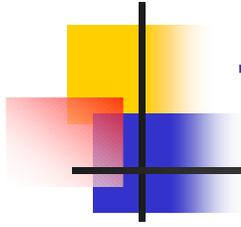


# Efficiently Approximating the Minimum-Volume Bounding Box of a Point Set in Three Dimensions

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Author: Gill Barequet and Sarel Har-Peled

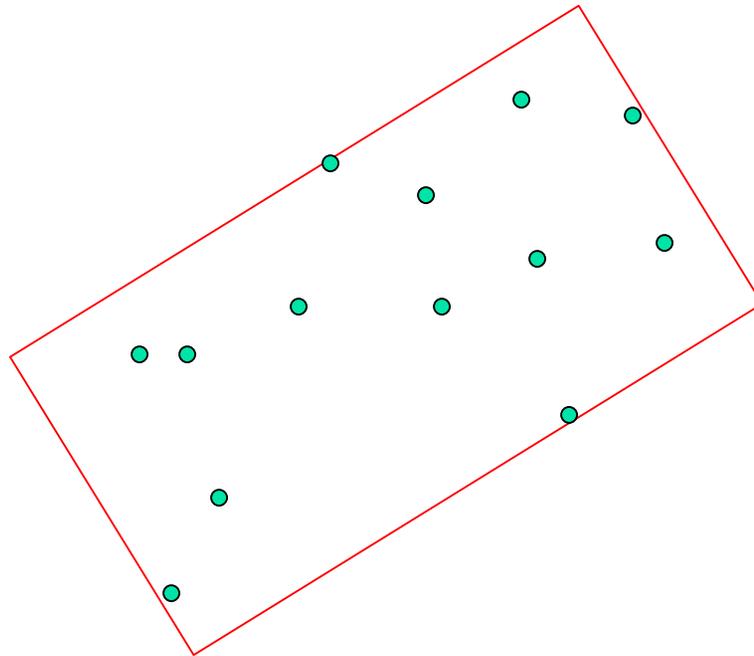
Presenter: An Nguyen

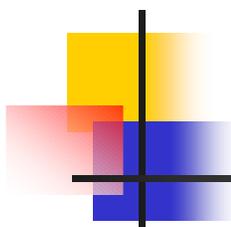


# The Problem

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- Input: a set  $S$  of points in  $\mathbb{R}^3$ , a parameter  $0 < \varepsilon < 1$
- Output: A **bounding box** enclosing  $S$  and approximating the minimum bounding box of  $S$  by a factor  $(1+\varepsilon)$

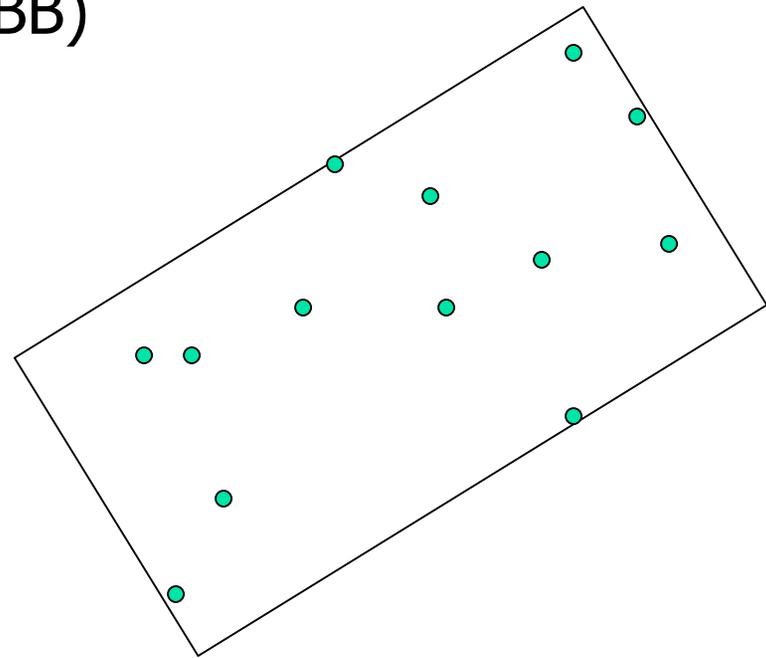
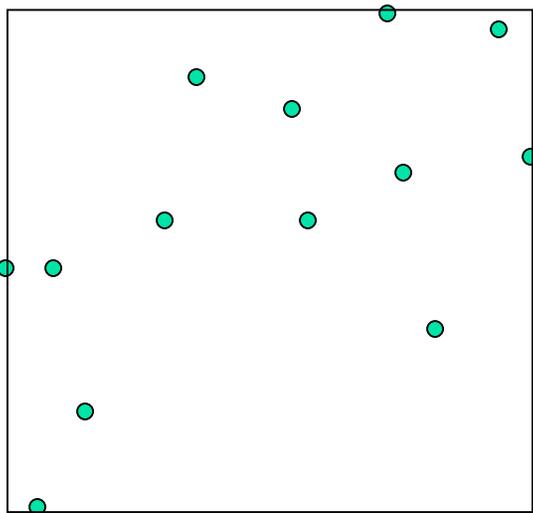




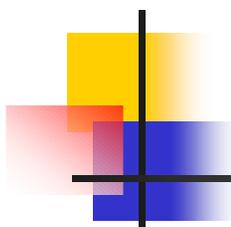
# Related Works

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- Axis-aligned bounding box (AABB)
- Oriented bounding box (OBB)



Computations take  $O(n)$  time and space  
**Heuristics, no quality guarantee!**



