

# Computing and Verifying Depth Orders\*

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## Abstract

A depth order on a set of objects is an order such that object  $a$  comes before object  $a'$  in the order when  $a$  lies behind  $a'$ , or, in other words, when  $a$  is (partially) hidden by  $a'$ . We present efficient algorithms for the computation and verification of depth orders of sets of  $n$  rods in 3-space. Our algorithms run in time  $O(n^{4/3+\epsilon})$ , for any fixed  $\epsilon > 0$ . If all rods are axis-parallel, or, more generally, have only a constant number of different orientations, then the sorting algorithm runs in  $O(n \log^3 n)$  time and the verification takes  $O(n \log^2 n)$  time. The algorithms can be generalized to handle triangles and other polygons instead of rods. They are based on a general framework for computing and verifying linear extensions of implicitly defined binary relations.

## 1 Introduction

*Hidden surface removal* is an important problem in computer graphics. In a typical setting, we are given a set of non-intersecting polyhedral objects in 3-space and a view point, and we want to compute which parts of the objects can be seen from the view point.

An efficient way of solving this problem is the *painter's algorithm*; see e.g. [12]. In this algorithm one tries to 'paint' the objects in a back to front order onto the screen. Thus the objects in the front are painted on top of the objects in the back, resulting in a correct view of the scene. Such a back to front ordering is called a *depth order* of the set of objects. Note that a depth order does not always exist, since there can be *cyclic overlap* among the objects, as is the case for the three triangles shown in Figure 1. A closely

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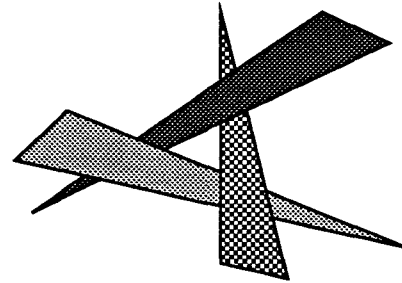


Figure 1: Cyclic overlap among triangles.

related approach uses a binary space partition tree to obtain a displaying order for the objects in a scene [10]. A binary space partition cuts the objects in such a way that there is a depth order in any direction. Unfortunately, the number of fragments and, hence, the size of the resulting BSP tree, can be as large as  $\Omega(n^2)$  [17]. Hence, this approach can be very wasteful, if there is no cyclic overlap in the viewing direction.

The view of a scene consists of a subdivision of the viewing plane into maximal connected regions in each of which (some portion of) a single object can be seen, or no object is seen. Sometimes it is necessary to compute a combinatorial representation of this so-called *visibility map*. Note that the painter's algorithm does not give us such a combinatorial representation. The combinatorial complexity of the visibility map of a set of objects with  $n$  edges in total varies between  $O(1)$  and  $\Omega(n^2)$ . Hence, it would be nice to have an *output-sensitive* algorithm, that is, an algorithm whose running time is dependent on the complexity of the visibility map. Almost all output-sensitive algorithms known to date require that a depth order on the objects is given, see e.g. [11, 13, 16, 18]. Only the recent algorithms of [8, 9] do not need a depth order. The implementation of the latter algorithms, however, is much easier when a depth order is known.

It is thus important to be able to compute depth orders efficiently. This problem was studied by Chazelle et al. [5]. When the objects are lines in 3-space, they noted that a depth order can be obtained by a standard sorting algorithm, because any two lines can be compared (assuming no two have parallel projections). If there is cyclic overlap,

however, then the outcome of the sorting algorithm is not a valid depth order. Verifying whether a depth order is valid is no trivial matter though; in [5], Chazelle et al. presented an  $O(n^{4/3+\epsilon})$  time algorithm to verify a given depth order of a set of lines. When the objects are rods in 3-space, the problem becomes much harder, since not every pair of rods can be compared. For this case, the best algorithm that was known runs in time  $O(n \log n + k)$ , where  $k$  is the number of intersections in the projection plane, or, in other words, the number of pairs that can be compared directly [5, 15]. Note that  $k$  can be  $\Theta(n^2)$  and, hence, that the worst-case running time of these algorithms is  $\Theta(n^2)$ . Indeed, many researchers thought that there was not much hope to obtain a subquadratic algorithm. Even for the case of axis-parallel rods, it was an open problem to find a depth order in  $o(n^2)$  time [18].

In this paper we show that a depth order for a set of rods in 3-space can be computed in subquadratic time. More specifically, we give an algorithm that computes a depth order in time  $O(n^{4/3+\epsilon})$ . We also present an algorithm which verifies a given order in  $O(n^{4/3+\epsilon})$  time. When the rods are  $c$ -oriented, that is, they have only  $c$  different orientations for some constant  $c$ , then the sorting algorithm runs in  $O(n \log^3 n)$  time and verification takes  $O(n \log^2 n)$  time. Note that axis-parallel rods are 3-oriented. The results can be generalized to depth orders for sets of triangles, or other polygons, instead of rods.

