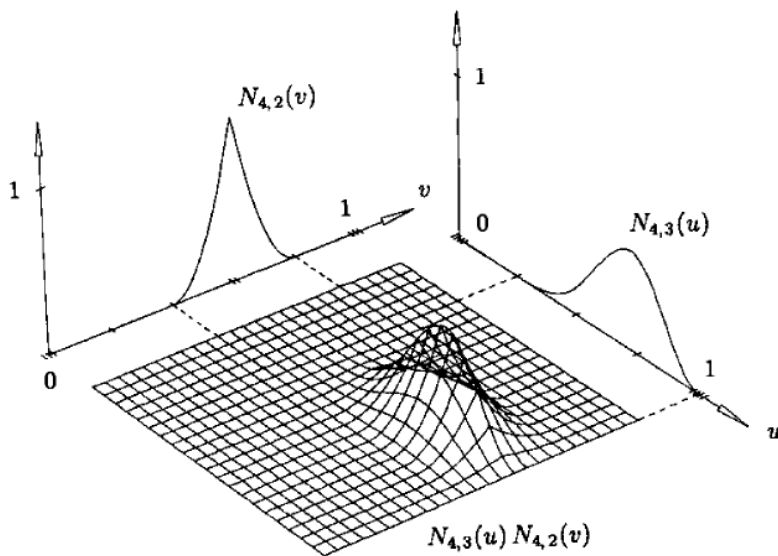
$V = \{ v_0, v_1, \dots, v_k \}$

The degrees p and q for the u and v directions



Basis Functions

Cubic \times Quadratic basis functions:



Properties

- Non negativity

$$N_{i,p}(u)N_{j,q}(v) \geq 0, \quad \text{for all } i, j, p, q, u, v$$

- Partition of unity

$$\sum_{i=0}^n \sum_{j=0}^m N_{i,p}(u)N_{j,q}(v) = 1, \quad \text{for all } (u, v) \in [0, 1] \times [0, 1]$$

- Affine invariance

$$A\mathcal{S}_{\{P_{ij}\}}(u, v) + B = \mathcal{S}_{\{AP_{ij}+B\}}(u, v)$$

$$\text{for all } A \in R^{3 \times 3}, B \in R^3, (u, v) \in [0, 1] \times [0, 1]$$

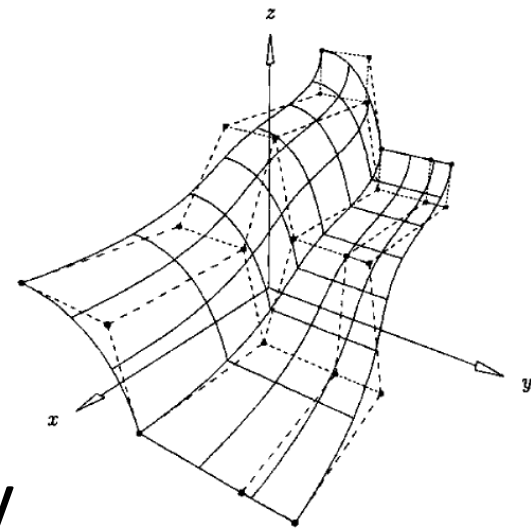
Properties

- If $n = p$, $m = q$, $U = \{0, \dots, 0, 1, \dots, 1\}$ and $V = \{0, \dots, 0, 1, \dots, 1\}$ then

$$N_{i,p}(u)N_{j,q}(v) = B_i^n(u)B_j^m(v)$$

and $S(u,v)$ is a Bézier surface

- $S(u,v)$ is C^{p-k} continuous in the u direction at a u knot of multiplicity k , and similar for v direction



$$U = \{0, 0, 0, 0.5, 0.5, 1, 1, 1\}$$

$$V = \{0, 0, 0, 0, 0.5, 1, 1, 1\}$$

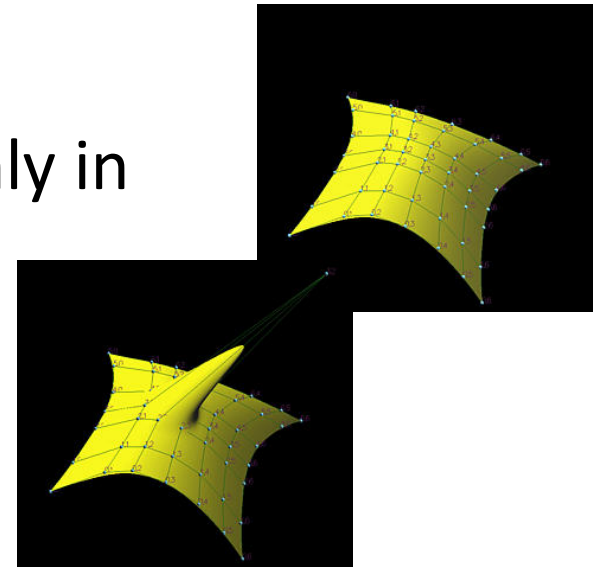
Properties

- Compact support

$$N_{i,p}(u)N_{j,q}(v) = 0, \quad \text{for all } (u, v) \notin [u_i, u_{i+p+1}] \times [v_j, v_{j+q+1}]$$

- Local modification scheme

– Moving \mathbf{P}_{ij} affects the surface only in the rectangle $[u_i, u_{i+p+1}] \times [v_j, v_{j+q+1}]$



Properties

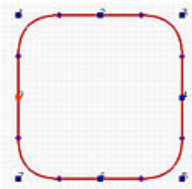
- Local definition
 - In any rectangle $[u_{i_0}, u_{i_0+1}] \times [v_{j_0}, v_{j_0+1}]$ the only non-zero basis functions are

$$N_{i,p}(u)N_{j,q}(v), \quad \text{for } i_0 - p \leq i \leq i_0 \text{ and } j_0 - q \leq j \leq j_0$$

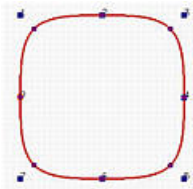
- Strong convex hull property
 - If $(u, v) \in [u_{i_0}, u_{i_0+1}] \times [v_{j_0}, v_{j_0+1}]$ then $S(u, v)$ is in the convex hull of the control points \mathbf{P}_{ij} $i_0 - p \leq i \leq i_0$ and $j_0 - q \leq j \leq j_0$

Reminder: NURBS Curves

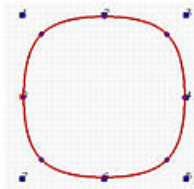
- B-spline curves cannot represent exactly circles and ellipses



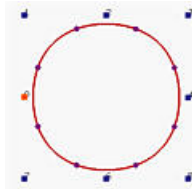
$p = 2$



$p = 3$



$p = 5$



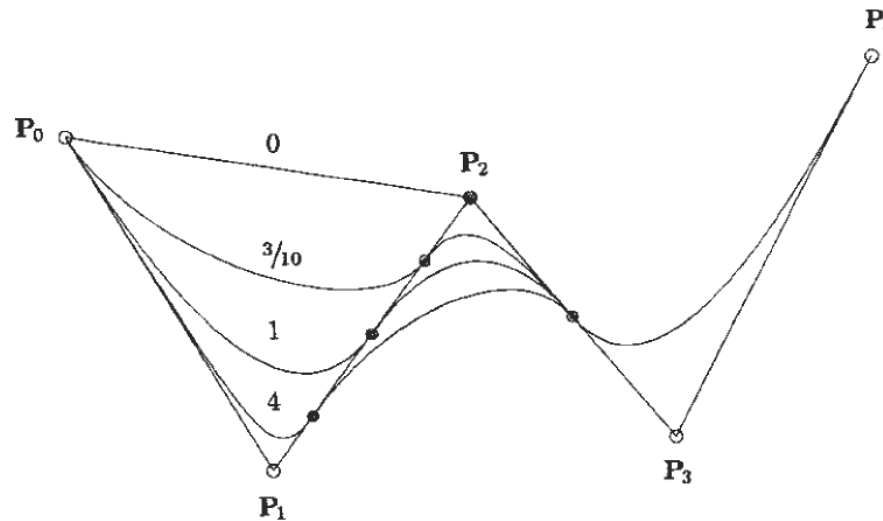
$p = 10$

- Generalize to *rational polynomials*

$$C(u) = \frac{\sum_{i=0}^n N_{i,p}(u) w_i \mathbf{P}_i}{\sum_{i=0}^n N_{i,p}(u) w_i}$$

