

Size Theory and Shape Matching

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Outline

- 1 Introduction
- 2 1-D Size Theory
- 3 Multi-D Size Theory
- 4 Topological Point of View

What is Size Theory?

- Introduced by P. Frosini *et.al.*
- A geometrical/topological approach towards shape comparison.
- *Natural pseudo-distance* and *reduced size function*.

Model Setup

- Size pair: $(\mathcal{M}, \vec{\phi})$ where $\vec{\phi} : \mathcal{M} \rightarrow \mathbb{R}^k$
- To compare shapes $(\mathcal{M}, \vec{\phi})$ and $(\mathcal{N}, \vec{\psi})$, need to compute:

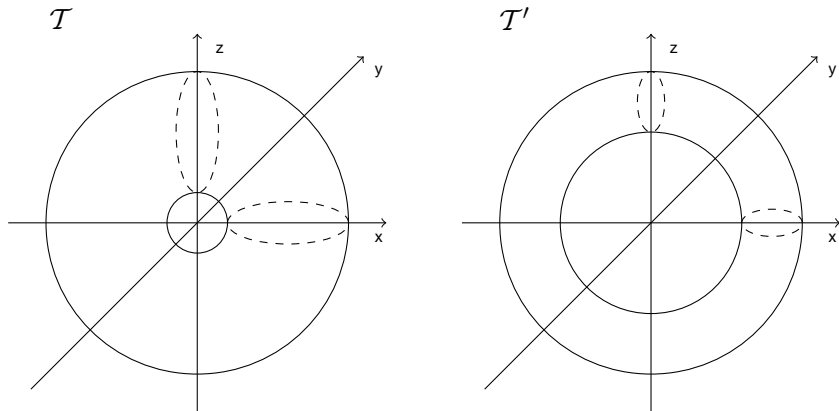
Definition

Natural pseudo-distance

$$\inf_{h \in \text{Hom}(\mathcal{M}, \mathcal{N})} \max_{P \in \mathcal{M}} |\phi(P) - \psi(h(P))|$$

where $\text{Hom}(\mathcal{M}, \mathcal{N})$ is the set of all homeomorphisms between \mathcal{M} and \mathcal{N} , the natural pseudo-distance equals ∞ if $\text{Hom}(\mathcal{M}, \mathcal{N}) = \emptyset$

A computable Natural pseudo-distance



Natural pseudo-dist is 2, ϕ and ϕ' are restrictions of $\zeta : \zeta(x, y, z) = z$

Lower Bounds for Natural pseudo-distance

- 1 Lower bounds via reduced size function
 - Donati and Frosini (2004)
 - d_{match} Frosini *et.al* (2008).
- 2 Lower bounds via size homotopy group
 - Frosini and Mulazzani (1999).

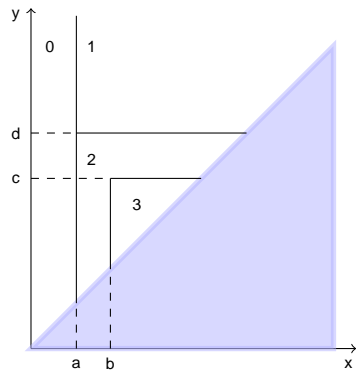
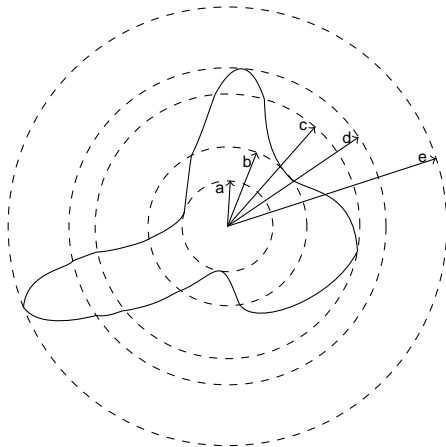
Reduced size function

- Define the diagonal as $\Delta = \{(x, y) \in \mathbb{R}^2 : x = y\}$
- Define the open half-plane above diagonal as $\Delta^+ = \{(x, y) \in \mathbb{R}^2 : x < y\}$
- Homotopy: P and Q are $\langle \phi \leq y \rangle$ -homotopic if they are connected by a path in the sub level-set $\mathcal{M}\langle \phi \leq y \rangle$, written as $P \cong_{\phi \leq y} Q$.

