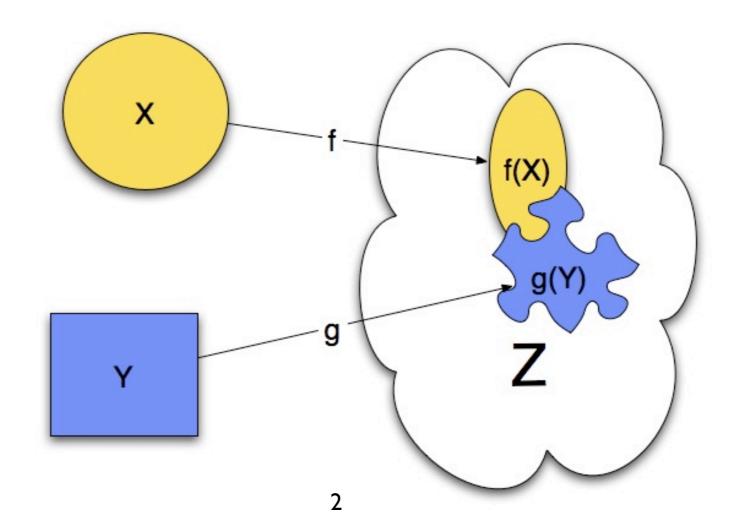
# Shape Matching: A Metric Geometry Approach Facundo Mémoli. CS 468, Stanford University, Fall 2008.



# GH: definition

# $d_{\mathcal{GH}}(X,Y) = \inf_{Z,f,g} d_{\mathcal{H}}^Z(f(X),g(Y))$



$$\operatorname{dis}(\phi) = \max_{x,x'} |d_X(x,x') - d_Y(\phi(x),\phi(x'))|,$$

$$dis(\psi) = \max_{y,y'} |d_Y(y,y') - d_X(\psi(y),\psi(y'))|$$

#### and

$$C(\phi, \psi) := \max_{x \in X, y \in Y} |d_X(x, \psi(y)) - d_Y(y, \phi(x))|.$$

#### Then

$$d_{\mathcal{GH}}(X,Y) = \frac{1}{2} \min_{\phi,\psi} \max(\operatorname{dis}(\phi),\operatorname{dis}(\psi),C(\phi,\psi))$$

where one minimizes over all choices of  $\phi$  and  $\psi$ .

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# Approximation from [MS05]

- From the algorithmic point of view, we assume we know just
  - A dense point cloud  $\mathbb X$  sampled from X
  - A dense point cloud  $\mathbb {Y}$  sampled from Y
- Given a metric space  $(X, d_X)$ , the discrete subset  $N_{X,n}^{(R,s)}$  denotes a set of points  $\{x_1, \ldots, x_n\} \subset X$  such that

(1) 
$$B_X(N_{X,n}^{(R,s)}, R) = X,$$
  
(2)  $d_X(x_i, x_j) \ge s$  whenever  $i \ne j.$ 

In other words, the set constitutes a R-covering and the points in the set are not too close to each other.

- In practice, one constructs  $N_{X,n}^{R,s}$  from X!
- To fix ideas, say that  $\#\mathbb{X} = 20,000$  and n = 100.

X is in blue,  $N_{X,n}^{(R,s)}$  is in red.

So, the plan would be as follows:

• Given  $\mathbb{X}$  and  $\mathbb{Y}$  obtain  $N_{\mathbb{X},n}^{(R,s)}$  and  $N_{\mathbb{X},n}^{(R',s')}$ .

• Write 
$$N_{\mathbb{X},n}^{(R,s)} = \{x_i, i = 1, \dots, n\}$$
 and  $N_{\mathbb{Y},n}^{(R',s')} = \{y_i, i = 1, \dots, n\}.$ 

• Compute

$$A := \min_{\bar{y}_1, \dots, \bar{y}_n \in \mathbb{Y}} \max_{i,j} \left| d_X(x_i, x_j) - d_Y(\bar{y}_i, \bar{y}_j) \right|$$

$$B := \min_{\bar{x}_1, \dots, \bar{x}_n \in \mathbb{X}} \max_{i,j} \left| d_Y(y_i, y_j) - d_X(\bar{x}_i, \bar{x}_j) \right|$$

- and let  $d_{\mathcal{F}}(\mathbb{X}, \mathbb{Y}) := \max(A, B)$ .
- Can we relate  $d_{\mathcal{F}}(\mathbb{X}, \mathbb{Y})$  to  $d_{\mathcal{GH}}(\mathbb{X}, \mathbb{Y})$ ?

$$\operatorname{dis}(\phi) = \max_{x,x'} |d_X(x,x') - d_Y(\phi(x),\phi(x'))|,$$

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1. 
$$|d_X(x_i, x_j) - d_Y(y_i^{\alpha}, y_j^{\alpha})| \le 2(\eta + \alpha)$$
 for all

2.  $\mathbb{Y}^{\alpha}$  is a  $R + 2(\eta + \alpha)$  covering of Y:

$$B_Y\left(\{y_1^\alpha,\ldots,y_n^\alpha\},R+2(\eta+\alpha)\right)=Y$$

3. Separation of  $\mathbb{Y}^{\alpha}$  is  $\geq s - 2(\eta + \alpha)$ :

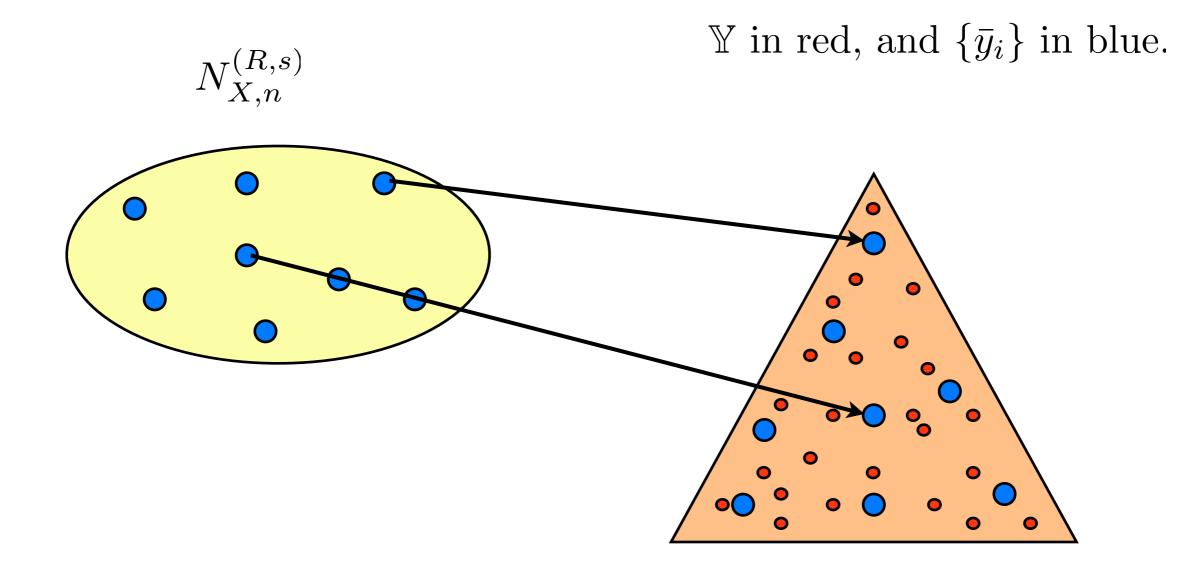
$$d_Y(y_i^{\alpha}, y_j^{\alpha}) \ge s - 2(\eta + \alpha)$$

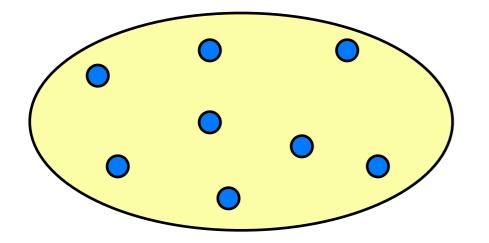
for  $i \neq j$ .

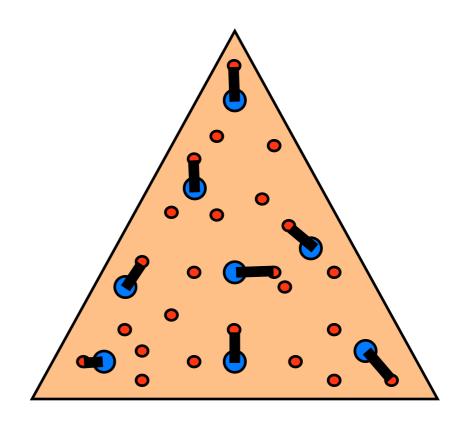
- This proposition tells us that if the metric spaces happen to be sufficiently close in a metric sense, then given a (s-separated) R-covering on one of them, one can find a (s'-separated) R'-covering in the other metric space such that the metric distorsion between those coverings (point clouds) is also small.
- Since by Tychonoff's Theorem the *n*-fold product space  $Y \times \ldots \times Y$  is compact, if  $s-2 \geq c > 0$  for some positive constant *c*, by passing to the limit along the subsequences of  $\{y_1^{\alpha}, \ldots, y_n^{\alpha}\}_{\{\alpha>0\}}$  (if needed) above one can assume the existence of a set of **different** points  $\{\bar{y}_1, \ldots, \bar{y}_n\} \subset Y$  such that

1. 
$$|d_X(x_i, x_j) - d_Y(\bar{y}_i, \bar{y}_j)| \le 2$$
, all  $i, j$   
2.  $\min_{i \ne j} d_Y(\bar{y}_i, \bar{y}_j) \ge s - 2 > 0$ , and  
3.  $B_Y(\{\bar{y}_1, \dots, \bar{y}_n\}, R + 2) = Y.$ 

• But there's no reason to expect that  $\bar{y}_i \in \mathbb{Y}!!$  (we only have access to  $\mathbb{Y}..$ )







So, the plan would be as follows:

• Given X and Y obtain  $N_{X,n}^{(R,s)}$  and  $N_{X,n}^{(R',s')}$ .

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$$A := \min_{\bar{y}_1, \dots, \bar{y}_n \in \mathbb{Y}} \max_{i, j} |d_X(x_i, x_j) - d_Y(\bar{y}_i, \bar{y}_j)|$$

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- and let  $d_{\mathcal{F}}(\mathbb{X}, \mathbb{Y}) := \max(A, B)$ .
- Can we relate  $d_{\mathcal{F}}(\mathbb{X}, \mathbb{Y})$  to  $d_{\mathcal{GH}}(\mathbb{X}, \mathbb{Y})$ ?

**Theorem 1** ([MS05]). Let X and Y be compact sub-manifolds of  $\mathbb{R}^d$ , and let  $\eta = d_{\mathcal{GH}}(X,Y)$ . Let  $N_{X,n}^{(R,s)}$  be s.t. for some c > 0

$$s > 2\eta + c.$$

Then, given  $p \in (0,1)$  there exist  $m = m(c,p) \in s.t.$  if  $\mathbb{Y}$  is formed by sampling *i.i.d.* uniformly m points from Y, then,

 $\mathbf{P}\left(A \le 3\eta + R\right) \ge p$ 

**Theorem 1** ([MS05]). Let X and Y be compact sub-manifolds of  $\mathbb{R}^d$ , and let  $\eta = d_{\mathcal{GH}}(X,Y)$ . Let  $N_{X,n}^{(R,s)}$  be s.t. for some c > 0