Reminder: NURBS Curves

A weight per control point allows to change the influence of a point on the curve, without moving the point



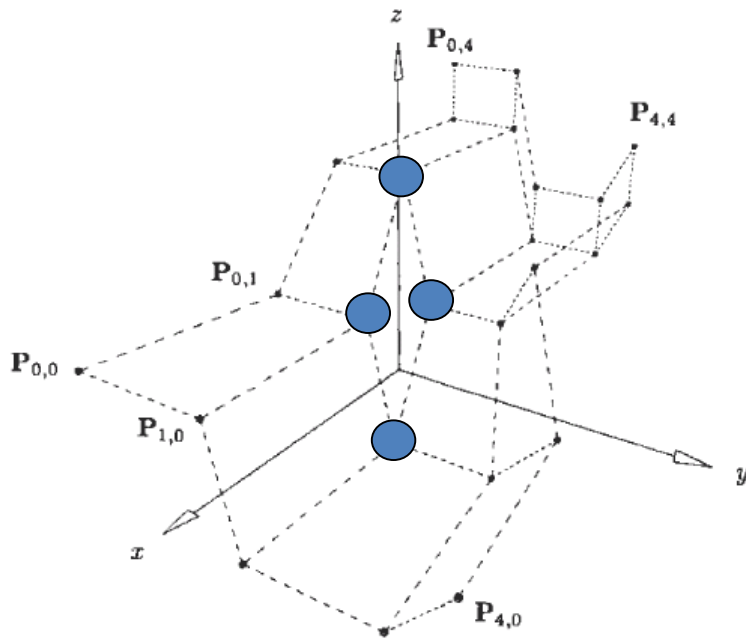
NURBS Surfaces

Add a weight for every control point of a B-spline surface, and normalize

$$\mathbf{S}(u, v) = \frac{\sum_{i=0}^n \sum_{j=0}^m N_{i,p}(u) N_{j,q}(v) w_{ij} \mathbf{P}_{ij}}{\sum_{i=0}^n \sum_{j=0}^m N_{i,p}(u) N_{j,q}(v) w_{ij}}$$

Is **not** a tensor product patch

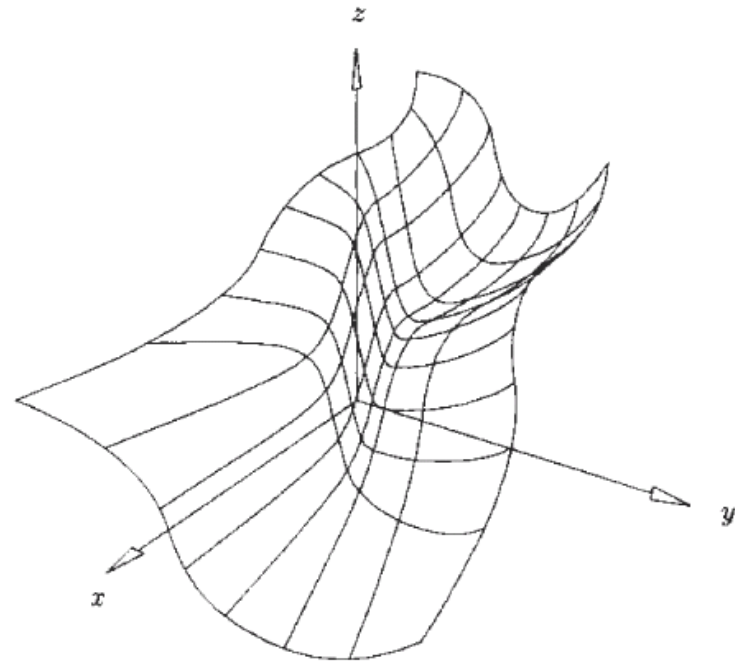
NURBS Surface Example



Control net

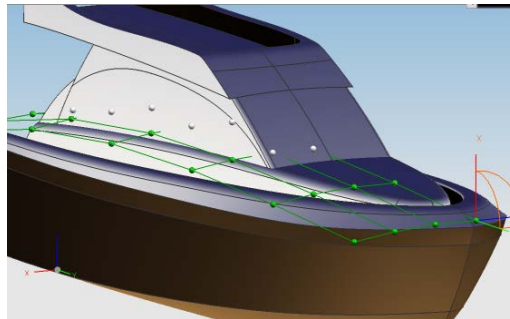
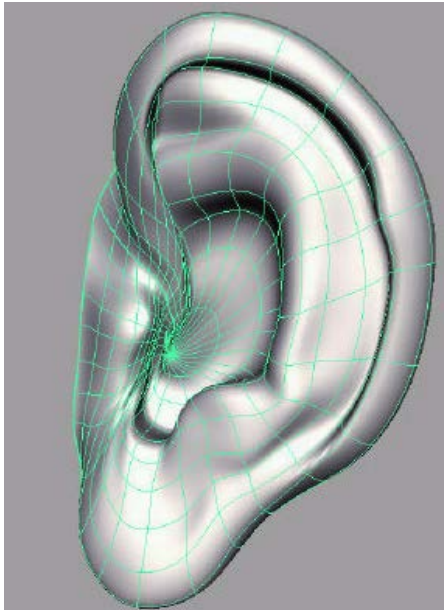
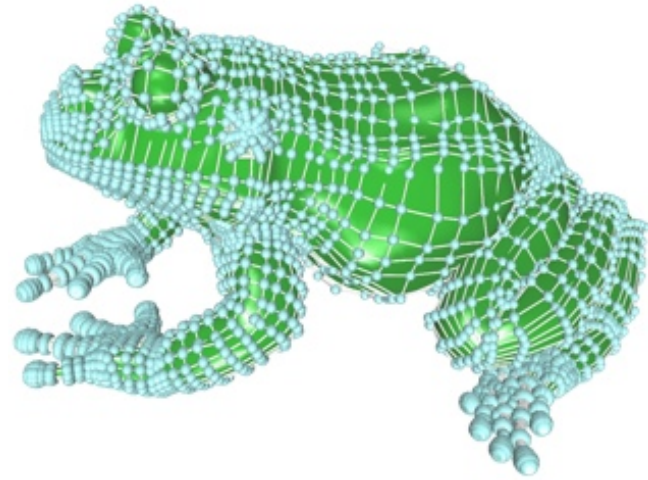
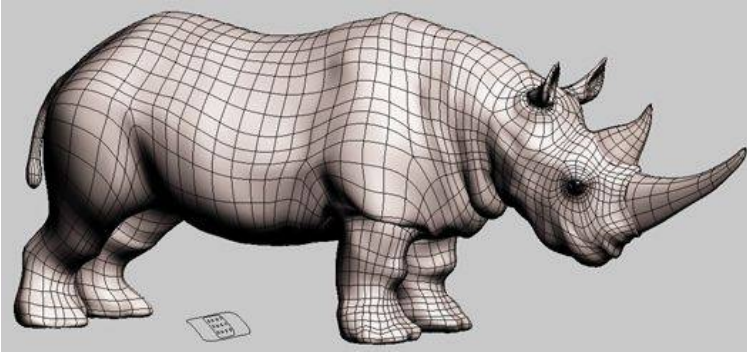
$$U = V = \{0, 0, 0, 1/3, 2/3, 1, 1, 1\}$$