## Related Works

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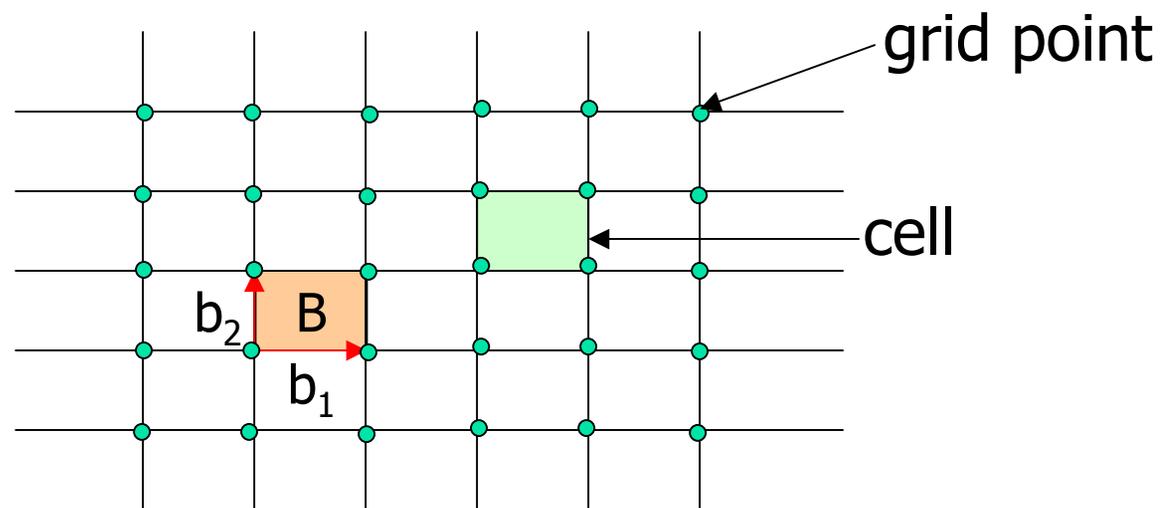
- Exact algorithm to compute minimum-volume bounding box:
  - $O(n \log n)$  time in  $\mathbb{R}^2$ ,  $O(n)$  if  $\mathcal{CH}(R)$  is known.
  - $O(n^3)$  time in  $\mathbb{R}^3$

# Notations

- Box  $B = (b_1, b_2, b_3)$
- Grid points  $\text{Grid}(B) = \left\{ i_1 b_1 + i_2 b_2 + i_3 b_3 \mid i_1, i_2, i_3 \in \mathbb{Z} \right\}$

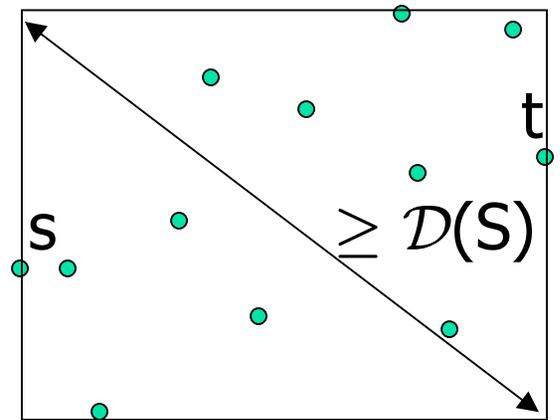
- Cell

$$B_{(i,j,k)}^g = \left\{ x_1 b_1 + x_2 b_2 + x_3 b_3 \mid \begin{array}{l} i \leq x_1 \leq i + 1, \\ j \leq x_2 \leq j + 1, \\ k \leq x_3 \leq k + 1, \end{array} i, j, k \in \mathbb{Z} \right\}$$



# Coarse Approximation for Diameter

- Lemma: A pair of points  $(s,t)$  such that  $|st| \leq \mathcal{D}(S) \leq \sqrt{d} |st|$  can be computed in  $O(n)$  time



$\mathcal{D}(S)$ : diameter of S

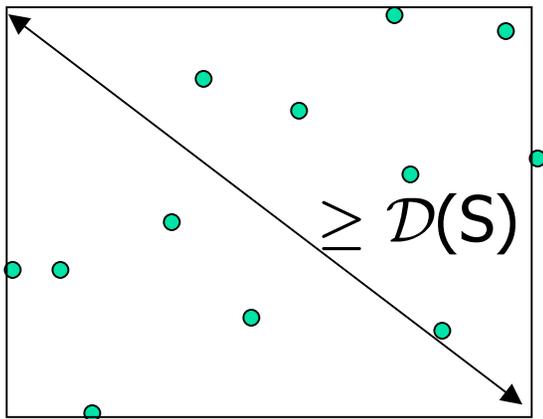
In particular, we have a linear time approximate algorithm

$(1/\sqrt{2})$ -approximate algorithm in  $\mathbb{R}^2$

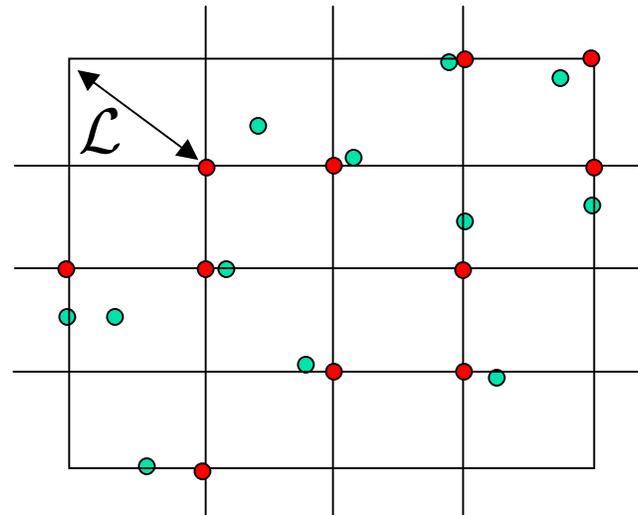
$(1/\sqrt{3})$ -approximate algorithm in  $\mathbb{R}^3$

# (1+ε)-Approximate Algorithm for Diameter

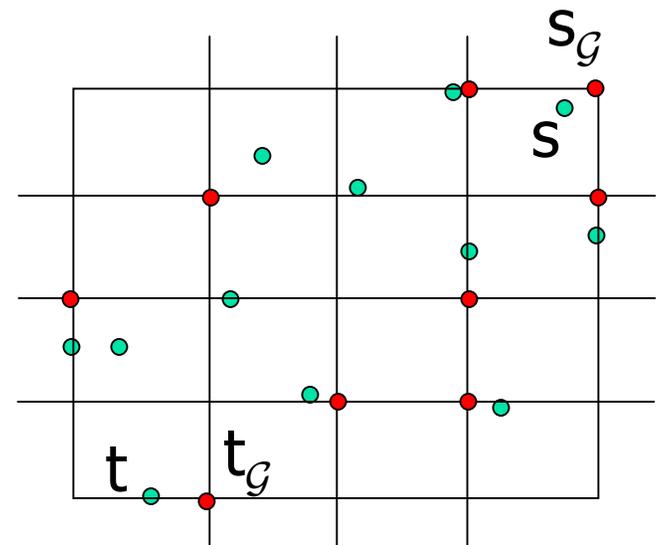
Lemma: A pair of points  $(s,t)$  such that  $|st| \geq (1-\varepsilon)\mathcal{D}(S)$  can be computed in time  $O(n+1/\varepsilon^{2(d-1)})$



original points  $S$   
compute  $B(S)$



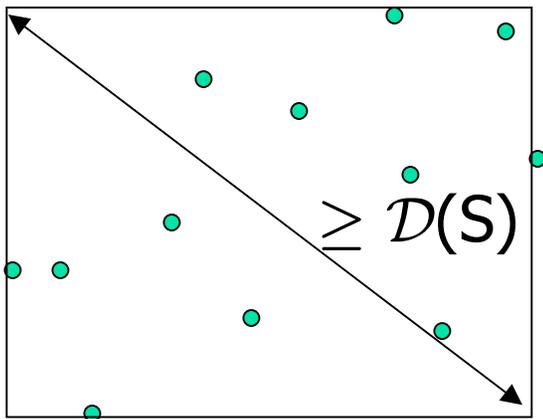
snap  $S$  to grid  $(\varepsilon/(2\sqrt{d}) B)$   
to get  $S_g$



keep only extreme grid points  
brute force to find the diameter  
 $(s_g, t_g)$  and thus  $(s,t)$

