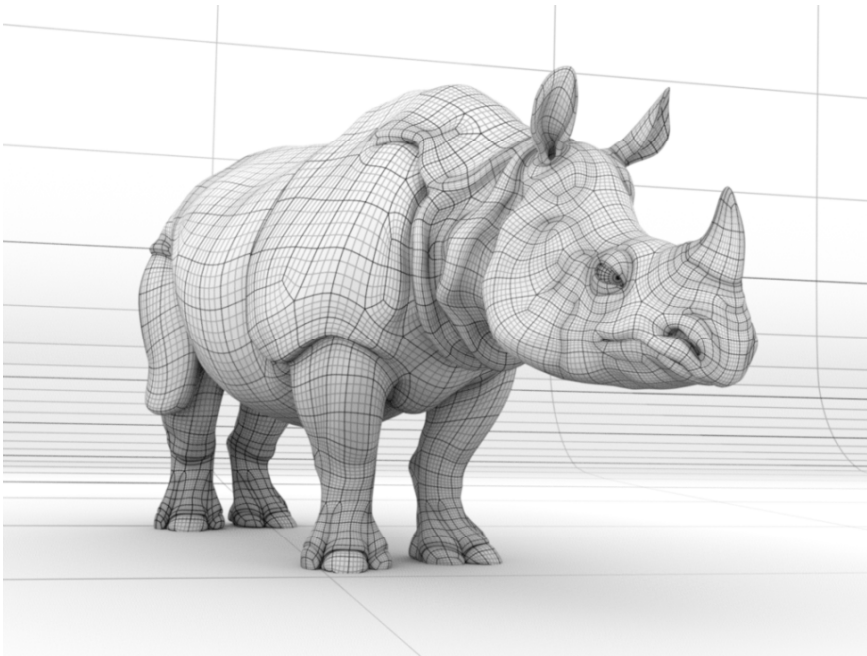
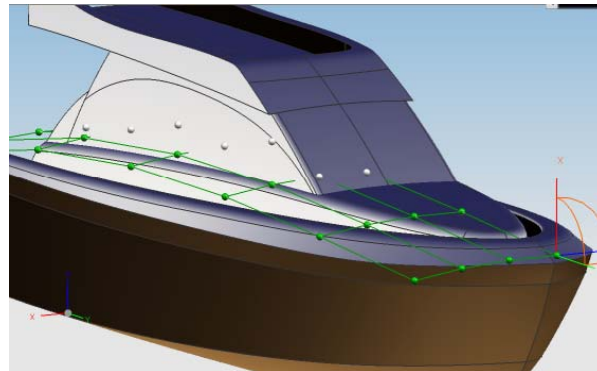
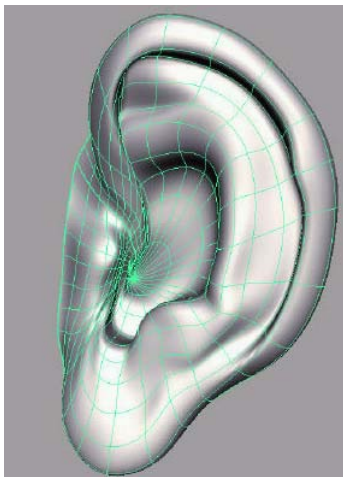
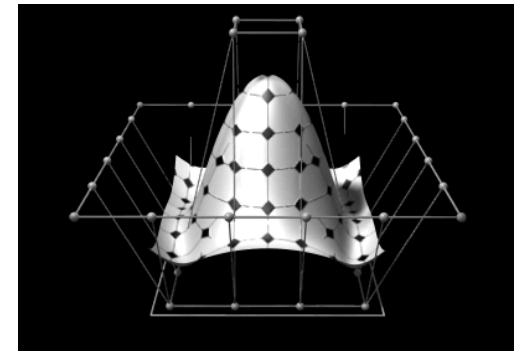


# Subdivision Surfaces



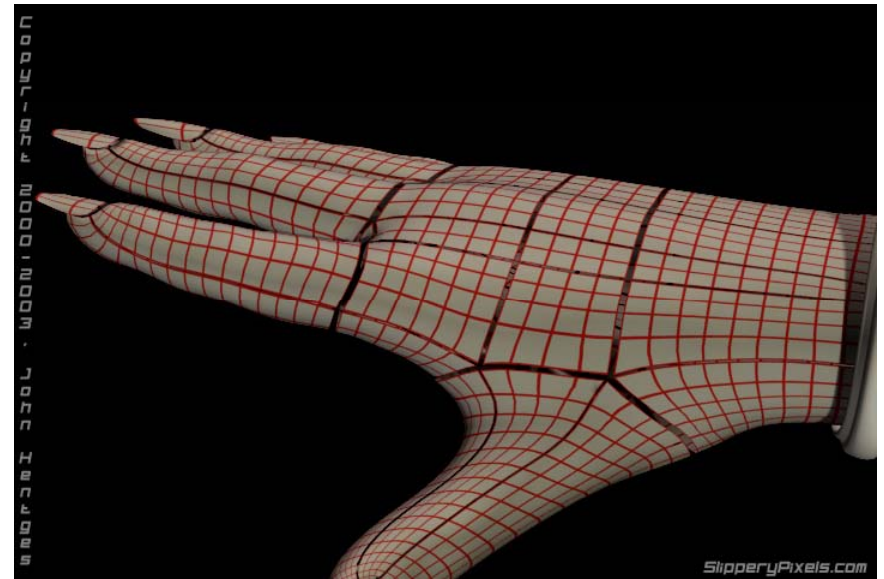
# Geometric Modeling

- Sometimes need more than polygon meshes
  - Smooth surfaces
- Traditional geometric modeling used NURBS
  - Non uniform rational B-Spline
  - Demo



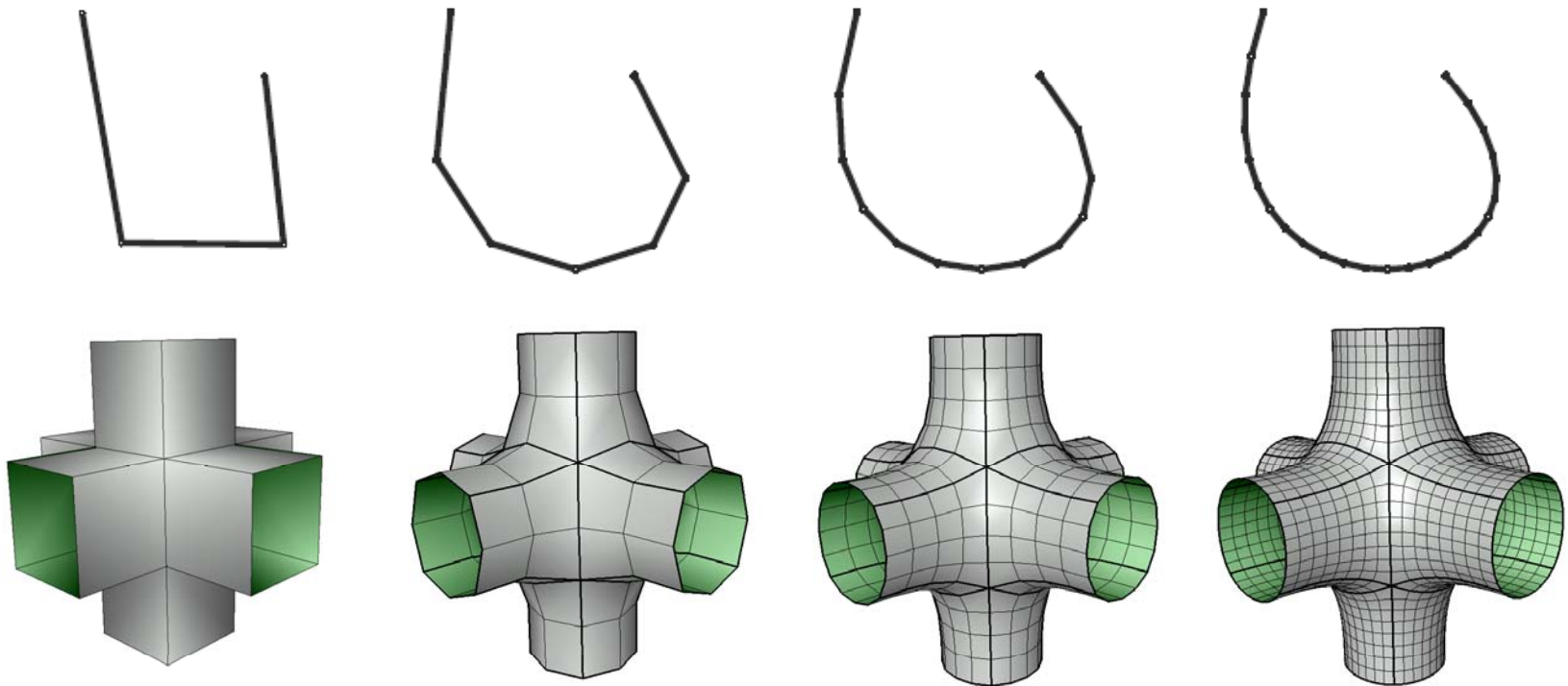
# Problems with NURBS

- A single NURBS patch is either a topological disk, a tube or a torus
- Must use many NURBS patches to model complex geometry
- When deforming a surface made of NURBS patches, cracks arise at the seams



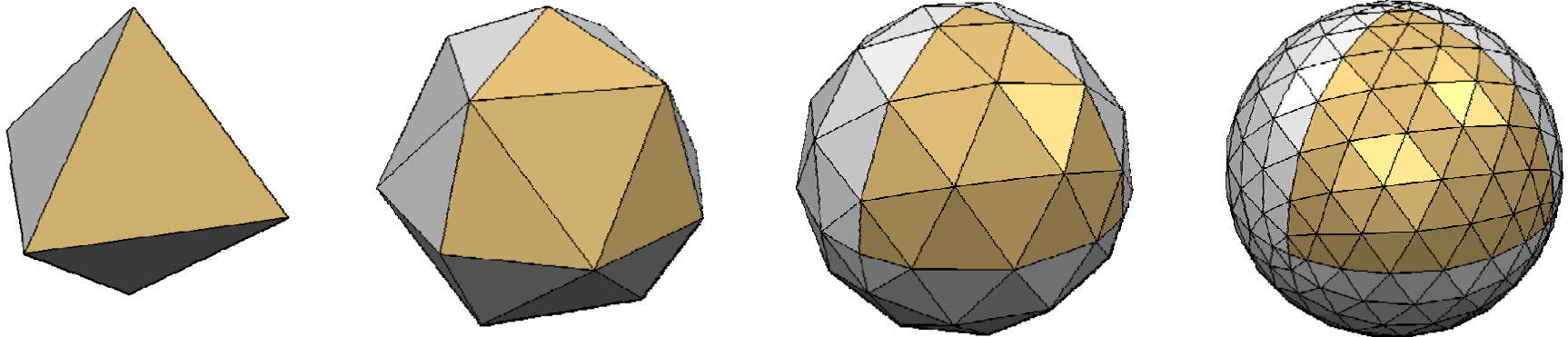
# Subdivision

“Subdivision defines a smooth curve or surface as the limit of a sequence of successive refinements”



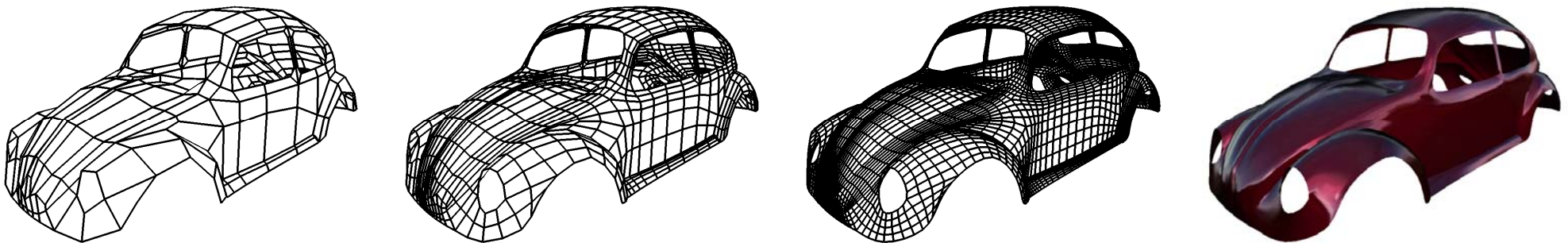
# Subdivision Surfaces

- Generalization of spline curves / surfaces
  - Arbitrary control meshes
  - Successive refinement (subdivision)
  - Converges to smooth limit surface
  - Connection between splines and meshes



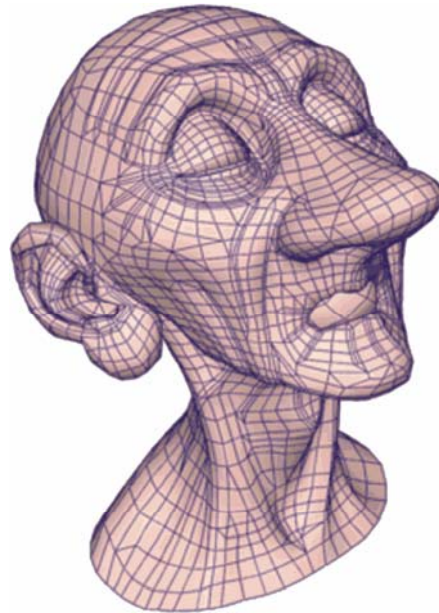
# Subdivision Surfaces

- Generalization of spline curves / surfaces
  - Arbitrary control meshes
  - Successive refinement (subdivision)
  - Converges to smooth limit surface
  - Connection between splines and meshes



# Example: Geri's Game (Pixar)

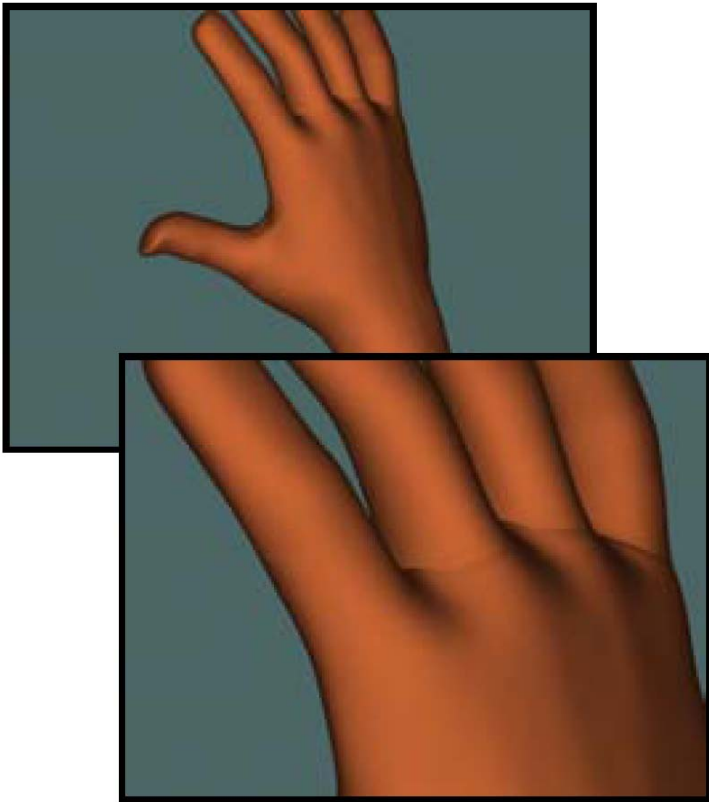
- Subdivision used for
  - Geri's hands and head
  - Clothing
  - Tie and shoes



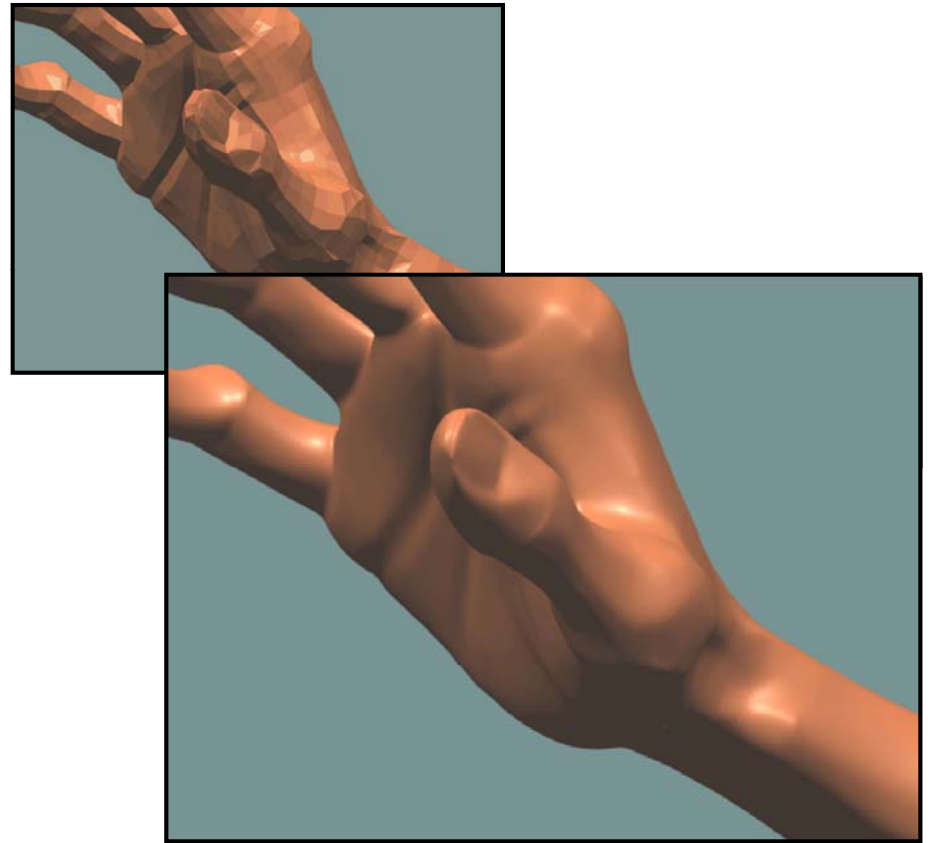


# Example: Geri's Game (Pixar)

Woody's hand (NURBS)



Geri's hand (subdivision)