$$w_{ij}(\text{blue circle}) = 10, w_{ij}(\text{black dot}) = 1$$



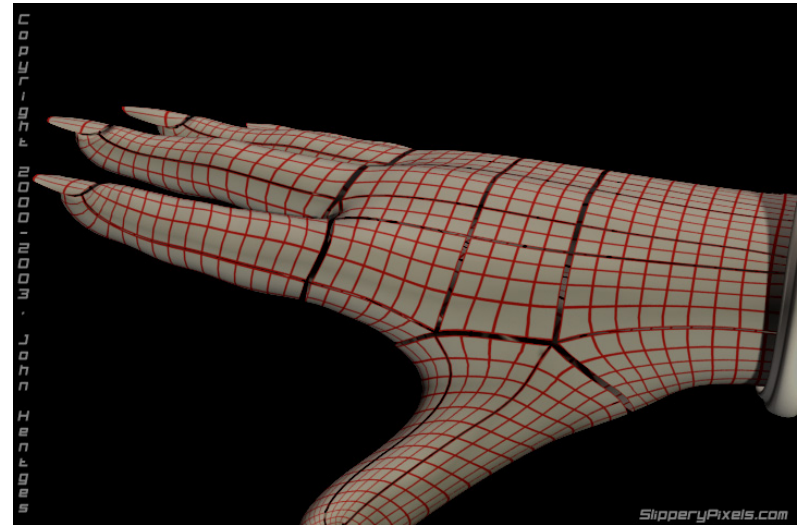
NURBS Surface

NURBS Surfaces



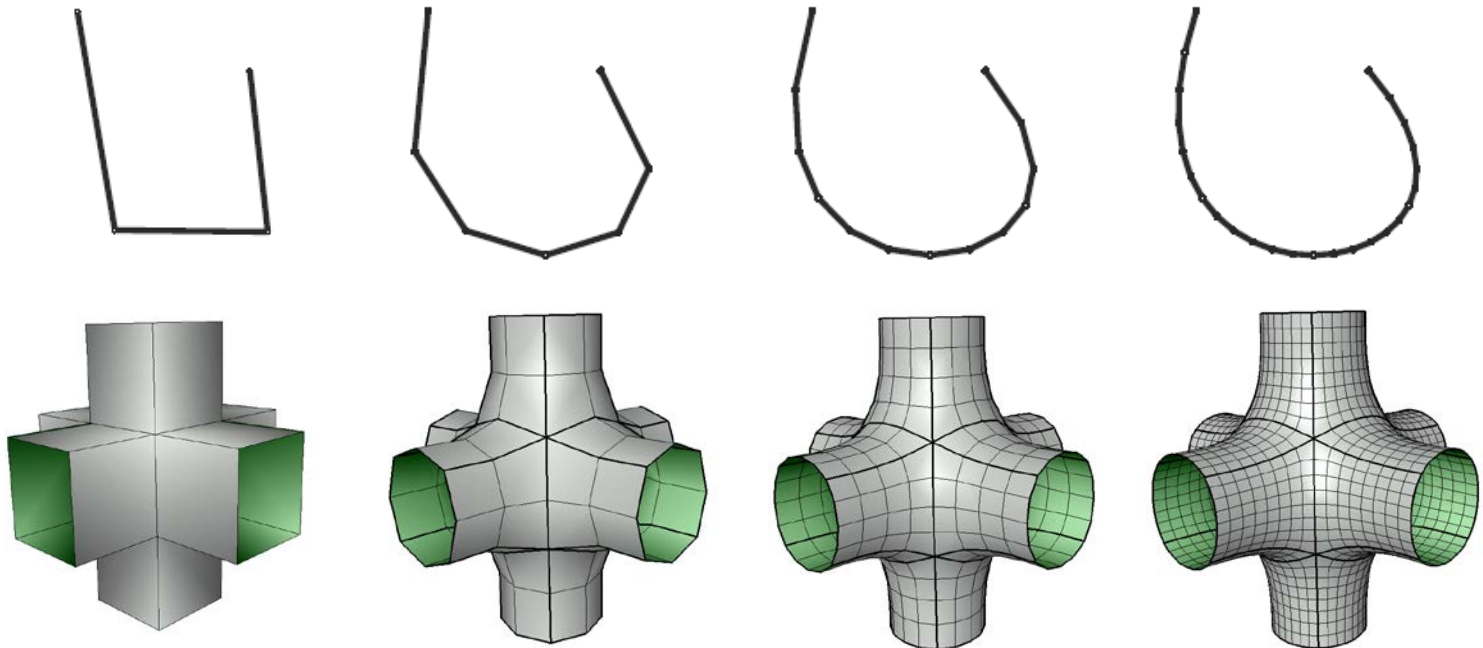
Problems with NURBS

- A single NURBS patch is either a topological disk, a tube or a torus
- Must use many NURBS patches to model complex geometry
- When deforming a surface made of NURBS patches, cracks arise at the seams



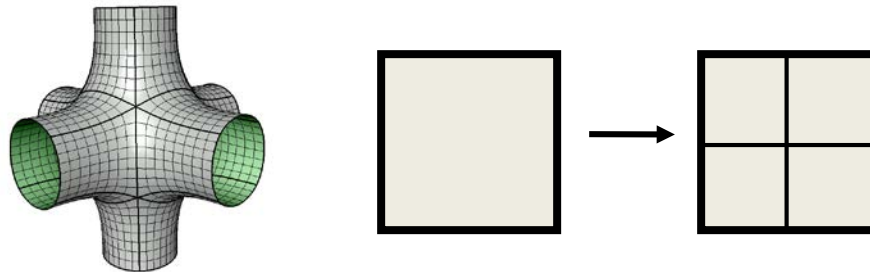
Subdivision

“Subdivision defines a smooth curve or surface as the limit of a sequence of successive refinements”



Subdivision Rules

- How the connectivity changes



- How the geometry changes
 - Old points
 - New points

Design Goals for Subd Rules

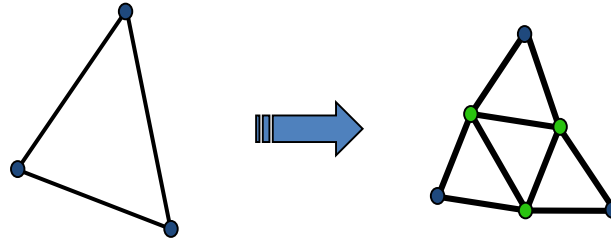
- Efficiency
- Compact support
- Local definition
- Affine invariance
- Simplicity
- Smoothness



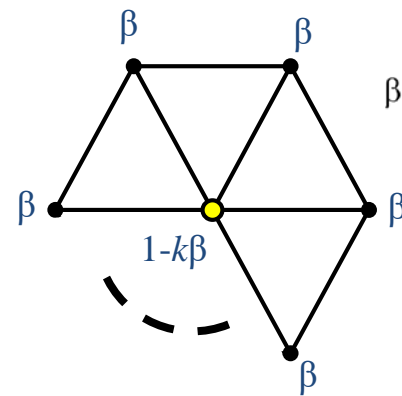
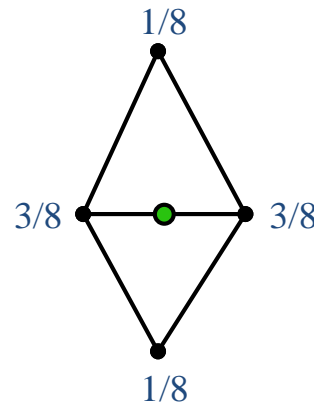
Same properties NURBS have, but will work for any topology

An Example – Loop Scheme

- Connectivity



- Geometry



$$\beta = \frac{1}{k} \left(\frac{5}{8} - \left(\frac{3}{8} + \frac{1}{4} \cos \frac{2\pi}{k} \right)^2 \right)$$

- Analysis?