The algorithms that we give are surprisingly simple. They are based on a general framework for computing a linear extension of a relation  $(S, \prec)$ . It is easy to compute an extension in time that is linear in the number of pairs that are related; to this end one sorts the directed graph  $\mathcal{G} = (S, E)$  topologically, where  $(a, a') \in E$  if and only if  $a \prec a'$ . This is the approach taken in [5, 15] to sort a set  $S$  of  $n$  rods: first compute all pairs of rods that are related—this can be done in  $O(n \log n + k)$  time by computing all intersections in the projection plane—and then sort the corresponding graph  $\mathcal{G}$  in  $O(n + k)$  time. Note that if  $(S, \prec)$  does not contain a cycle then the sorting will succeed, otherwise some cycle will be detected in the graph  $\mathcal{G}$ . We show that it is not necessary to compute the full graph corresponding to  $(S, \prec)$ . All that is needed is to have a data structure that answers the following question: Given an element  $a \in S$ , return a predecessor of  $a$  and a successor of  $a$ , if they exist. The data structure should allow for the deletion of an element  $a$  in  $S$  in sublinear time. In cases where the relation is given implicitly—such as for depth orders—this is often possible. Our algorithm uses an interesting form of divide-and-conquer, where the divide-step does not need to be balanced. In fact, the more unbalanced it is, the better the running time of the algorithm.

The rest of this paper is organized as follows. In Section

2 we present our general framework for computing linear extensions of a relation  $(S, \prec)$ , and in Section 3 we give an algorithm to verify a given order. In Section 4 we show how to use these results to compute or verify a depth order for a set of rods (or triangles, or polygons) in 3-space. We make some concluding remarks in Section 5.

## 2 Computing Linear Extensions

Let  $\prec$  be a binary relation defined on a set  $S$  of  $n$  elements. Note that  $\prec$  is not necessarily a partial order, since we do not assume transitivity. This will be useful in our application. In this section it is shown how to compute a linear extension of  $(S, \prec)$  or to decide that  $(S, \prec)$  contains a cycle. Thus we want to compute an order  $a_1, \dots, a_n$  on the elements in  $S$  such that  $a_i \prec a_j$  implies  $i < j$ . The algorithm that we will give for this problem needs a data structure  $\mathcal{D}_\prec$  for storing a subset  $S' \subseteq S$ , that can return a predecessor in  $S'$  of a query element  $a \in S$ . More formally,  $\text{QUERY}(a, \mathcal{D}_\prec)$  returns an element  $a' \in S'$  such that  $a' \prec a$ , or  $NIL$  if there is no such element. We call such a query a *predecessor query*. Similarly, we need a structure  $\mathcal{D}_\succ$  for *successor queries*. To make our algorithm efficient, the structures should allow for efficient deletions of elements from  $S'$ , and the preprocessing time should not be too high.

Let us define  $\prec_*$  to be the transitive closure of  $\prec$ , and  $\succ_*$  to be the transitive closure of  $\succ$ . The basis strategy of the algorithm is divide-and-conquer: we pick a pivot element  $a_{piv} \in S$ , partition the remaining elements into a subset  $S_\prec$  of elements  $a$  that must come before  $a_{piv}$  in the order, because  $a \prec_* a_{piv}$ , and a subset  $S_\succ$  of elements that must come after  $a_{piv}$  in the desired order, because  $a_{piv} \prec_* a$ , and recursively sort these sets. Note that not every pair of elements is comparable under  $\prec_*$ . Hence, except for the subsets  $S_\prec$  and  $S_\succ$ , there is a third subset  $S_\approx$  of elements that cannot be compared to  $a_{piv}$  under  $\prec_*$ . This subset should be sorted recursively as well. To find the subsets  $S_\prec$  and  $S_\succ$  efficiently, the data structures  $\mathcal{D}_\prec$  and  $\mathcal{D}_\succ$  are used. Consider the subset  $S_\prec$ . By querying  $\mathcal{D}_\prec$  with element  $a_{piv}$ , we can find an element  $a$  such that  $a \prec a_{piv}$ . We delete  $a$  from  $\mathcal{D}_\prec$ , to avoid reporting it more than once, and query once more with  $a_{piv}$ . Continuing in this manner until the answer to the query is  $NIL$ , we can find all elements  $a \in S$  such that  $a \prec a_{piv}$ . However, we want to find all elements  $a$  such that  $a \prec_* a_{piv}$ . Thus we also have to query  $\mathcal{D}_\prec$  with the elements  $a$  that we have just found, and query with the new elements that we find, and so forth. Whenever we find an element, it is deleted from  $\mathcal{D}_\prec$ , and we query with it until we have found all predecessors of it (that have not been found before). This way we can compute the set  $S_\prec$  with a number of queries in  $\mathcal{D}_\prec$  that is linear in the size

of  $S_{\prec}$ . Notice that when we find  $a_{piv}$  as an answer to a query, then there must be a cycle in the relation. The subset  $S_{\succ}$  can be found in a similar way, using the data structure  $\mathcal{D}_{\succ}$ . The subset  $S_{\approx}$  contains the remaining elements.