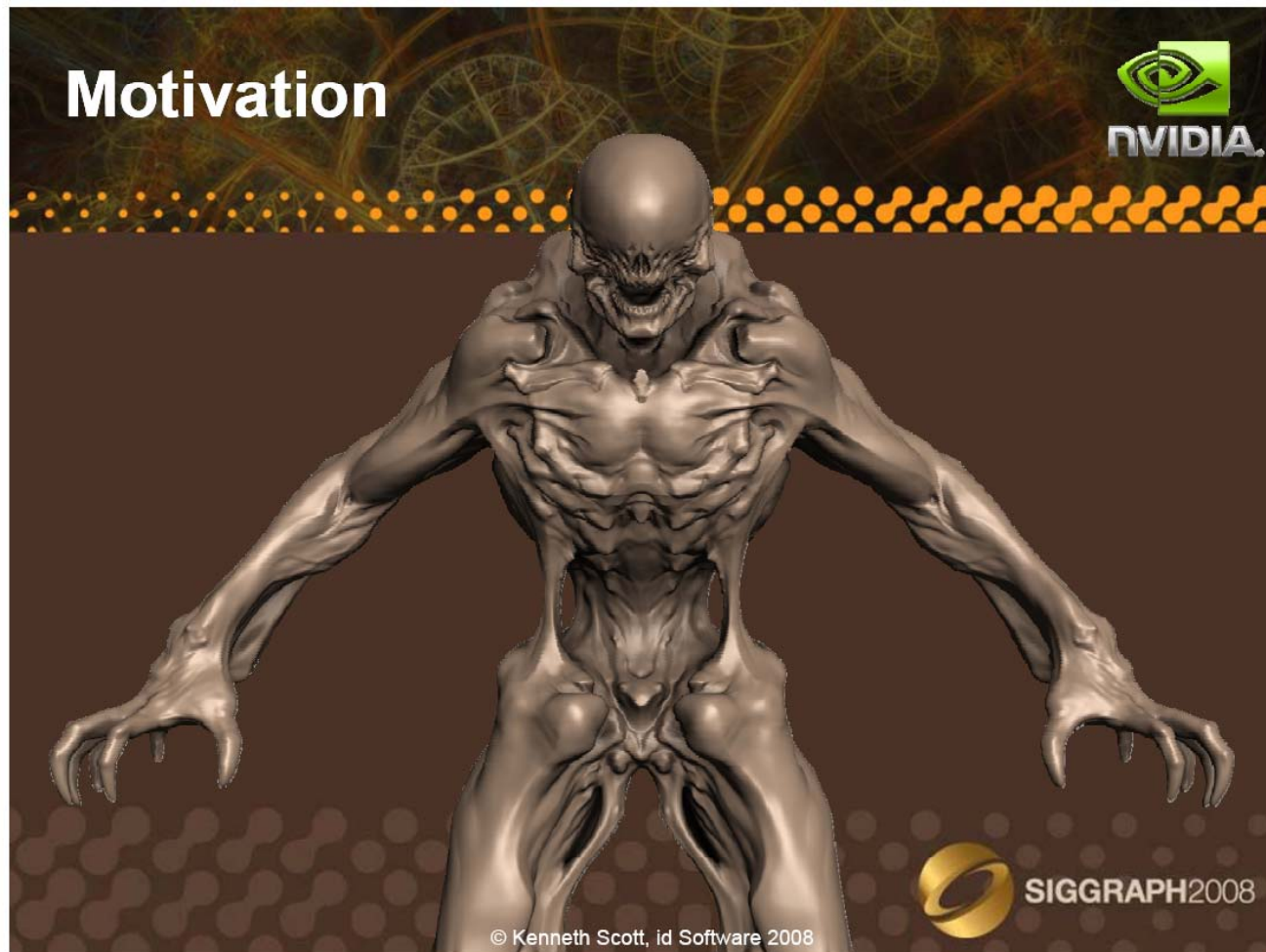
# Example: Geri's Game (Pixar)

- Sharp and semi-sharp features



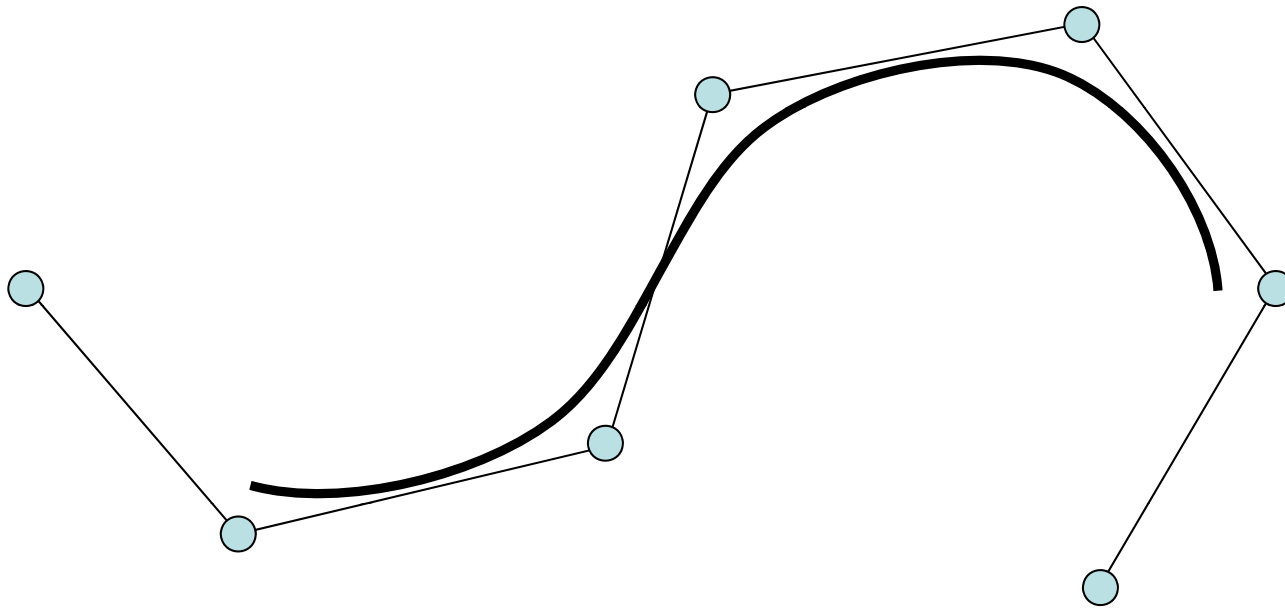
# Example: Games

Supported in hardware in DirectX 11



# Subdivision Curves

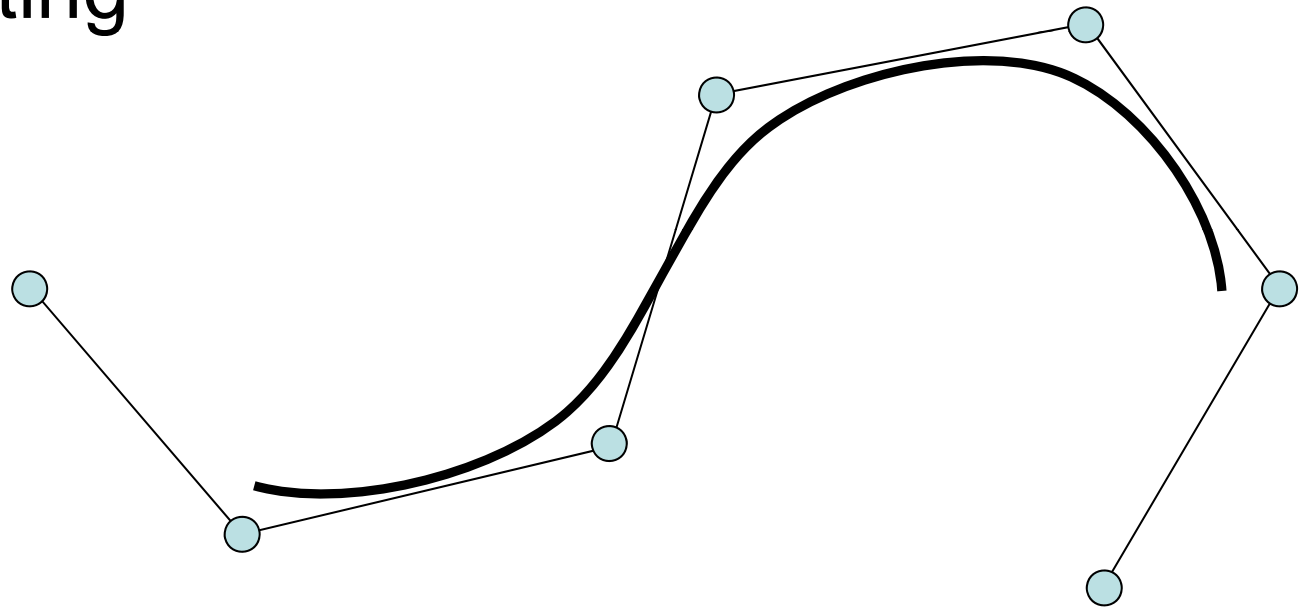
Given a control polygon...



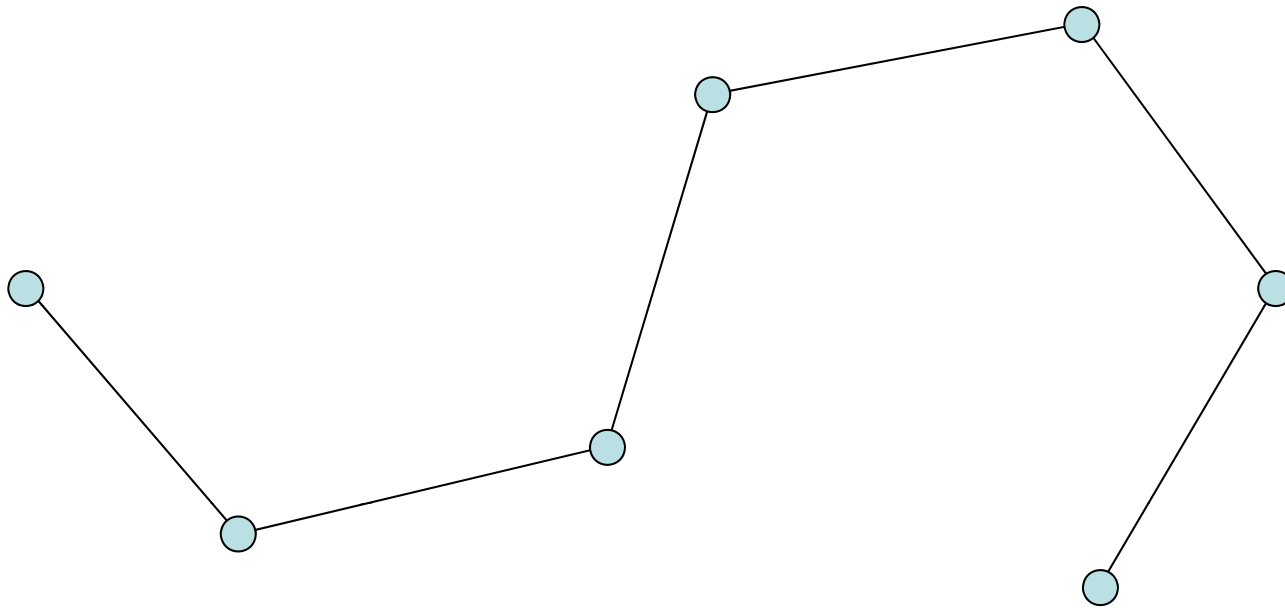
...find a smooth curve related to that polygon.

# Subdivision Curve Types

- Approximating
- Interpolating
- Corner Cutting

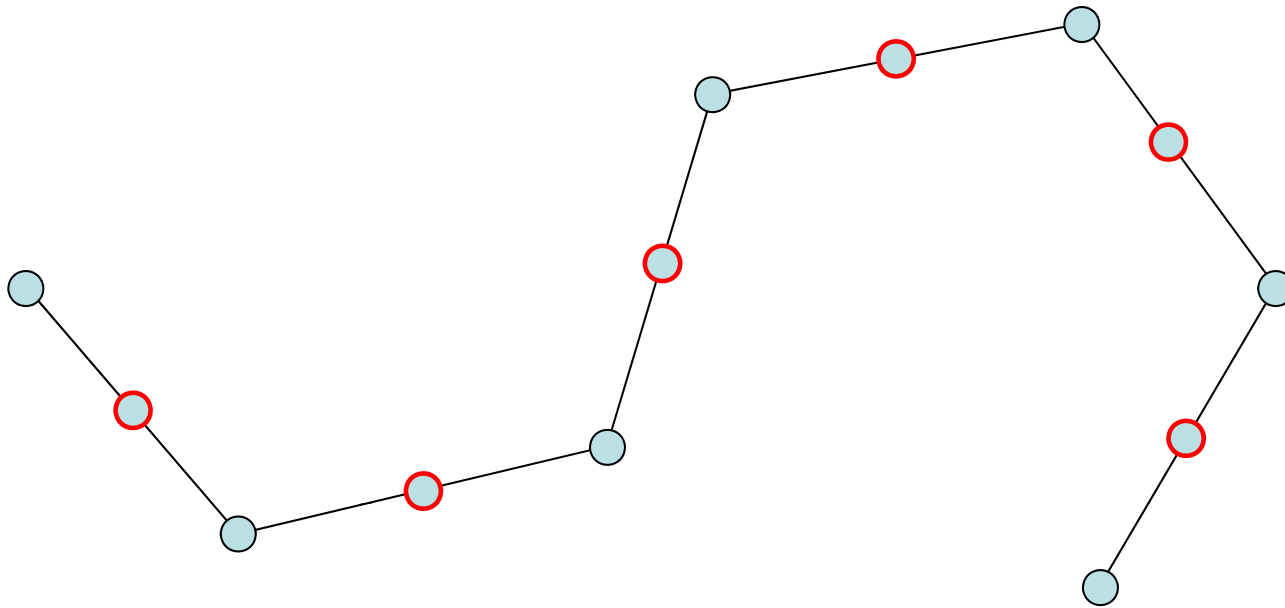


# Approximating



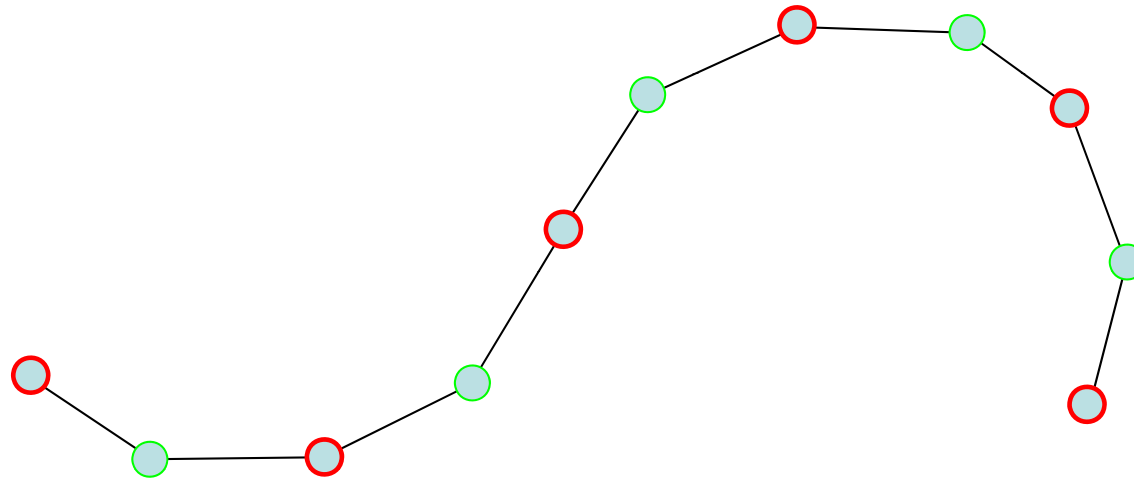
# Approximating

Splitting step: split each edge in two



# Approximating

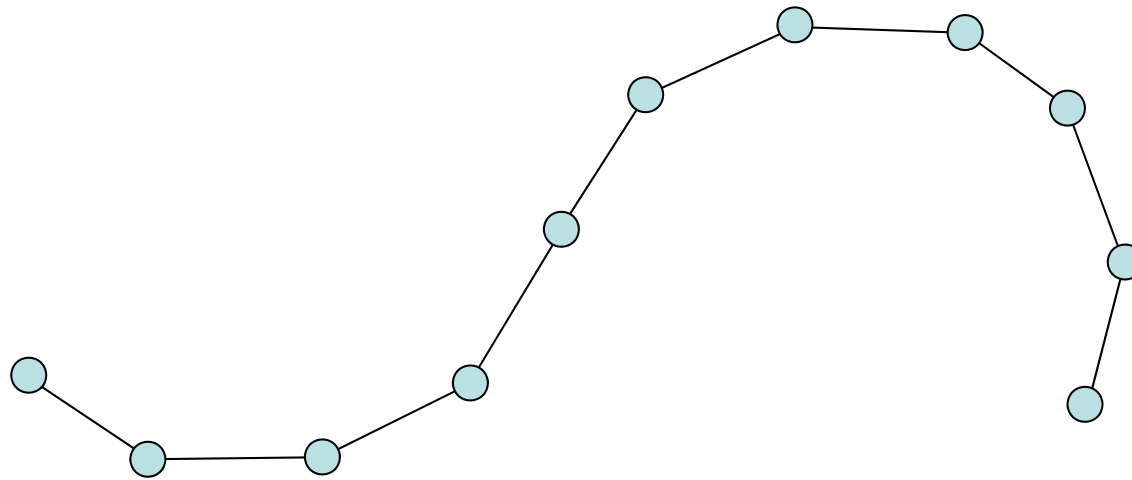
Averaging step: relocate each (original) vertex according to some (simple) rule...