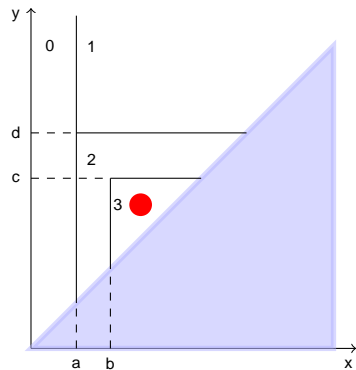
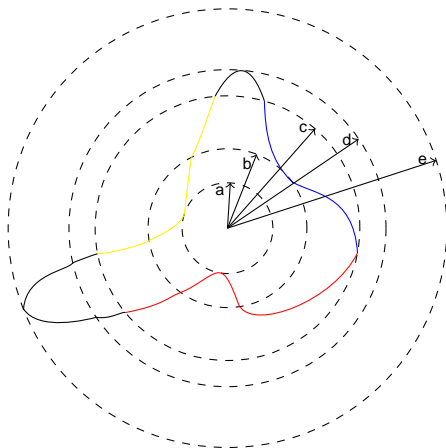
Definition

The reduced size function $l_{(\mathcal{M}, \phi)}^* : \Delta^+ \rightarrow \mathbb{N}$ is defined as $l_{(\mathcal{M}, \phi)}^*(x, y) = \text{card}(\mathcal{M}\langle \phi \leq x \rangle / \cong_{\phi \leq y})$.

Reduced size function example

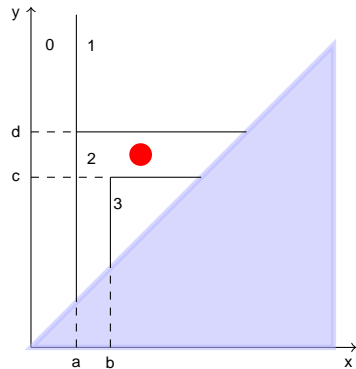
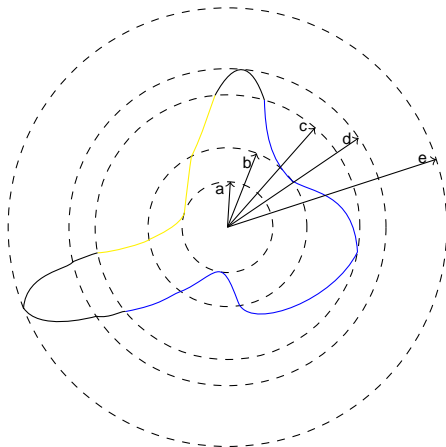


Reduced size function example



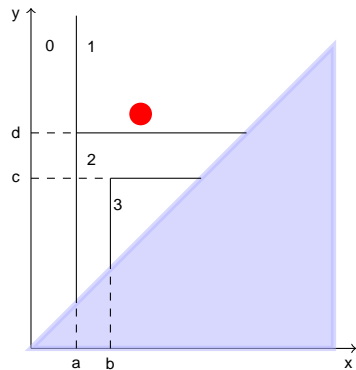
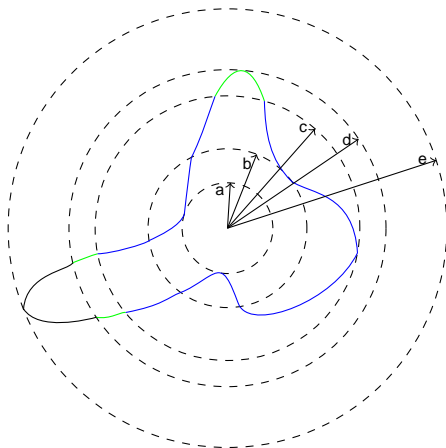
$$I^*_{(\mathcal{M}, \phi)}(x, y) = 3$$