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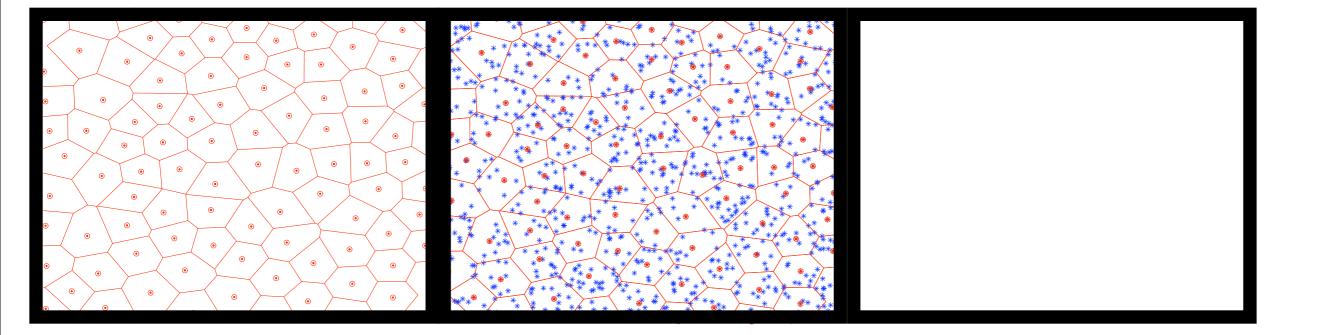
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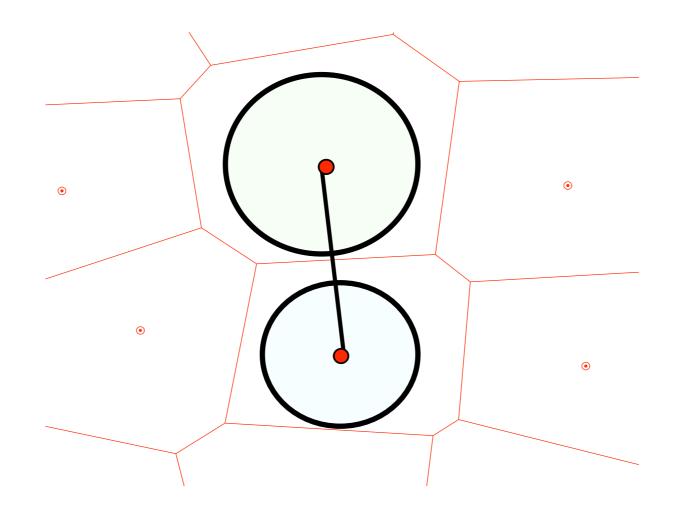
**Remark.** • This essentially gives control over  $d_{\mathcal{F}}(\mathbb{X}, \mathbb{Y})$ . We obtain that for some constants  $A, B, \alpha, \beta$ ,  $(\alpha, \beta \text{ can be controlled to zero})$ 

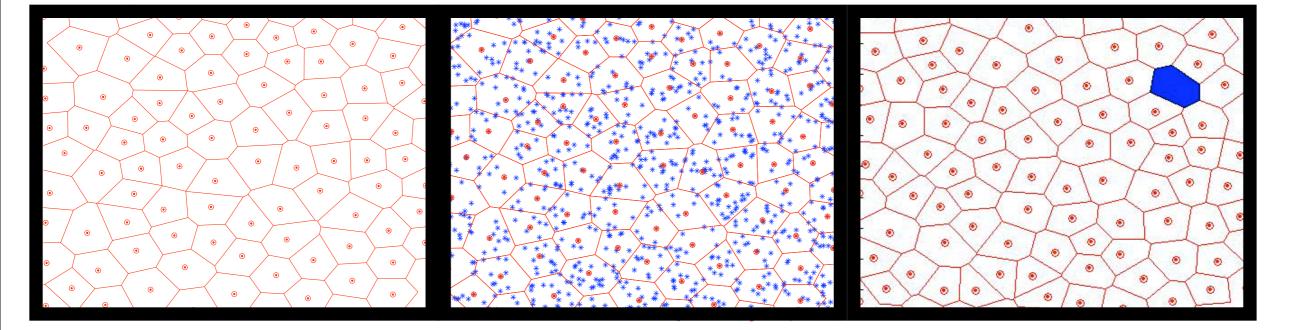
$$A(d_{\mathcal{GH}}(X,Y) - \alpha) \le d_{\mathcal{F}}(\mathbb{X},\mathbb{Y}) \le B(d_{\mathcal{GH}}(X,Y) - \beta)$$

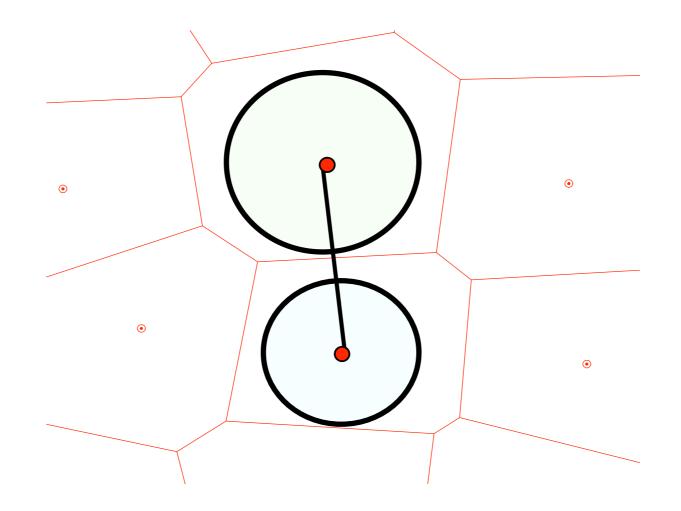
#### with controllable probability.

- The proof is based on using the Coupon collector problem: each Voronoi cell defined by {\$\overline{y}\_i\$} wants to collect a coupon (a point from \$\mathbb{Y}\$). How many times do I have to go to the store until I get all coupons?
- This particular instance of the CCP has to deal with unequal probabilities for each coupon: the areas of the Voronoi cells are different.
- We impose that c > 0 so that we have non-zero probability of getting all coupons.









#### What do I need to compute?

You are given  $\mathbb{X}$  and  $\mathbb{Y}$ .

- Construct  $N_{X,n}^{(R,s)}$  and  $N_{Y,n}^{(R',s')}$ .
- Compute A and B: for A, you fix  $N_{X,n}^{(R,s)}$  and find the n points in  $\mathbb{Y}$  that match the distance matrix of  $N_{X,n}^{(R,s)}$  as closely as possible:

$$A = \min_{\{\bar{y}_i\}} \max_{i,j} |d_X(x_i, x_j) - d_Y(\bar{y}_i, \bar{y}_j)|$$

- Do the same for B. These are combinatorial problems. There are no exact algorithms to my knowledge. Have heuristic.
- **Problem:** can anything be proved for these heuristics?