There is one major problem with this approach: we cannot ensure that the partitioning is balanced, that is, that the sets  $S_{\prec}$ ,  $S_{\succ}$ , and  $S_{\approx}$  have about the same size. Normally, an unbalanced divide-and-conquer algorithm has a quadratic worst-case running time. Fortunately, we can circumvent this if we make the following two observations. First, we note that we need not treat the subset  $S_{\approx}$  separately. We can put the elements of  $S_{\approx}$  in either  $S_{\prec}$  or  $S_{\succ}$ , as long as we do it consistently, that is, as long as we put all elements in the same set. It seems that this only makes things worse, because the partitioning gets more unbalanced. But now we observe that it is enough to find the smaller of the two subsets  $S_{\prec}$  and  $S_{\succ}$ . The remaining elements—which can be elements of  $S_{\approx}$ —are all put into one set. It is possible to find the smaller of the two subsets  $S_{\prec}$  and  $S_{\succ}$ —without computing the complete larger set as well—with a number of queries that is linear in its size, by doing a ‘tandem search’: alternately, find an element of  $S_{\prec}$  and an element of  $S_{\succ}$ , until the computation of one of the two subsets has been completed. Thus we partition  $S$  into two subsets in time that is dependent on the size of the smaller of the two subsets. This means that the more unbalanced the partitioning is, the faster it is performed, leading to a good worst-case running time for the algorithm. There is one problem left that we have not addressed so far: we cannot afford to build the data structures that we need for the recursive call for the large set from scratch. Fortunately, we can obtain these structures from the ones that we have at the end of the tandem search, by reinserting and deleting certain elements.

The algorithm for computing an ordering on  $(S, \prec)$  first builds the data structures  $\mathcal{D}_{\prec}$  and  $\mathcal{D}_{\succ}$  on the set  $S$ , and then calls the procedure ORDER, with the set  $S$  and these two data structures as arguments. Below follows a detailed description of this procedure, whose output is a linear extension of  $(S, \prec)$  if one exists, and which detects a cycle otherwise. The algorithm maintains two queues  $Q_{\prec}$  and  $Q_{\succ}$ , that store the elements of  $S_{\prec}$  resp.  $S_{\succ}$  for which we have not yet found all predecessors resp. successors. The procedure ENQUEUE adds an element to a queue. Similarly, DEQUEUE deletes an element from the queue. An element  $a$  is deleted from the data structure  $\mathcal{D}_{\prec}$  by calling  $\text{DELETE}(a, \mathcal{D}_{\prec})$ ; a deletion from  $\mathcal{D}_{\succ}$  is performed with a similar call. To delete all elements in a set  $A$ , we simply write  $\text{DELETE}(A, \mathcal{D}_{\prec})$ .

ORDER( $S, \mathcal{D}_{\prec}, \mathcal{D}_{\succ}$ )

1. **if**  $|S| > 1$  **then** perform steps 2–6  
     **else** stop ( $S$  is already sorted).
2. Make  $S_{\prec} \leftarrow \emptyset$  and  $S_{\succ} \leftarrow \emptyset$ .  
     Initialize two empty queues  $Q_{\prec}$  and  $Q_{\succ}$ .
3. Pick an arbitrary pivot element  $a_{piv} \in S$ .  
     ENQUEUE( $a_{piv}, Q_{\prec}$ ); ENQUEUE( $a_{piv}, Q_{\succ}$ ).
4. **while** both  $Q_{\prec}$  and  $Q_{\succ}$  are not empty  
     **do** ( $\star$  Compute a new element  $a' \in S_{\prec}$ .  $\star$ )  
          $a \leftarrow \text{DEQUEUE}(Q_{\prec})$ ;  $a' \leftarrow \text{QUERY}(a, \mathcal{D}_{\prec})$ .  
         **if**  $a' \neq \text{NIL}$   
             **then if**  $a' = a_{piv}$   
                 **then** Stop and report that there is a cycle.  
                 **else** ENQUEUE( $a, Q_{\prec}$ ); ENQUEUE( $a', Q_{\prec}$ );  
                     DELETE( $a', \mathcal{D}_{\prec}$ ).  
                      $S_{\prec} \leftarrow S_{\prec} \cup \{a'\}$ .  
             Compute a new element  $a' \in S_{\succ}$  in a similar way, using  $Q_{\succ}$  and  $\mathcal{D}_{\succ}$ .
5. **if**  $Q_{\prec}$  is empty (hence,  $S_{\prec}$  is the smaller set)  
     **then** ( $\star$  Compute the data structures for the recursive calls.  $\star$ )  
         Restore  $\mathcal{D}_{\succ}$  to the situation before step 4.  
         DELETE( $S_{\prec} \cup \{a_{piv}\}, \mathcal{D}_{\succ}$ ); DELETE( $a_{piv}, \mathcal{D}_{\prec}$ ).  
         Build new predecessor and successor structures  $\mathcal{D}'_{\prec}$  and  $\mathcal{D}'_{\succ}$  for the set  $S_{\prec}$ .  
         ( $\star$  Sort  $S_{\prec}$  and  $S - S_{\prec} - \{a_{piv}\}$  recursively.  $\star$ )  
         ORDER( $S_{\prec}, \mathcal{D}'_{\prec}, \mathcal{D}'_{\succ}$ ).  
         ORDER( $S - \{a_{piv}\} - S_{\prec}, \mathcal{D}_{\prec}, \mathcal{D}_{\succ}$ ).  
     **else** Compute the data structures for the recursive calls as above, reversing the roles of  $S_{\prec}, \mathcal{D}_{\prec}$  and  $S_{\succ}, \mathcal{D}_{\succ}$ . Sort  $S_{\succ}$  and  $S - S_{\succ} - \{a_{piv}\}$  recursively.
6. Concatenate  $S_{\prec}, a_{piv}$  and  $S_{\succ}$  to form the ordered list for  $S$ .