Reduced size function example



$$I^*_{(\mathcal{M}, \phi)}(x, y) = 2$$

Reduced size function example



$$I^*_{(\mathcal{M}, \phi)}(x, y) = 1$$

Properties of reduced size functions

- $I_{(\mathcal{M}, \phi)}^*(x, y)$ is non-decreasing in x and non-increasing in y .
- $I_{(\mathcal{M}, \phi)}^*(x, y) < +\infty$ for $x < y$
- $I_{(\mathcal{M}, \phi)}^*(x, y) = 0$ for $x < \min_{P \in \mathcal{M}} \phi(P)$.
- $I_{(\mathcal{M}, \phi)}^*(x, y) = +\infty$ for any x and y s.t. \exists a non-isolated point $Q \in \mathcal{M}$ with $y < \phi(Q) < x$.
- For every $y \geq \max_{P \in \mathcal{M}} \phi(P)$, $I_{(\mathcal{M}, \phi)}^*(x, y)$ is equal to the number of arcwise connected components \mathcal{N} of \mathcal{M} such that $x \geq \min_{P \in \mathcal{N}} \phi(P)$.

Formal Series

- Denote the set of vertical lines of \mathbb{R}^2 by \mathcal{R} , let $\Delta^* = \Delta^+ \cup \mathcal{R}$.

Definition

Any function $m : \Delta^ \rightarrow \mathcal{N}$ is said to be a formal series in Δ^* . The set $\text{supp}(p) = \{p \in \mathcal{R} : m(r) \neq 0\}$ is called the support of m .*

Corner Points and Lines

Lemma

Let $x_1 \leq x_2 < y_1 \leq y_2$, then

$$I_{(\mathcal{M}, \phi)}^*(x_2, y_1) - I_{(\mathcal{M}, \phi)}^*(x_1, y_1) \geq I_{(\mathcal{M}, \phi)}^*(x_2, y_2) - I_{(\mathcal{M}, \phi)}^*(x_1, y_2)$$

Definition

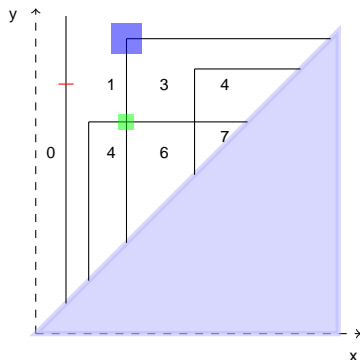
For $p = (x, y) \in \Delta^+$, define $\mu(p)$ as the minimum over all positive ϵ with $x + \epsilon < y - \epsilon$ of $I_{(\mathcal{M}, \phi)}^*(x + \epsilon, y - \epsilon) - I_{(\mathcal{M}, \phi)}^*(x - \epsilon, y - \epsilon) - I_{(\mathcal{M}, \phi)}^*(x + \epsilon, y + \epsilon) + I_{(\mathcal{M}, \phi)}^*(x - \epsilon, y + \epsilon)$.

For every vertical line r , with equation $x = k$, define $\mu(r)$ as the minimum over all positive ϵ with $k + \epsilon < 1/\epsilon$ of

$$I_{(\mathcal{M}, \phi)}^*(k + \epsilon, 1/\epsilon) - I_{(\mathcal{M}, \phi)}^*(k - \epsilon, 1/\epsilon)$$

Corner Points and Lines

- A **corner point** is a point $p \in \Delta^+$ such that $\mu(p) > 0$
- A **corner line** is a vertical line $r \in \mathcal{R}$ such that $\mu(r) > 0$. *a.k.a* corner point at ∞ .