- Does it converge?
- Is the limit surface smooth?
- Any problems at extraordinary (valence $\neq 6$) vertices?

Parameterization of Subd Surfaces

- B-spline curves and surfaces are *parameterized*

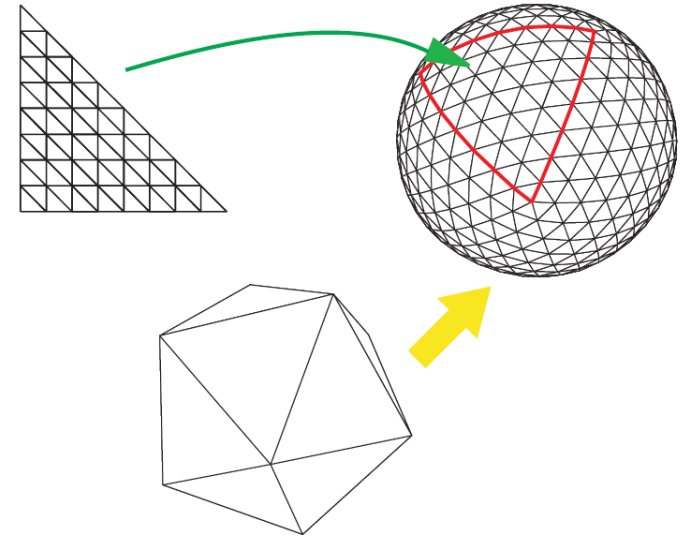
$$\mathcal{S}(t) : [t_0, t_m] \rightarrow R^2$$

$$\mathcal{S}(u, v) : [u_0, u_h] \times [v_0, v_k] \rightarrow R^3$$

- To analyze subd schemes, we need a similar parameterization
- Which domain to use? A planar rectangle cannot work
- Solution: Use initial control mesh as the domain

Parameterization of Subd Surfaces

- Apply subd rules to initial mesh, *without updating the geometry*
- Use resulting polyhedron as the domain



Smoothness of Surfaces

C^1 continuity

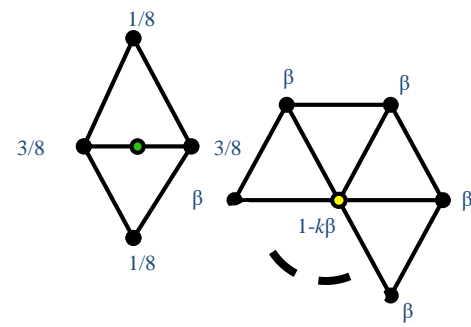
A surface $f:|K|\rightarrow R^3$ is C^1 continuous if for every point $x \in |K|$ there exists a *regular parameterization* $\pi : D \rightarrow f(U_x)$, over a unit disk D in the plane, where U_x is the neighborhood in $|K|$ of x .

A *regular parameterization* π is one that is continuously differentiable, one-to-one, and has a Jacobi matrix of maximum rank.

Subdivision Properties

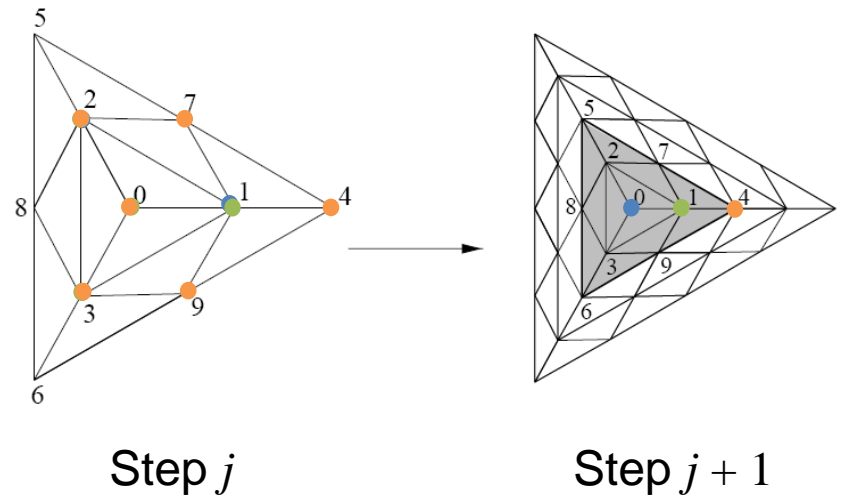
- How can we prove properties of a subdivision scheme?
- Express the subdivision as a local matrix operation
- Prove properties (convergence, continuity, affine invariance, etc.) using eigen-analysis.

Subdivision Matrix



- Look at the local neighborhood of an extraordinary vertex v

- Let U^j be the set of vertices in the 2-ring neighborhood of v after j Loop subdivision steps



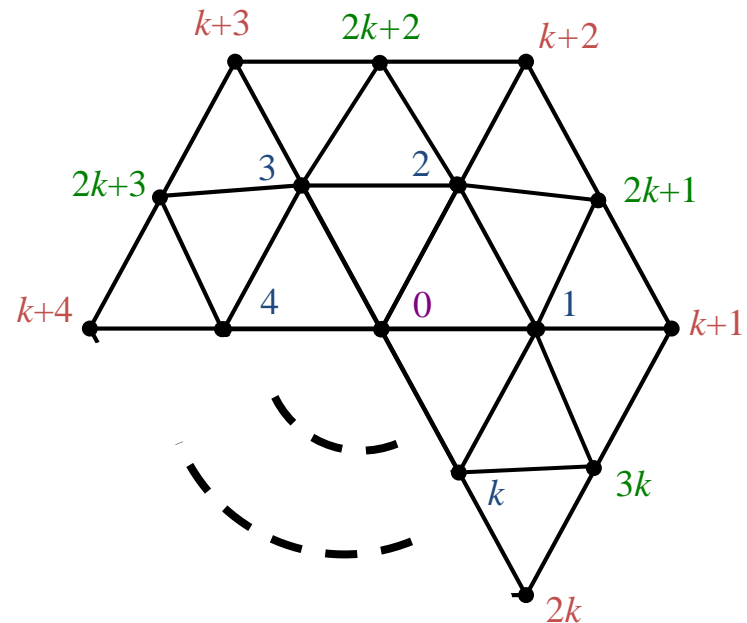
- Let \mathbf{p}_i^j be the corresponding control points
- We can compute \mathbf{p}_i^{j+1} using only \mathbf{p}_i^j