- Computations are combinatorial in nature
- Assumed shapes are smooth surfaces so that I could talk about uniform probability distribution.
- The proofs do not depend on this fact, so arguments and guarantees carry over to measure metric spaces.
- Again, ugly combinatorial problems..

# Approximation from [BBK06]

- Remember  $d_{\mathcal{GH}}(X,Y) = \min_{\phi,\psi} \max(\operatorname{dis}(\phi),\operatorname{dis}(\psi),C(\phi,\psi)).$
- Concentrate on minimizing  $dis(\phi)$  alone:

$$\min_{\phi} \max_{i,j} |d_X(x_i, x_j) - d_Y(\phi(x_i), \phi(x_j))|.$$

• In review of [MS05] we wrote this as

$$\min_{y_1,...,y_m \in \mathbb{Y}} \max_{i,j} |d_X(x_i, x_j) - d_Y(y_i, y_j)|.$$

- The reason the problem is combinatorial is that  $y_i$  are constrained to lie on  $\mathbb{Y}$ .
- Think for one second of 'moving' the  $y_i$  continuously. In other words, I'd like to think that I am allowed to change points infinitesimally:  $y_i \rightarrow y_i + \delta_i$ .
- This requires being able to compute  $d_Y(y_i + \delta_i, y_j + \delta_j)$ .

- This requires having a smooth underlying structure: smooth surfaces.
- need to create a local parameterization using for instance the meshes.
- then, one can use standard interpolation algorithms for computing  $d_Y(y_i + \delta_i, y_j + \delta_j)$ .
- Then, in order to find approximation to  $\min_{\phi} \operatorname{dis}(\phi)$  one minimizes the functional  $F(\mathbf{y}_i, \ldots, \mathbf{y}_j) := \max_{ij} |d_X(x_i, x_j) d_Y(\mathbf{y}_i, \mathbf{y}_j)|.$
- Proposed method uses gradient descent. Need to compute numerical derivatives of  $d_Y(y_i + \delta_i, y_j + \delta_j)$ .
- Now, if you want to use these ideas for estimating the GH distance, you need to solve three **coupled problems**:

$$d_{\mathcal{GH}}(\mathbb{X},\mathbb{Y}) = \frac{1}{2} \min_{\phi,\psi} \max(\operatorname{dis}(\phi),\operatorname{dis}(\psi), C(\phi,\psi)).$$

• the resulting problem is highly nonlinear, the number of variables is  $\#\mathbb{X} + \#\mathbb{Y} + 1$  and the number of *nonlinear* constraints is  $\sim (\#X + \#Y)^2$ .

- Authors cope with the problem of having so many nonlinear constraints by using the *penalty barrier*.
- The  $L^{\infty}$  aspect of the GH distance complicates the numerical computations, they apply an  $L^p$  relaxation
- all in all they end up solving a *unconstrained* nonlinear problem.
- What is the relationship between the result and the GH distance? If this is hard to answer then..
- What are the properties of the thing they minimize? does that measure something like a metric on the class of shapes that you set out to study?

These questions are difficult to answer.

**Better alternative:** write down a notion of metric between metric spaces that translates directly into what you should compute in practice!

#### Critique

- Was not able to show connections with (sufficiently many) pre-existing approaches
- Computationally hard: currently only two attempts have been made:
  - [MS04,MS05] and [BBK06] only for surfaces.
  - [MS05] gives probabilistic guarantees for estimator based on sampling parameters.
  - Full generality leads to a hard combinatorial optimization problem: QAP.

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#### Desiderata

- Obtain an  $L^p$  version of the GH distance that:
  - retains theoretical underpinnings
  - its implementation leads to easier (continuous, quadratic, with linear constraints) optimization problems
  - can be related to pre-existing approaches (shape contexts, shape distributions, Hamza-Krim,..) via lower/upper bounds.

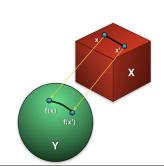
# remember: Naive relaxation

# Another expression for the GH distance

# A theorem, [BuBuIv]

For compact metric spaces  $(X, d_X)$  and  $(Y, d_Y)$ ,

$$d_{\mathcal{GH}}(X,Y) = \frac{1}{2} \inf_{R} \max_{\substack{(\boldsymbol{x},\boldsymbol{y}),(\boldsymbol{x}',\boldsymbol{y}') \in R}} |d_X(\boldsymbol{x},\boldsymbol{x}') - d_Y(\boldsymbol{y},\boldsymbol{y}')|$$



### First attempt: naive relaxation

Remember that

$$d_{\mathcal{GH}}(X,Y) = \frac{1}{2} \inf_{R} \max_{(\boldsymbol{x},\boldsymbol{y}),(\boldsymbol{x}',\boldsymbol{y}')\in R} |d_X(\boldsymbol{x},\boldsymbol{x}') - d_Y(\boldsymbol{y},\boldsymbol{y}')|$$

where  $R \in \mathcal{R}(X, Y)$ . Using the matricial representation of R one can write

$$d_{\mathcal{GH}}(X,Y) = \frac{1}{2} \inf_{R} \max_{x,x',y,y'} |d_X(x,x') - d_Y(y,y')| r_{x,y} r_{x',y'}$$
  
where  $R = ((r_{x,y})) \in \{0,1\}^{n_X \times n_B}$  s.t.

$$\sum_{x \in X} r_{xy} \ge 1 \ \forall y \in Y$$

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# First attempt: naive relaxation (continued)

- The idea would be to use  $L^p$  norm instead of  $L^{\infty}$  (max max)
- relax  $r_{x,y}$  to be in [0,1] (!)

