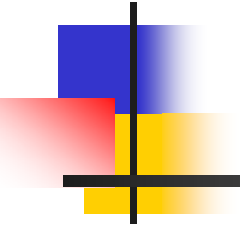


# Geometric Range Searching

## Kinetic Data Structures

## Clustering Mobile Nodes



Leonidas J. Guibas  
Stanford University



# Geometric Range Searching

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- Database

employee
<ul style="list-style-type: none"><li>• age</li><li>• salary</li><li>• start date</li><li>• city address</li></ul>

Database Record

1. Suppose we want to know all employees with Salary  $\in [40K, 50K]$ 
  - ➔ Scan records & pick out those in range      \*slow\*



# Motivation

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- Database

employee
<ul style="list-style-type: none"><li>• age</li><li>• salary</li><li>• start date</li><li>• city address</li></ul>

Database Record

2. Suppose we want to know all employees with Salary  $\in [40K, 50K]$  AND Age  $\in [25, 40]$ 
  - ➔ Scan records & check each one      \*slow\*



# Motivation, Cnt'd.

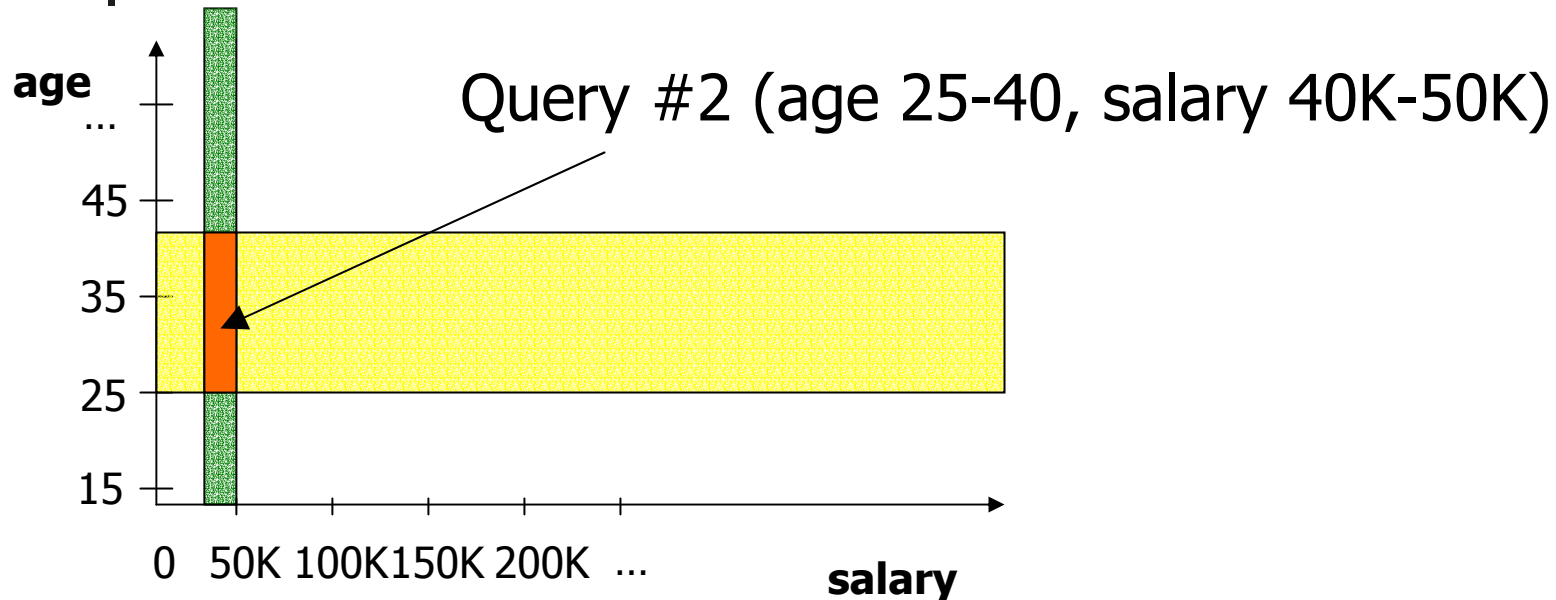
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- Alternative : View each employee is a point in space

4D {

- age range [15, 75]
- salary range [0K, 500K]
- start date [1/1/1900, today]
- city/address [College Station, Bryan, Austin, ...]

## Motivation, Cnt'd.



Orthogonal Range Query (Rectangular)

- Want all points in the orthogonal range
- Faster than linear scan, if good data structures are used.
- Query time  $O(f(n) + k)$  ; where  $k = \#$  of points reported



# Range searching desiderata

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Because many queries will be made, it pays to preprocess the data and build an index. We desire:

- Low index storage cost
- Fast index construction
- Low query overhead  $[f(n)]$
- Reasonably efficient dynamic DB modifications (insertions/deletions)



# 1-D Range Searching

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- Data: Points  $P = \{p_1, p_2, \dots, p_n\}$  in 1-D space (set of real numbers)
- Query: Which points are in 1-D query rectangle (in interval  $[x, x']$ )

## Data structure 1: Sorted Array

- $A =$ 

3	9	27	28	29	98	141	187	200	201	202	999
---	---	----	----	----	----	-----	-----	-----	-----	-----	-----
- Query: Search for  $x$  &  $x'$  in  $A$  by binary search  $O(\log n)$   
Output all points between them.  $O(k)$   
Total  $O(\log n + k)$
- Update: Hard to insert points. Add point  $p'$ , locate it in  $A$  by binary search.  
Shift elements in  $A$  to make room.  $O(n)$  on average
- Storage Cost:  $O(n)$
- Construction Cost:  $O(n \log n)$