The following lemma proves the correctness of our algorithm.

**Lemma 1** *Procedure ORDER outputs a linear extension of  $(S, \prec)$  if it exists, and detects a cycle otherwise.*

**Proof:** It is straightforward to see that the algorithm never claims to have found a cycle that does not exist. It remains to show that if ORDER outputs a list  $a_1, \dots, a_n$  then this list is a correct ordering. Assume for a contradiction that  $a_i \succ a_j$  for some  $i < j$ . Then, at some stage of the algorithm,  $a_i$  must have been put into  $S_{\prec}$ , whereas  $a_j$  was put into  $S_{\succ}$ , or  $a_i$  was put into  $S_{\prec}$  and  $a_j$  was the pivot element  $a_{piv}$ , or  $a_i$  was the pivot element  $a_{piv}$  and  $a_j$  was put into  $S_{\succ}$ . The second and third case both imply that there is a cycle containing  $a_{piv}$ , and we can easily verify that Step 3 never fails to discover a cycle containing the pivot element. We thus consider the first case: If  $Q_{\prec}$  is empty after Step 3 then all predecessors of  $a_i$  have been found, including  $a_j$ . Hence,  $a_j$  would have been put into

$S_{\prec}$  instead of  $S_{\succ}$ . Similarly, if  $Q_{\succ}$  is empty then  $a_i$  would have been put into  $S_{\succ}$ .  $\square$

Next we prove a bound on the running time of the algorithm. Let us for the sake of simplicity assume that the query time of  $\mathcal{D}_{\prec}$  and the query time of  $\mathcal{D}_{\succ}$  are equal, and let this time be denoted by  $Q(n)$ . Similarly, let the time to build these structures on  $n$  elements be  $B(n)$ , and let  $D(n)$  denote the time for a deletion.

**Lemma 2** *The procedure ORDER runs in time  $O((B(n) + n(Q(n) + D(n))) \log n)$ . The running time reduces to  $O(B(n) + n(Q(n) + D(n)))$  if the function  $B(n)/n + Q(n) + D(n)$  is at least polynomially related to  $n$ .*

**Proof:** Since all other operations in the procedure can be done in constant time, the time that we spend is dominated by the operations on the structures  $\mathcal{D}_{\prec}$  and  $\mathcal{D}_{\succ}$ . Furthermore, if the size of the smaller of the two subsets  $S_{\prec}$  and  $S_{\succ}$  is  $m$ , then we perform at most  $2m + 2$  queries and deletions on these structures in Step 3 of the procedure. Restoring a data structure to a situation from the past, which we do in Step 4, can be done without extra asymptotic overhead if we record all the changes. Finally, we perform  $m$  deletions in Step 4, and we build new data structures for the smaller set. This adds up to  $B(m) + O(1 + m)(Q(n) + D(n))$  in total for the partitioning.

Next we argue that  $m \leq n/2$  if the partitioning is successful, that is, if no cycle is found at this point. Suppose that  $m > n/2$ . Then there must be an element  $a \in S_{\prec} \cap S_{\succ}$ . But this means that  $a_{piv}$  will be found as a predecessor or a successor (whichever happens first) and a cycle is detected. Trivially, an unsuccessful partitioning happens at most once, giving a one-time cost of  $O(n(Q(n) + D(n)))$ .