Subdivision Matrix

- An extraordinary vertex of degree k has $\hat{k} = 3k + 1$ vertices in its 2-ring neighborhood

$$\begin{pmatrix} p_0^{j+1} \\ \vdots \\ p_{3k}^{j+1} \end{pmatrix}_{\hat{k} \times 3} = S_{\hat{k} \times \hat{k}} \begin{pmatrix} p_0^j \\ \vdots \\ p_{3k}^j \end{pmatrix}_{\hat{k} \times 3}$$

$$\mathbf{p}^{j+1} = \mathbf{S} \mathbf{p}^j$$



- S depends on k

Subdivision Matrix

- Assume S has a full set of eigenvectors $\{\boldsymbol{\varphi}_i\}$ with corresponding real eigenvalues $\{\lambda_i\}$, arranged in non-increasing order
- We can express \boldsymbol{p}^0 in terms of the eigenvectors of S :

$$\left(\boldsymbol{p}^0\right)_{\hat{k} \times 3} = \sum_i \left(\boldsymbol{\varphi}_i\right)_{\hat{k} \times 1} \left(\boldsymbol{a}_i\right)_{1 \times 3}$$

- Where:

$$\boldsymbol{a}_i = \boldsymbol{\varphi}_i^T \boldsymbol{p}^0$$

Subdivision Matrix

- Now we can express \mathbf{p}^j using only \mathbf{a}_i and the eigenvalues and eigenvectors of S :

$$\begin{aligned}\mathbf{p}^j &= S^j \sum_i \varphi_i \mathbf{a}_i \\ &= \sum_i S^j \varphi_i \mathbf{a}_i \\ &= \sum_i (\lambda_i)^j \varphi_i \mathbf{a}_i\end{aligned}$$

- We used the fact: $S^j \varphi_i = (\lambda_i)^j \varphi_i$

$$\mathbf{p}^j = \sum_i (\lambda_i)^j \varphi_i \mathbf{a}_i$$

Subdivision Properties

- Convergence: We need $|\lambda_i| \leq 1$ for all i (and only one eigenvalue can be exactly 1)
- Affine invariance: If for all A, B :

$$S \cdot (\mathbf{p}^j \cdot A_{3 \times 3} + \mathbf{1}_{k \times 1} \cdot B_{1 \times 3}) = S \cdot (\mathbf{p}^j) \cdot A_{3 \times 3} + \mathbf{1}_{k \times 1} \cdot B_{1 \times 3}$$

$$S \cdot (\mathbf{p}^j) \cdot A + S \cdot \mathbf{1} \cdot B = S \cdot (\mathbf{p}^j) \cdot A + \mathbf{1} \cdot B$$

For affine invariance we need $S \cdot \mathbf{1} = \mathbf{1}$

$$\rightarrow \lambda_0 = 1$$

$$\mathbf{p}^j = \sum_i (\lambda_i)^j \varphi_i \mathbf{a}_i$$

Subdivision Properties

- Limit position:

$$\mathbf{p}^\infty = \lim_{j \rightarrow \infty} \mathbf{p}^j = \lim_{j \rightarrow \infty} \sum_i (\lambda_i)^j \varphi_i \mathbf{a}_i$$

Since $|\lambda_i| < 1$ for all $i > 0$, we have:

$$\mathbf{p}^\infty = (\lambda_0)^j \varphi_0 \mathbf{a}_0 = \mathbf{1} \cdot \mathbf{a}_0$$

In the limit the 2-neighborhood of v is mapped to the same position: \mathbf{a}_0

We can compute the limit positions without recursively applying the subdivision

Subdivision Properties

- Limit tangent plane:

Since scheme is translation invariant, fix $\mathbf{a}_0 = \mathbf{0}$

Assume $\lambda = \lambda_1 = \lambda_2 > \lambda_3$

$$\frac{\mathbf{p}^j}{(\lambda)^j} = \varphi_1 \mathbf{a}_1 + \varphi_2 \mathbf{a}_2 + \sum_{i \geq 3} \left(\frac{\lambda_i}{\lambda} \right)^j \varphi_i \mathbf{a}_i$$

For large enough j , the 2-neighborhood of ν is mapped to linear combinations of \mathbf{a}_1 and \mathbf{a}_2

\mathbf{a}_1 and \mathbf{a}_2 span the tangent plane of the limit surface at ν

Piecewise Smooth Surfaces

- So far, only considered closed smooth surfaces
- Surfaces have boundaries and creases
- A subdivision scheme should have rules for all the following cases:



D

Interior



H

Smooth
boundary



Q_1

Convex
boundary



Q_3

Concave
boundary

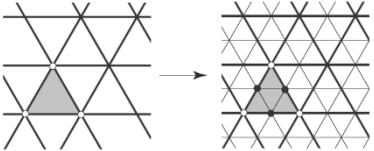
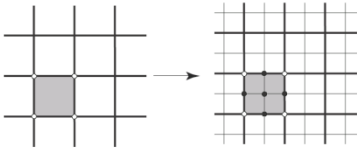


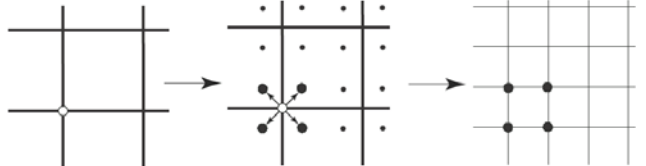
Q_0

Crease

Subdivision Zoo

- Can be classified according to:

Primal (face split)		
	 <p><i>Triangular meshes</i></p>	 <p><i>Quad Meshes</i></p>
<i>Approximating</i>	Loop(C^2)	Catmull-Clark(C^2)
<i>Interpolating</i>	Mod. Butterfly (C^1)	Kobbelt (C^1)

 <p>Dual (vertex split)</p>
Doo-Sabin, Midedge(C^1)
Biquartic (C^2)

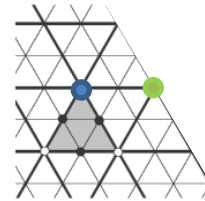
- Many more...

Terminology

- *Regular* vertices

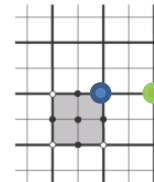
- Tri meshes

- In the interior - degree 6
 - On the boundary – degree 4



- Quad meshes

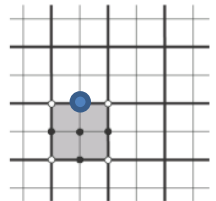
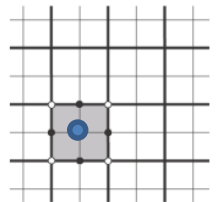
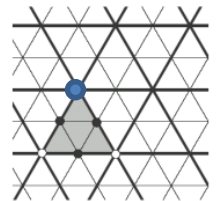
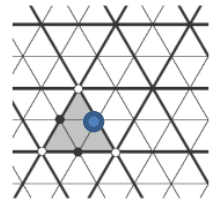
- In the interior - degree 4
 - On the boundary – degree 3



- *Extraordinary* vertices – all the rest

Terminology

- *Odd* vertices – new vertices at current subdivision level
- *Even* vertices – vertices inherited from previous level
- *Face* vertices – odd vertices inserted in a face
- *Edge* vertices – odd vertices inserted on an edge