# 1-D Range Searching Ctnd.

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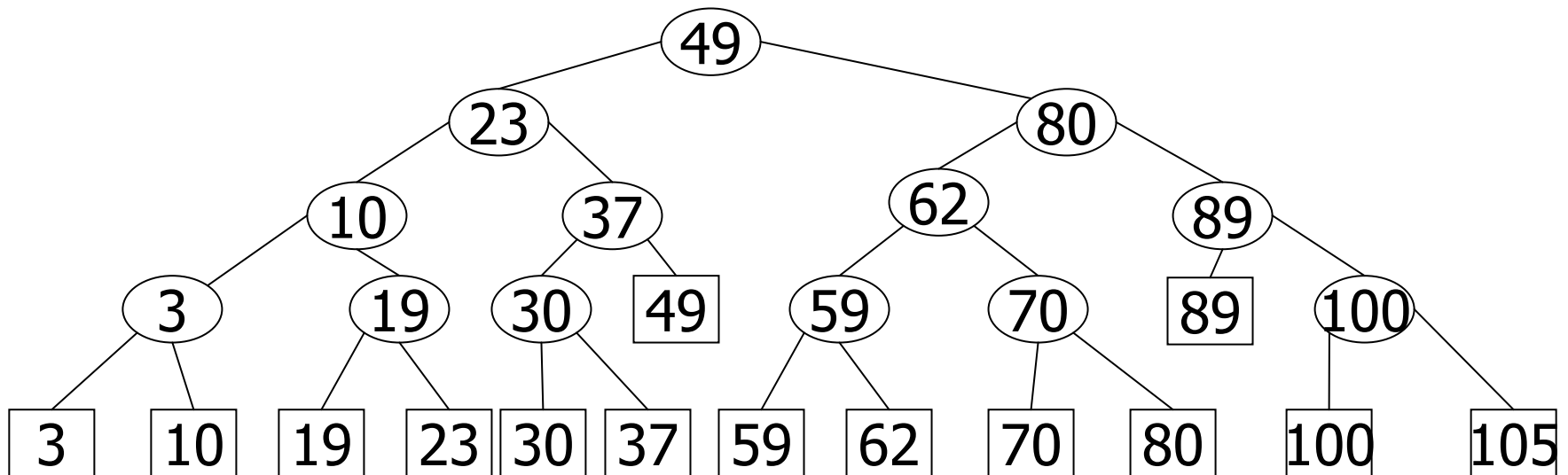
## Data structure 2: Balanced Binary Search Tree

- Leaves store points in  $P$  (in left to right order)
  - Internal nodes are splitting values.  $x_v$  used to guide search.
    - Left sub tree of  $V$  contains all values  $\leq x_v$
    - Right sub tree of  $V$  contains all values  $> x_v$
  - Query:  $[x, x']$ 
    - Locate  $x$  &  $x'$  in  $T$  (search ends at leaves  $u$  &  $u'$ )
    - Points we want are located in leaves
      - In between  $u$  &  $u'$
      - Possibly in  $u$  (if  $x=u_v$ )
      - Possibly in  $u'$  (if  $x=u'_v$ )
- Leaves of sub trees rooted at nodes  $V$  s.t. parent ( $v$ ) is on search path root to  $u$  (or root to  $u'$ )



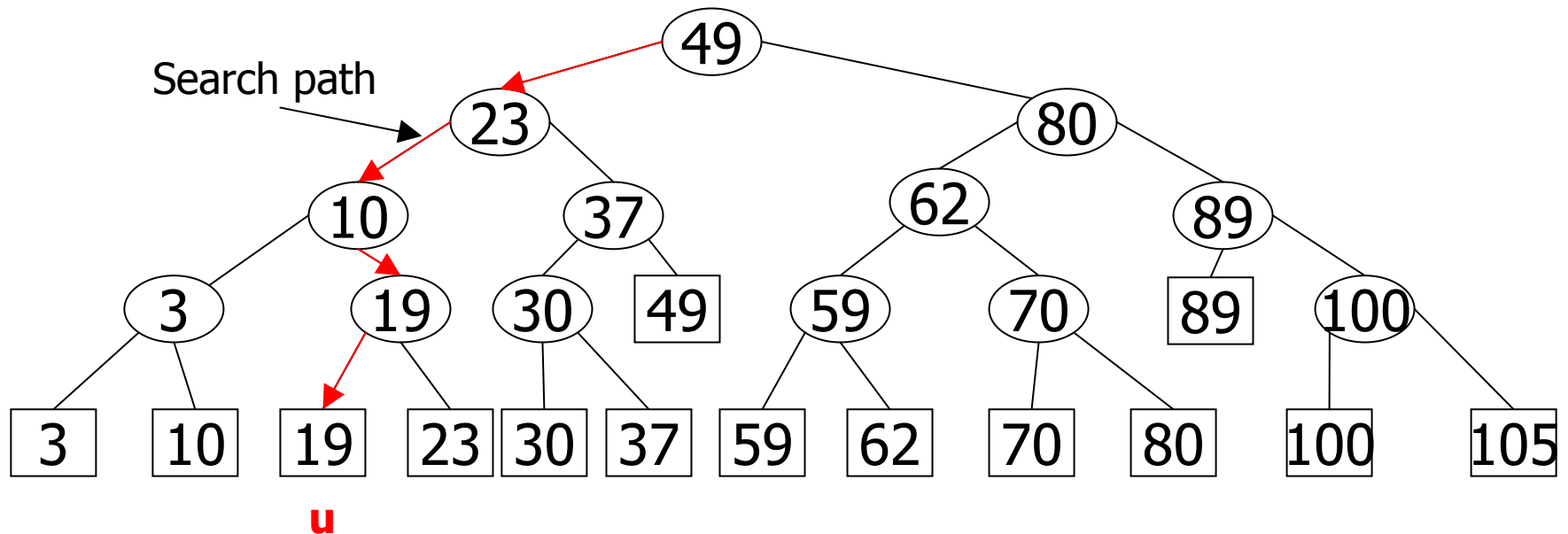
# 1-D Range Searching Ctnd.

- Look for node  $V_{\text{split}}$  where search paths for  $x$  &  $x'$  split
  - Report all values in right sub tree on search path for  $x'$
  - Report all values in left sub tree on search path for  $x$
- Query: [18:77]