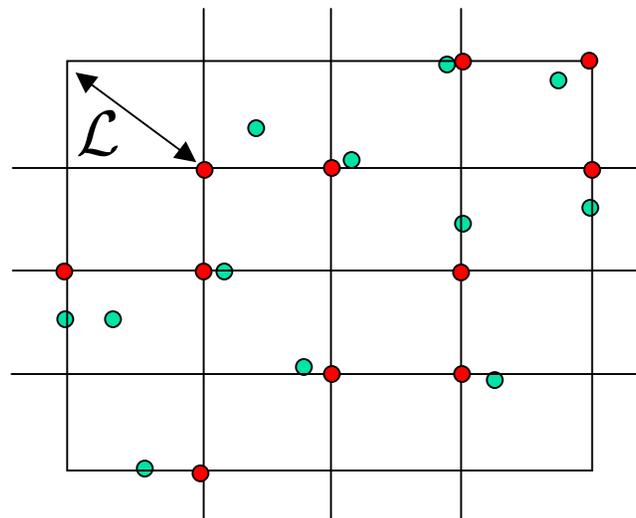
# (1+ε)-Approximate Algorithm for Diameter

Lemma: A pair of points (s,t) such that  $|st| \geq (1-\varepsilon)\mathcal{D}(S)$  can be computed in time  $O(n+1/\varepsilon^{2(d-1)})$



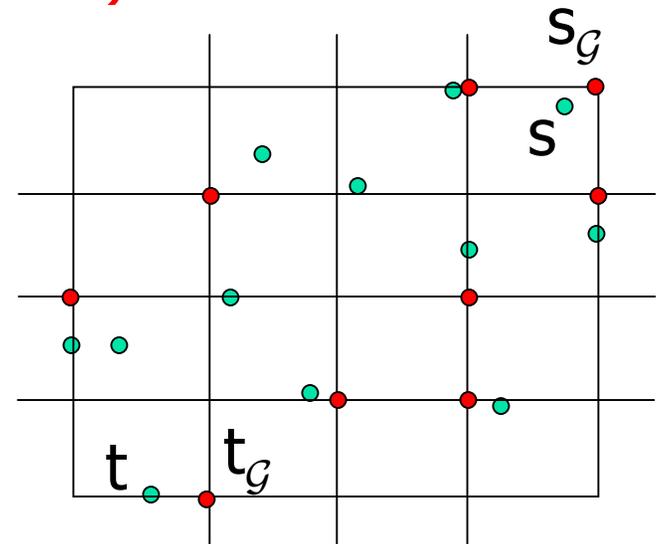
original points S  
compute B(S)

cost:  $O(n)$



snap S to grid  $(\varepsilon/(2\sqrt{d}) B)$   
grid size  $\sim O(\varepsilon)$ ,  
 $|S_g| = O(1/\varepsilon^d)$

cost:  $O(n)$

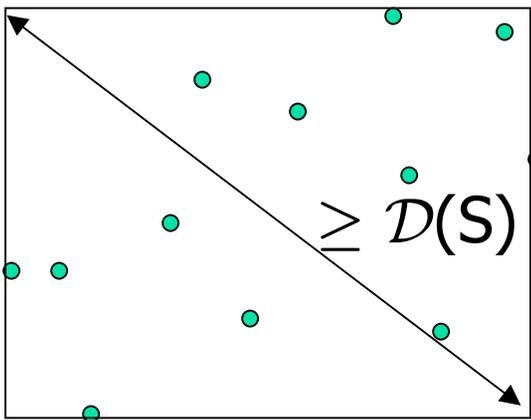


keep only extreme grid points  
 $\rightarrow O(1/\varepsilon^{d-1})$  remains  
brute force to find the diameter

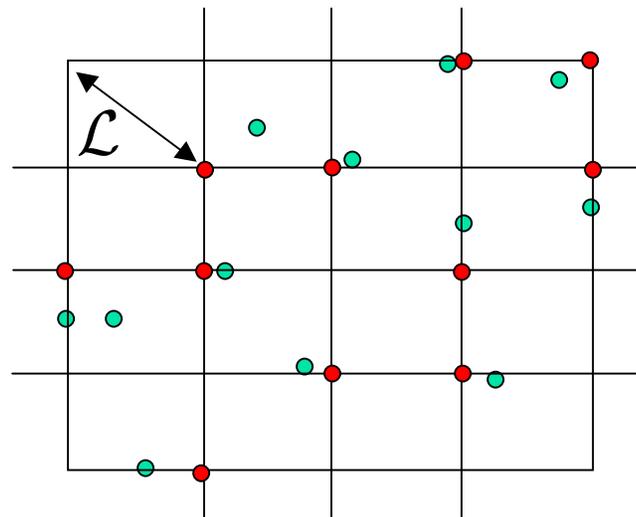
cost:  $O(1/\varepsilon^{2(d-1)})$

# (1+ε)-Approximate Algorithm for Diameter

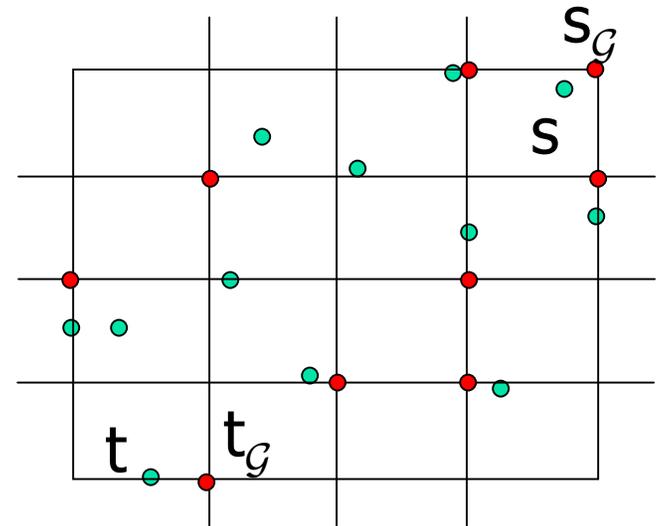
Lemma: A pair of points (s,t) such that  $|st| \geq (1-\varepsilon)\mathcal{D}(S)$  can be computed in time  $O(n+1/\varepsilon^{2(d-1)})$