It follows that the total running time  $T(n)$  can be bounded by the recursion

$$T(n) \leq \max_{0 \leq m \leq n/2} B(m) + O(1 + m)(Q(n) + D(n)) + T(m) + T(n - m - 1),$$

which solves to the claimed time. This can most easily be seen by the following argument: At every partitioning, charge  $B(m)/m + O(1)(Q(n) + D(n))$  to each of the  $m$  elements in the smaller set and to  $a_{piv}$ . We assume that  $B(n)$  is at least linear, so we can bound the charge on a single element by  $c(n) := O(B(n)/n + Q(n) + D(n))$ . But every time an element gets charged, the size of the set that contains the element has at least been halved, so the total charge on a single element can be bounded by  $c(n) + c(n/2) + c(n/4) + \dots$ . This sums to  $O(c(n))$  if  $c(n)$  is at least polynomially related to  $n$ , giving a total of  $O(nc(n))$ . If that is not the case, we can observe that an element gets charged at most  $\log n$  times, so we can bound

the total time by  $O(nc(n) \log n)$ .  $\square$

Combining the two lemmas above, we obtain the following theorem.

**Theorem 1** *The procedure ORDER runs in time  $O((B(n) + n(Q(n) + D(n))) \log n)$ , and outputs an ordered list if  $(S, \prec)$  does not contain a cycle or finds a cycle otherwise. The running time reduces to  $O(B(n) + n(Q(n) + D(n)))$  if the function  $B(n)/n + Q(n) + D(n)$  is at least polynomially related to  $n$ .*

**Remark:** With a little extra effort, the algorithm can output a witness cycle, when  $(S, \prec)$  cannot be ordered. To this end, we keep track of the successor (predecessor) of each element that we put into  $S_{\prec}$  ( $S_{\succ}$ ). This extra information enables us to ‘walk back’ when we find  $a_{piv}$  in Step 3 of the algorithm, and report the elements of the cycle.

### 3 Verifying Linear Extensions

In this section it is shown how to verify a given order for a relation  $(S, \prec)$ . Notice that different orders can be valid for  $(S, \prec)$ , so it does not suffice to compute a valid order and compare it to the given order. The algorithm uses a straightforward divide-and-conquer approach. It relies on the existence of a data structure  $\mathcal{D}_{\prec}$  for predecessor queries. Unlike in the previous section, however, this data structure need not be dynamic. The algorithm we describe next has as input a list  $\mathcal{L} = \{a_1, \dots, a_n\}$ , of which we have to test whether it corresponds to a valid order. It will report that  $\mathcal{L}$  is not sorted or run quietly when  $\mathcal{L}$  is a valid ordering for  $(S, \prec)$ .

VERIFY( $\mathcal{L}$ )

if  $|\mathcal{L}| > 1$

then Let  $\mathcal{L}_1 = \{a_1, \dots, a_{\lfloor n/2 \rfloor}\}$ ,  $\mathcal{L}_2 = \{a_{\lfloor n/2 \rfloor + 1}, \dots, a_n\}$ .

Build a structure  $\mathcal{D}_{\prec}$  for predecessor queries on  $\mathcal{L}_2$ .

for  $i = 1$  to  $\lfloor n/2 \rfloor$

do if QUERY( $a_i, \mathcal{D}_{\prec}$ )  $\neq$  NIL

then Stop and report that  $\mathcal{L}$  is not sorted

VERIFY( $\mathcal{L}_1$ ); VERIFY( $\mathcal{L}_2$ )

The correctness of the procedure is obvious. If  $\mathcal{L}$  does not correspond to a valid order, then, by definition, there are elements  $a_i, a_j$  such that  $a_i \prec a_j$  and  $i > j$ . Now either  $i > \lfloor n/2 \rfloor$  and  $j \leq \lfloor n/2 \rfloor$ , or  $i, j \leq \lfloor n/2 \rfloor$ , or  $i, j > \lfloor n/2 \rfloor$ . The first case is tested by querying with the elements of  $\mathcal{L}_1$  in the data structure  $\mathcal{D}_{\prec}$ , and the second and third possibility are tested with the recursive calls for  $\mathcal{L}_1$  and  $\mathcal{L}_2$ , respectively. The following theorem is now straightforward. As before,  $B(n)$  denotes the time needed to build the structure  $\mathcal{D}_{\prec}$  on a set of  $n$  elements, and  $Q(n)$  denotes the query time.

**Theorem 2** *The procedure VERIFY verifies in time  $O((B(n) + nQ(n)) \log n)$  whether a list  $\mathcal{L}$  corresponds to an order for  $(S, \prec)$ . The running time of the procedure reduces to  $O(B(n) + nQ(n))$  if the function  $B(n)/n + Q(n)$  is at least polynomially related to  $n$ .*

**Remark:** Observe that if the procedure reports that  $\mathcal{L}$  is not ordered, then it can report a witness pair  $a_i, a_j$  of elements such that  $i < j$  and  $a_j \prec a_i$ . If the structure  $\mathcal{D}_\prec$  is dynamic, then the algorithm can even report all conflicting pairs. When we test an element  $a_i \in \mathcal{L}_1$ , we just remove each element  $a_j \in \mathcal{L}_2$  that conflicts with  $a_i$  from  $\mathcal{D}_\prec$ , and report the pair  $a_i, a_j$ , until no more conflicting elements are found. Then we reinsert the elements of  $\mathcal{L}_2$  into  $\mathcal{D}_\prec$ , and test the next element of  $\mathcal{L}_1$  in the same way.