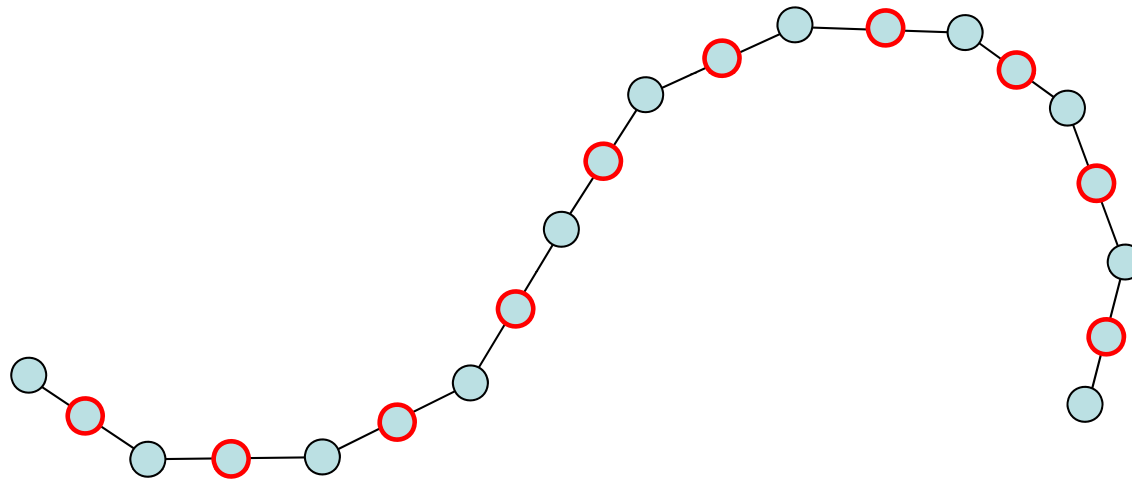
# Approximating

Start over ...



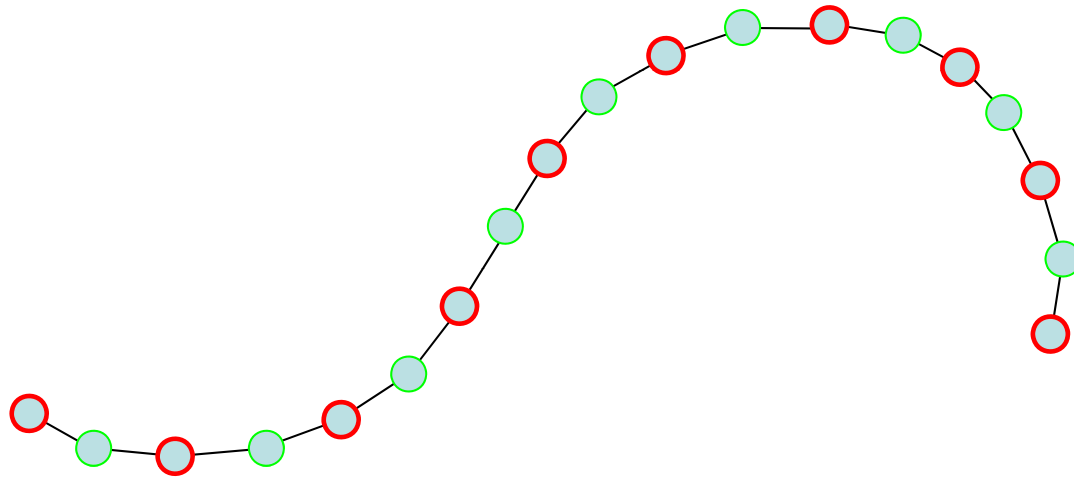
# Approximating

...splitting...



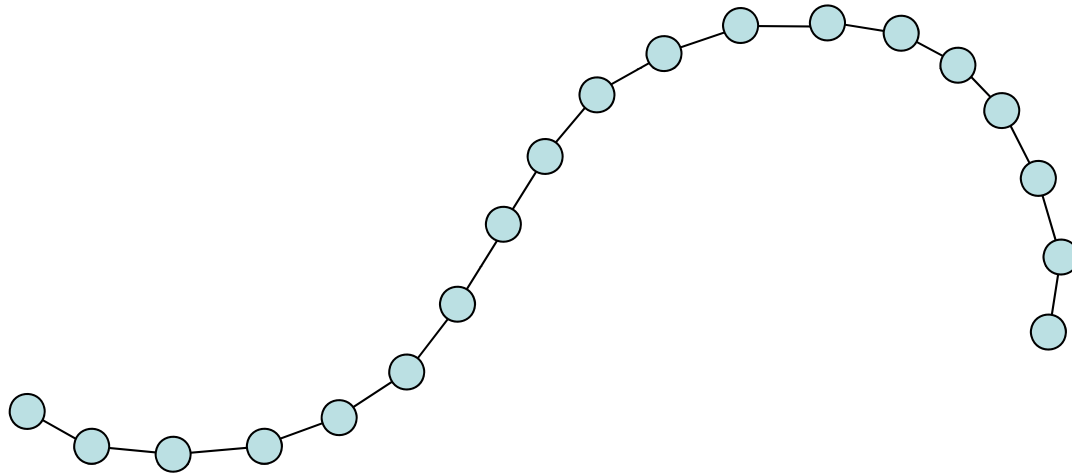
# Approximating

...averaging...



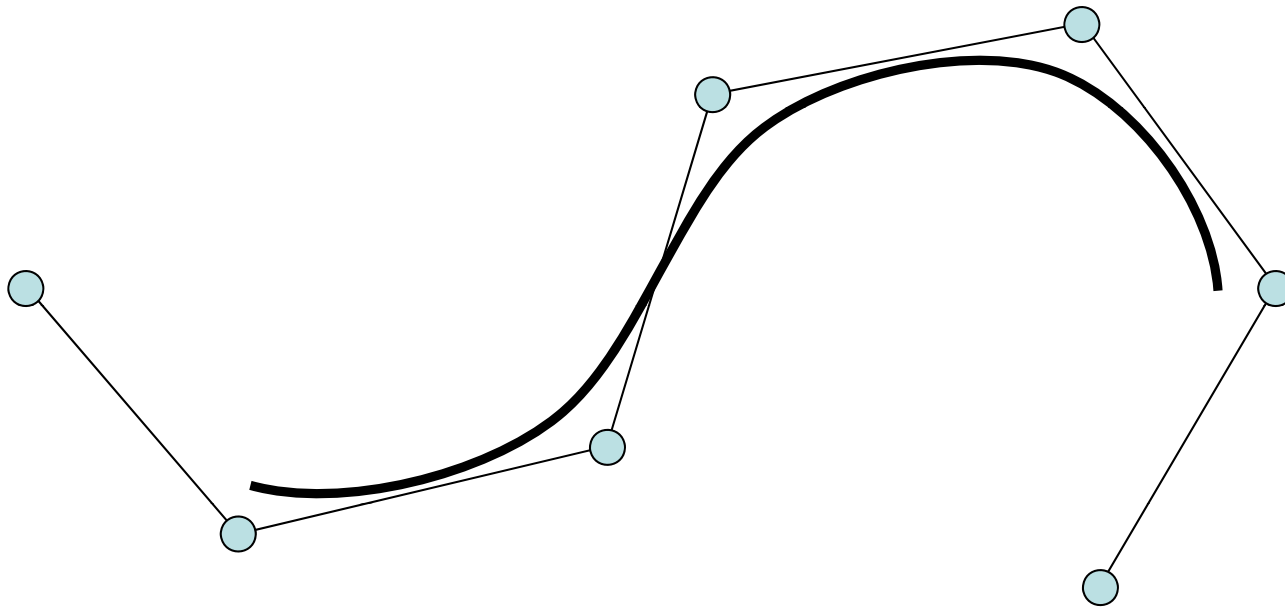
# Approximating

...and so on...



# Approximating

If the rule is designed carefully...



... the control polygons will converge to a smooth limit curve!

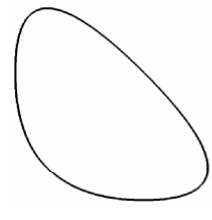
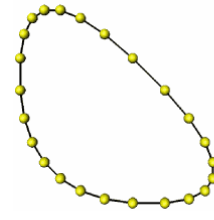
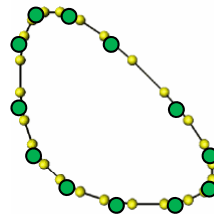
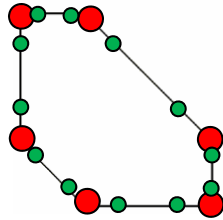
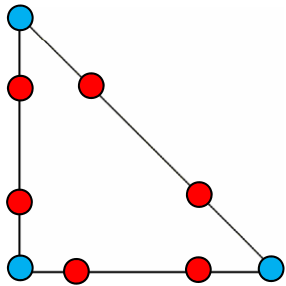
# Equivalent to ...

- Insert *single* new point at mid-edge
- *Filter* entire set of points.

**Catmull-Clark rule: Filter with (1/8, 6/8, 1/8)**

# Corner Cutting

- Subdivision rule:
  - Insert *two* new vertices at  $\frac{1}{4}$  and  $\frac{3}{4}$  of each edge
  - *Remove* the old vertices
  - Connect the new vertices





# B-Spline Curves

- Piecewise polynomial of degree  $n$

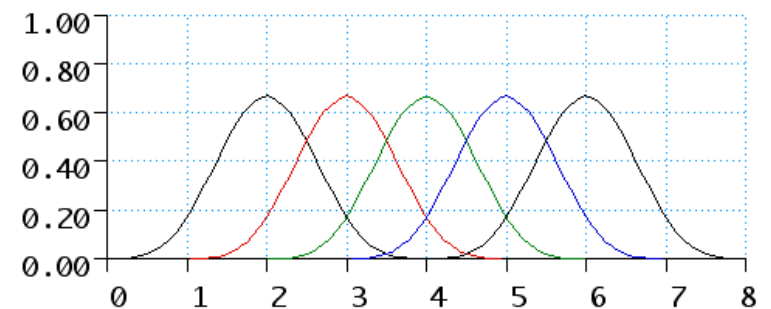
B-spline curve

control points

$$\mathbf{s}(u) = \sum_{i=0}^k \mathbf{d}_i N_i^n(u)$$

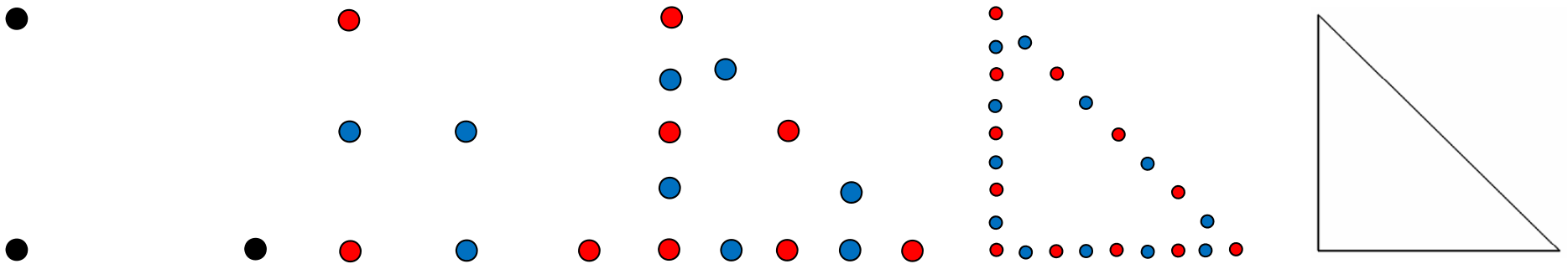
parameter value

basis functions



# B-Spline Curves

- Distinguish between odd and even points
- **Linear B-spline**
  - Odd coefficients ( $1/2, 1/2$ )
  - Even coefficient (1)

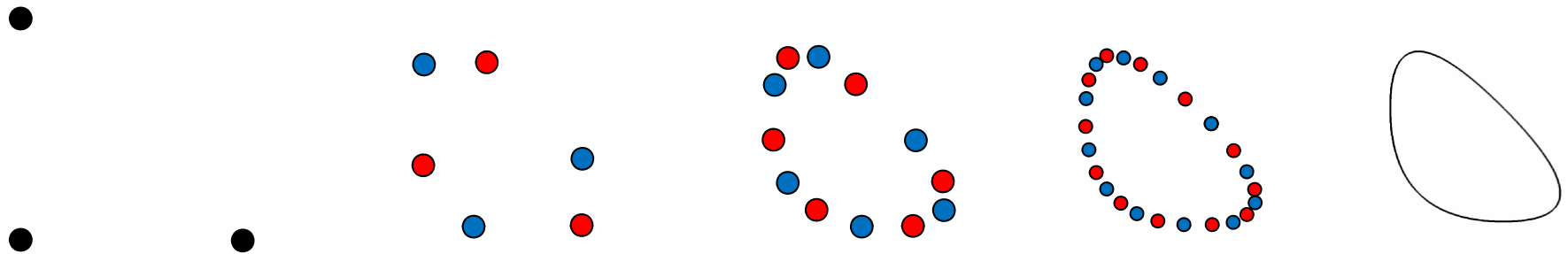


# B-Spline Curves

- **Quadratic B-Spline (Chaikin)**

- Odd coefficients ( $\frac{1}{4}, \frac{3}{4}$ )
- Even coefficients ( $\frac{3}{4}, \frac{1}{4}$ )

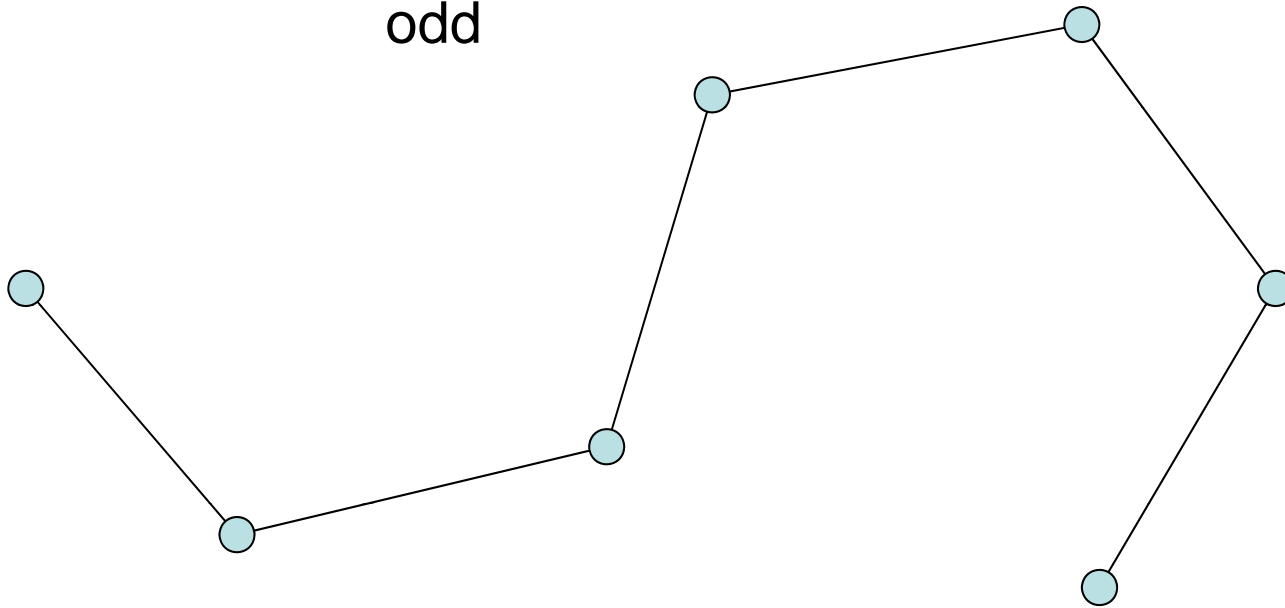
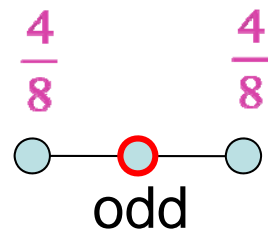
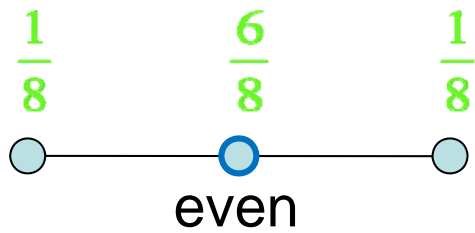
[demo](#)



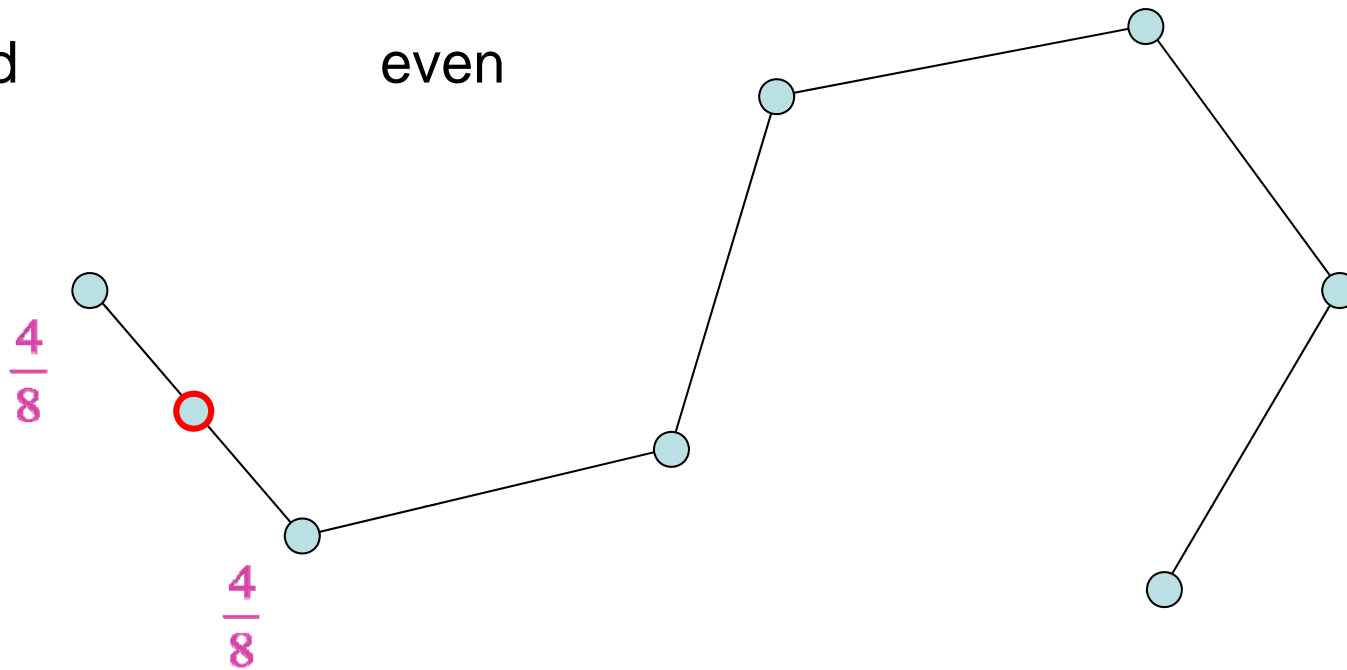
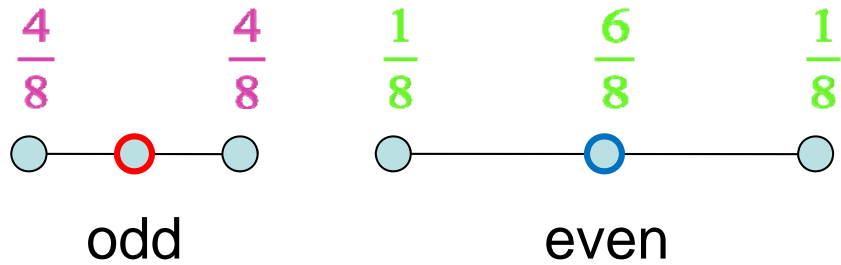
- **Cubic B-Spline (Catmull-Clark)**