original points S  
compute  $B(S)$



snap S to grid  $(\varepsilon/(2\sqrt{d})) B$

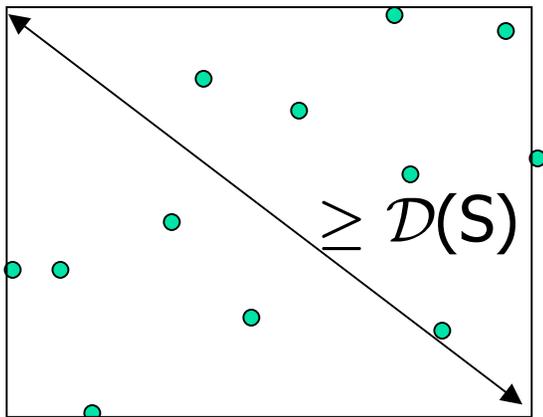


keep only extreme grid points  
brute force to find the diameter

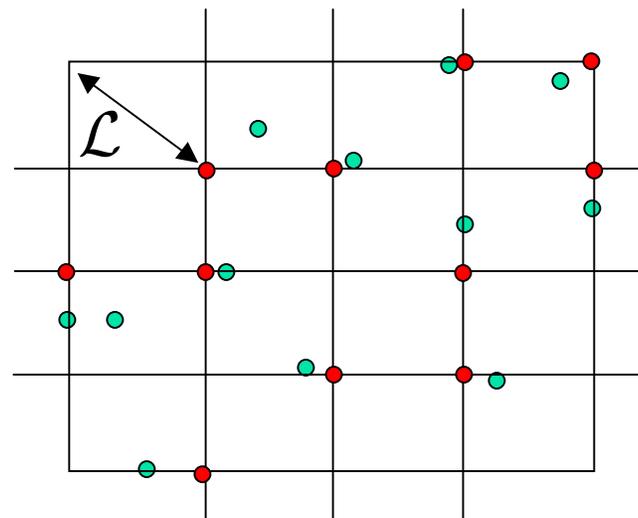
$$|st| \geq |s_G t_G| - \mathcal{L} = \mathcal{D}(S_G) - \mathcal{L} \geq \mathcal{D}(S) - 2\mathcal{L} \geq (1-\varepsilon) \mathcal{D}(S)$$

# Can we improve?

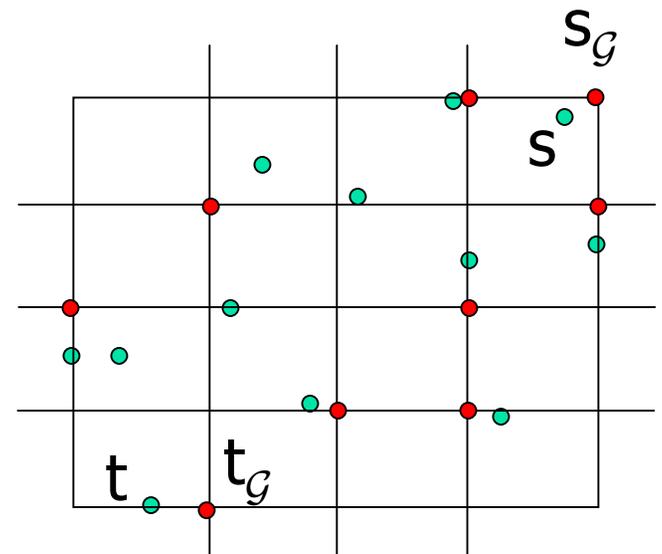
- Lemma: A pair of points  $(s,t)$  such that  $|st| \geq (1-\varepsilon)\mathcal{D}(S)$  can be computed in time  $O(n+1/\varepsilon^{2(d-1)})$



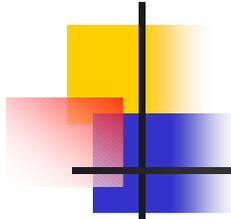
original points S  
compute  $B(S)$



snap S to grid  $(\varepsilon/(2\sqrt{d}) B)$   
to get  $S_g$



keep only **extreme grid points**  
**brute force** to find the diameter  
 $(s_g, t_g)$  and thus  $(s,t)$

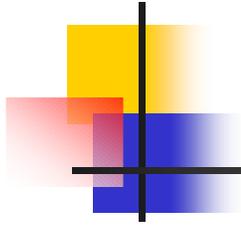


# Computing Extreme Points

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- $\mathcal{CH}(S_G)$  has size  $h = |\mathcal{CH}(S_G)| = O(1/\varepsilon^{(d-1)d/(d+1)})$
- $S_G$  has size  $m = O(1/\varepsilon^{d-1})$
- $\mathcal{CH}(S_G)$  can be computed (using output sensitive alg.)  
 $O(m \log^{d+2} h + (mh)^{1-1/(d/2 + 1)} \log^{O(1)} m)$   
 $= O(1/\varepsilon^{2(d-1)d/(d+1)})$
- Brute force computation of diameter:  
 $O(h^2) = O(1/\varepsilon^{2(d-1)d/(d+1)})$   
  
 $\rightarrow O(n + 1/\varepsilon^{2(d-1)d/(d+1)})$  time algorithm

$O(n + 1/\varepsilon^3)$  in  $\mathbb{R}^3$



# Better Diameter Computation in $\mathbb{R}^3$

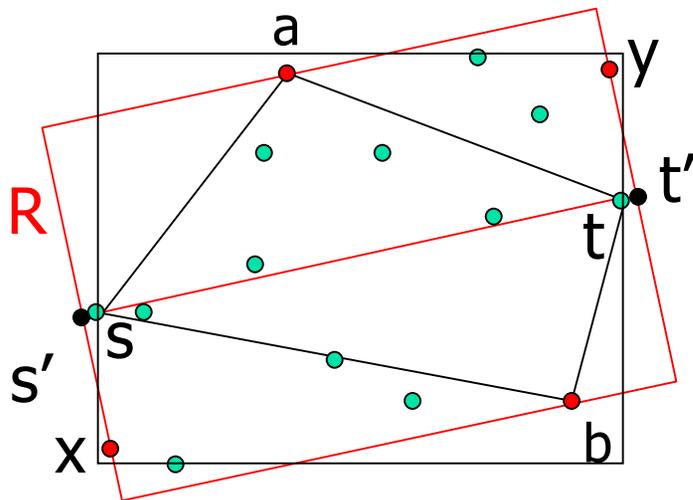
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- Exact diameter of  $\mathcal{CH}(S_g)$  can be computed in time  $O((1/\varepsilon^{3/2})\log(1/\varepsilon))$