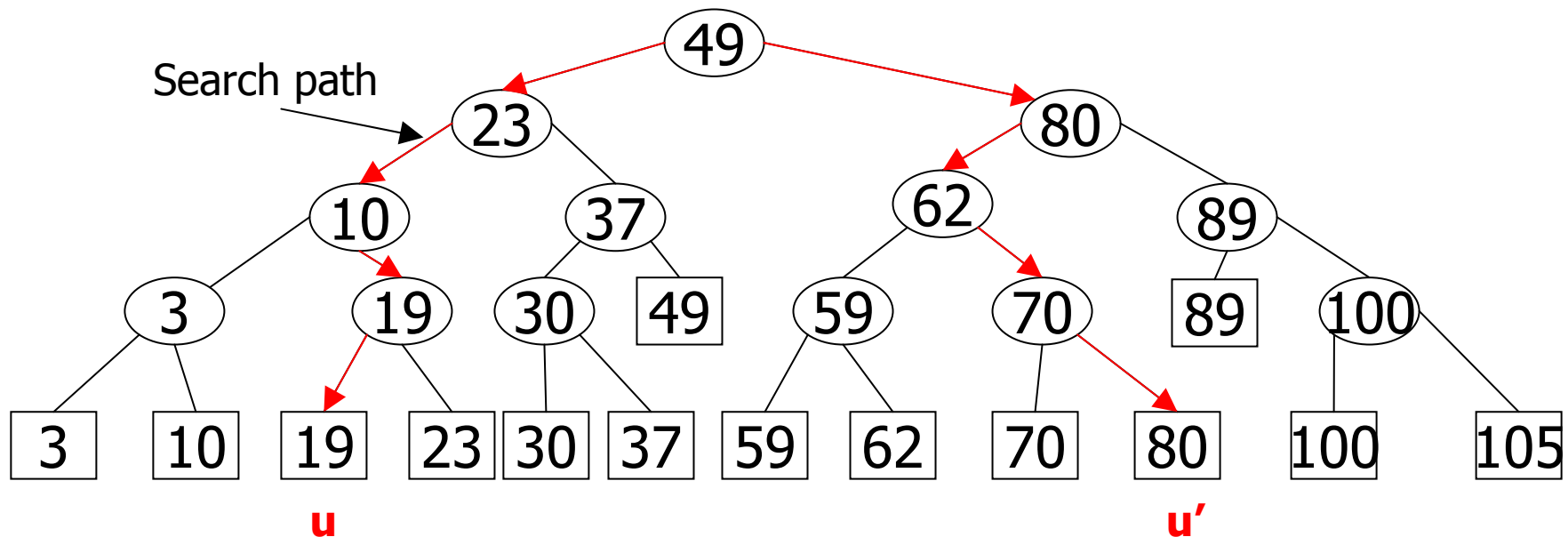
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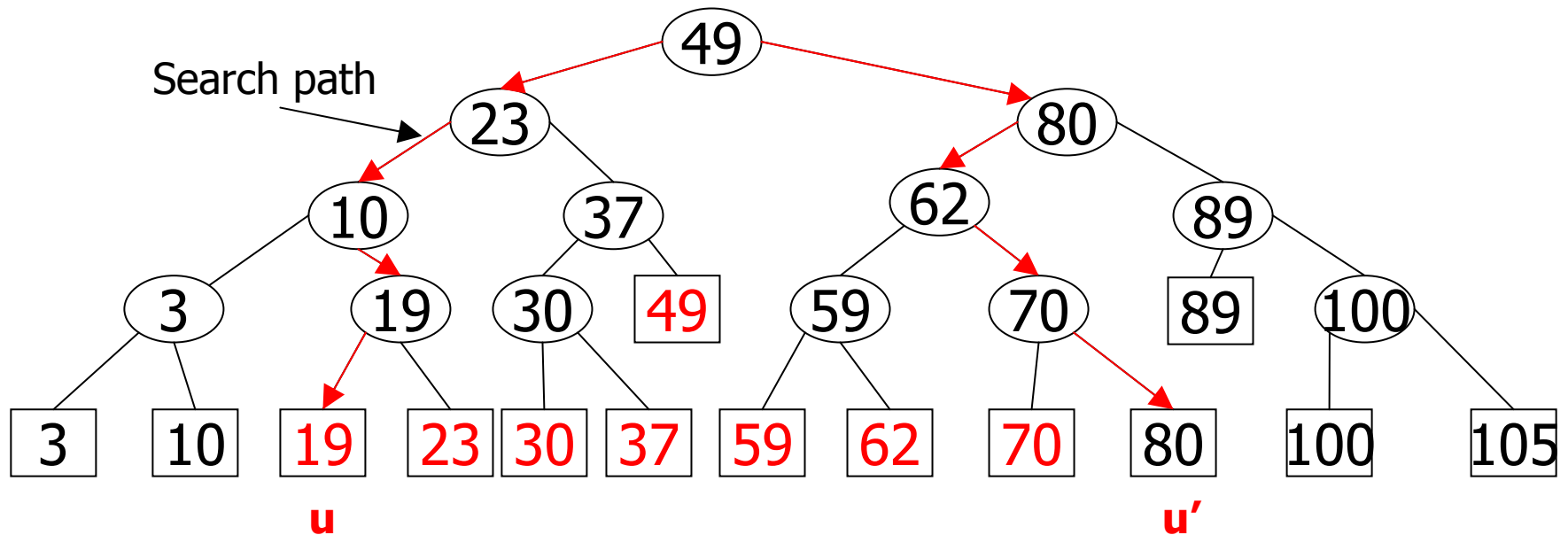
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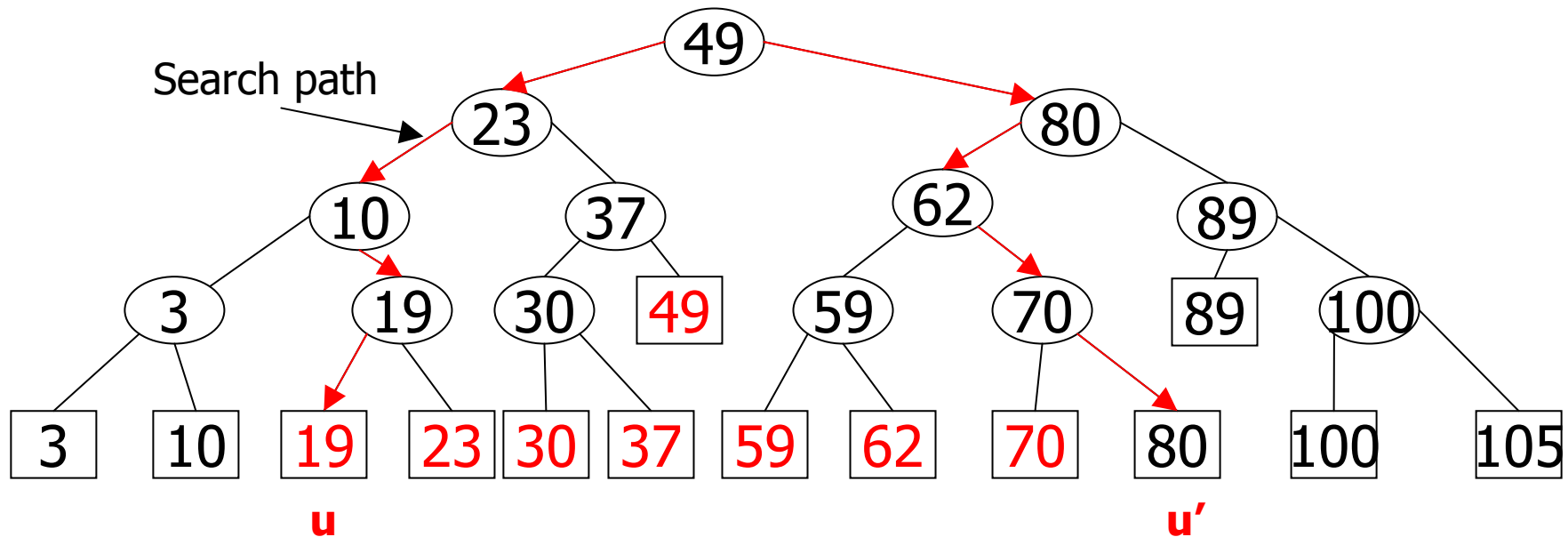
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  - Report all values in left sub tree on search path for  $x$
- Query: [18:77]