- Odd coefficients ( $\frac{4}{8}, \frac{4}{8}$ )
- Even coefficients ( $\frac{1}{8}, \frac{6}{8}, \frac{1}{8}$ )

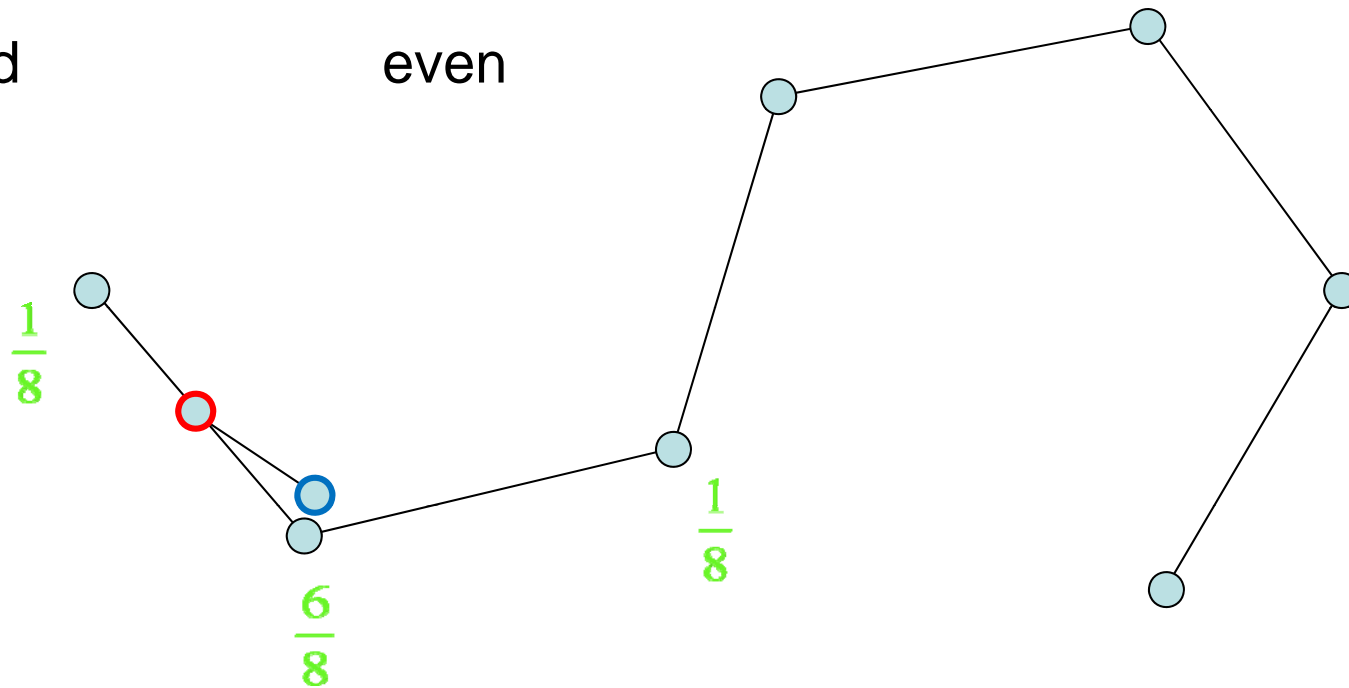
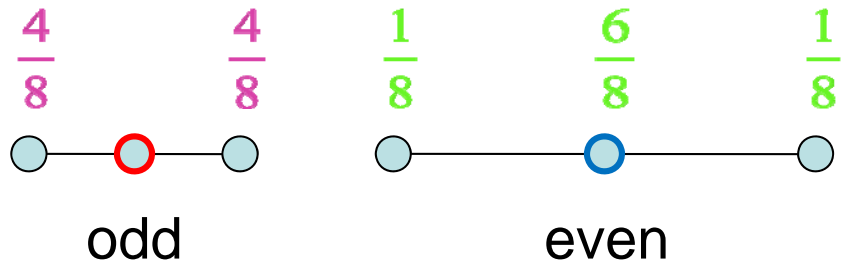
# Cubic B-Spline



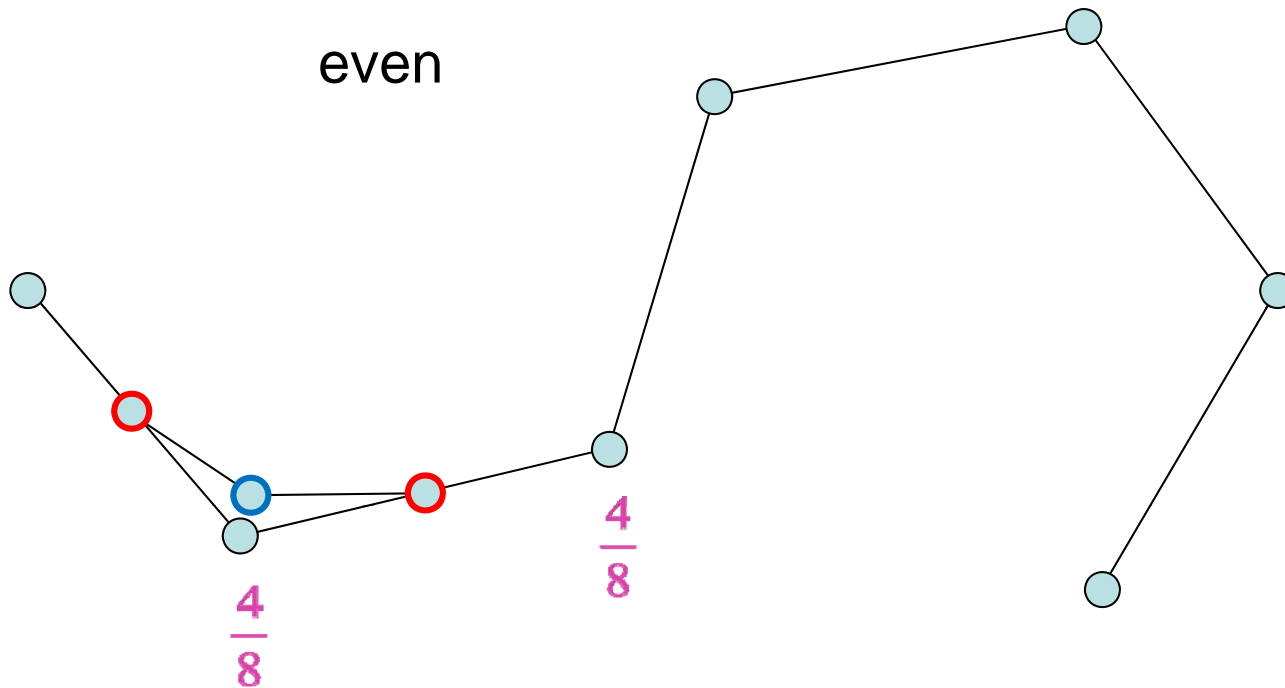
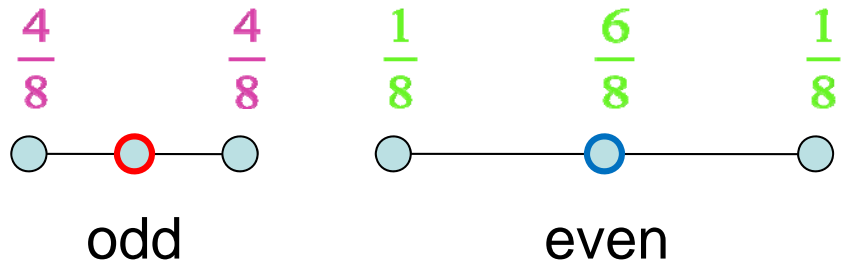
# Cubic B-Spline



# Cubic B-Spline

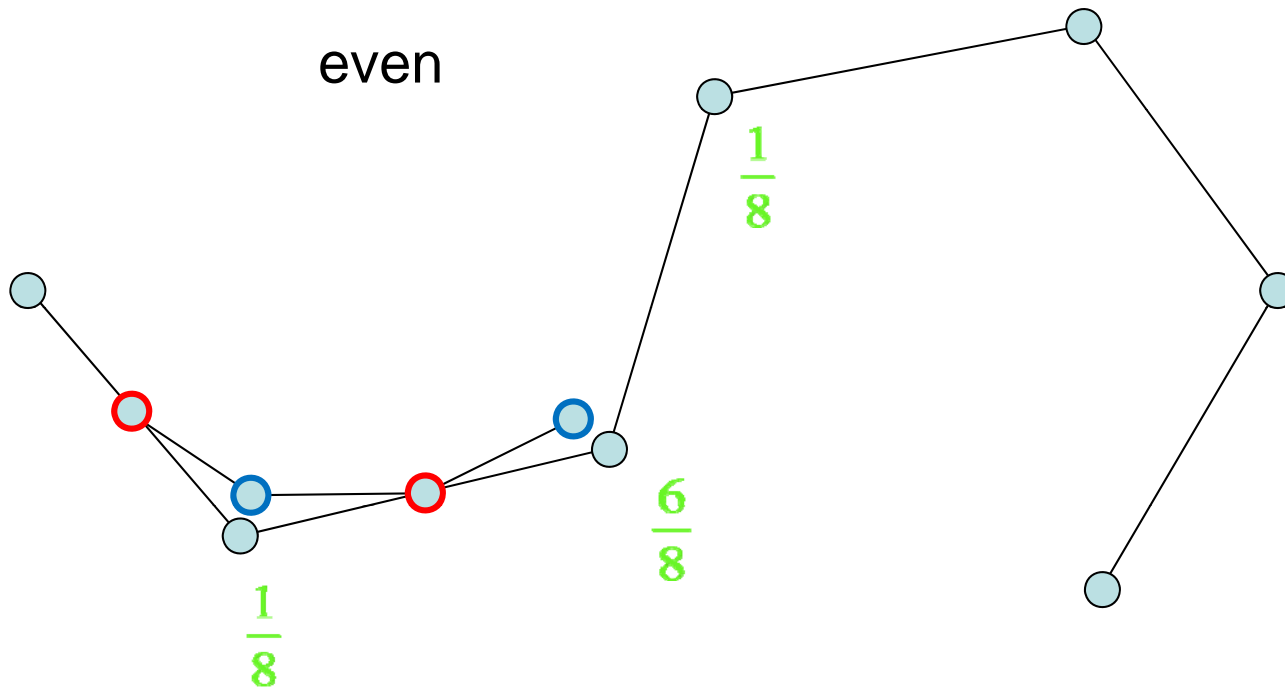
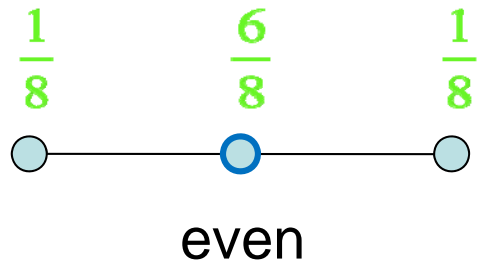
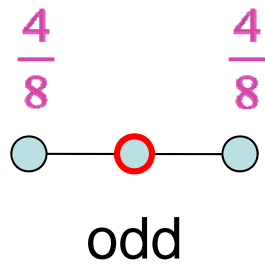