## 4 Application to Depth Orders

### 4.1 Depth Orders for Rods

Let  $S$  be a set of  $n$  rods in 3-space, and let  $\vec{d}$  be the viewing direction. (The adaptation of the algorithms to ‘perspective depth orders’, that is, depth orders with respect to a point, is straightforward.) We want to find a depth order on  $S$  for direction  $\vec{d}$ . In other words, we want to find a linear extension of the relation  $(S, \prec)$ , where  $a \prec a'$  when there is a ray into direction  $\vec{d}$  that first intersects rod  $a'$  and then intersects rod  $a$ . When  $a \prec a'$ , we say that  $a$  lies *behind*  $a'$ , or that  $a'$  lies *in front of*  $a$ . Observe that  $\prec$  is not a transitive relation. To apply Theorem 1, we need dynamic data structures that store a set  $S' \subset S$  of rods and enable us to find a rod in  $S'$  lying behind resp. in front of a query rod. Define the *curtain* of a rod into direction  $\vec{d}$  to be the set of points  $q$  in 3-space such that there is a ray into direction  $\vec{d}$  that first intersects  $a$  and then intersects  $q$ . If we want to find a rod in  $S'$  lying in front of a query rod  $a$ , we just have to check whether  $a$  intersects one of the curtains hanging from the rods in  $S'$ , and report the rod holding that curtain. See Figure 2. Finding a rod lying behind a query rod can be done in a similar way. Agarwal and Matoušek [1, 2] have shown that intersection queries in a set of  $n$  curtains can be answered in time  $O(n^{1/3})$  with a structure that uses  $O(n^{4/3+\epsilon})$  space and has an update time of  $O(n^{1/3+\epsilon})$ . However, their update time is amortized. This is dangerous for us if we restore the data structure to a situation from the past in step 5 of procedure ORDER, since we could perform an expensive deletion too often. We can circumvent this problem by reinserting the elements, instead of restoring the data structure. If we do this, then the amortized update time guarantees us that the total amount of time taken by all the updates is good, leading to the desired running time. If both the rods holding the curtains and the query rods are

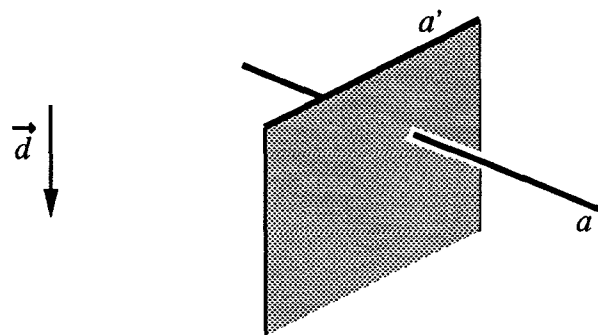


Figure 2: Rod  $a$  lies behind rod  $a'$  and, hence, intersects its curtain.

$c$ -oriented, that is, they have only  $c$  different orientations for some constant  $c$ , then queries can be answered in time  $O(\log^2 n)$  with a structure using  $O(n \log n)$  space and with  $O(\log^2 n)$  update time, see de Berg [7]. Combining this with Theorem 1 gives us the following result.

**Theorem 3** *Given a set  $S$  of  $n$  rods in 3-space and a viewing direction  $\vec{d}$ , one can compute a depth order on  $S$  for direction  $\vec{d}$ , or decide that there is cyclic overlap among the rods, in time  $O(n^{4/3+\epsilon})$ , for any fixed  $\epsilon > 0$ . If the rods are  $c$ -oriented then the time bound improves to  $O(n \log^3 n)$ .*

To verify a given depth order for a set of rods in 3-space, we use the results of Section 3. In the general case, we implement the data structures for predecessor and successor queries in the same way as we did when computing depth orders: we use Agarwal and Matoušek’s structure for intersection queries in curtains, which has  $O(n^{1/3})$  query time, and  $O(n^{4/3+\epsilon})$  preprocessing time. In the  $c$ -oriented case, we can use a more efficient structure than we used for computing depth orders: because the structure need not be dynamic, we can use the structure of de Berg and Overmars [9], which has  $O(\log n)$  query time, and  $O(n \log n)$  preprocessing time. We immediately obtain the following theorem.

**Theorem 4** *It is possible to verify a depth order on a given set  $S$  of  $n$  rods in 3-space for a viewing direction  $\vec{d}$  in time  $O(n^{4/3+\epsilon})$ , for any fixed  $\epsilon > 0$ . If the rods are  $c$ -oriented then the time bound improves to  $O(n \log^2 n)$ .*

### 4.2 Depth Orders for Triangles

To extend our results to triangles instead of rods, we only need to adapt the data structures for predecessor and successor queries. Let us discuss the structure for successor queries; to obtain a structure for successor queries we only have to reverse the roles of ‘behind’ and ‘in front of’.