# 1-D Range Searching Ctnd.

- Update Cost  $O(\log n)$
- Storage Cost  $O(n)$
- Construction Cost  $O(n \log n)$

Query Overhead  $O(\log n)$

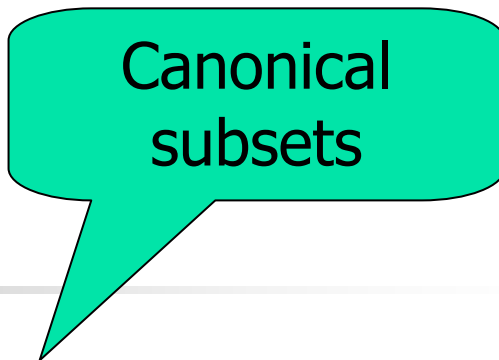


1-D Range Tree



# Key ideas

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Canonical  
subsets

- Pre-store the answer to certain queries, in a hierarchical fashion
  - the binary tree defines canonical intervals
- Assemble the answer to the an actual query by combining answers to pre-stored queries
  - any other interval is the disjoint union of canonical intervals
- How many answers to canonical sub-problems do we pre-store? Storage vs. query-time trade-off.



# KD-Trees

(Higher dimensional generalization of 1D-Range Tree.)

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- e.g. for 2-dimensions

idea: first split on x-coord (even levels) ←  
next split on y-coord (odd levels)  
repeat

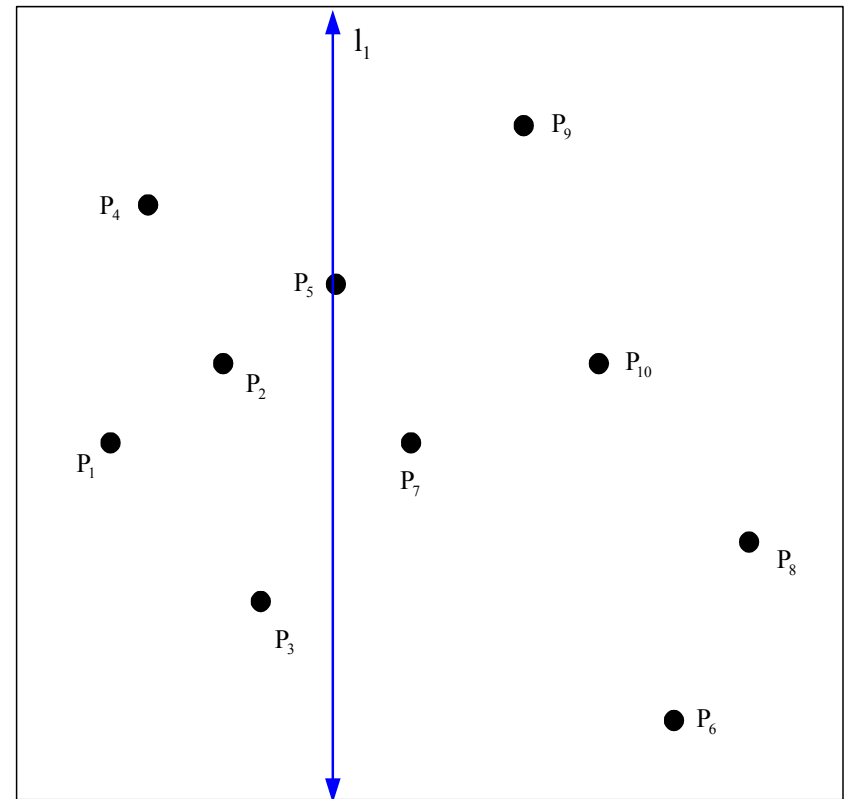
levels : store pts

internal nodes : splitting lines (as opposed to values)



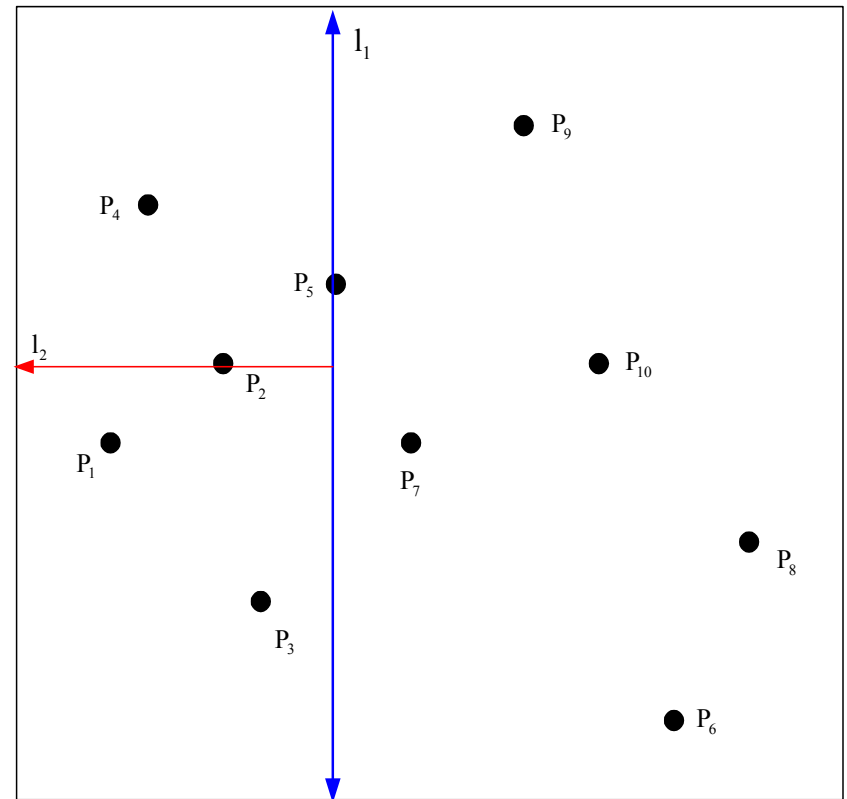
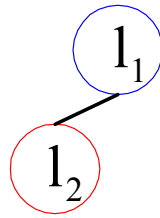
# Build KD-Tree