Boundaries and Creases

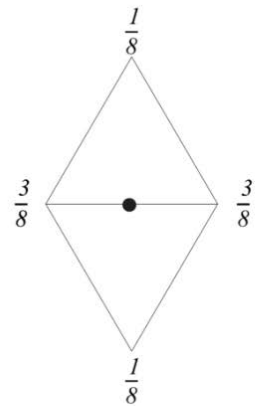
- Special subdivision rules will be given for each scheme for the boundary vertices
- The boundary curve of the limit surface:
 - Should not depend on interior control vertices
 - In case two surfaces will be merged along the boundary
 - Should be C^1 or C^2
- Use boundary rules for edges tagged as *creases*

Loop Scheme

- Possible choices for β

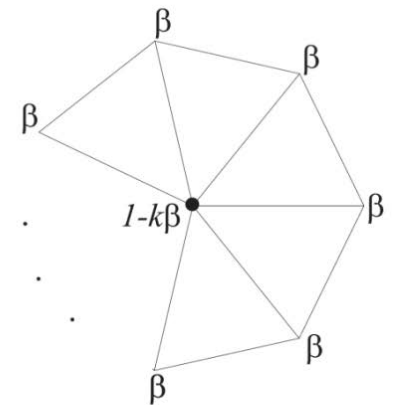
- Original (by Loop):

$$\beta = \frac{1}{k} \left(\frac{5}{8} - \left(\frac{3}{8} + \frac{1}{4} \cos \frac{2\pi}{k} \right)^2 \right)$$



$$p^{j+1} = S_{\beta} p^j$$

Interior



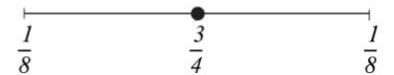
- Or (by Warren):

$$\beta = \begin{cases} \frac{3}{16} & n = 3 \\ \frac{3}{8n} & n > 3 \end{cases}$$



Odd vertices

Crease and boundary



Even vertices

Loop Scheme

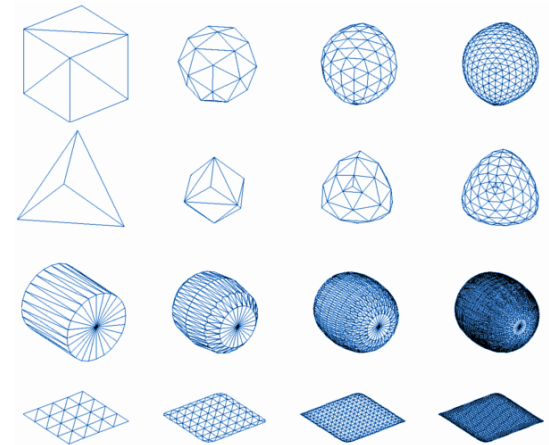
- Limits for interior vertex v
(using control points from *any* subd level j)

- Position

$$\mathbf{p}^\infty = S_\chi \mathbf{p}^j \quad ; \quad \chi = \frac{1}{3/8\beta + k}$$

- Tangents

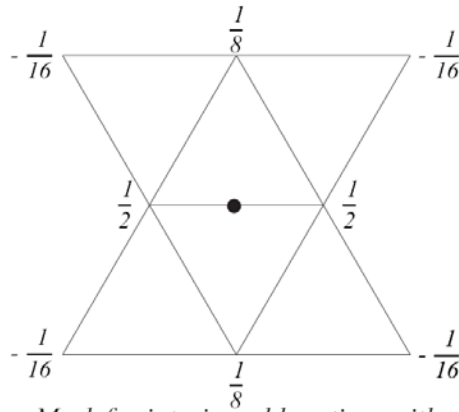
$$t_1 = \sum_{i=0}^{k-1} \cos \frac{2\pi i}{k} p_i^j \quad ; \quad t_2 = \sum_{i=0}^{k-1} \sin \frac{2\pi i}{k} p_i^j$$



Where v_i^j for $i = \{0, \dots, k-1\}$ are the one ring neighbors of the vertex v at subd level j , and p_i^j are the corresponding control points

Modified Butterfly Scheme

- Interpolating scheme
 - Even vertices don't move

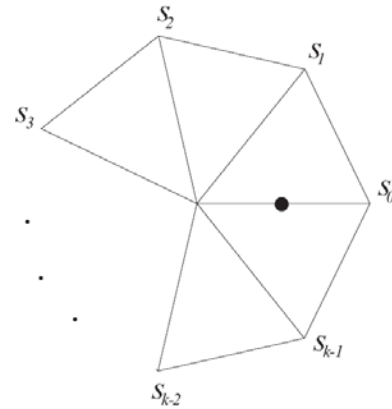


Mask for interior odd vertices with regular neighbors



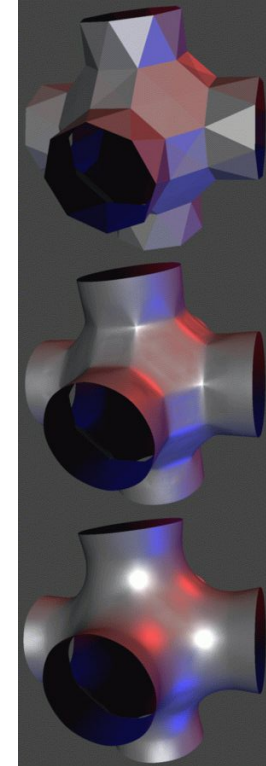
Mask for crease and boundary vertices

a. Masks for odd vertices



$$s_k = \begin{cases} \left[\frac{5}{12}, -\frac{1}{12}, -\frac{1}{12} \right] & k=3 \\ \left[\frac{3}{8}, 0, -\frac{1}{8}, 0 \right] & k=4 \\ \frac{1}{k} \left(\frac{1}{4} + \cos \frac{2\pi i}{k} + \frac{1}{2} \cos \frac{4\pi i}{k} \right) & k \geq 5 \end{cases}$$

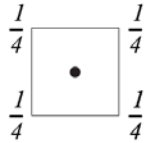
b. Mask for odd vertices adjacent to an extraordinary vertex



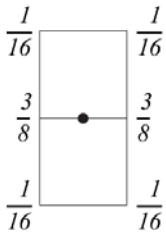
Butterfly (not C^1)

Modified Butterfly

Catmull-Clark Quad Scheme



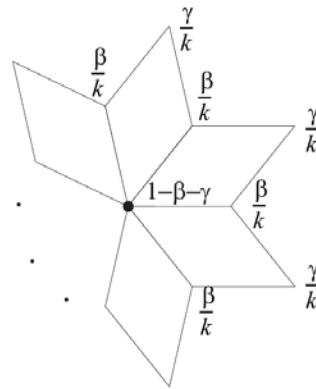
Mask for a face vertex



Mask for an edge vertex



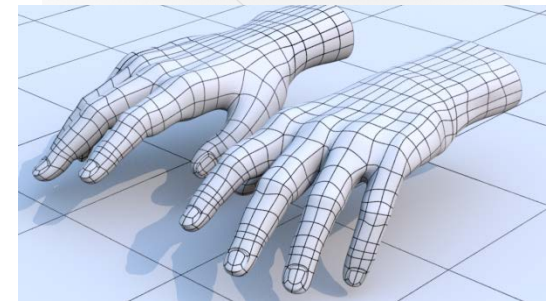
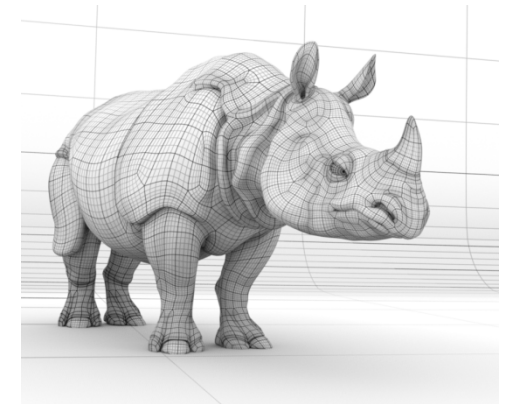
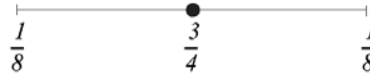
Mask for a boundary odd vertex