# Cubic B-Spline

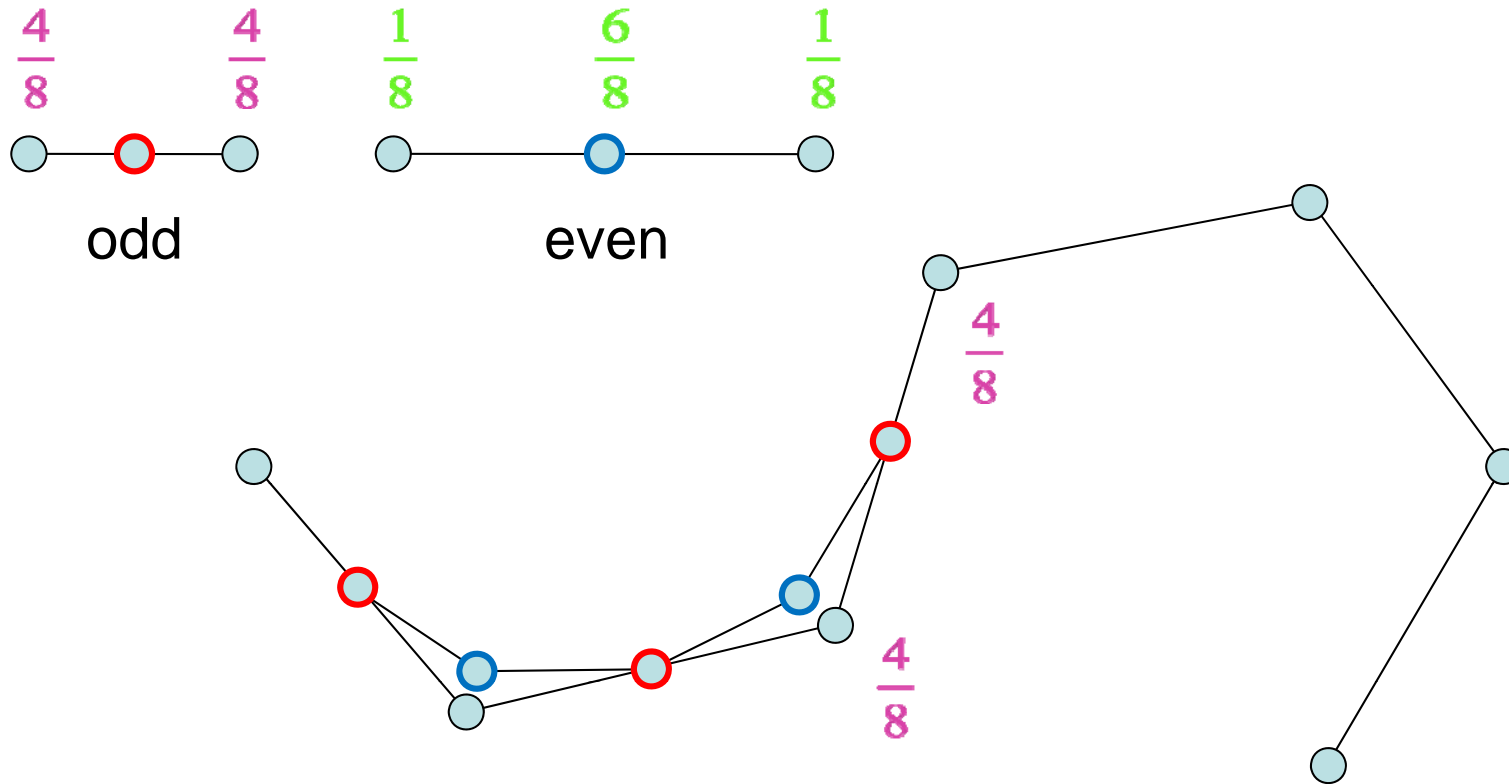




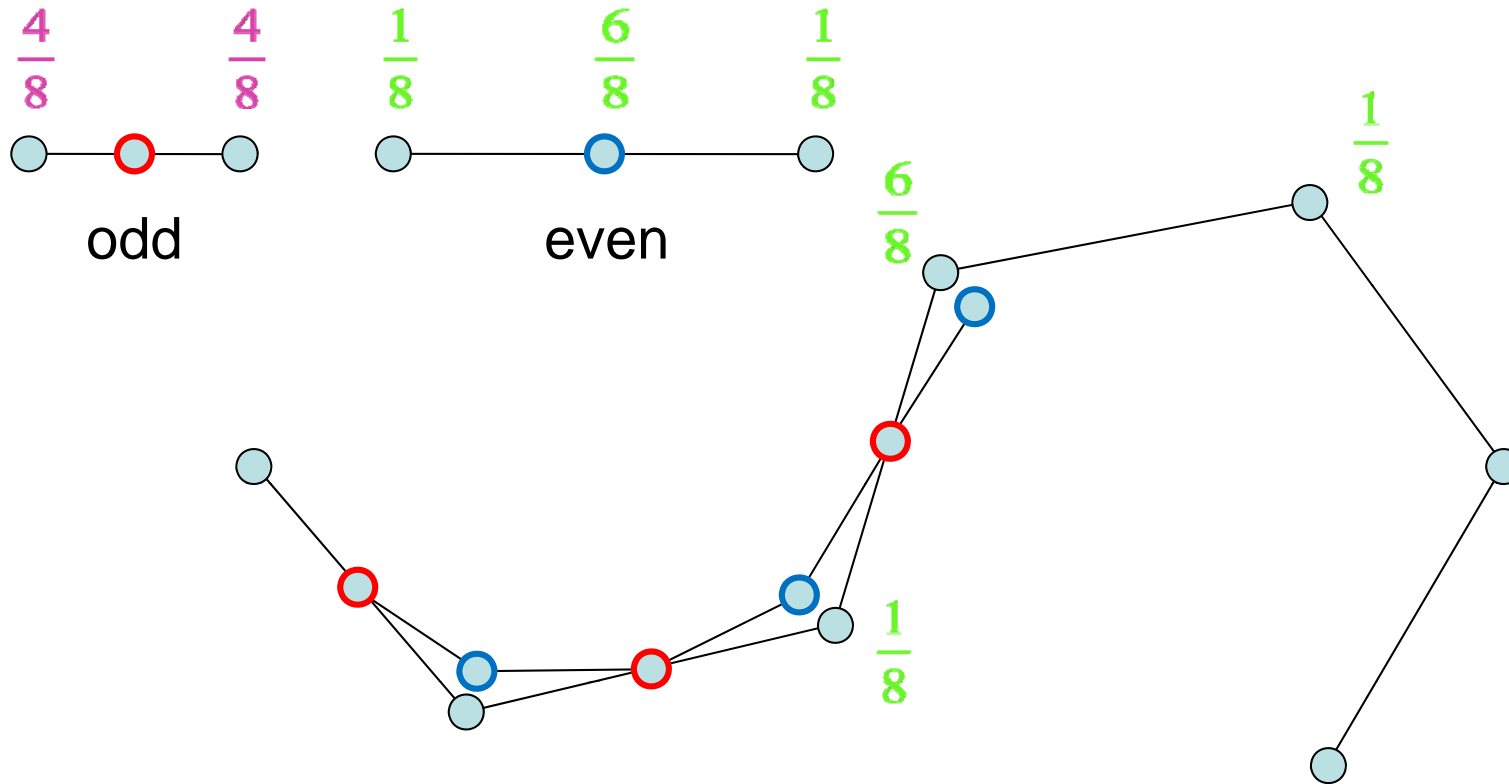
# Cubic B-Spline



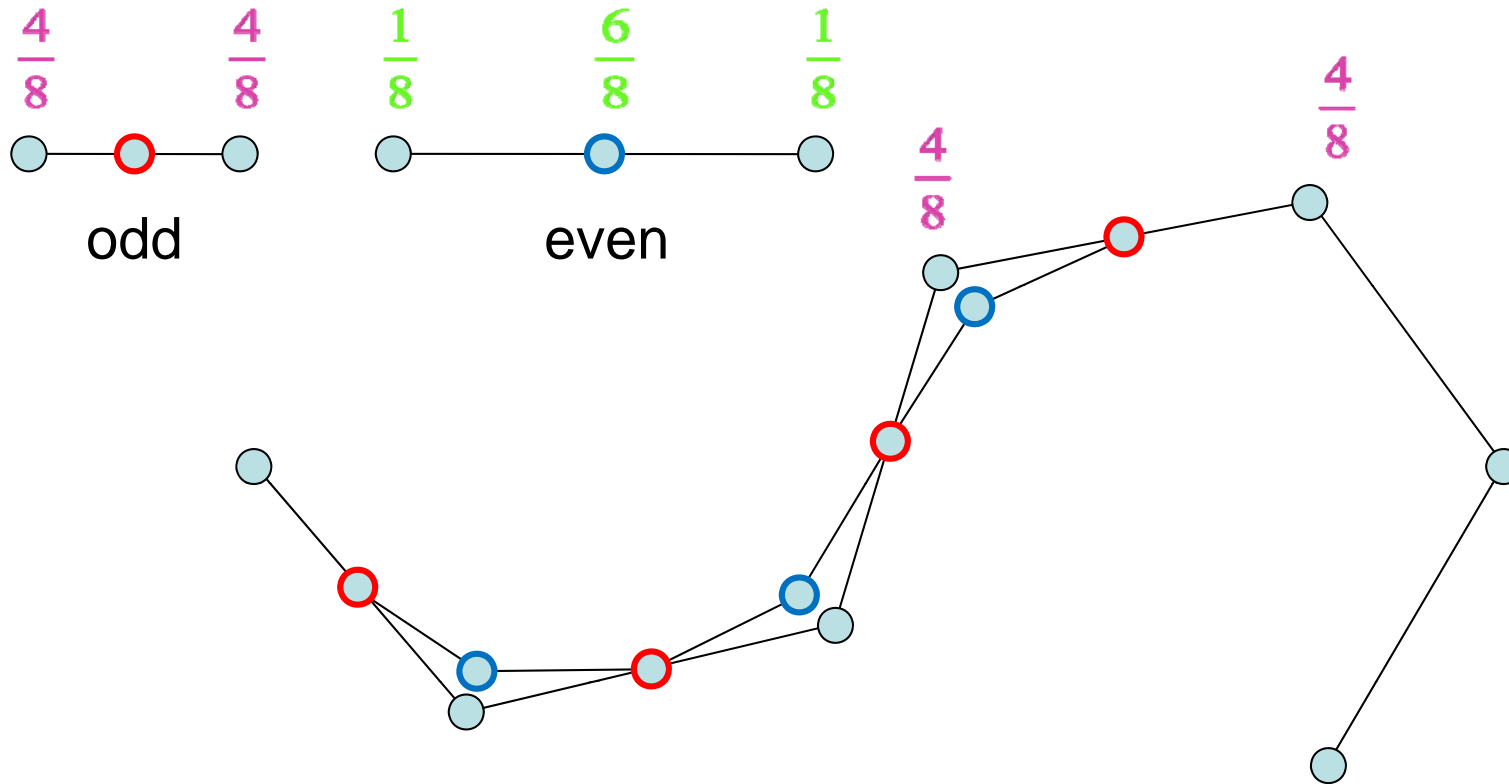
# Cubic B-Spline



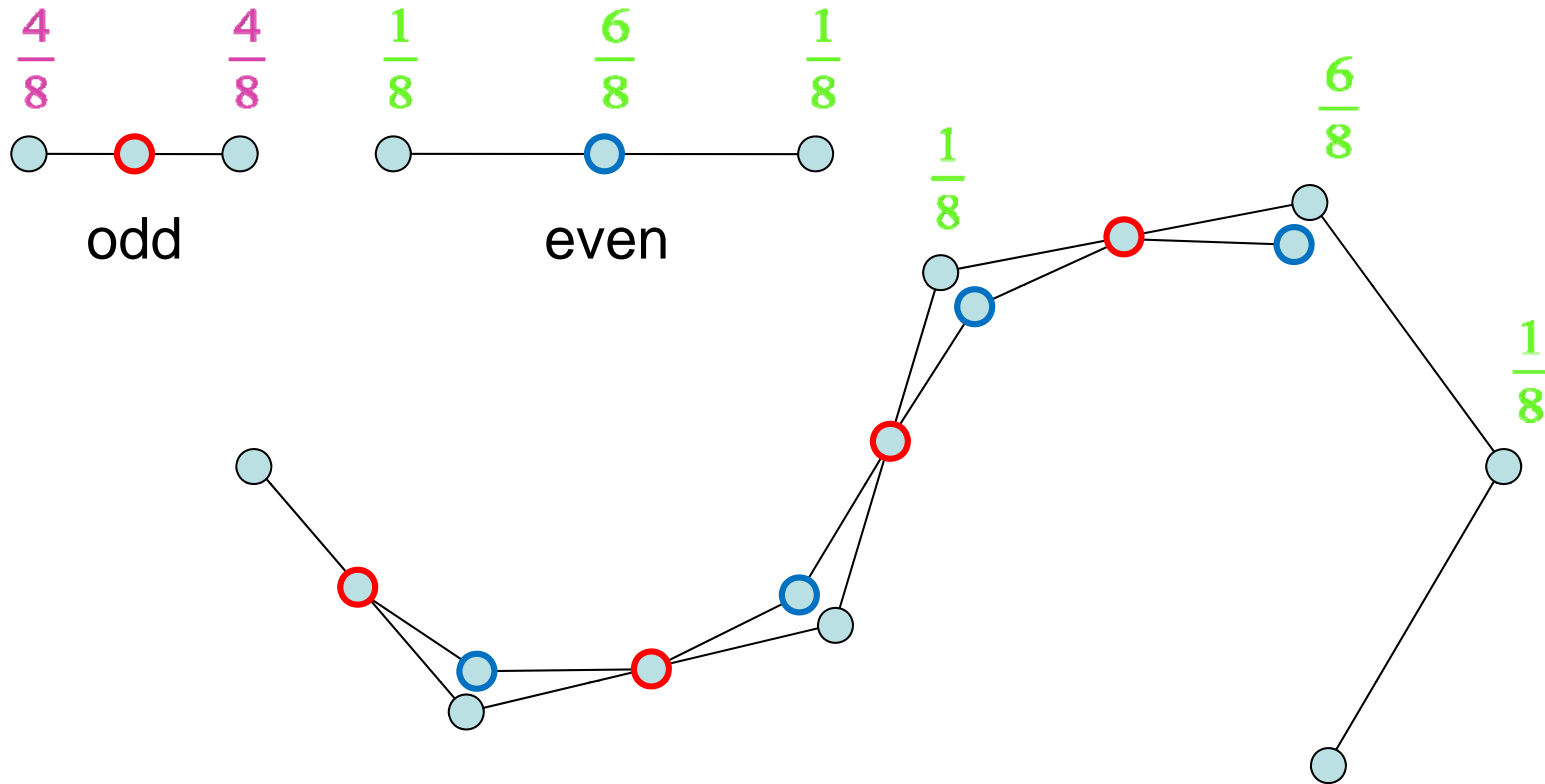
# Cubic B-Spline



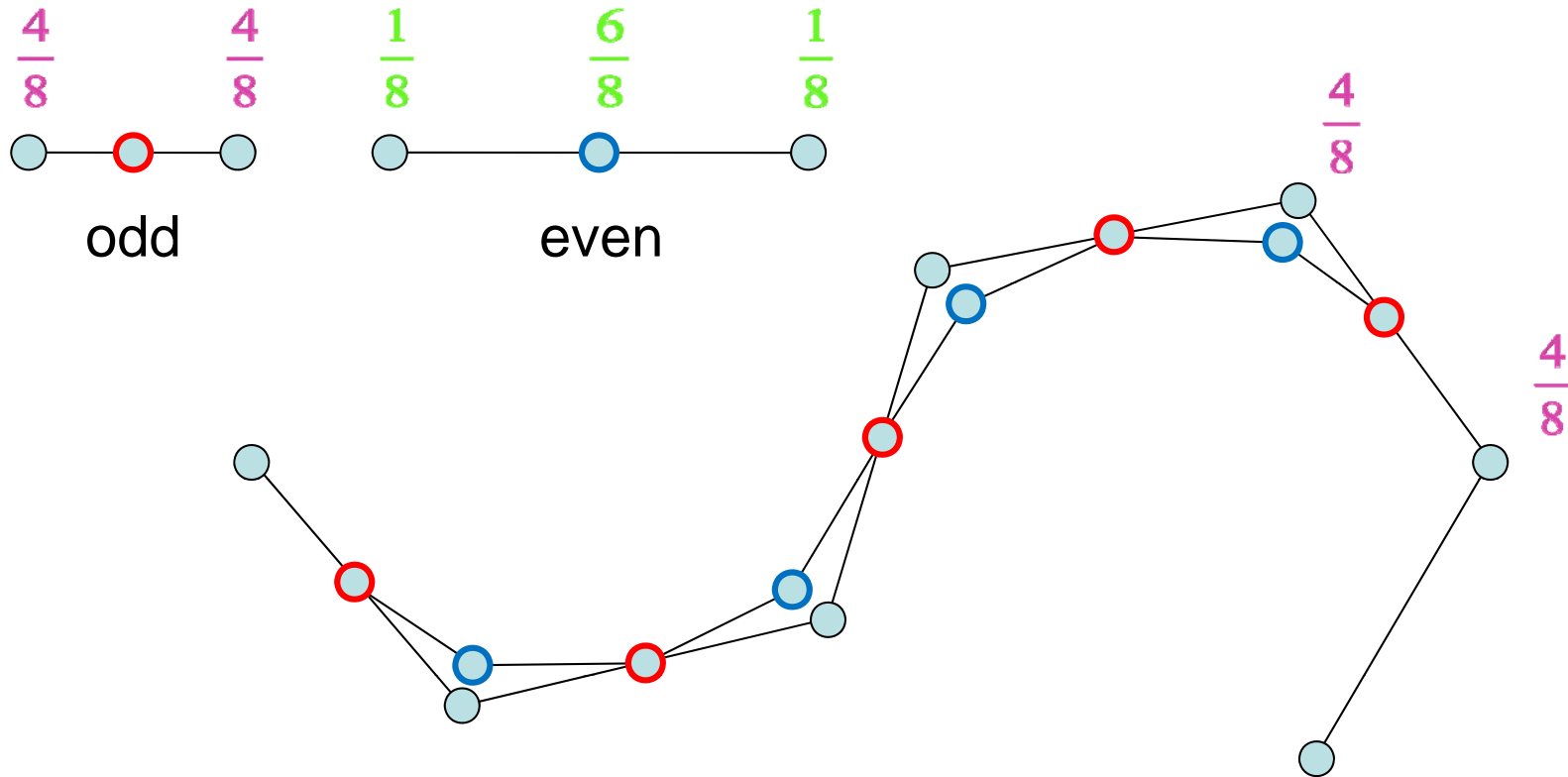
# Cubic B-Spline



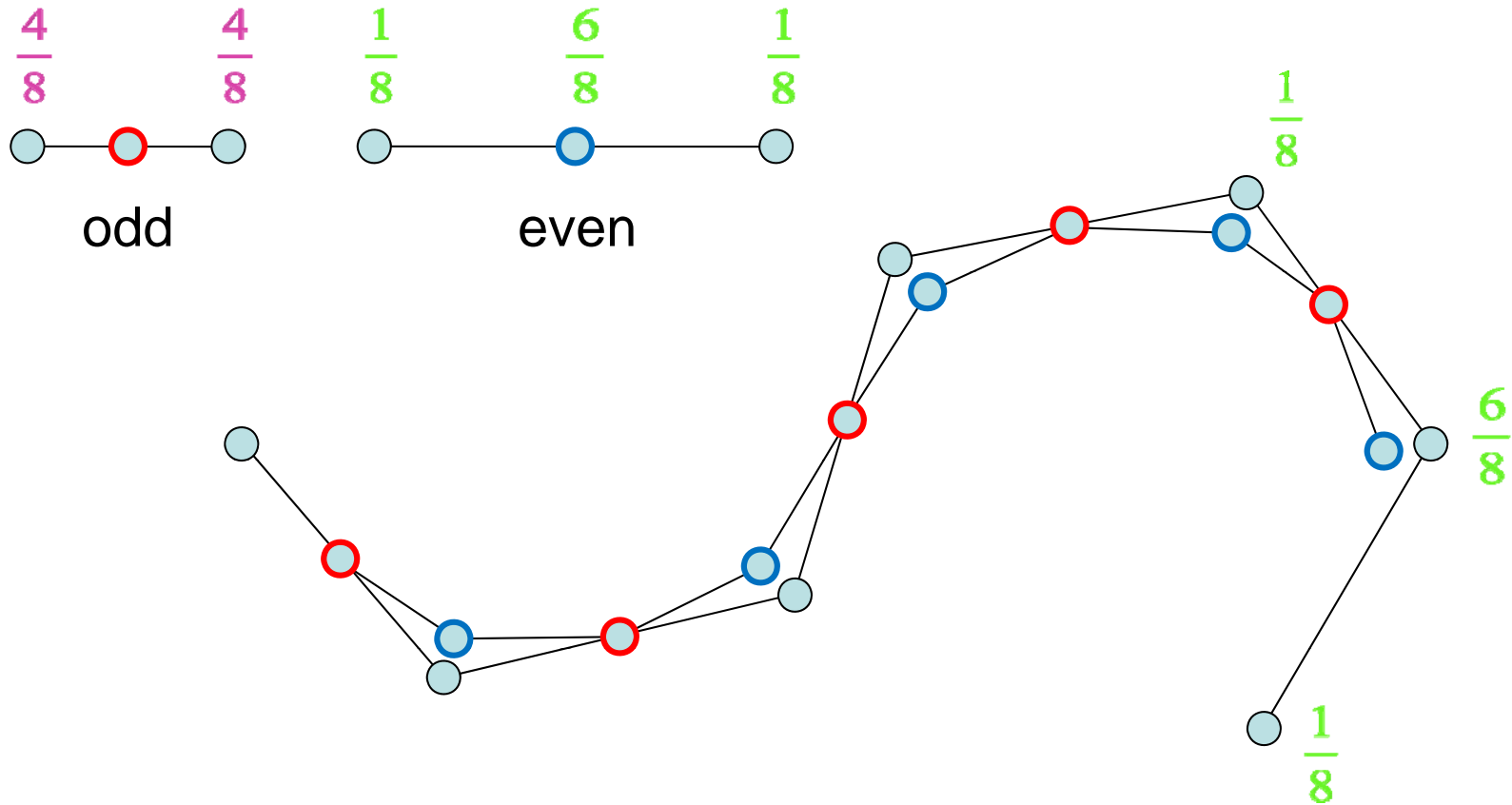
# Cubic B-Spline



# Cubic B-Spline

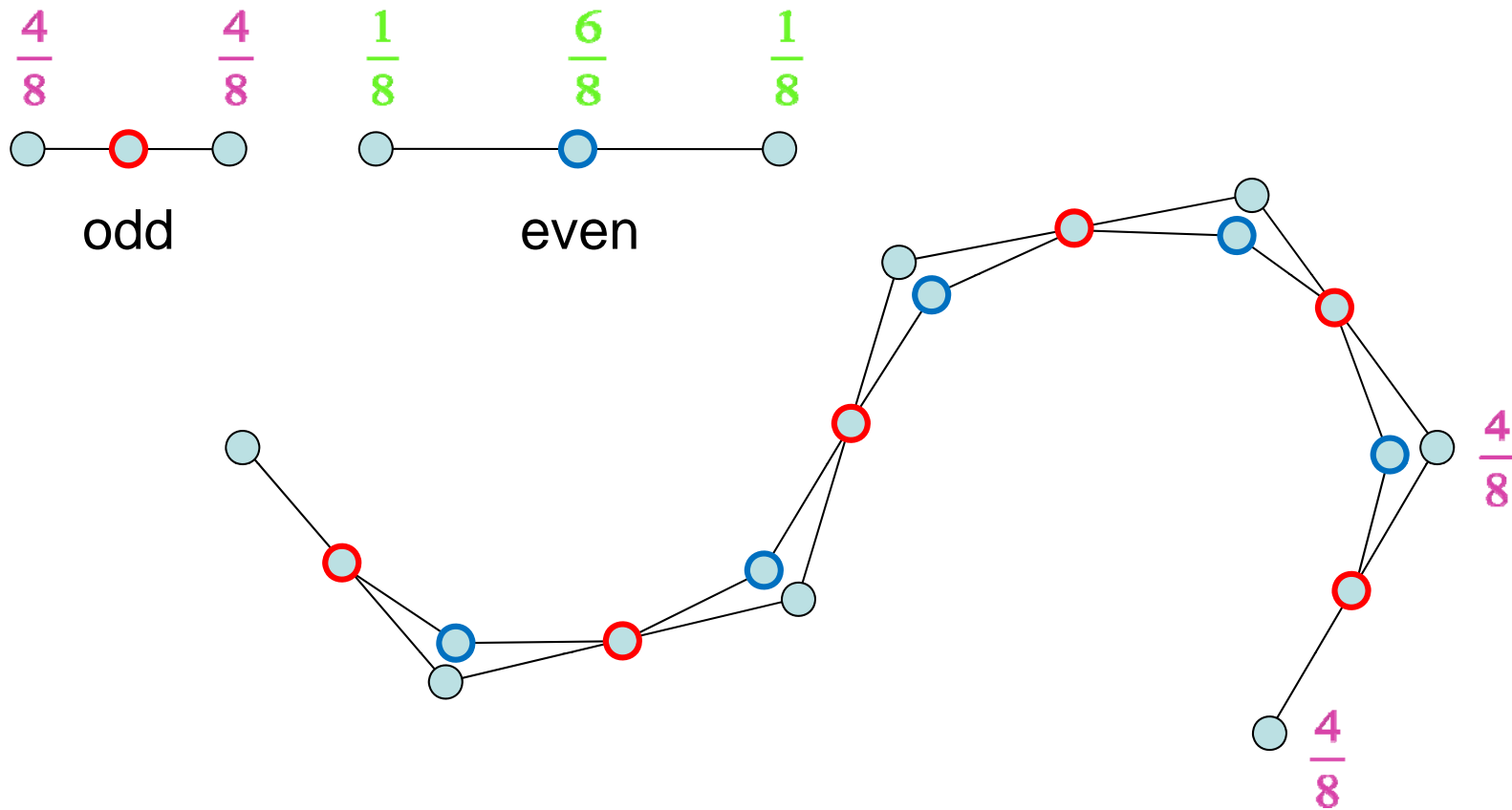


# Cubic B-Spline

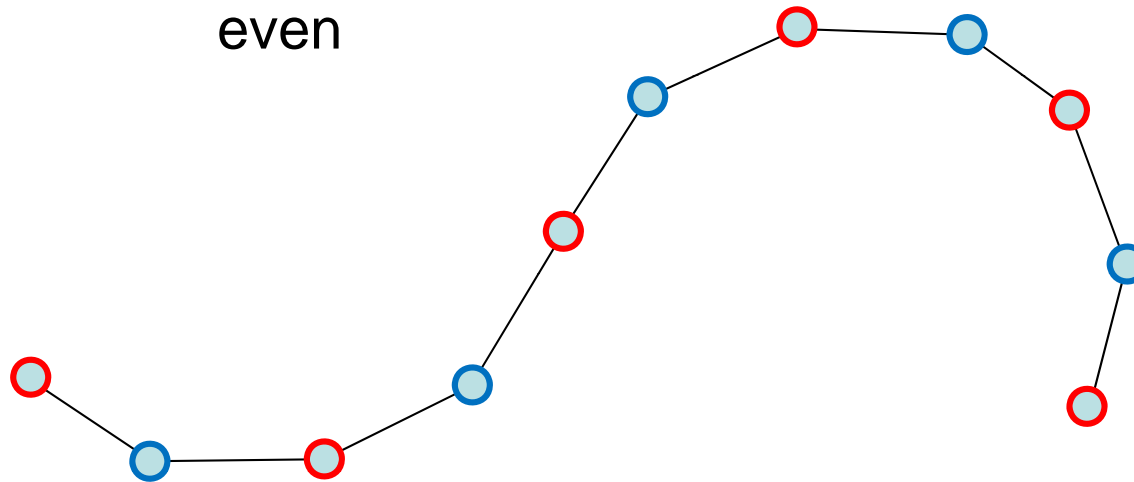
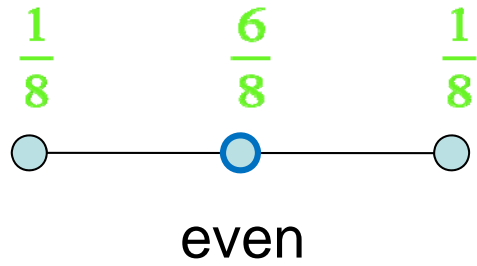
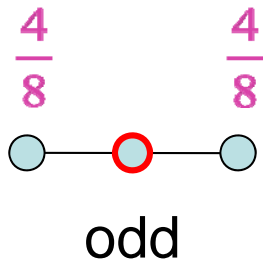




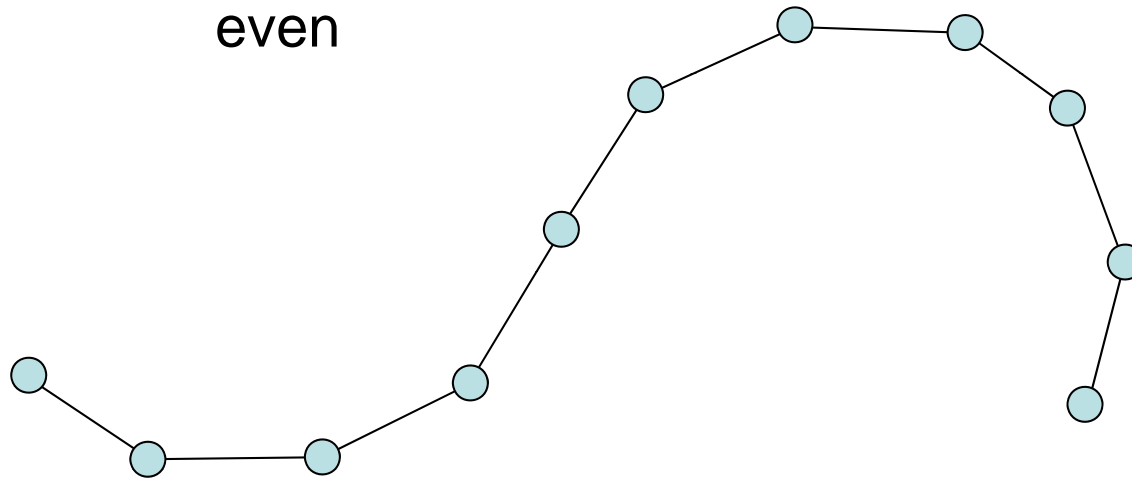
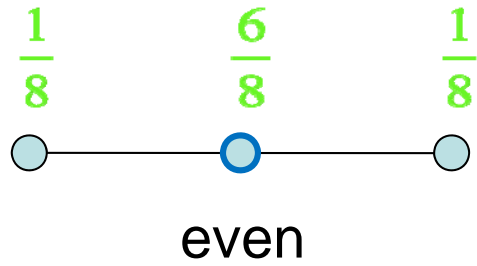
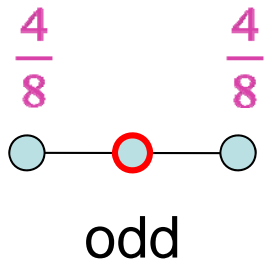
# Cubic B-Spline



# Cubic B-Spline



# Cubic B-Spline



# B-Spline Curves

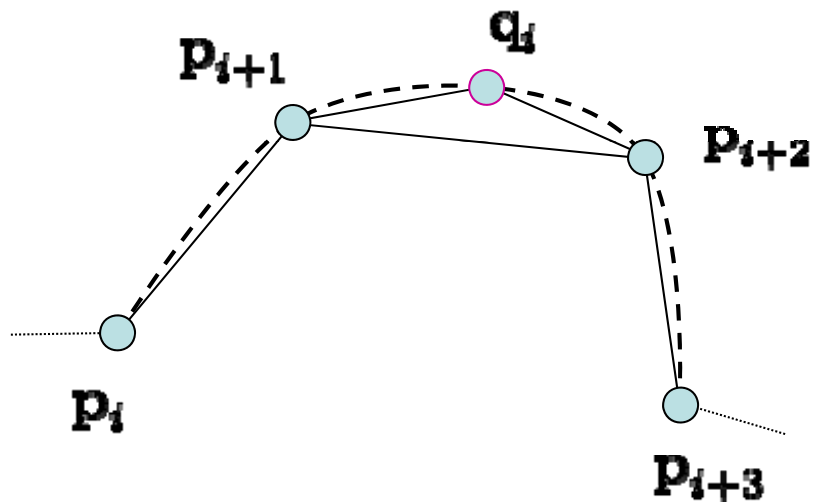