Then, the idea would be to compute (for some  $p \ge 1$ ):

$$\widehat{d}_{\mathcal{GH}}(X,Y) = \frac{1}{2} \inf_{R} \left( \sum_{\boldsymbol{x},\boldsymbol{x'},\boldsymbol{y},\boldsymbol{y'}} |d_X(\boldsymbol{x},\boldsymbol{x'}) - d_Y(\boldsymbol{y},\boldsymbol{y'})|^{\mathbf{p}} r_{\boldsymbol{x},\boldsymbol{y}} r_{\boldsymbol{x'},\boldsymbol{y'}} \right)^{1/\mathbf{p}}$$

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where  $R = ((r_{x,y})) \in [\mathbf{0},\mathbf{1}]^{n_X \times n_B}$  s.t.  
$$\sum_{x \in X} r_{xy} \ge 1 \quad \forall y \in Y$$
$$\sum_{y \in Y} r_{xy} \ge 1 \quad \forall x \in X$$

## • The resulting problem is a continuous variable QOP with linear constraints, but..

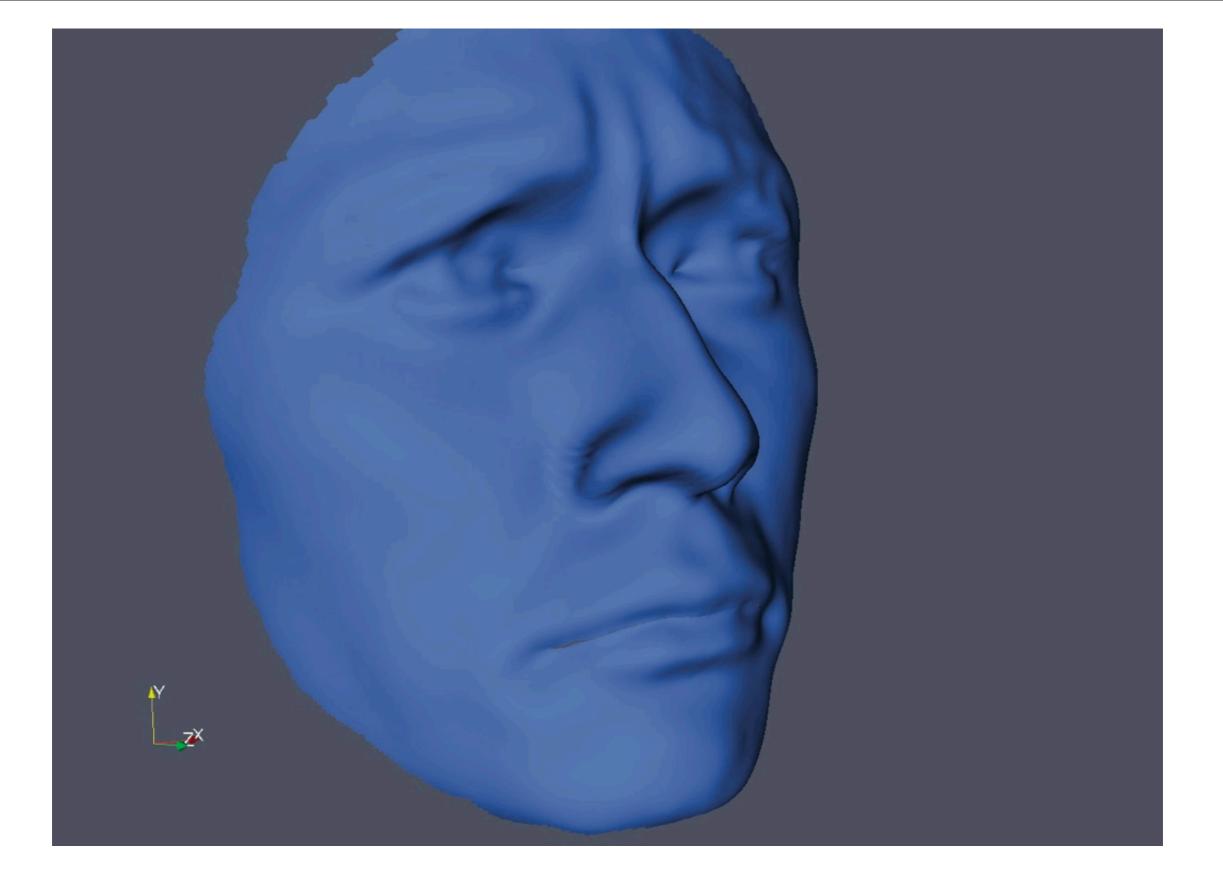
• there is no limit problem.. this discretization cannot be connected to the GH distance..

we need to identify the **correct** relaxation of the GH distance. More precisely, the correct notion of *relaxed correspondence*.

#### Quick review of other methods for shape matching

- Shape distributions
- Shape contexts
- Hamza-Krim

Assignment: write a 1/2 page summary of each of those approaches. Papers are posted on course webpage.