$l_1$

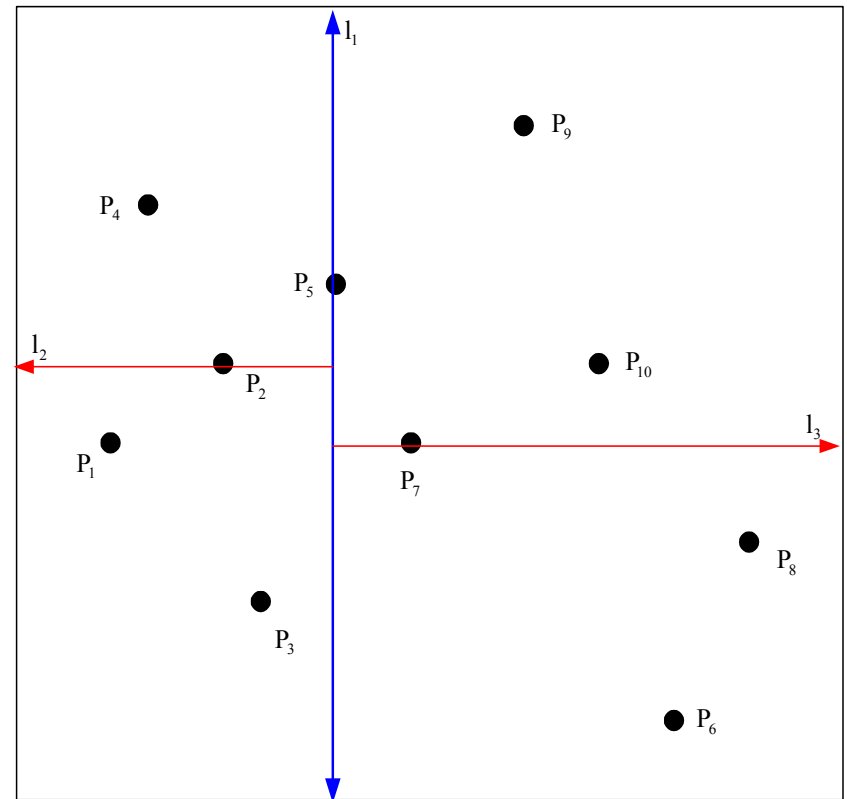
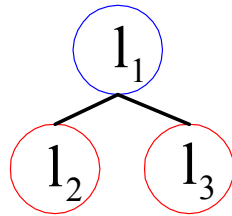




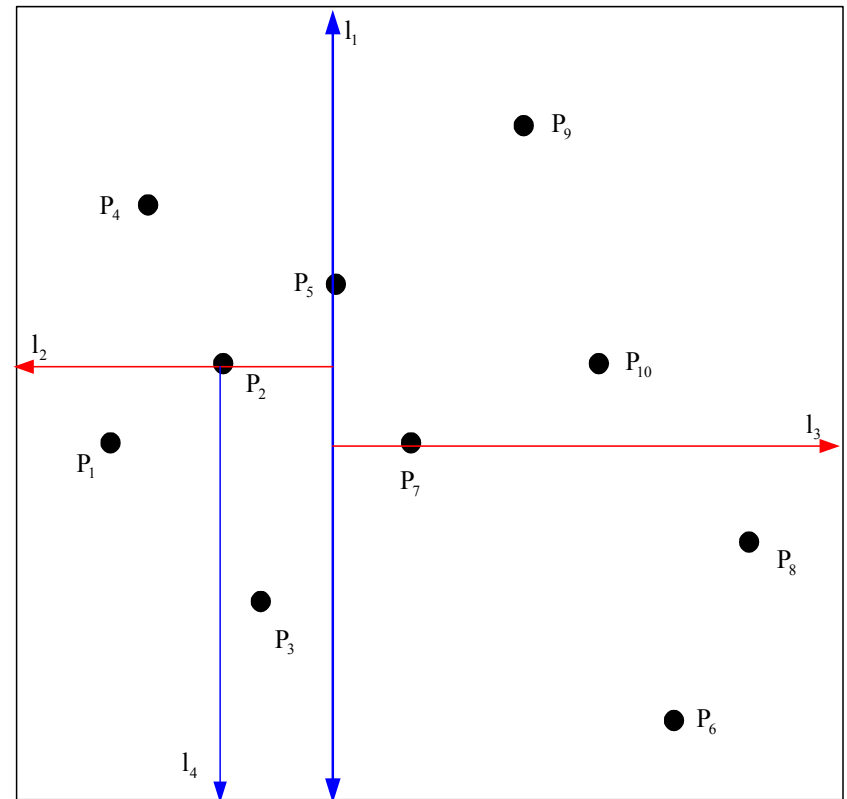
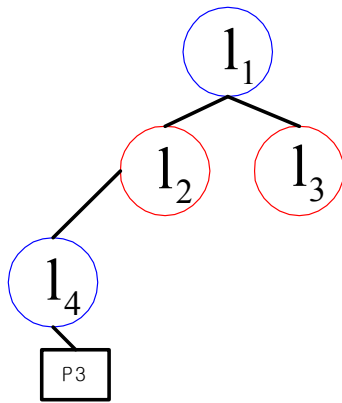
# Build KD-Tree



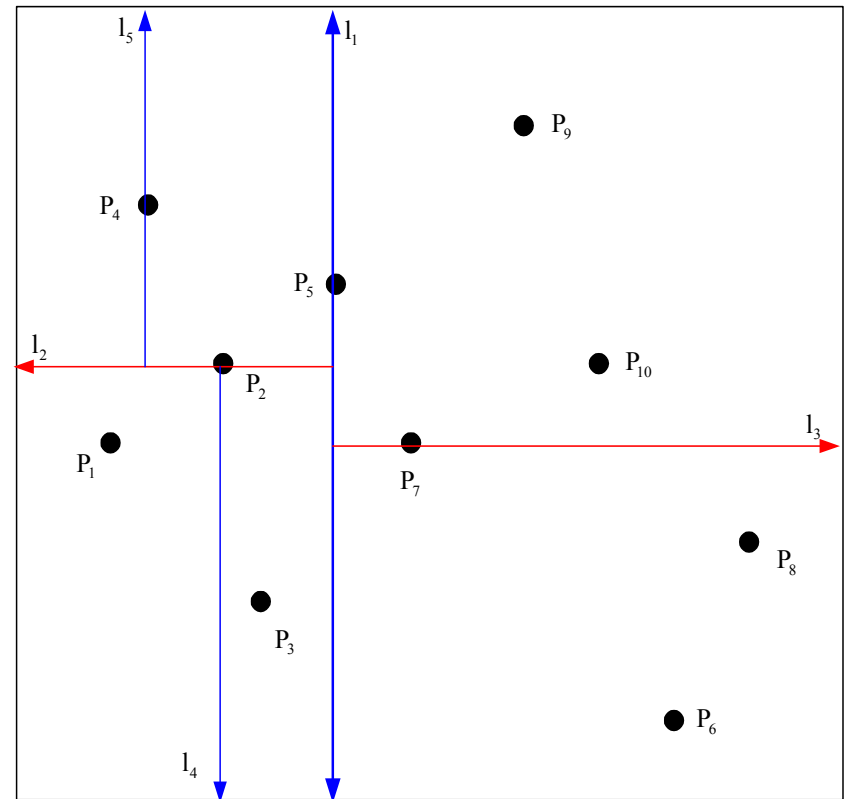
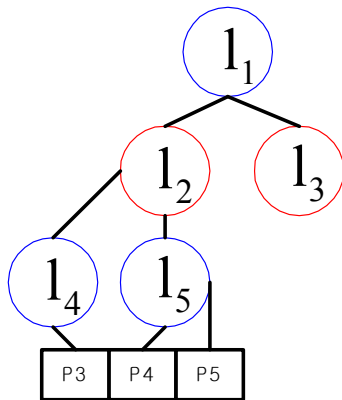
# Build KD-Tree