- Subdivision rules for control polygon

$$\mathbf{d}^0 \rightarrow \mathbf{d}^1 = S\mathbf{d}^0 \rightarrow \dots \rightarrow \mathbf{d}^j = S\mathbf{d}^{j-1} = S^j\mathbf{d}^0$$

- Mask of size  $n$  yields  $C^{n-1}$  curve

# Interpolating (4-point Scheme)

- Keep old vertices
- Generate new vertices by fitting cubic curve to old vertices
- $C^1$  continuous limit curve

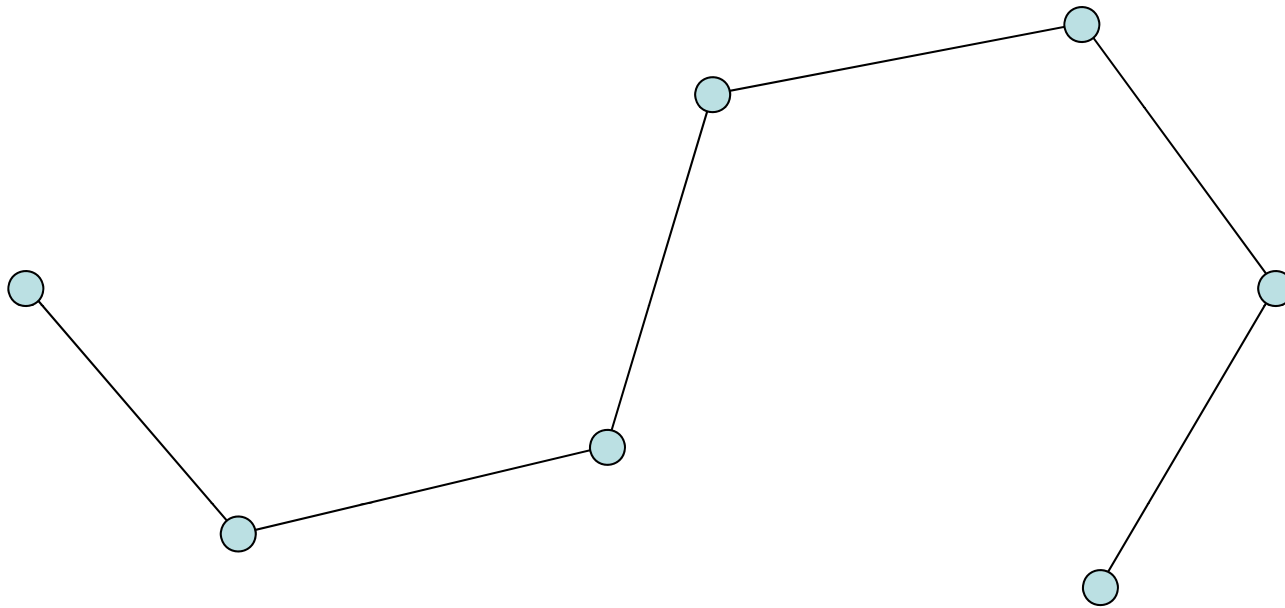


$$f(x) = ax^3 + bx^2 + cx + d$$

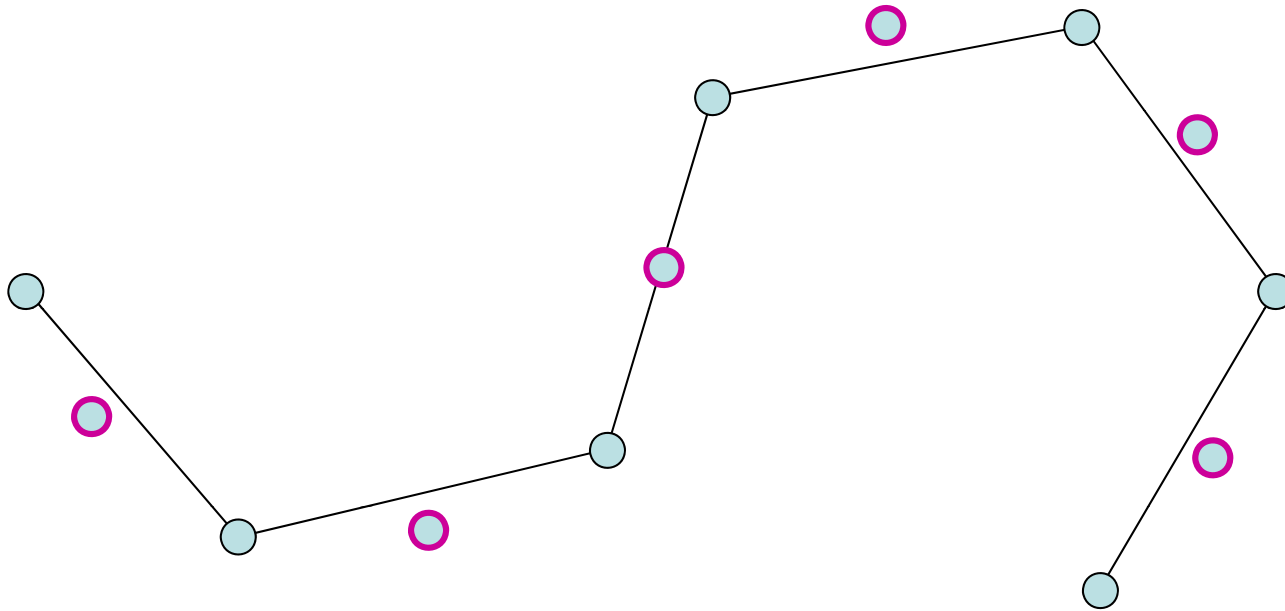
$$f(j) = \mathbf{p}_{i+j}, \quad j = 0, \dots, 3$$

$$\begin{aligned} \mathbf{q}_i &= f(3/2) \\ &= \frac{1}{16} (-\mathbf{p}_i + 9\mathbf{p}_{i+1} + 9\mathbf{p}_{i+2} - \mathbf{p}_{i+3}) \end{aligned}$$