A triangle  $t$  is in front of another triangle  $t'$  if and only if (i) an edge of  $t$  is in front of an edge of  $t'$ , (ii)  $t$  is in front of a vertex of  $t'$ , or (iii) a vertex of  $t$  is in front of  $t'$ . (A vertex  $v$  is in front of a triangle  $t'$  if there is a ray into the viewing direction that first intersects  $v$  and then intersects  $t'$ .) We already know how to find the triangles  $t'$  that satisfy condition (i) for a query triangle  $t$ . The triangles satisfying conditions (ii) and (iii) can be found as follows. Consider condition (ii), and assume, to simplify the description, that the viewing direction is the negative  $z$ -direction. Project all vertices onto the  $xy$ -plane, and let  $\bar{t}$  be the projection onto the  $xy$ -plane of a query triangle  $t$ . To find a vertex in front of  $t$  we select all vertices whose projection is contained in  $\bar{t}$  in a small number of groups; for such a group we can think of  $t$  as being a plane, and the question becomes that of reporting a point in a half-space in 3-space. The latter query can be answered in  $O(n^{1/3})$  time, using the half-space emptiness structure of Agarwal and Matoušek [1]. This structure uses  $O(n^{4/3+\epsilon})$  preprocessing, and has  $O(n^{1/3+\epsilon})$  update time. The selection can be done using a three-level partition tree: each level filters out those vertices lying on the appropriate side of the line through one of the three edges of  $\bar{t}$ . We use the partition trees of Matoušek [14], which allow for queries and updates in time  $O(n^{1/3})$  resp.  $O(n^{1/3+\epsilon})$ , and which uses  $O(n^{4/3+\epsilon})$  preprocessing time and space. Because the preprocessing and the query time in such a multi-level partition tree are essentially determined by the least efficient level, the preprocessing time, query time and update time of the total structure remain  $O(n^{4/3+\epsilon})$ ,  $O(n^{1/3})$  and  $O(n^{1/3+\epsilon})$ , respectively. See [1, 3, 6, 14] for further details on the analysis of multi-level partition trees. The structure for condition (iii) is the same (up to some dualizations) as the structure for (ii) that we just described. We conclude that a dynamic structure for predecessor queries in a set of triangles exists with  $O(n^{1/3})$  query and  $O(n^{1/3+\epsilon})$  update time, using  $O(n^{4/3+\epsilon})$  preprocessing time and space. As before, these bounds are amortized, implying that we should reinsert the elements in step 5 of procedure ORDER, instead of restoring the data structure to a situation from the past.

For the  $c$ -oriented case, where the edges of the triangles have only  $c$  different orientations for some constant  $c$ , we can use structures from [7]. There it is shown that a vertex in front of a  $c$ -oriented query triangle can be found in  $O(\log^3 n)$  time, with a structure that uses  $O(n \log^2 n)$  space and has  $O(\log^3 n)$  update time. A triangle in front of a query vertex can be found in  $O(\log^2 n \log \log n)$  time, using  $O(n \log^2 n)$  space and with  $O(\log^2 n \log \log n)$  update time. Furthermore, finding a vertex in front of an axis-parallel rectangle can be done with a structure whose query and update time are  $O(\log^2 n)$ .

The above, combined with Theorems 1 and 2 leads to the following result.

**Theorem 5** *Given a set  $S$  of  $n$  triangles in 3-space and a viewing direction  $\vec{d}$ , one can compute a depth order on  $S$  for direction  $\vec{d}$ , or decide that there is cyclic overlap among the triangles, in time  $O(n^{4/3+\epsilon})$ , for any fixed  $\epsilon > 0$ . If the triangles are  $c$ -oriented then the time bound improves to  $O(n \log^4 n)$ , and if the objects are axis-parallel rectangles then the algorithm takes  $O(n \log^3 n \log \log n)$  time.*

To verify a given depth order for an arbitrary set of triangles, we use the same structures as for computing a depth order. In the  $c$ -oriented case, however, we can save some logarithmic factors by using static structures instead of dynamic ones. In the algorithm of Section 3 we have to test whether the triangles in a list  $\mathcal{L}_1$  do not lie in front of any triangle in a list  $\mathcal{L}_2$ . Testing whether there is an edge of a triangle in  $\mathcal{L}_1$  that lies in front of an edge of a triangle in  $\mathcal{L}_2$  can be done in  $O(n \log n)$  time, as in Subsection 4.1. To test for conflicts corresponding to conditions (ii), we build a structure on the triangles in  $\mathcal{L}_1$  that reports the first triangle that is hit by a query ray starting from infinity into the viewing direction. Next, we shoot rays from infinity into the viewing direction towards each vertex of all triangles in  $\mathcal{L}_2$ ; when we know the first triangle that is hit by the ray towards a certain vertex, we can decide if there is any triangle in front of the vertex. There exists a structure that answers these ray shooting queries in  $O(\log n)$  time, after  $O(n \log n)$  preprocessing [9]. Hence, in  $O(n \log n)$  time we can decide if there is a triangle in  $\mathcal{L}_1$  that is in front of some vertex of a triangle in  $\mathcal{L}_2$ . To test condition (iii) we build a similar structure on the triangles in  $\mathcal{L}_2$  (only this time for query rays into the opposite viewing direction), and we query with vertices of triangles in  $\mathcal{L}_1$ . This leads to the following theorem.