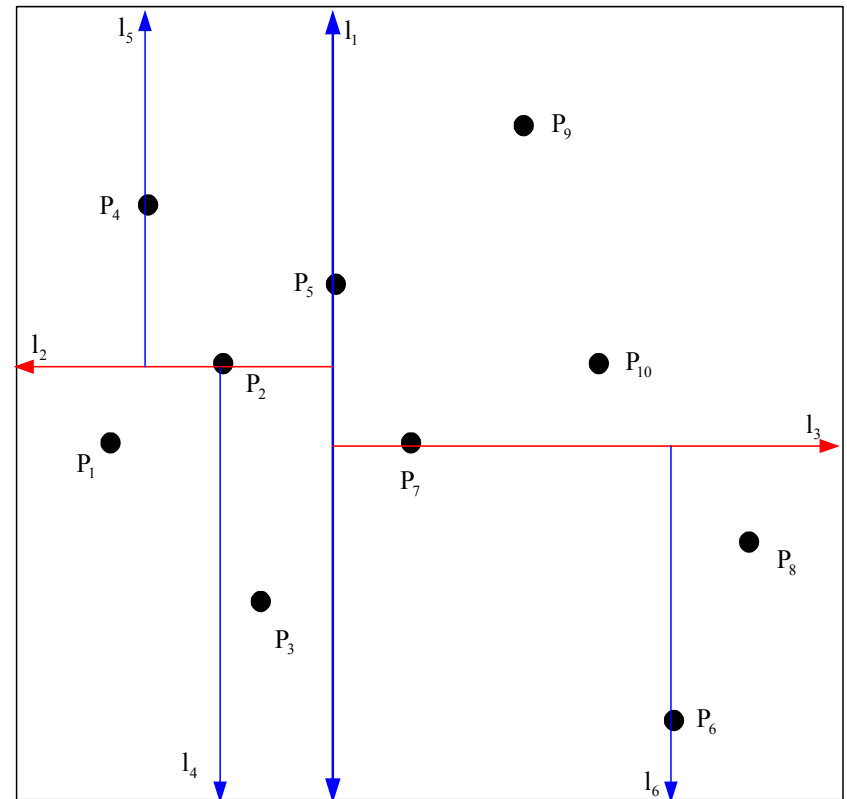
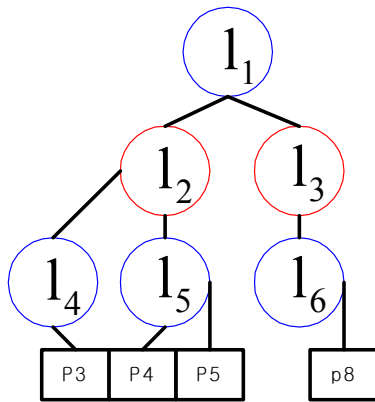
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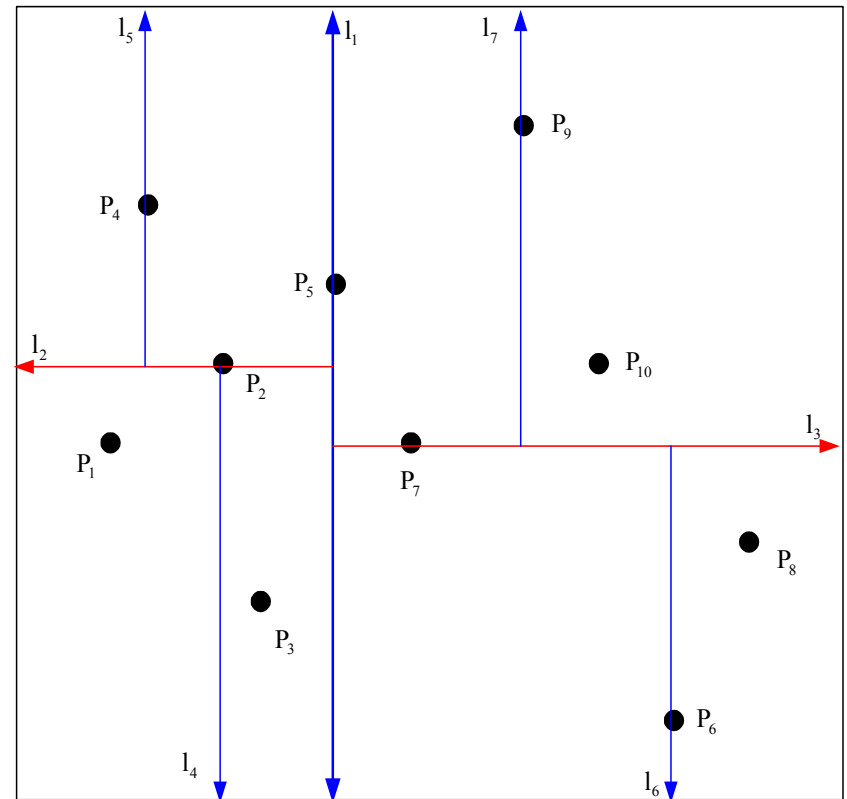
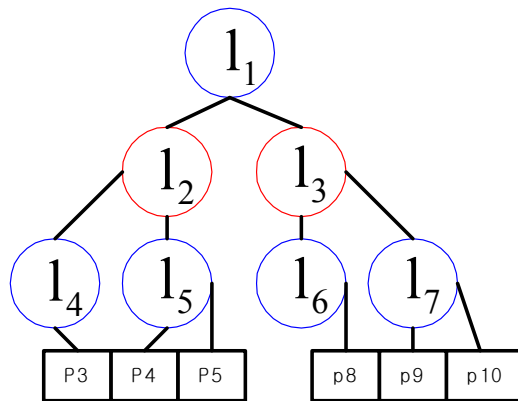
# Build KD-Tree