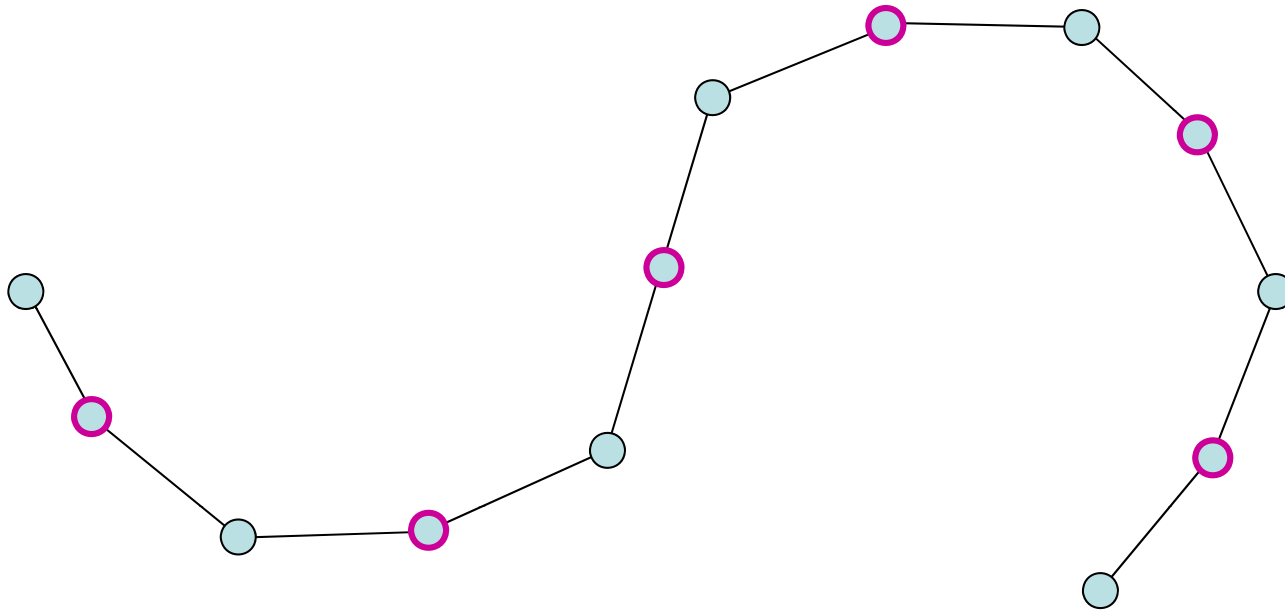
# Interpolating



# Interpolating

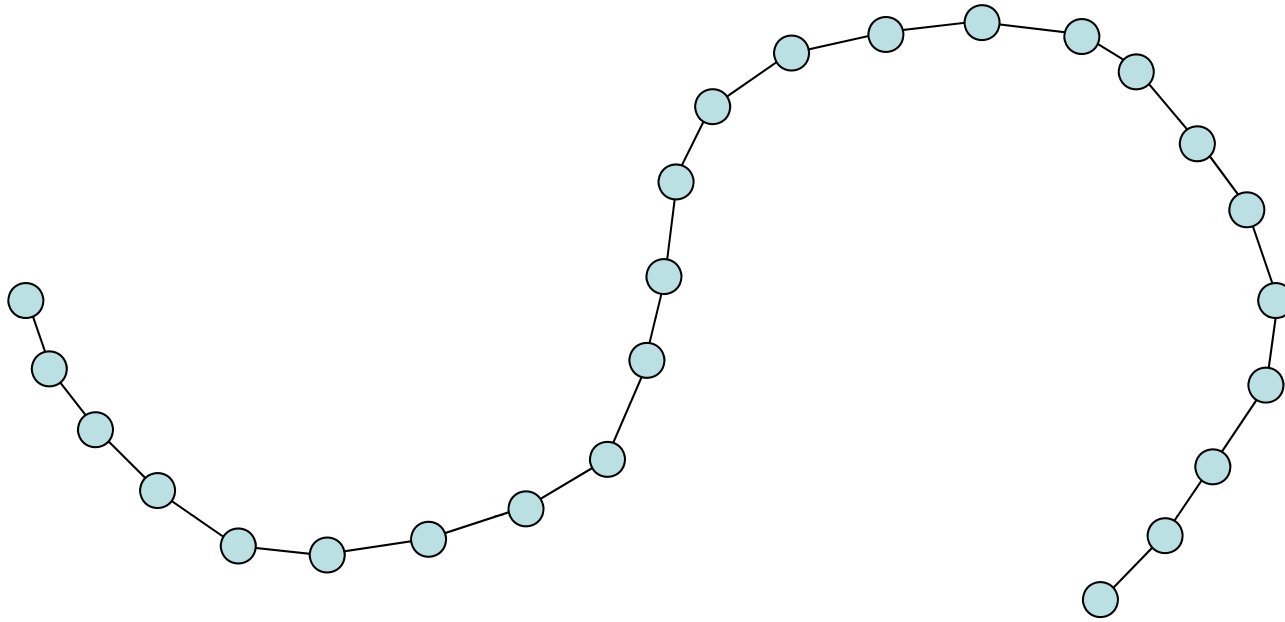


# Interpolating

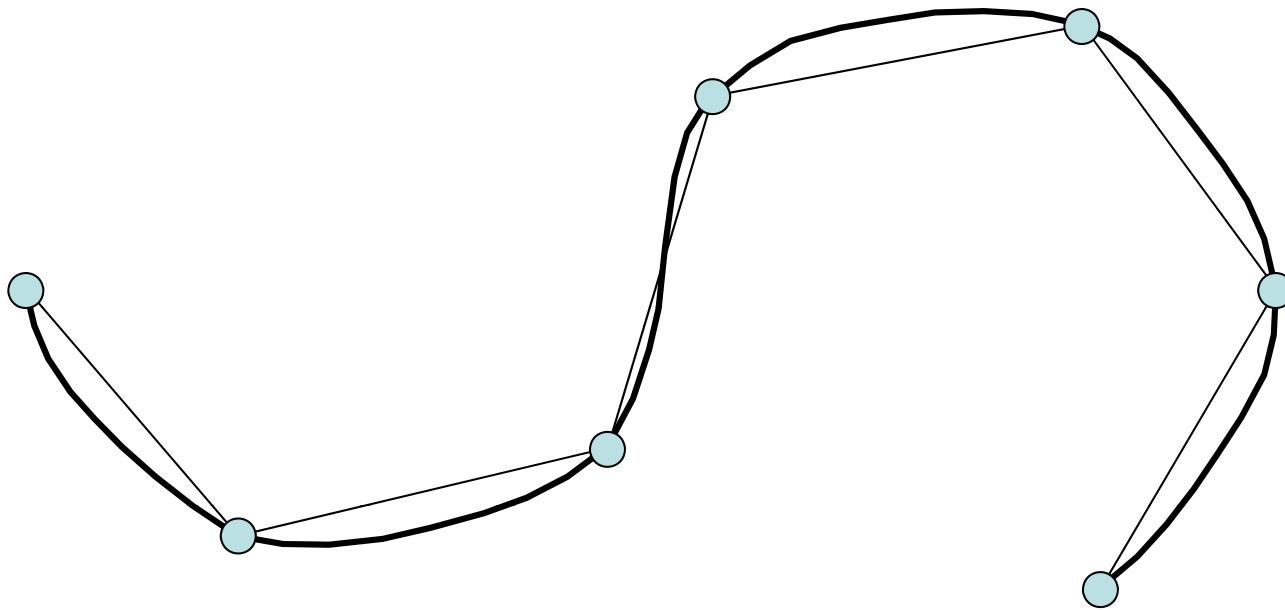




# Interpolating



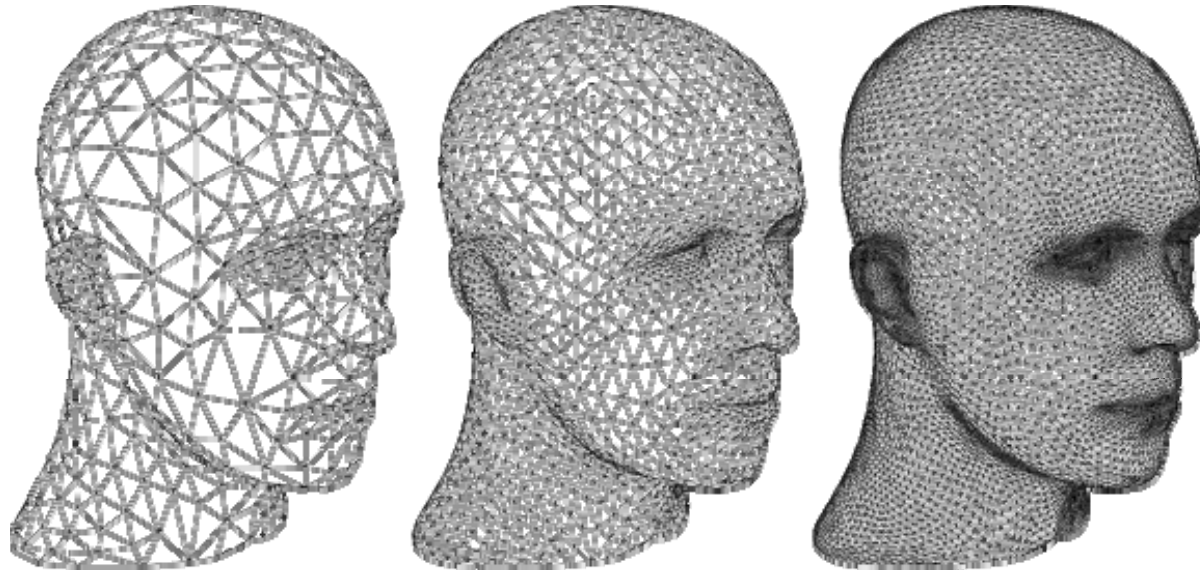
# Interpolating



[demo](#)

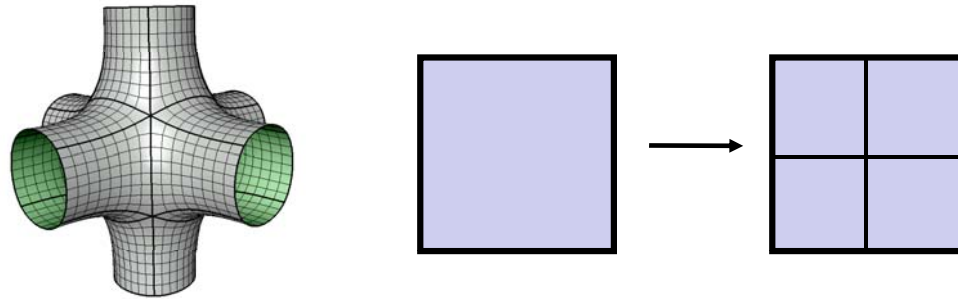
# Subdivision Surfaces

- No regular structure as for curves
  - Arbitrary number of edge-neighbors
  - Different subdivision rules for each valence



# Subdivision Rules

- How the connectivity changes



- How the geometry changes
  - Old points
  - New points

# Subdivision Zoo

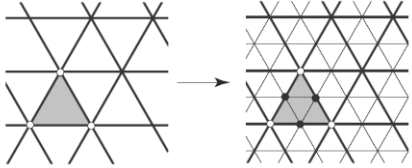
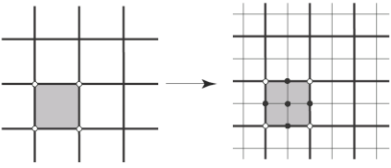
- Classification of subdivision schemes

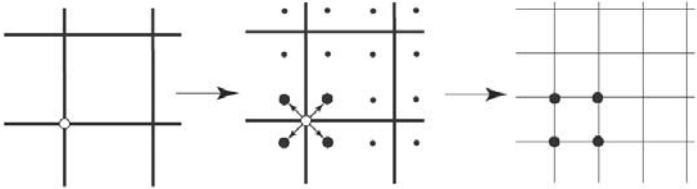
<b>Primal</b>	Faces are split into sub-faces
<b>Dual</b>	Vertices are split into multiple vertices

<b>Approximating</b>	Control points are not interpolated
<b>Interpolating</b>	Control points are interpolated

# Subdivision Zoo

- Classification of subdivision schemes

Primal (face split)		
	 <i>Triangular meshes</i>	 <i>Quad Meshes</i>
<i>Approximating</i>	Loop( $C^2$ )	Catmull-Clark( $C^2$ )
<i>Interpolating</i>	Mod. Butterfly ( $C^1$ )	Kobbelt ( $C^1$ )

 <b>Dual (vertex split)</b>
Doo-Sabin, Midedge( $C^1$ )
Biquartic ( $C^2$ )

- Many more...

# Subdivision Zoo

- Classification of subdivision schemes

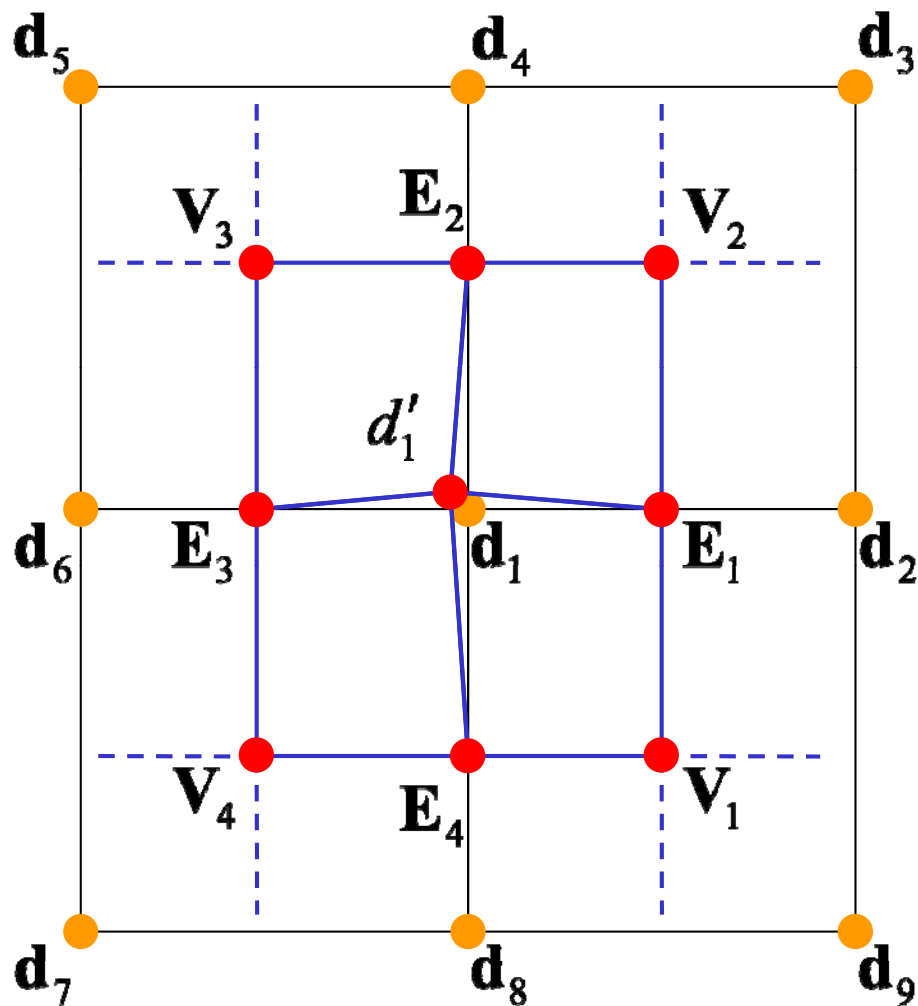
	Primal		Dual
	Triangles	Rectangles	
Approximating	Loop	Catmull-Clark	Doo-Sabin Midedge
Interpolating	Butterfly	Kobbelt	

# Catmull-Clark Subdivision

- Generalization of *bi-cubic B-Splines*
- Primal, approximation subdivision scheme
- Applied to *polygonal* meshes
- Generates  $G^2$  continuous limit surfaces:
  - $C^1$  for the set of finite extraordinary points
    - Vertices with valence  $\neq 4$
  - $C^2$  continuous everywhere else



# Catmull-Clark Subdivision



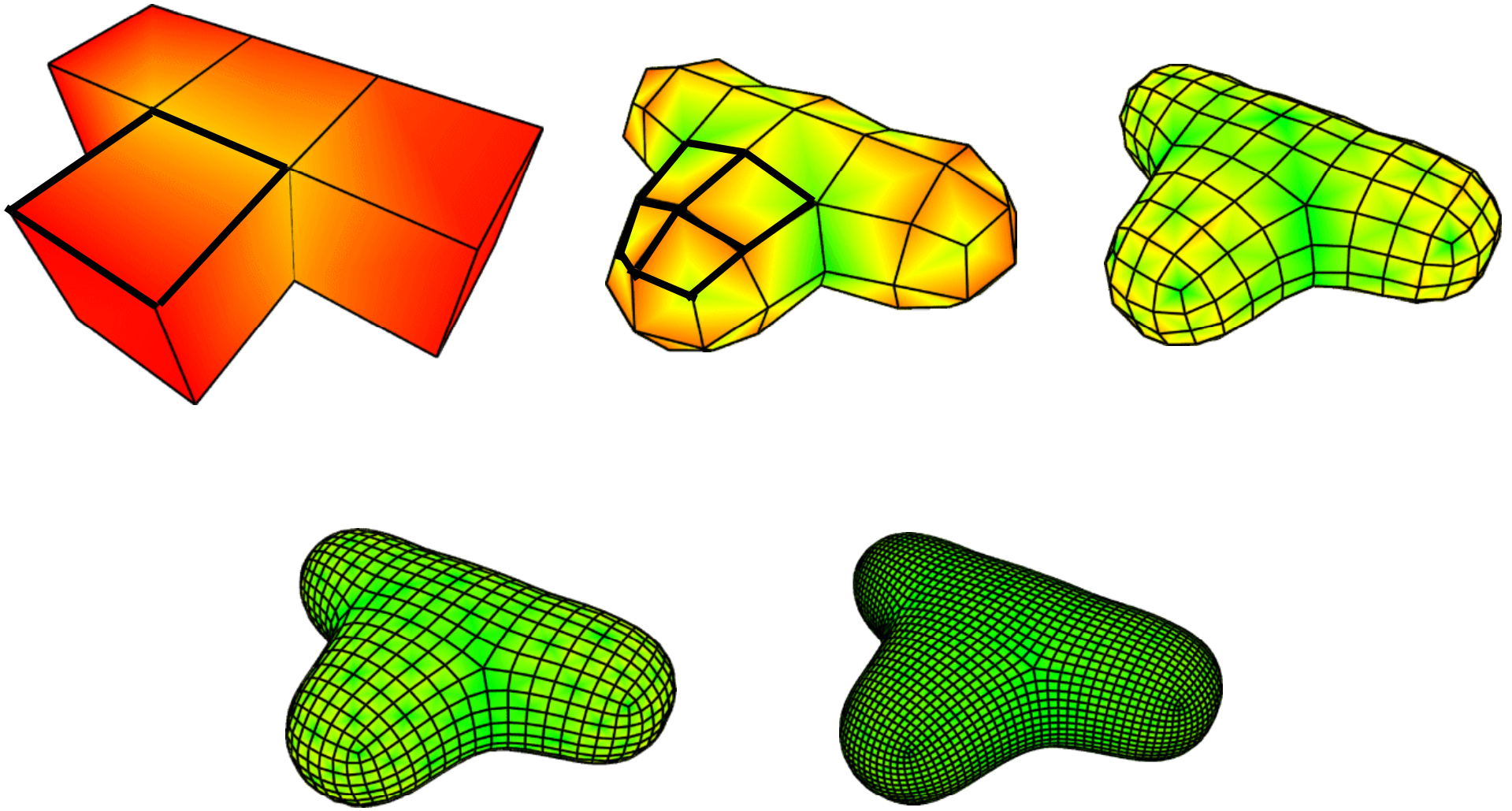
$$\mathbf{V}_2 = \frac{1}{n} \times \sum_{j=1}^n \mathbf{d}_j$$

$$\mathbf{E}_i = \frac{1}{4} (\mathbf{d}_1 + \mathbf{d}_{2i} + \mathbf{V}_i + \mathbf{V}_{i+1})$$

$$\mathbf{d}'_1 = \frac{(n-3)}{n} \mathbf{d}_1 + \frac{2}{n} \mathbf{R} + \frac{1}{n} \mathbf{S}$$

$$\mathbf{R} = \frac{1}{m} \sum_{i=1}^m \mathbf{E}_i \quad \mathbf{S} = \frac{1}{m} \sum_{i=1}^m \mathbf{V}_i$$

# Catmull-Clark Subdivision

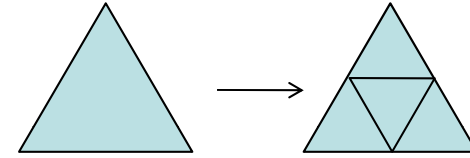


# Classic Subdivision Operators

- Classification of subdivision schemes

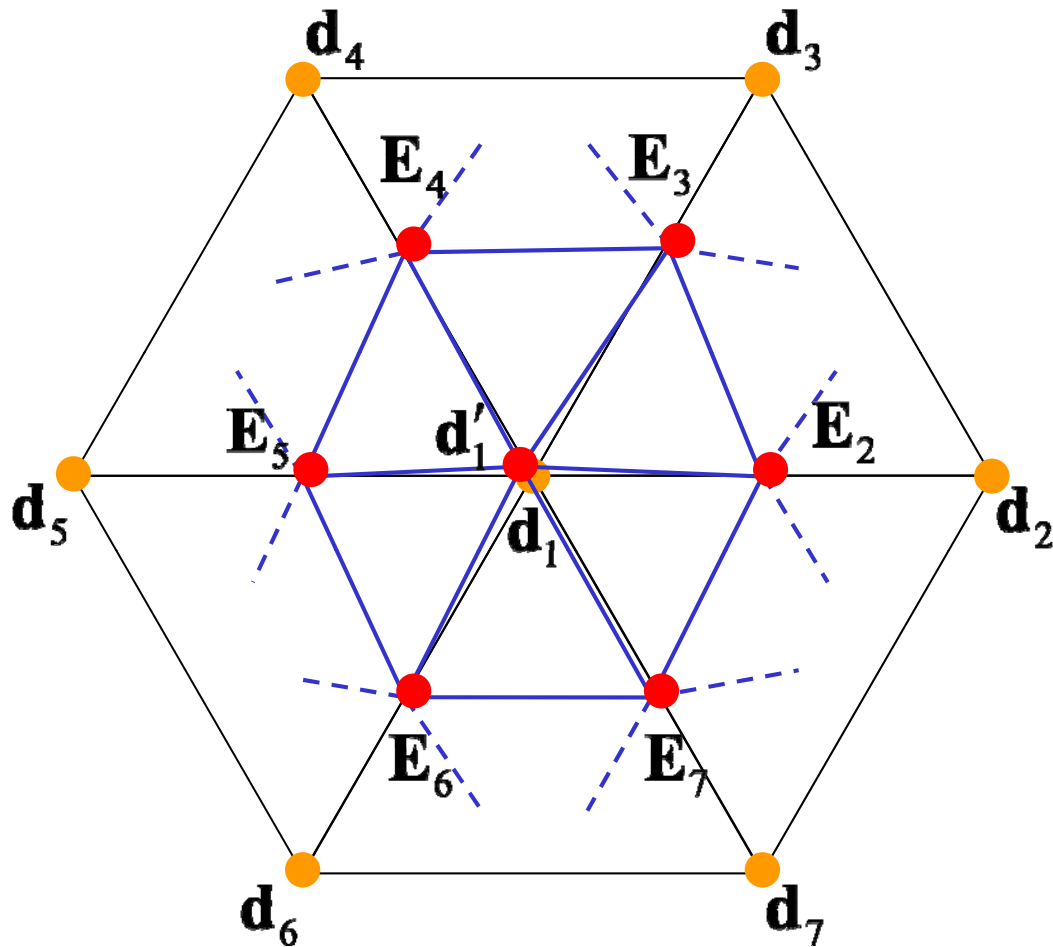
	Primal		Dual
	Triangles	Rectangles	
Approximating	Loop	Catmull-Clark	Doo-Sabin Midedge
Interpolating	Butterfly	Kobbelt	