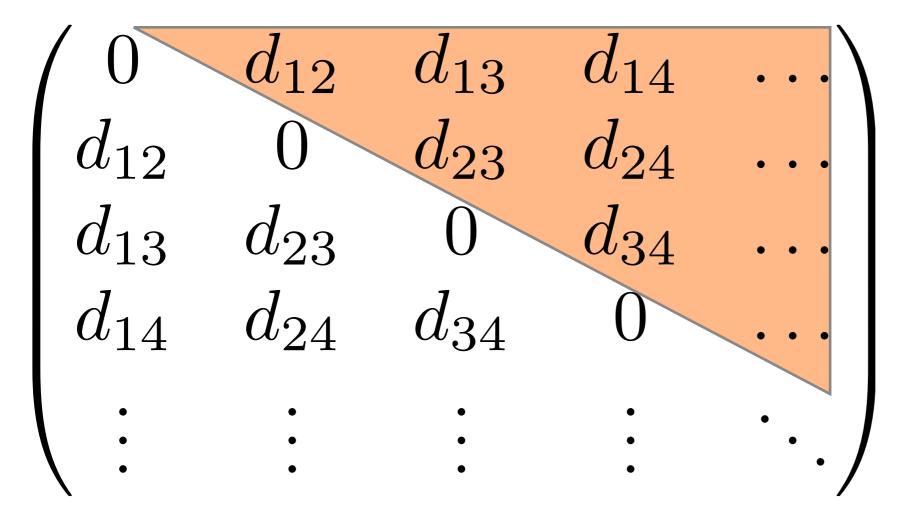


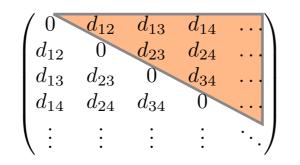


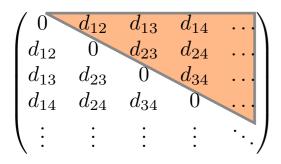


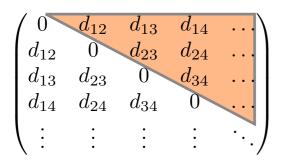


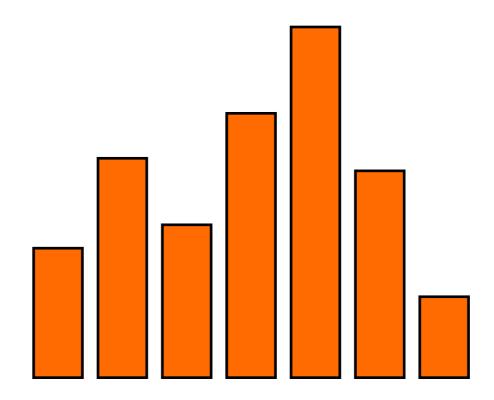
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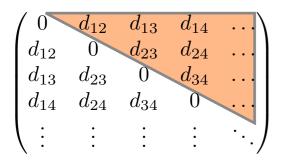