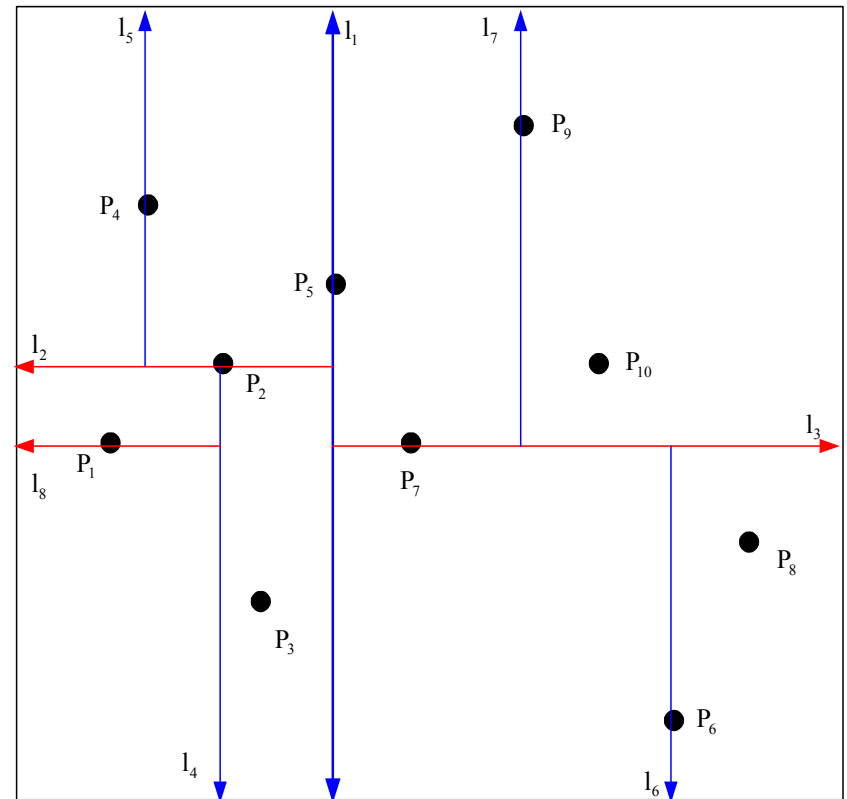
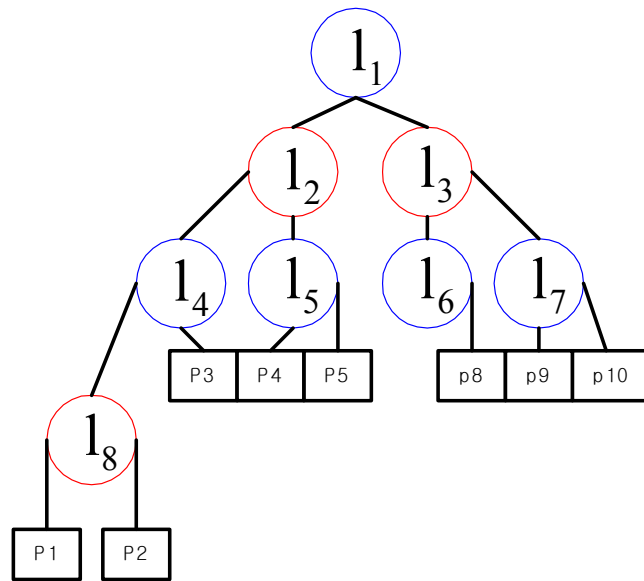
# Build KD-Tree



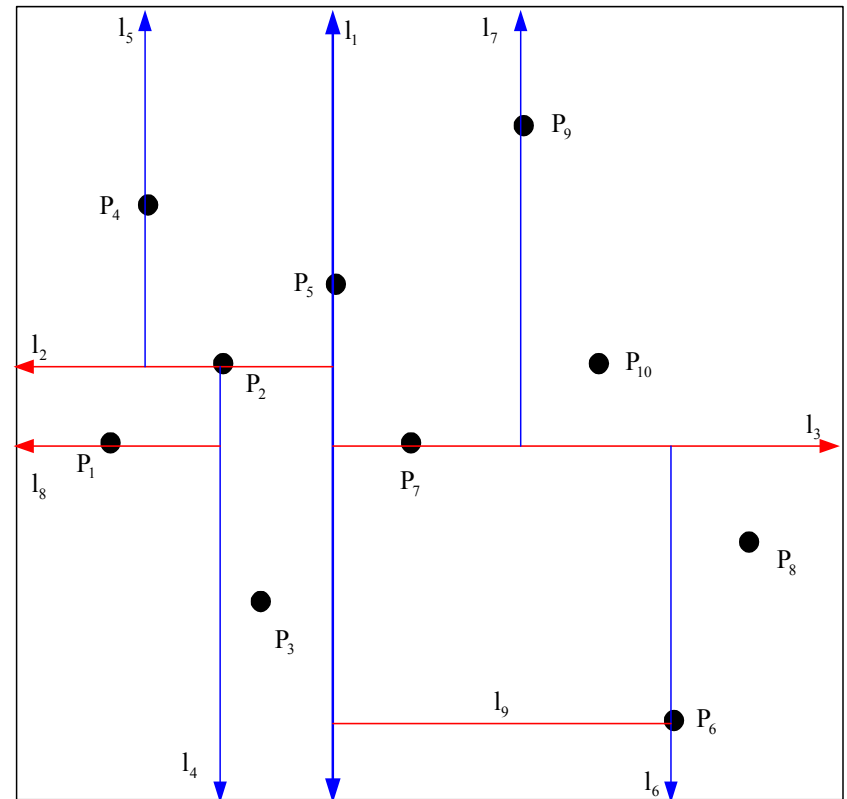
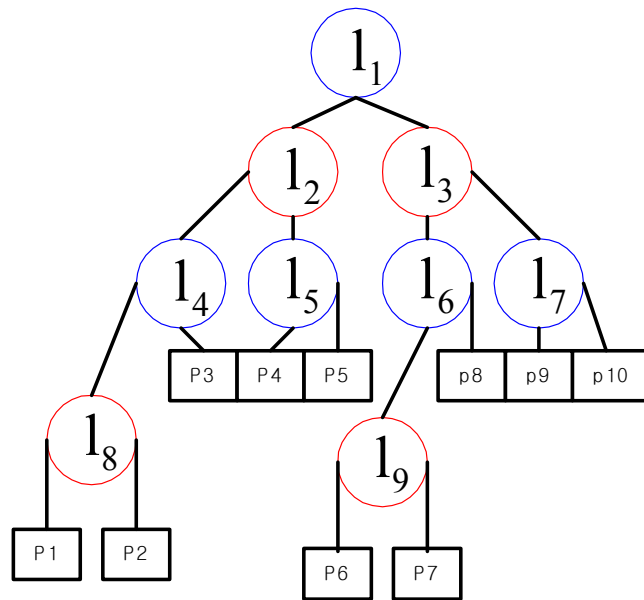
# Build KD-Tree