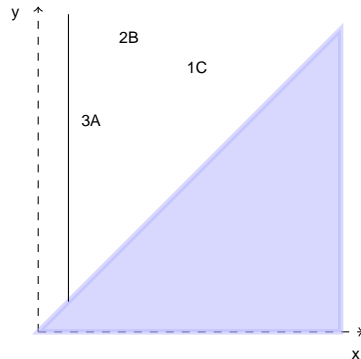
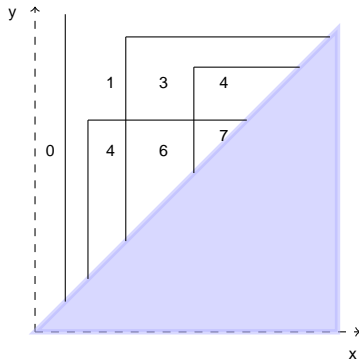


Corner Points and Lines

Theorem

For $(\bar{x}, \bar{y}) \in \Delta^+$, we have

$$I_{(\mathcal{M}, \phi)}^*(\bar{x}, \bar{y}) = \sum_{(x,y) \in \Delta^*, x \leq \bar{x}, y \leq \bar{y}} \mu((x, y))$$



Equivalence of Corner Point representation

- Let S_ρ denote the set $(x, y) \in \mathbb{R}^2 : x < y - \rho$, We say $l_{(\mathcal{M}, \phi)}^* \cong_\rho l_{(\mathcal{N}, \psi)}^*$ if the the two reduced size functions differ only in a vanishing subset of S_ρ
- Let \mathcal{L}_ρ denote the quotient of all size functions under \cong_ρ and let Ω_ρ denote the set of formal series. There exist a bijection $\tilde{\alpha}_\rho : \mathcal{L}_\rho \rightarrow \Omega_\rho$ for every $\rho \geq 0$.

Comparing reduced size functions

Definition

For any $(x, y), (x', y')$ in $\bar{\Delta}^*$, define the distance

$$d((x, y), (x', y')) = \min\{\max\{|x-x'|, |y-y'|\}, \max\{\frac{y-x}{2}, \frac{y'-x'}{2}\}\}$$

Definition

If a_i and b_i are two representative sequence for l_1^* and l_2^* respectively, then the matching distance between l_1^* and l_2^* is given by:

$$d_{\text{match}}(l_1^*, l_2^*) = \inf_{\sigma} \sup_i d(a_i, b_i)$$

where i varies in \mathcal{N} and σ varies among all the bijections from \mathcal{N} to \mathcal{N} .

Stability and Lower bounds

Theorem

Let (\mathcal{M}, ϕ) be a size pair. For every real number $\epsilon \geq 0$ and for every measuring function $\psi : \mathcal{M} \rightarrow \mathbb{R}$ such that $\max_P |\phi(P) - \psi(P)| \leq \epsilon$, we have

$$d(I_{(\mathcal{M}, \phi)}^*, I_{(\mathcal{N}, \psi)}^*) \leq \epsilon$$

Theorem

Let (\mathcal{M}, ϕ) and (\mathcal{N}, ψ) be two size pairs, with \mathcal{M} and \mathcal{N} homeomorphic, then

$$\inf_f \max_P |\phi(P) - \psi(P)| \geq d_{\text{match}}(I_{(\mathcal{M}, \phi)}^*, I_{(\mathcal{N}, \psi)}^*)$$

where $f \in \text{Hom}(\mathcal{M}, \mathcal{N})$

Admissible Pair

Definition

For every unit vector $\vec{l} \in \mathbb{R}^k$ such that $l_i > 0$ for every $i = 1, \dots, k$ and for every vector $\vec{b} \in \mathbb{R}^k$ such that $\sum_{i=1}^k b_i = 0$, we say (\vec{l}, \vec{b}) is admissible, denote by Adm_k . For every $(\vec{l}, \vec{b}) \in \text{Adm}_k$, we define the half-plane $\pi_{(\vec{l}, \vec{b})}$ to be

$$\vec{x} = s\vec{l} + \vec{b}$$

$$\vec{y} = t\vec{l} + \vec{b}$$

where $s < t \in \mathbb{R}$

Foliation

- For $(\vec{l}, \vec{b}) \in \text{Adm}_k$, a foliation could be defined as

$$F_{(\vec{l}, \vec{b})}^{\vec{\phi}}(P) = \max_{i=1, \dots, k} \left\{ \frac{\phi_i(P) - b_i}{l_i} \right\}$$