→  $O(n + (1/\varepsilon^{3/2})\log(1/\varepsilon))$  time algorithm

# Coarse Approximation Algorithm for Bounding Box

- Lemma: we can compute in  $O(n)$  time a bounding box  $B(S)$  such that
 
$$\text{Vol}(B_{\text{opt}}(S)) \leq \text{Vol}(B(S)) \leq 6\sqrt{6} \text{Vol}(B_{\text{opt}}(S))$$



Idea (in 2D, for point set  $Q$ )

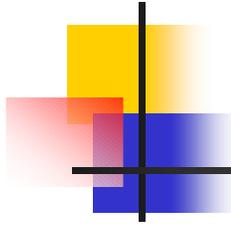
1. Compute approximate diameter  $st$
2. Compute **rectangle  $R$**  with direction  $st$
3. Observe:

$$|s't'| \leq |xy| \leq \mathcal{D}(Q) \leq |st|/\sqrt{2}$$

the width of  $R$

$$\text{Area}(R(Q)) = 2 \text{Area}(s'bt'a) \leq 2\sqrt{2} \text{Area}(sbta) \leq 2\sqrt{2} \mathcal{CH}(Q) \leq 2\sqrt{2} \text{Area}(R_{\text{opt}}(Q))$$

$$\text{Vol}(B(S)) \leq 6\sqrt{6} \text{Vol}(\mathcal{CH}(S))$$



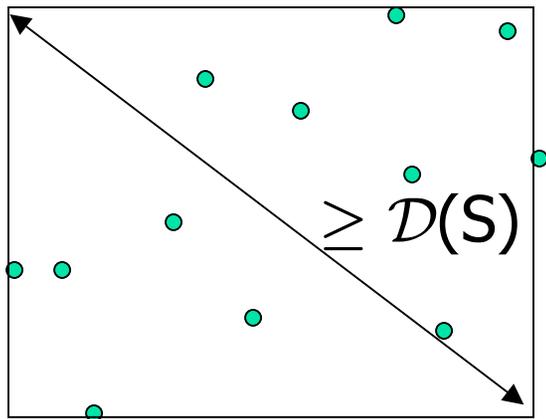
## Coarse Box Property

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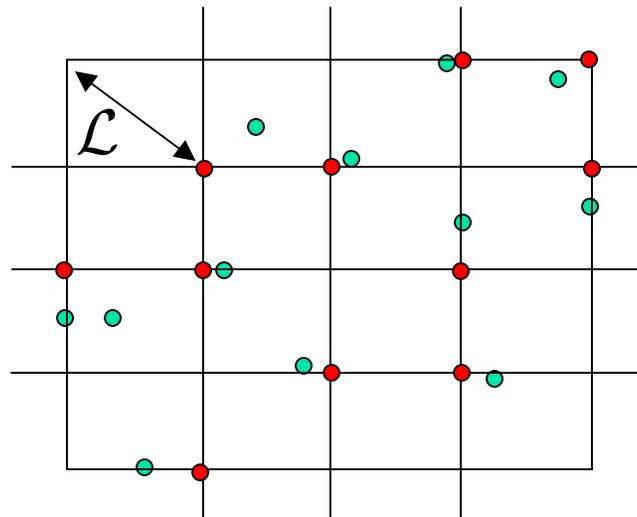
- Lemma: There exists a translation  $v \in \mathbb{R}^3$  for which  $(1/107)B(S) + v \subseteq \mathcal{CH}(S)$
- Proof idea:
  - Suppose  $B(S)$  is an axis-aligned unit cube
  - $\text{Vol}(\mathcal{CH}(S)) \geq 1/(6\sqrt{6})$
  - A unit cube has diameter  $\sqrt{3}$ , any cross section has area  $\leq 3\pi/4$
  - $\mathcal{CH}(S)$  has width  $\geq 2/(9\sqrt{6}\pi)$
  - $\mathcal{CH}(S)$  inscribes a ball of radius  $2/(9\sqrt{6}\pi)/(2\sqrt{3}) = 1/(27\sqrt{2}\pi)$
  - $\mathcal{CH}(S)$  inscribes an axis-aligned cube of size  $(2/\sqrt{3})/(27\sqrt{2}\pi) = \sqrt{2} / (27\sqrt{3}\pi) > 1/104$

# (1+ε)-Approximate Algorithm for Diameter (revisit)

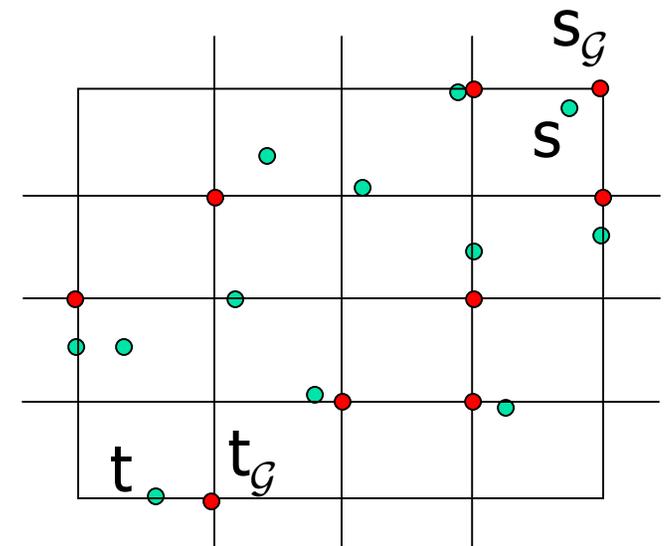
- Lemma: A pair of points  $(s,t)$  such that  $|st| \geq (1-\varepsilon)\mathcal{D}(S)$  can be computed in time  $O(n+1/\varepsilon^{2(d-1)})$



original points  $S$   
compute  $B(S)$



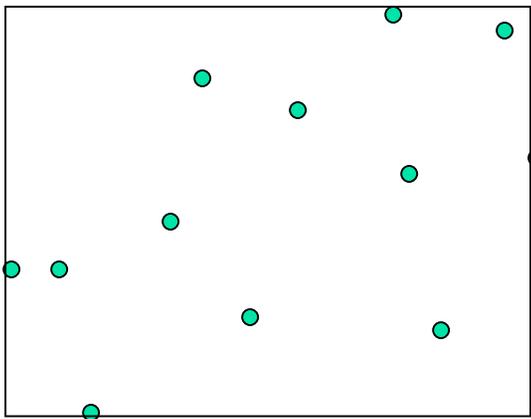
snap  $S$  to grid  $(\varepsilon/(2\sqrt{d})) B$   
to get  $S_g$