# Build KD-Tree



# Build KD-Tree







# Complexity

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## Construction time

- Expensive operation: determining splitting line (median finding)
  - Can use linear time median finding algorithm →  $O(n \log n)$  time.

$$T(n) = O(n) + 2T\left(\frac{n}{2}\right) = O(n \log n)$$

- but can obtain this time without fancy median finding
  - Presort points by x-coord and by y-coord ( $O(n \log n)$ )
  - Each time find median in  $O(1)$  and partition lists and update x and y ordering by scan in  $O(n)$  time

$$T(n) = O(n) + 2T\left(\frac{n}{2}\right) = O(n \log n)$$



# Complexity

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## Storage

Number of leaves =  $n$  (one per point)

Still binary tree  $\rightarrow O(n)$  storage total

## Queries

- each node corresponds to a region in plane
- Need only search nodes whose region intersects query region
- Report all points in subtrees whose regions contained in query range
- When reach leaf, check if point in query region



# Algorithm: Search KD-Tree ( $v$ , $R$ )

- Input: root of a subtree of a KD-tree and a range  $R$   
Output: All points at leaves below  $v$  that lie in the range
- 1. If ( $v = \text{leaf}$ )
- 2.     then report  $v$ 's point if in  $R$
- 3.     else if (region ( $l(v)$ ) fully contained in  $R$ )
- 4.         then ReportSubtree ( $Rc(v)$ )
- 5.     else if (region ( $l(v)$ ) intersects  $R$ )
- 6.         then SearchKdTree( $l(v)$ ,  $R$ )
- 7.     if (region( $rc(v)$ ) fully contained in  $R$ )
- 8.         then ReportSubtree( $rc(v)$ )
- 9.     else if (region( $rc(v)$ ) intersects  $R$ )
- 10.         then SearchKdtree( $rc(v)$ ,  $R$ )
- 11.     Endif

Note: need to know region( $v$ )

- can precompute and store
- Computer during recursive calls, e.g.,

$$\text{region}(l(v)) = \text{region}(v) \cap l(v)^{\text{left}}$$

$l(v)$  is  $v$ 's splitting line and  $l(v)^{\text{left}}$  is left halfplane of  $l(v)$



# Query time

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**Lemma** *A query with an axis parallel rectangle in a Kd-tree storing  $n$  points can be performed in  $O(\frac{n}{k} + k)$  time where  $k$  is the number of reported points.*



# Query time: Generalization to Higher dimensions

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Construction is similar: one level for each dimension

Storage:  $O(d \cdot n)$

Time:  $O(d \cdot n \log n)$

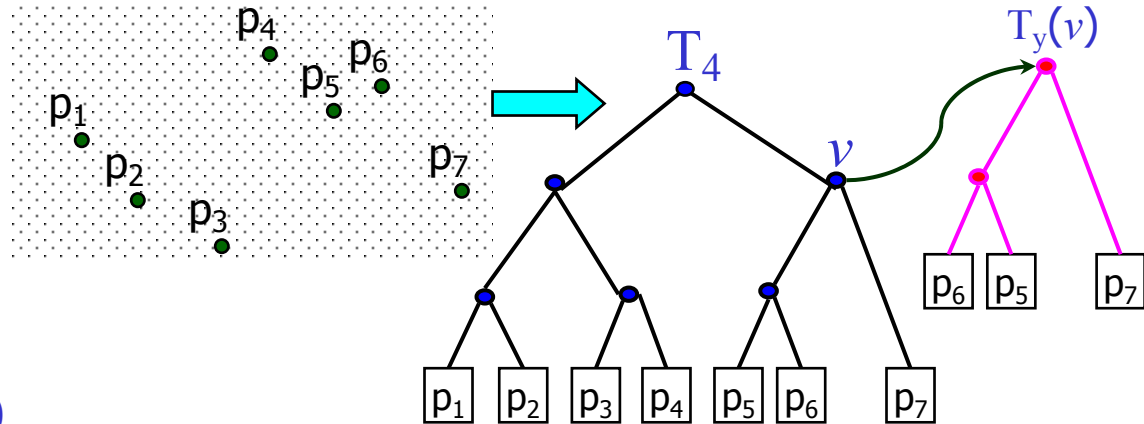
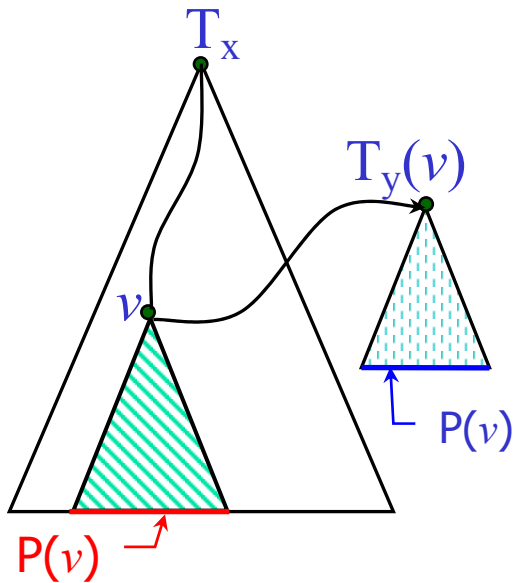
Query time:  $O(n^{1-1/d} + k)$



# Range trees

- For each internal node  $v$  of  $T_x$  let  $P(v)$  be set of points stored in leaves of subtree rooted at  $v$ .

Set  $P(v)$  is stored with  $v$  as another balanced binary search tree  $T_y(v)$  (second level tree) on  $y$ -coordinate. (have pointer from  $v$  to  $T_y(v)$ )





# Range trees

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**Lemma:** A 2D-range tree with  $n$  points uses  $O(n \log n)$  storage

**Lemma:** A query with axis-parallel rectangle in range tree for  $n$  points takes  $O(\log^2 n + k)$  time, where  $k = \#$  reported points





# Higher Dimensional Range Trees

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- 1<sup>st</sup> level tree is balanced binary search tree on 1<sup>st</sup> coordinate
- 2<sup>nd</sup> level tree is (d-1) dimensional range tree for  $P(v)$
- - restricted to last (d-1)-coordinates of points
  - this tree constructed recursively
- last tree is 1D balanced binary search tree on  $d^{\text{th}}$  - coordinates