Theorem

For every $(\vec{x}, \vec{y}) \in \pi_{(\vec{l}, \vec{b})}$, the following equality holds,

$$I_{(\mathcal{M}, \phi)}^*(\vec{x}, \vec{y}) = I_{(\mathcal{M}, F_{(\vec{l}, \vec{b})}^{\vec{\phi}})}^*(s, t)$$

- The 1-D reduced size functions could be used for shape comparison

Stability of matching

Lemma

If $(\mathcal{M}, \vec{\phi})$ and $(\mathcal{M}, \vec{\psi})$ are size pairs with $\max_{P \in \mathcal{M}} \|\vec{\phi}(P) - \vec{\psi}(P)\| \leq \epsilon$, then for each $(\vec{l}, \vec{b}) \in \text{Adm}_k$, it holds that

$$d_{\text{match}}\left(I_{(\mathcal{M}, F_{(\vec{l}, \vec{b})}^{\vec{\phi}})}^*, I_{(\mathcal{M}, F_{(\vec{l}, \vec{b})}^{\vec{\psi}})}^*\right) \leq \frac{\epsilon}{\min_{i=1, \dots, k} l_i}$$

Lower bound

Theorem

Let $(\mathcal{M}, \vec{\phi})$ and $(\mathcal{M}, \vec{\psi})$ be two size pairs with \mathcal{M} and \mathcal{N} homeomorphic. Setting

$d((\mathcal{M}, \vec{\phi}), (\mathcal{M}, \vec{\psi})) = \inf_f \max_P \|\vec{\phi}(P) - \vec{\psi}(f(P))\|$, then

$$\sup_{(\vec{l}, \vec{b}) \in \text{Adm}_k} \min_{i=1, \dots, k} l_i \cdot d_{\text{match}}(I_{(\mathcal{M}, F_{(\vec{l}, \vec{b})}^{\vec{\phi}})}^*, I_{(\mathcal{M}, F_{(\vec{l}, \vec{b})}^{\vec{\psi}})}^*) \leq d((\mathcal{M}, \vec{\phi}), (\mathcal{M}, \vec{\psi}))$$

- The L-H-S is the extended distance D_{match} .

Example

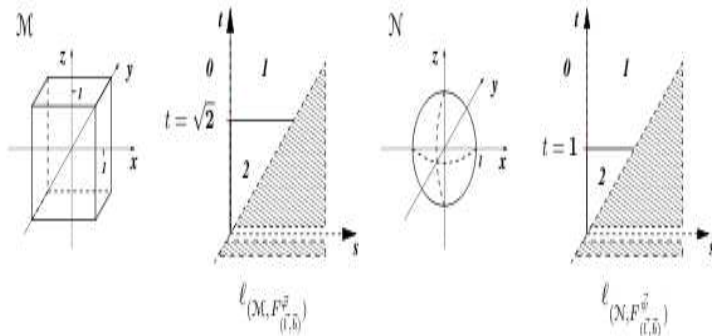


Figure: The topological spaces and reduced size functions

Connection to Persistent Homology

- $l_{(\mathcal{M}, \phi)}(\mathbf{x}, \mathbf{y}) = \beta_0^{\mathbf{x}, \mathbf{y}}$ in 1-D.
- Reduced size functions plays the role of filtration functions.
- Corner points correspond to persistence intervals.
- Stability can be proved in both cases.