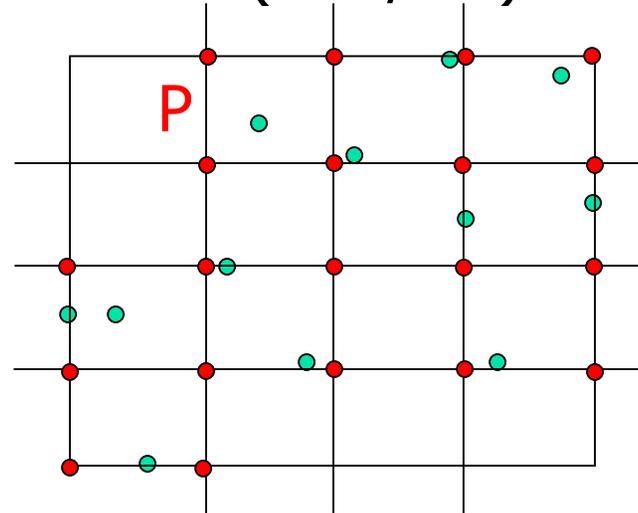
keep only extreme grid points  
brute force to find the diameter  
 $(s_g, t_g)$  and thus  $(s,t)$

# (1+ε)-Approximate Algorithm for Bounding Box

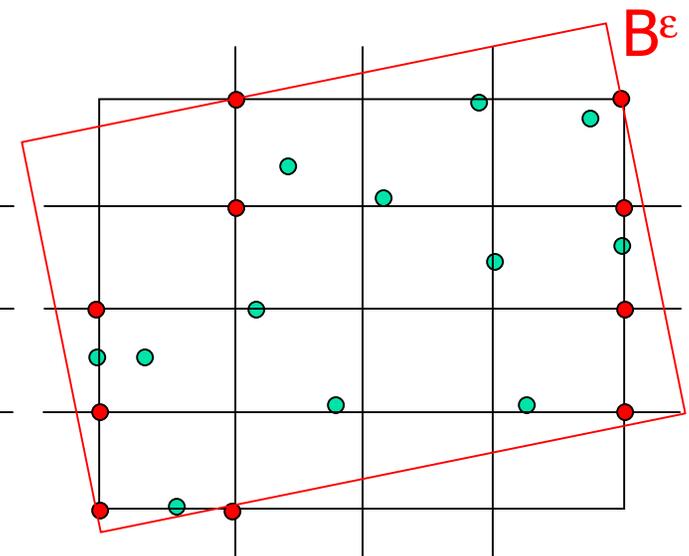
- Thm: A box  $B(S)$  such that  $B(S) \leq (1+\varepsilon) B_{\text{opt}} S$  can be computed in time  $O(n+1/\varepsilon^{4.5})$



original points  $S$   
compute coarse box  $B$



expand  $S$  to  $\text{grid}(\varepsilon/428 B)$   
to get  $S_g$

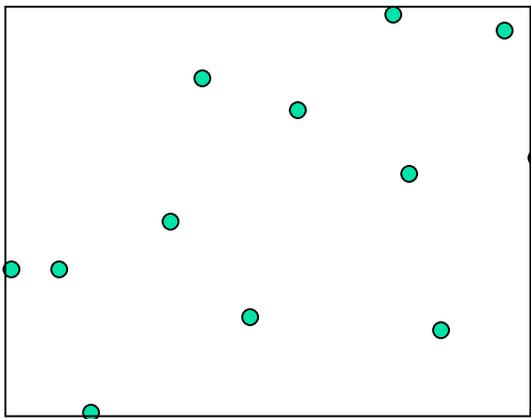


keep only extreme grid points  
and their convex hull

brute force to find the  
bounding box  $B_{\text{opt}}^\varepsilon$

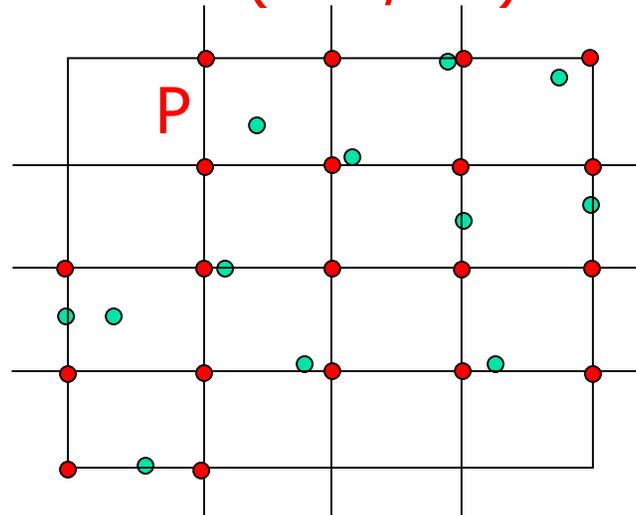
# (1+ε)-Approximate Algorithm for Bounding Box

- Thm: A box  $B(S)$  such that  $B(S) \leq (1+\varepsilon) B_{\text{opt}} S$  can be computed in time  $O(n+1/\varepsilon^{4.5})$



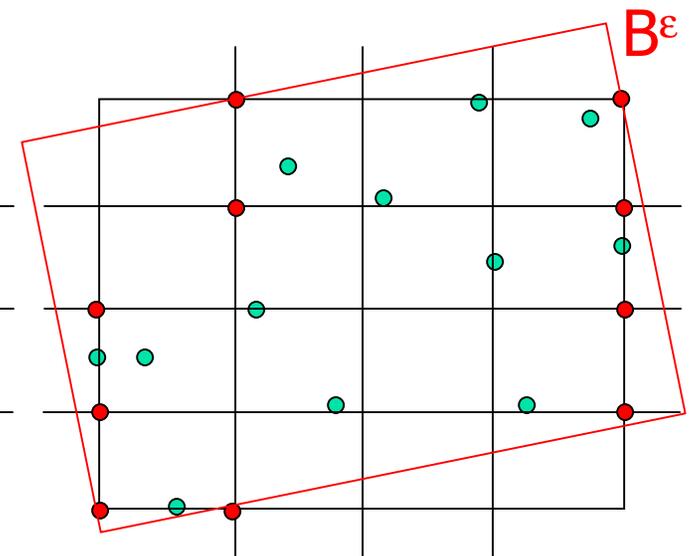
original points S  
compute coarse box B