Interior

$$\beta = \frac{3}{2k}; \gamma = \frac{1}{4k}$$

Crease and boundary



a. Masks for odd vertices

b. Mask for even vertices

Can be modified to work on general polygons

Kobbelt Scheme

Main observation - to compute a face control point:

- compute all *edge control points*
- compute *face control points* using the *edge rule* applied to edge control points on same level

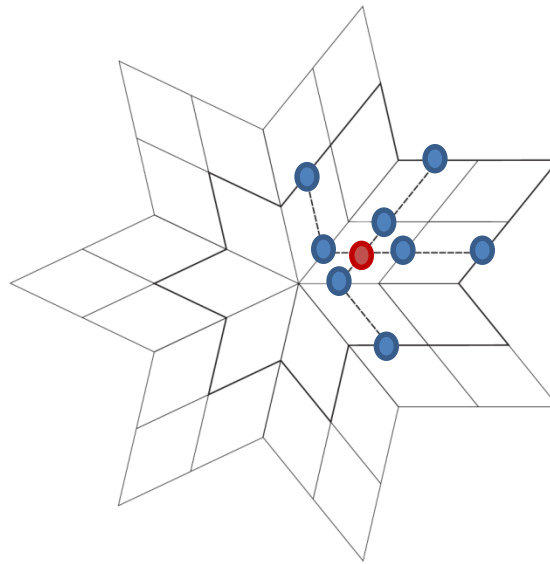
$$\begin{array}{cccc}
 \frac{1}{256} & -\frac{9}{256} & -\frac{9}{256} & \frac{1}{256} \\
 -\frac{9}{256} & \frac{81}{256} & & \frac{9}{256} \\
 -\frac{9}{256} & & \frac{81}{256} & -\frac{9}{256} \\
 \frac{1}{256} & -\frac{9}{256} & -\frac{9}{256} & \frac{1}{256}
 \end{array}$$

Mask for a face vertex

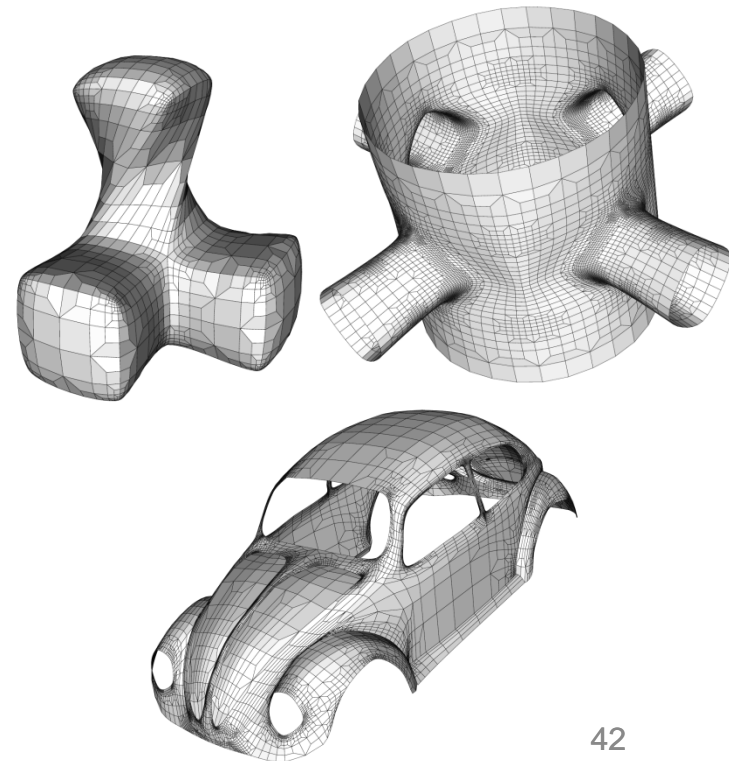
$$\begin{array}{cccc}
 \frac{1}{16} & \frac{9}{16} & \frac{9}{16} & \frac{1}{16}
 \end{array}$$

Mask for edge, crease and boundary vertices

a. Regular masks

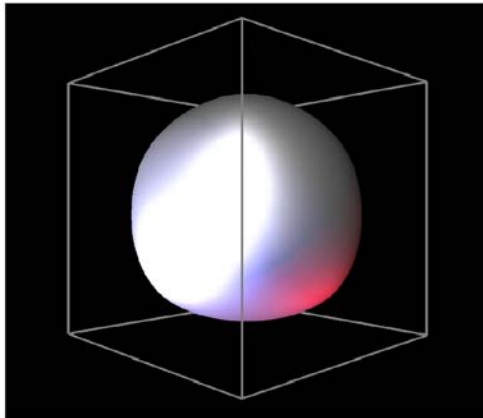


b. Computing a face vertex adjacent to an extraordinary vertex

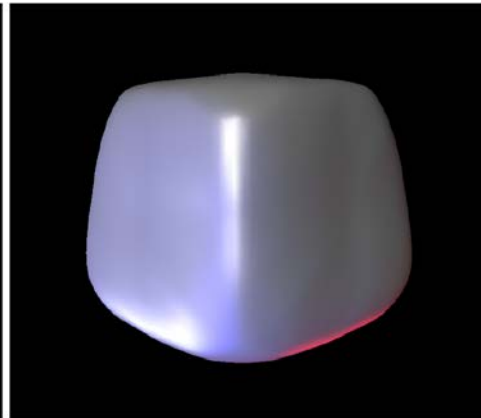


Scheme Comparison

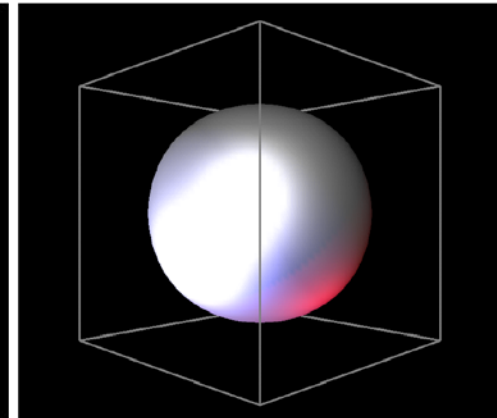
- Subdividing a cube
 - Loop result is asymmetric, because cube was triangulated first
 - Both Loop and Catmull-Clark are better than Butterfly (C^2 vs. C^1)
 - Interpolation vs. smoothness