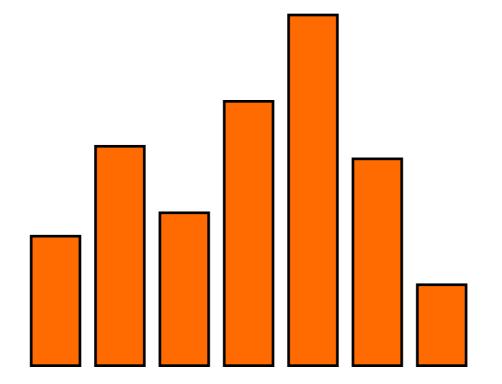


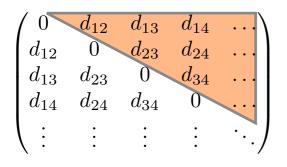


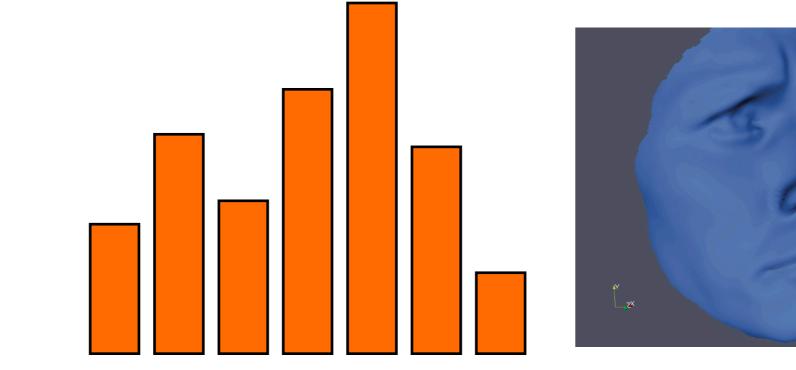


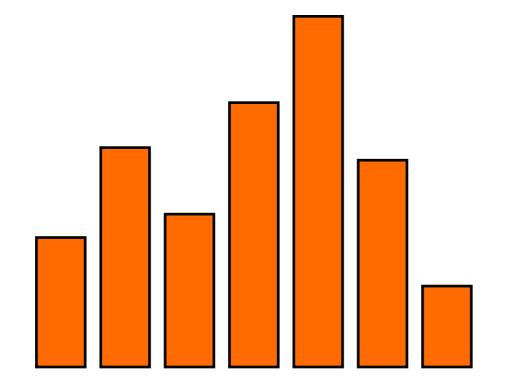


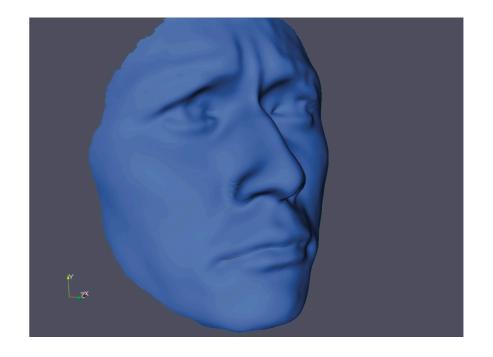


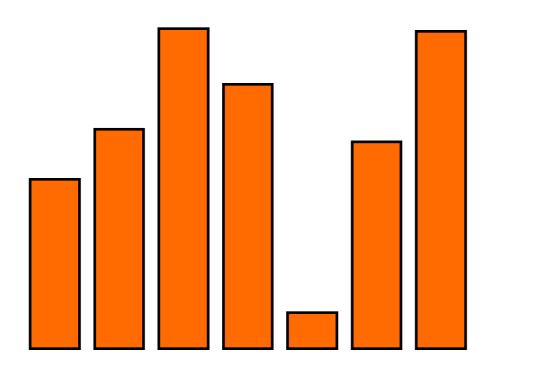


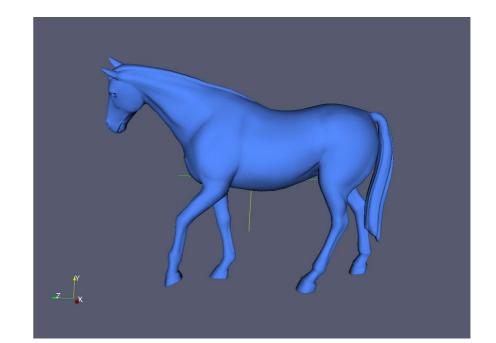


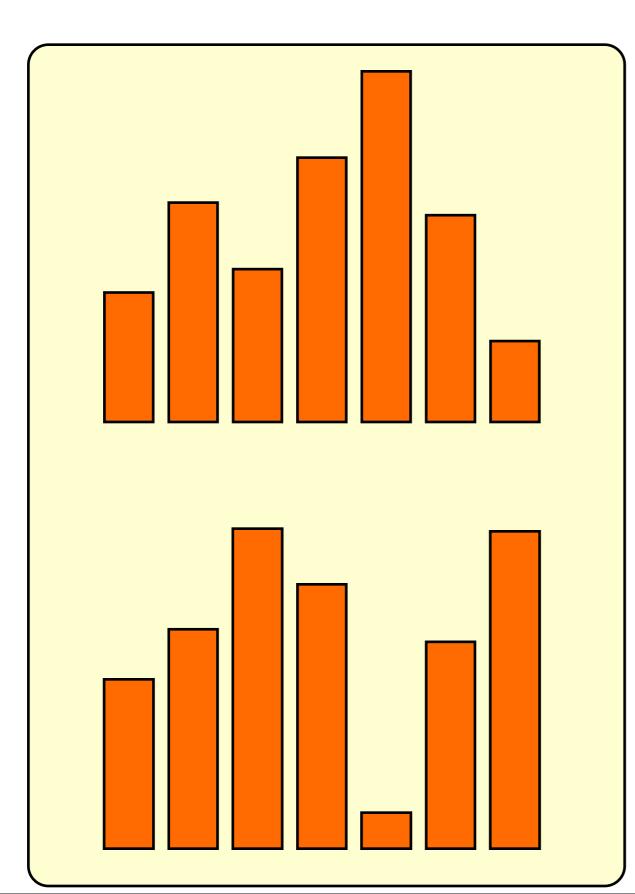


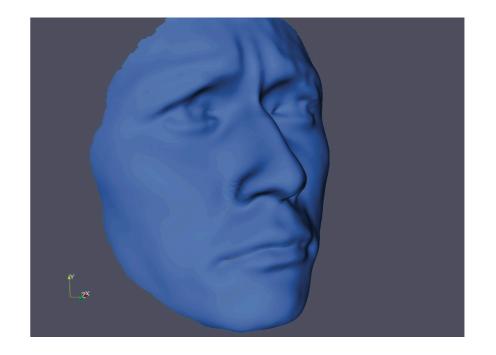


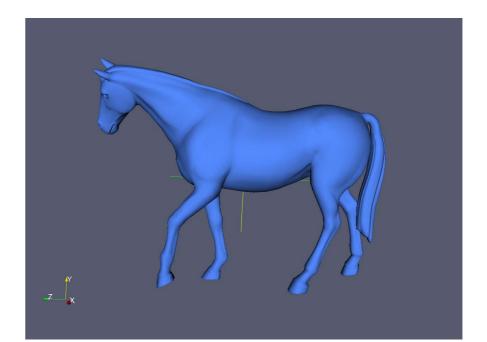


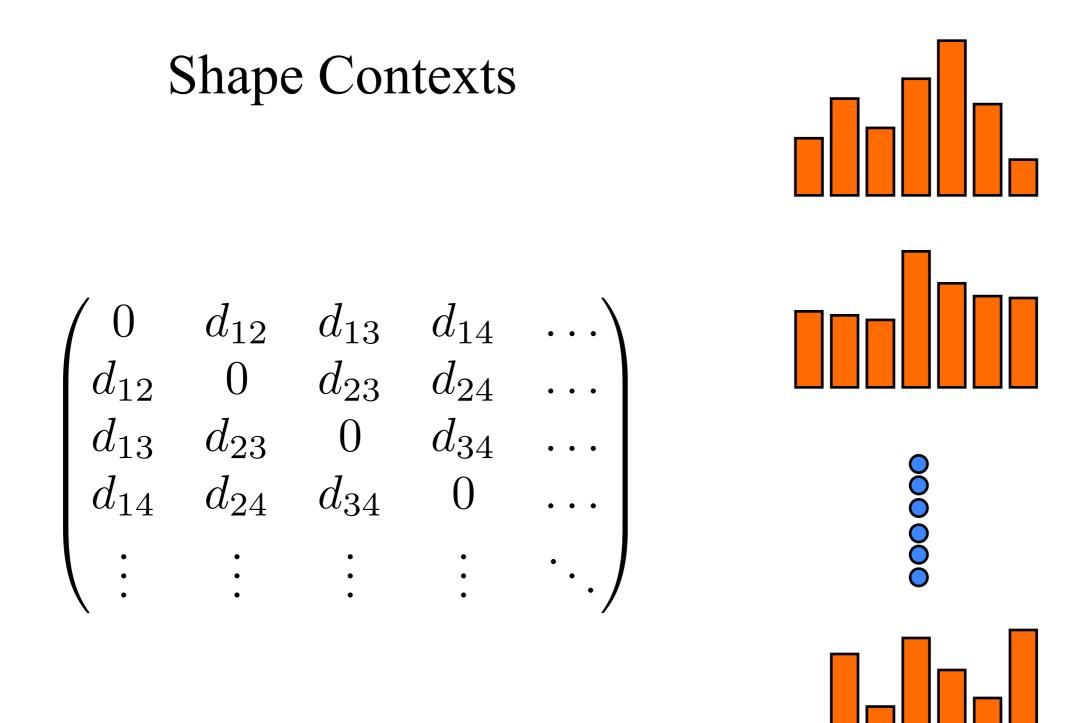


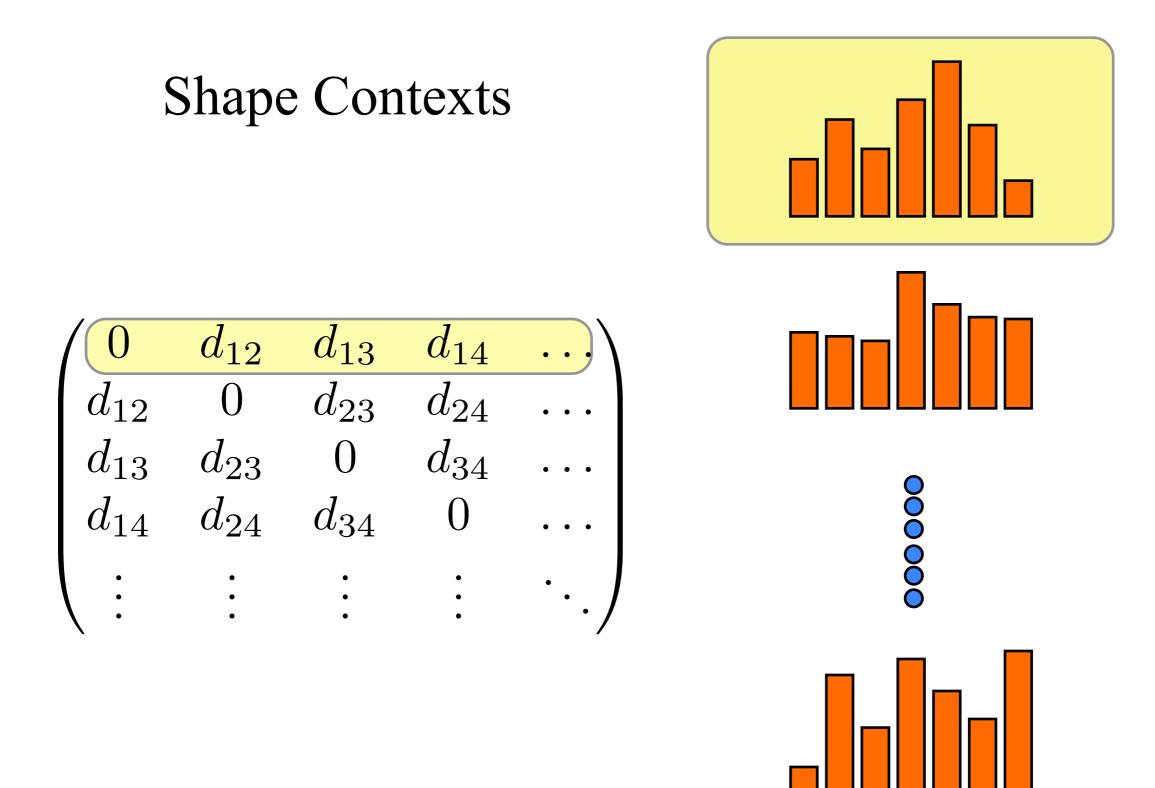


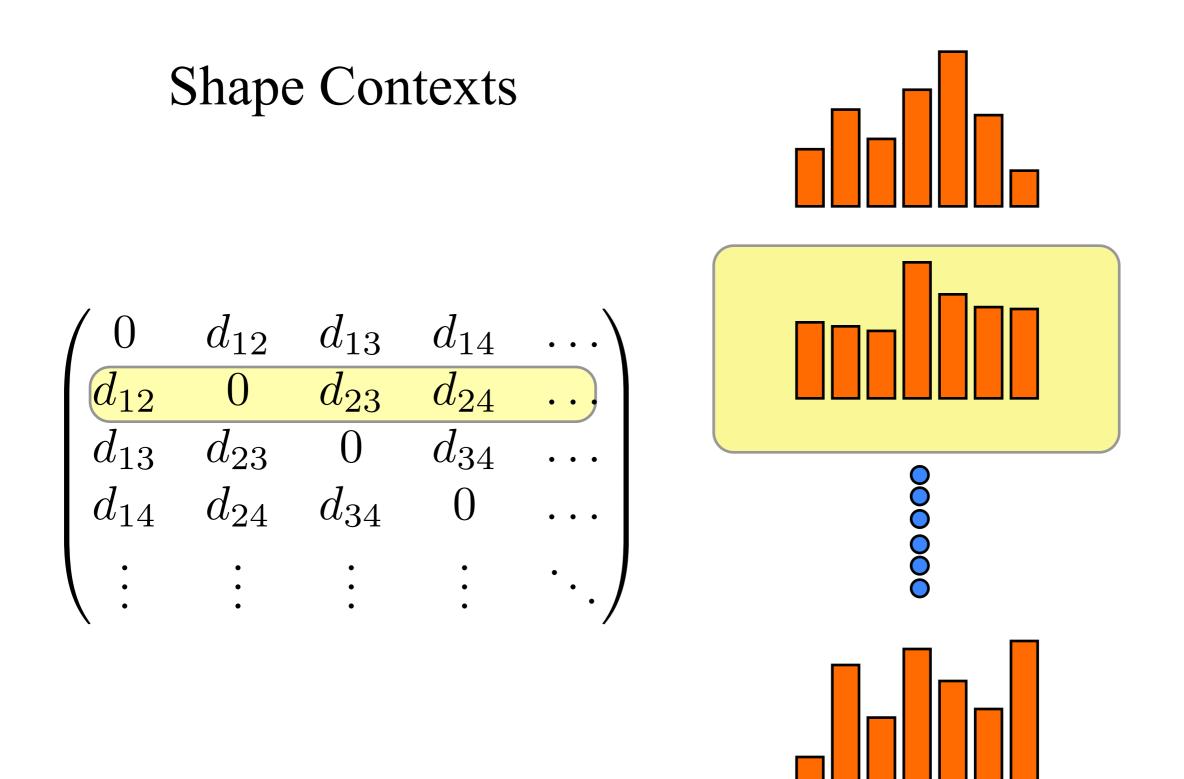












### Connections with other approaches

- Shape Distributions [Osada-et-al]
- Shape contexts **[SC]**
- $\bullet\,$ Hamza-Krim, Hilaga et al approach $[\mathbf{HK}]$
- Rigid isometries invariant Hausdorff [Goodrich]
- $\bullet$  Gromov-Hausdorff distance  $[\mathbf{MS04}]$   $[\mathbf{MS05}]$
- Elad-Kimmel idea **[EK]**
- Topology based methods

#### What lies ahead: mm-spaces

#### Shapes as mm-spaces, [M07]

Remember:

 $(X, d_X, \mu_X)$ 

- 1. Specify representation of shapes.
- 2. Identify invariances that you want to mod out.
- 3. Describe notion of isomorphism between shapes (this is going to be the zero of your metric)
- 4. Come up with a *metric* between shapes (in the representation of 1.)
- Now we are talking of triples  $(X, d_X, \mu_X)$  where X is a set,  $d_X$  a metric on X and  $\mu_X$  a probability measure on X.
- These objects are called *measure metric spaces*, or mm-spaces for short.
- two mm-spaces X and Y are deemed equal or isomorphic whenever there exists an isometry  $\Phi: X \to Y$  s.t.  $\mu_Y(B) = \mu_X(\Phi^{-1}(B))$  for all (measurable) sets  $B \subset Y$ .

# http://math.stanford.edu/~memoli