Cost:  $O(n)$



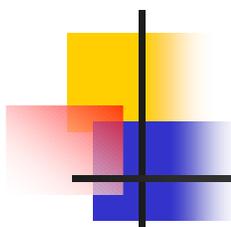
expand S to grid( $\varepsilon/428$  B)  
to get  $S_g$

Cost:  $O(n)$



Convex hull  
Cost:  $O(1/\varepsilon^2 \log(1/\varepsilon))$   
Output:  $O(1/\varepsilon^{3/2})$  points

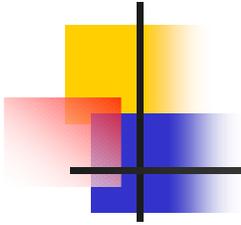
Compute:  $B_{\text{opt}}^\varepsilon$   
Cost:  $O(1/(\varepsilon^{3/2})^3)$



# $(1+\varepsilon)$ -Approximate Algorithm for Bounding Box

- Thm: A box  $B(S)$  such that  $B(S) \leq (1+\varepsilon) B_{\text{opt}}(S)$  can be computed in time  $O(n+1/\varepsilon^{4.5})$
- Let  $B^\varepsilon = (1/428)B$ ,  $B_{\text{opt}}^\varepsilon = \varepsilon/4 B_{\text{opt}}(S)$  such that  $B_{\text{opt}}^\varepsilon$  contains  $B^\varepsilon$ .

We don't know  $B_{\text{opt}}(S)$ , yet we know  $B_{\text{opt}}^\varepsilon$  exists!
- $$\begin{aligned} P &\subset \mathcal{CH}(S) \oplus B^\varepsilon \\ &\subset \mathcal{CH}(S) \oplus B_{\text{opt}}^\varepsilon \\ &\subset B_{\text{opt}}(S) \oplus B_{\text{opt}}^\varepsilon \\ &= (1+\varepsilon/4) B_{\text{opt}}(S) \end{aligned}$$
- $\text{Vol}(B_{\text{opt}}(P)) \leq (1+\varepsilon/4)^3 \text{Vol}(B_{\text{opt}}(S)) \leq (1+\varepsilon) \text{Vol}(B_{\text{opt}}(S))$

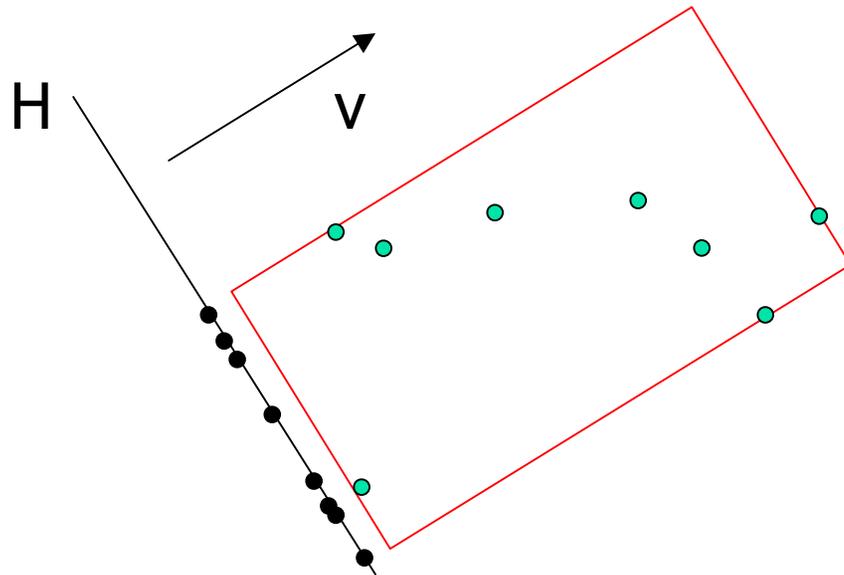


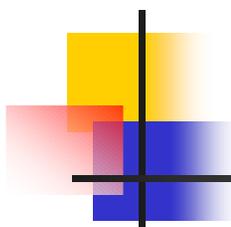
Algorithm is too **complicated** to implement!

# Grid Search Algorithm

- Thm: A box  $B(S)$  such that  $B(S) \leq (1+\varepsilon) B_{\text{opt}}(S)$  can be computed in time  $O(n \log n + n/\varepsilon^3)$

Idea: if the direction  $v$  of a side of  $B_{\text{opt}}(S)$  is [approximately] known, we can project  $S$  to some plane  $H$  perpendicular to  $v$  then compute the optimal rectangle bounding of the projected points





# The Algorithm

---

ALGORITHM GRIDSEARCHMINVOLBBX ( $S, \varepsilon$ )

Input: A set  $S$  of  $n$  points in  $\mathbb{R}^3$ , and a parameter  $0 < \varepsilon \leq 1$ .

Output: A  $(1 + \varepsilon)$ -approximation of  $B_{\text{opt}}(S)$ .

begin

  Compute  $\mathcal{CH}(S)$ ;

←  $O(n \log n)$

  Compute  $B^*(S)$ ; /\* The box generated by Lemma 3.6 \*/

  Compute  $BG = G(B^*(S), c/\varepsilon)$ ; /\* Refer to the text for the value of  $c$  \*/

  Set  $\text{min\_vol} := \infty$  and  $v^* := \text{undefined}$ ;

  for  $v \in BG$  do

    Compute  $B = B_{\text{opt}}(S, \{v\})$ ;

←  $O(n)$

    if  $\text{min\_vol} > \text{Vol}(B)$  then do

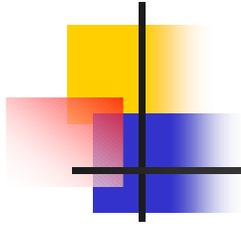
      Set  $\text{min\_vol} := \text{Vol}(B)$  and  $v^* := v$ ;

    od

  end for

  Return  $B_{\text{opt}}(S, \{v^*\})$ ;

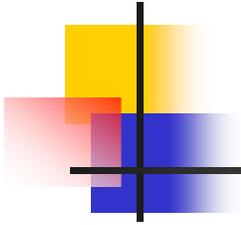
end GRIDSEARCHMINVOLBBX



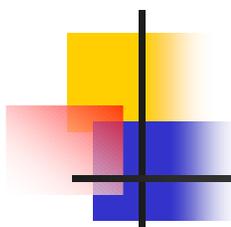
## Proof of Correctness

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- If we generate enough directions, one direction  $v$  will be close enough to the direction of the longest edge of the optimal bounding box  $B_{\text{opt}}$
- The optimal box with direction  $v$  containing  $B_{\text{opt}}$  has volume only slightly larger than  $B_{\text{opt}}$



Algorithm is too **slow** for implementation!