**Theorem 6** *It is possible to verify a given depth order on a set  $S$  of  $n$  triangles in 3-space for a direction  $\vec{d}$  in time  $O(n^{4/3+\epsilon})$ , for any fixed  $\epsilon > 0$ . If the triangles are  $c$ -oriented then the time bound improves to  $O(n \log^2 n)$ .*

### 4.3 Extension to Polygons

Consider the case where we want to compute a depth order for a set of polygons in 3-space, instead of a set of triangles. Let  $n$  be the total number of vertices of the polygons. First, we triangulate every polygon, which can be done in  $O(n)$  time in total [4]. Observe that one polygon is behind another polygon if and only if one of the triangles in the triangulation of the first polygon is behind one of the triangles of the second polygon. Hence, we can use the same data structures as before to find predecessors and successors. However, if the polygons do not have constant complexity, then there is a slight problem: the triangles that correspond to the same polygon must stay together in the ordering, so when we find one triangle as a predecessor

or successor we have to report the other triangles as well. This is problematic, because the number of other triangles can be large. Suppose that during our tandem search we suddenly have to add a very large polygon to one of the subsets; if we find out in the next step that the other subset is complete, then we have spent a lot of time that we cannot charge to the smaller subset. An elegant solution to this problem can be obtained if we realize that we can choose any particular pivot element we like. Hence, we can choose the polygon with the largest complexity as pivot element. The tandem search for the sets  $S_{\leftarrow}$  and  $S_{\rightarrow}$  now proceeds as follows. We find successors and predecessors using the data structures for triangles. However, when we find a large polygon for, say,  $S_{\leftarrow}$ , we first allow  $S_{\rightarrow}$  to catch up. Thus we search for successors until the complexity of  $S_{\rightarrow}$ —that is, the total number of vertices of all polygons in  $S_{\rightarrow}$ —is greater than the complexity of  $S_{\leftarrow}$ . When this happens, we start querying for predecessors again, and so forth, until one of the subsets is completed. This way the extra work that we have to do, caused by adding a large polygon to what turns out to be the larger set, is bounded by the time spent on one polygon. Since the pivot polygon is chosen to be the largest polygon in the set, we can charge this extra work to the pivot polygon. Clearly, each polygon is charged at most once this way, because in the recursive calls we do not consider the pivot element anymore. Thus the asymptotic running time of the algorithm remains the same, and we have the following theorem.

**Theorem 7** *Given a set  $S$  of polygons in 3-space with  $n$  vertices in total, and a viewing direction  $\vec{d}$ , one can compute a depth order on  $S$  for direction  $\vec{d}$ , or decide that there is cyclic overlap among the polygons, in time  $O(n^{4/3+\epsilon})$ , for any fixed  $\epsilon > 0$ . If the polygons are  $c$ -oriented then the time bound improves to  $O(n \log^4 n)$ , and if the polygons are axis-parallel then the algorithm takes  $O(n \log^3 n \log \log n)$  time.*

The adaptation of the verification procedure to polygons is fairly straightforward, and we leave it as an (easy) exercise to the reader.

**Theorem 8** *It is possible to verify a given depth order on a set  $S$  of polygons in 3-space with  $n$  vertices in total, for a viewing direction  $\vec{d}$ , in time  $O(n^{4/3+\epsilon})$ , for any fixed  $\epsilon > 0$ . If the polygons are  $c$ -oriented then the time bound improves to  $O(n \log^2 n)$ .*

## 5 Concluding Remarks

We have shown that it is possible to compute a depth order for a set of rods in 3-space in subquadratic time. More specifically, a depth order can be computed in  $O(n^{4/3+\epsilon})$

time in the general case, and in  $O(n \log^3 n)$  time in the  $c$ -oriented case. It is also possible to verify a given depth order, and the results can be extended to polygons instead of rods. Our algorithms are based on a general framework to compute or verify a linear extension of an implicitly defined binary relation, which might have other applications as well.

When a depth order is needed as input to a hidden surface removal algorithm, we are not done if we detect a cycle: the cycles should be removed by cutting the objects into smaller pieces. Moreover, we would like to use as few cuts as possible. As mentioned in the introduction, binary space partitions are a way of cutting the objects to obtain a depth order, but there is no guarantee that the number of pieces in this scheme is small [17]. We leave the computation of the minimum (or a small) number of cuts as an open problem. See [5] for an initial study of these problems.

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