Loop



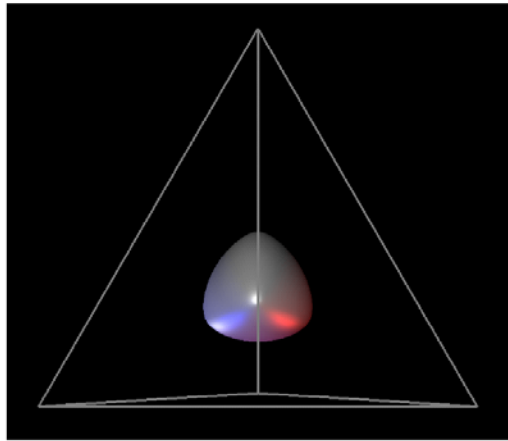
Butterfly



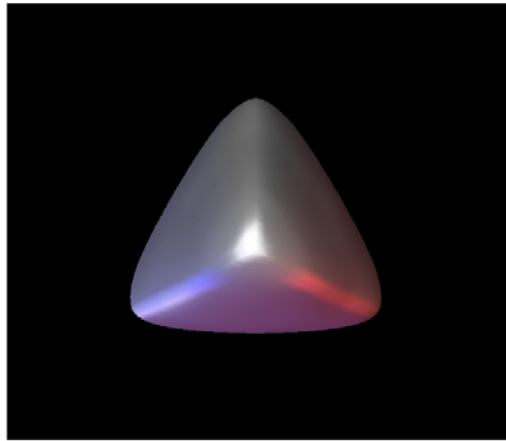
Catmull-Clark

Scheme Comparison

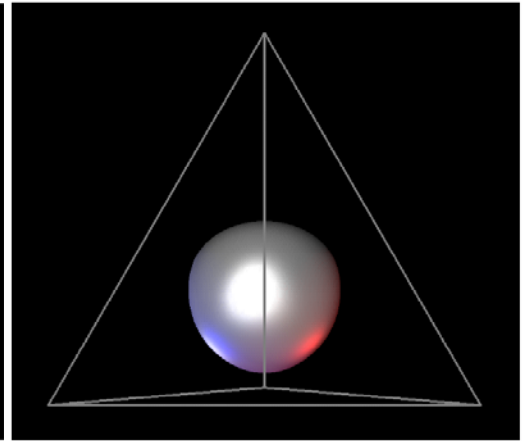
- Subdividing a tetrahedron
 - Same insights
 - Severe shrinking for approximating schemes



Loop



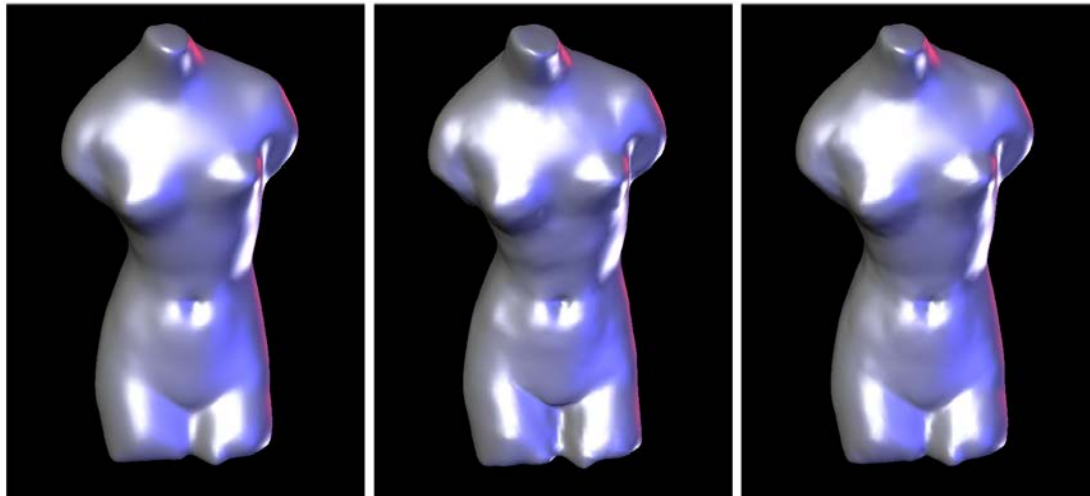
Butterfly



Catmull-Clark

Scheme Comparison

- Spot the difference?
- For smooth meshes with uniform triangle size, different schemes provide very similar results
- Beware of interpolating schemes for control polygons with sharp features



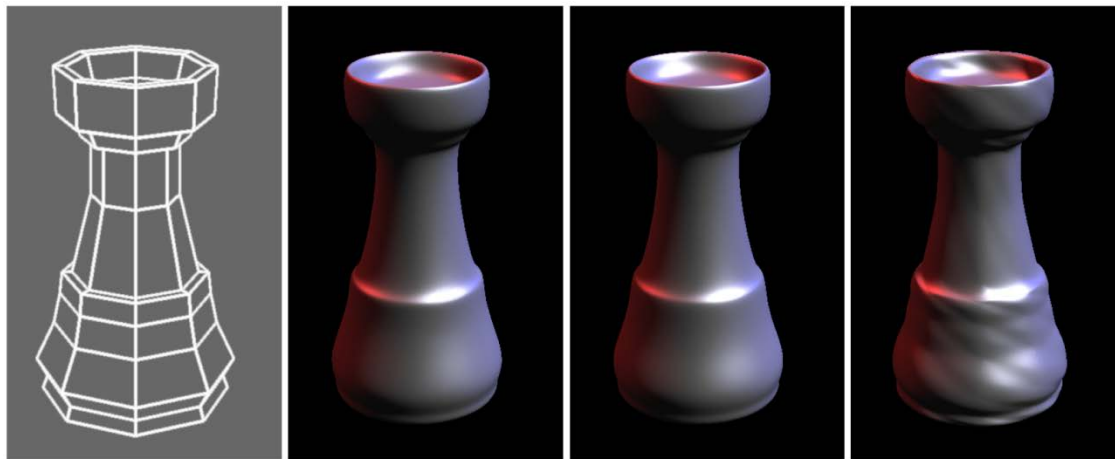
Loop

Butterfly

Catmull-Clark

So Who Wins?

- Loop and Catmull-Clark best when interpolation is not required
- Loop best for triangular meshes
- Catmull-Clark best for quad meshes
 - Don't triangulate and then use Catmull-Clark



Initial mesh

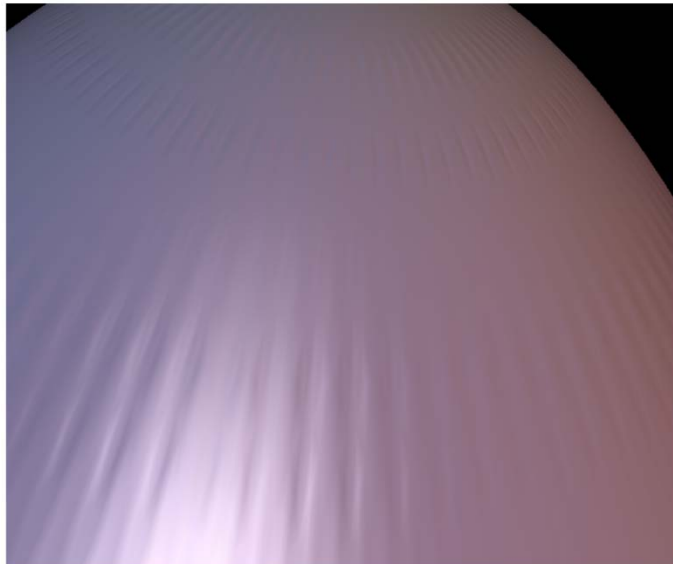
Loop

Catmull-Clark

*Catmull-Clark, after
triangulation*

The Dark Side of Subd Surfaces

- Problems with curvature continuity
 - Requires either very large support, or forces 0 curvature at extraordinary vertices
 - Generates ripples near vertices of large degree



The Dark Side of Subd Surfaces

- Decreased smoothness with degree
 - For large degrees, third eigenvalue approaches second and first eigenvalues
 - Generates creases
- Can fix by modifying scheme
 - Creates more ripples