# Implementation

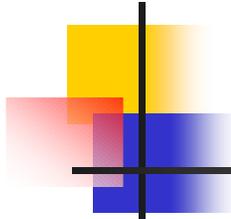
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- Algorithms:
  1. Compute  $B^*$  (box along approximate diagonals)
  2. Find  $B(S,v)$  for certain direction  $v$  (grid search algorithm)
  3. Local refinement (re-projection, recompute optimal rectangle)
  
- Input
  - 4 points
  - 48 random points
  - 100 random points on a sphere

Optimal solution is not computed for comparison!

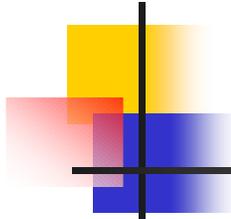
# Results – 4 points

	S	Distribution	Box	Volume	Calls to MVBB (v)	Time	
						Per call	Total
	4		$B^*(S)$	0.07980	1	(unreliable)	0
along appx diagonal			All pairs	0.07980	1	(unreliable)	0
min-vol along edges			$B^*(S)$ -G(2)	0.03995	23	(unreliable)	0
grid search, along $B^*$			(Improved)	0.03995	3	(unreliable)	0
			$B^*(S)$ -G(5)	0.03995	339	118 $\mu$ Sec	0.04 Sec
			(Improved)	0.03995	3	(unreliable)	0
search size			$B^*(S)$ -G(10)	0.03995	3107	113 $\mu$ Sec	0.35 Sec
			(Improved)	0.03995	3	(unreliable)	0
reprojection			$B^*(S)$ -G(20)	0.03995	26019	113 $\mu$ Sec	2.95 Sec
			(Improved)	0.03995	3	(unreliable)	0
			$xyz$ -G(2)	0.07974	23	(unreliable)	0
			(Improved)	0.05267	15	(unreliable)	0
grid search, std. coord.			$xyz$ -G(5)	0.05674	339	118 $\mu$ Sec	0.04 Sec
			(Improved)	0.04202	27	(unreliable)	0.01 Sec
			$xyz$ -G(10)	0.05005	3107	119 $\mu$ Sec	0.37 Sec
			(Improved)	0.04009	9	(unreliable)	0
			$xyz$ -G(20)	0.04082	26019	119 $\mu$ Sec	3.10 Sec
			(Improved)	0.04082	3	(unreliable)	0



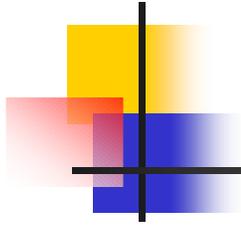
# Results – 48 points

48	Arbitrary	$B^*(S)$	168.82	1	(unreliable)	0
		All pairs	83.20	1,128	674 $\mu$ Sec	0.76 Sec
		$B^*(S)$ -G(2)	87.11	23	(unreliable)	0.02 Sec
		(Improved)	83.24	18	(unreliable)	0.01
		$B^*(S)$ -G(5)	84.13	339	678 $\mu$ Sec	0.23 Sec
		(Improved)	83.39	12	(unreliable)	0
		$B^*(S)$ -G(10)	83.28	3,107	679 $\mu$ Sec	2.11 Sec
		(Improved)	83.18	9	(unreliable)	0
		$B^*(S)$ -G(20)	83.28	26,019	677 $\mu$ Sec	17.61 Sec
		(Improved)	83.18	9	(unreliable)	0.01 Sec
		$xyz$ -G(2)	83.22	23	(unreliable)	0.02 Sec
		(Improved)	83.20	6	(unreliable)	0
		$xyz$ -G(5)	83.22	339	678 $\mu$ Sec	0.23 Sec
		(Improved)	83.20	6	(unreliable)	0
		$xyz$ -G(10)	83.22	3,107	653 $\mu$ Sec	0.23 Sec
		(Improved)	83.20	6	(unreliable)	0.01 Sec
		$xyz$ -G(20)	83.22	26,019	658 $\mu$ Sec	17.13 Sec
		(Improved)	83.11	6	(unreliable)	0



# Results – 100 points

100	Uniform on a unit sphere	$B^*$	7.333	1	(unreliable)	0
		All pairs	6.422	4,950	1,596 $\mu$ Sec	7.99 Sec
		$B^*(S)$ -G(2)	6.688	23	(unreliable)	0.04 Sec
		(Improved)	6.601	15	(unreliable)	0.02
		$B^*(S)$ -G(5)	6.446	339	1,622 $\mu$ Sec	0.55 Sec
		(Improved)	6.420	18	(unreliable)	0.03 Sec
		$B^*(S)$ -G(10)	6.427	3,107	1,641 $\mu$ Sec	5.10 Sec
		(Improved)	6.418	9	(unreliable)	0.02 Sec
		$B^*(S)$ -G(20)	6.421	26,019	1,625 $\mu$ Sec	42.27 Sec
		(Improved)	6.421	3	(unreliable)	0
		$xyz$ -G(2)	6.719	23	(unreliable)	0.04 Sec
		(Improved)	6.526	21	(unreliable)	0.03 Sec
		$xyz$ -G(5)	6.462	339	1,622 $\mu$ Sec	0.55 Sec
		(Improved)	6.418	12	(unreliable)	0.02 Sec
		$xyz$ -G(10)	6.440	3,107	1,629 $\mu$ Sec	5.06 Sec
		(Improved)	6.422	12	(unreliable)	0.02 Sec
		$xyz$ -G(20)	6.426	26,019	1,638 $\mu$ Sec	42.63 Sec
		(Improved)	6.419	9	(unreliable)	0.01 Sec



# Summary

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- Two algorithms to compute  $(1+\varepsilon)$ -approximation of the minimum volume bounding box
  - $O(n + 1/\varepsilon^{4.5})$
  - $O(n \log n + 1/\varepsilon^3)$
- Heuristics for more practical algorithms