# Loop Subdivision



- Generalization of *box splines*
- Primal, approximating subdivision scheme
- Applied to *triangle* meshes
- Generates  $G^2$  *continuous* limit surfaces:
  - $C^1$  for the set of finite extraordinary points
    - Vertices with valence  $\neq 6$
  - $C^2$  continuous everywhere else

# Loop Subdivision

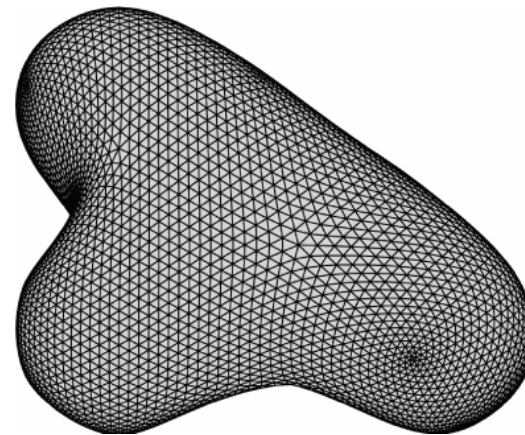
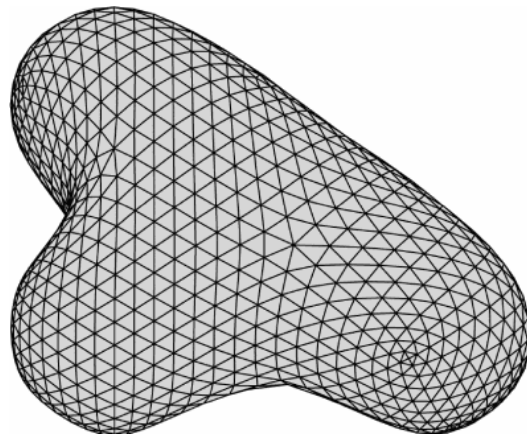
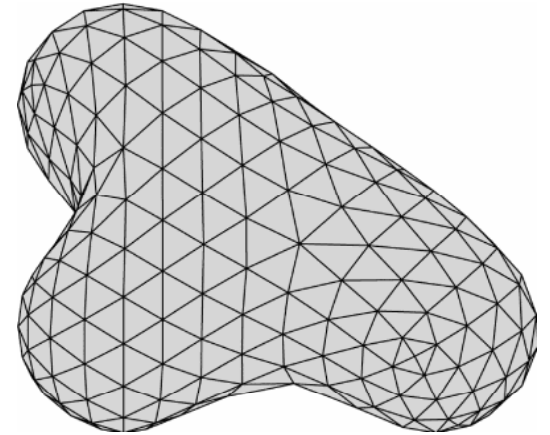
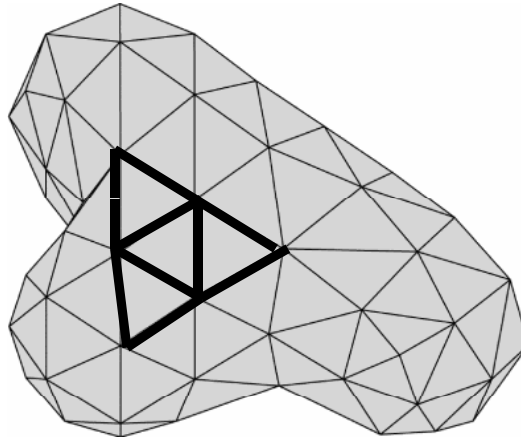
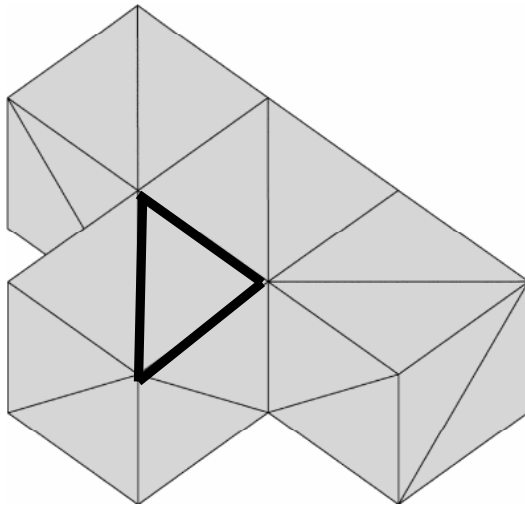


$$E_i = \frac{3}{8}(d_1 + d_i) + \frac{1}{8}(d_{i-1} + d_{i+1})$$

$$\mathbf{d}'_1 = \alpha_n \mathbf{d}_1 + \frac{(1 - \alpha_n)}{n} \sum_{j=2}^{n+1} \mathbf{d}_j$$

$$\alpha_n = \frac{3}{8} + \left( \frac{3}{8} + \frac{1}{4} \cos \frac{2\pi}{n} \right)^2$$

# Loop Subdivision

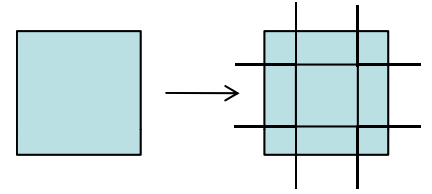


# Subdivision Zoo

- Classification of subdivision schemes

	Primal		Dual
	Triangles	Rectangles	
Approximating	Loop	Catmull-Clark	Doo-Sabin Midedge
Interpolating	Butterfly	Kobbelt	

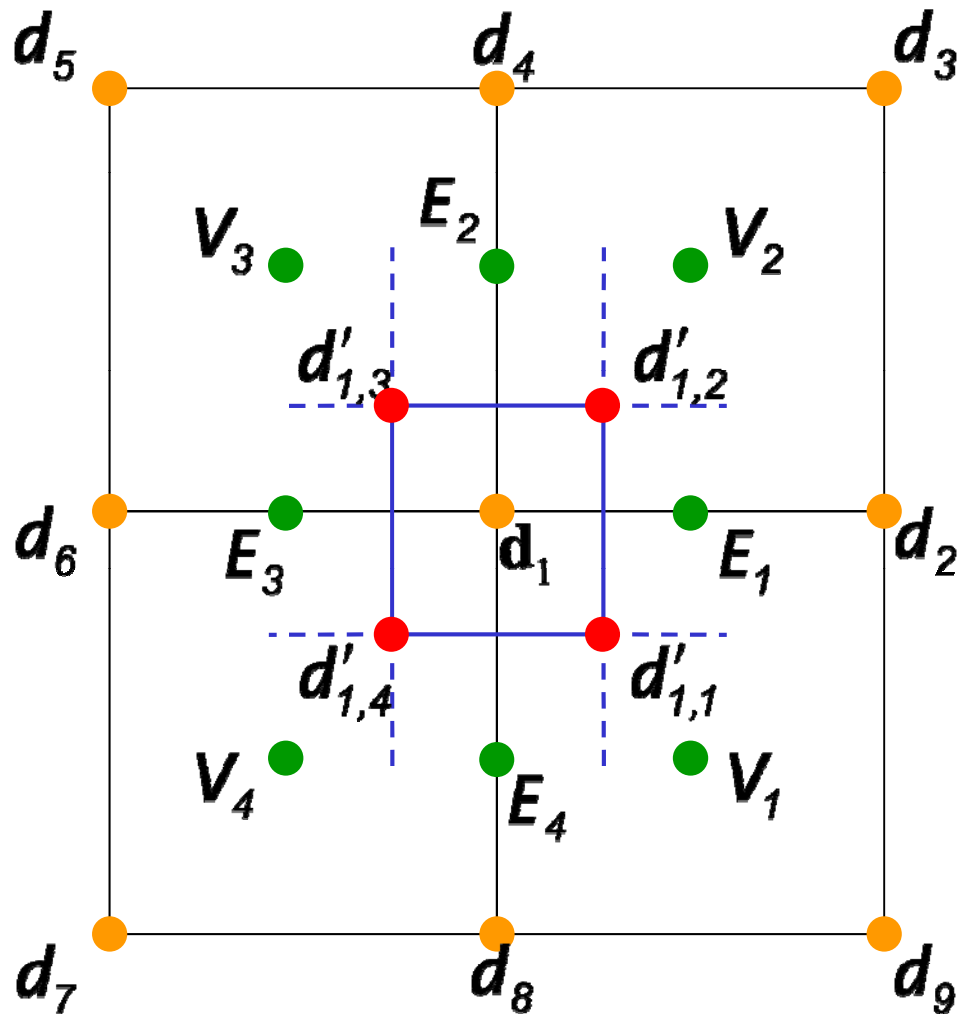
# Doo-Sabin Subdivision



- Generalization of *bi-quadratic B-Splines*
- Dual, approximating subdivision scheme
- Applied to *polygonal* meshes
- Generates  $G^1$  *continuous* limit surfaces:
  - $C^0$  for the set of finite extraordinary points
    - Center of irregular polygons after 1 subdivision step
  - $C^1$  continuous everywhere else



# Doo-Sabin Subdivision

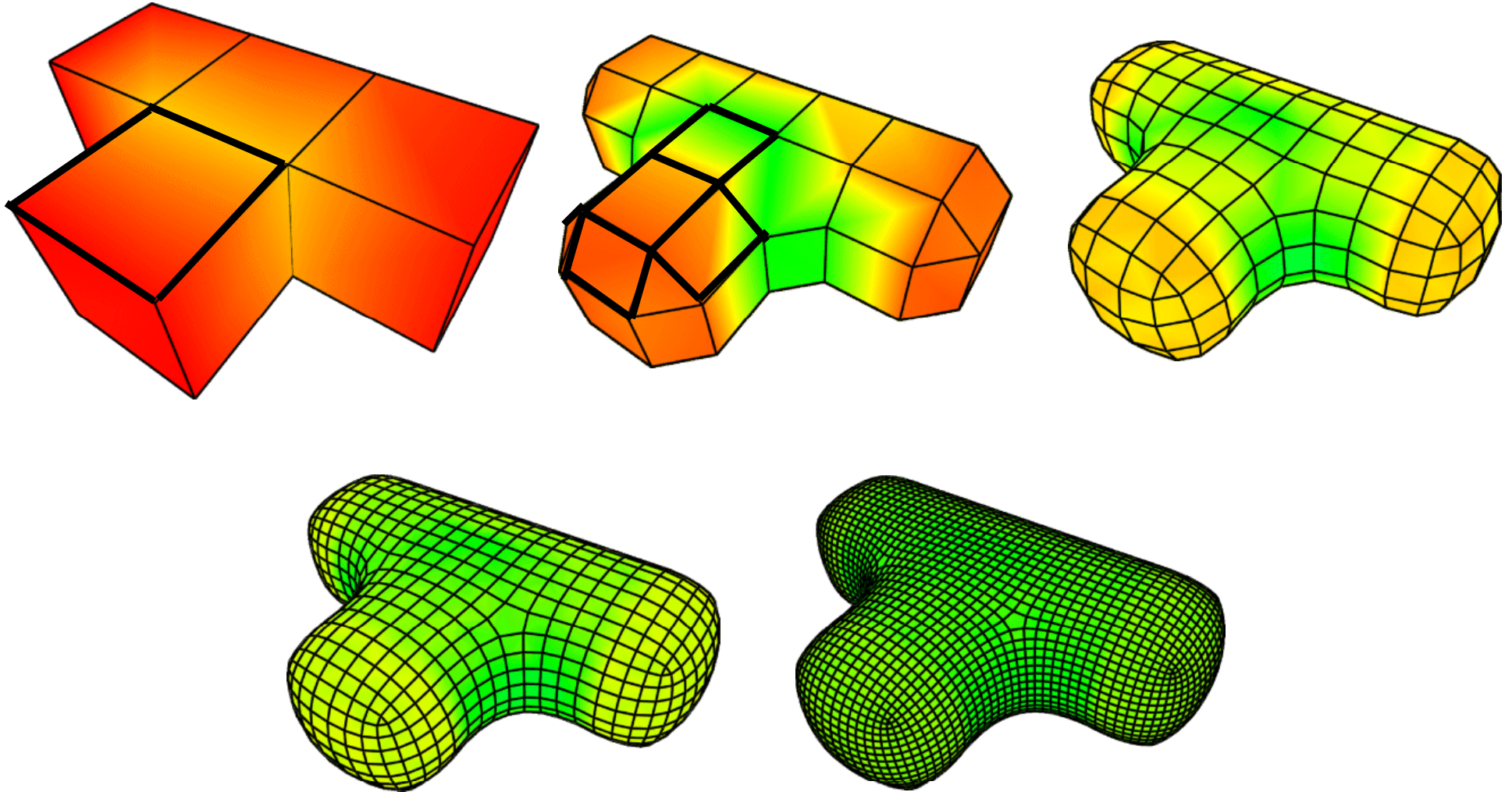


$$V_2 = \frac{1}{n} \times \sum_{j=1}^n d_j$$

$$E_i = \frac{1}{2}(d_1 + d_{2i})$$

$$d'_{1,j} = \frac{1}{4}(d_1 + E_j + E_{j-1} + V_j)$$

# Doo-Sabin Subdivision



# Classic Subdivision Operators

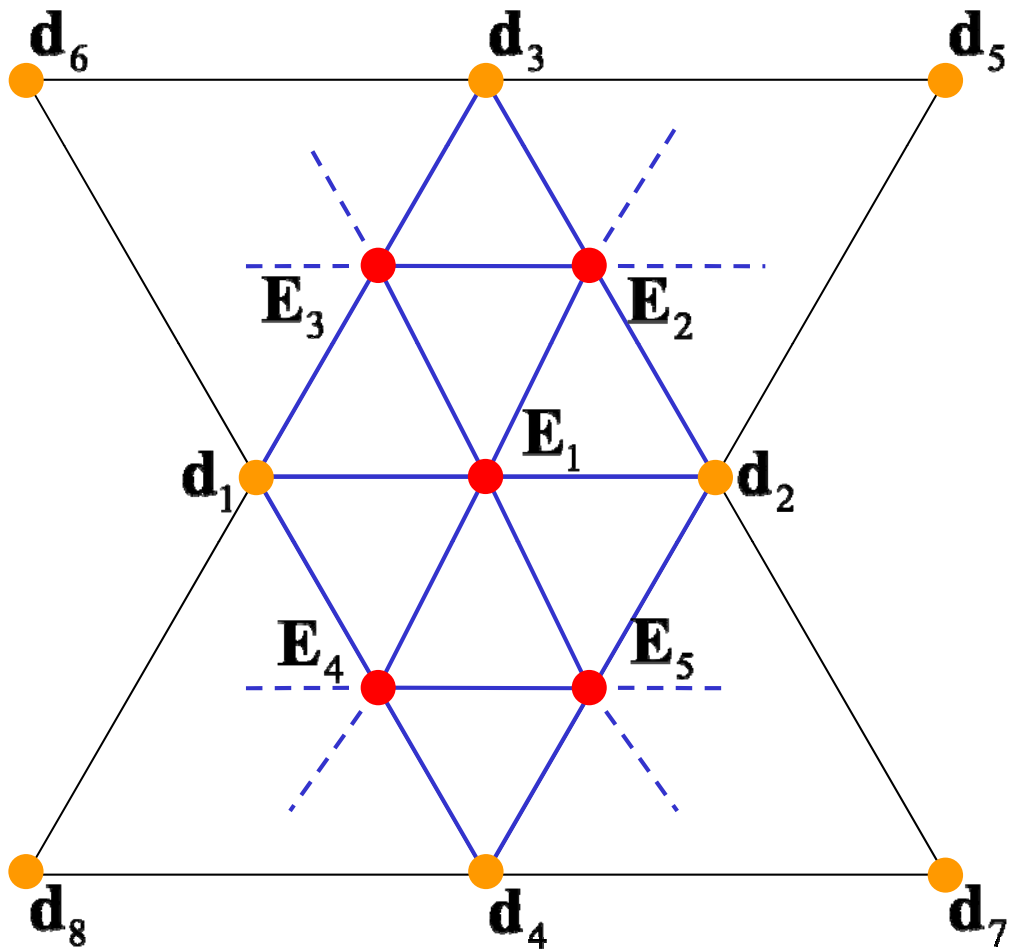
- Classification of subdivision schemes

	Primal		Dual
	Triangles	Rectangles	
Approximating	Loop	Catmull-Clark	Doo-Sabin Midedge
Interpolating	Butterfly	Kobbelt	

# Butterfly Subdivision

- Primal, interpolating scheme
- Applied to *triangle* meshes
- Generates  $G^1$  *continuous* limit surfaces:
  - $C^0$  for the set of finite extraordinary points
    - Vertices of valence = 3 or  $> 7$
  - $C^1$  continuous everywhere else

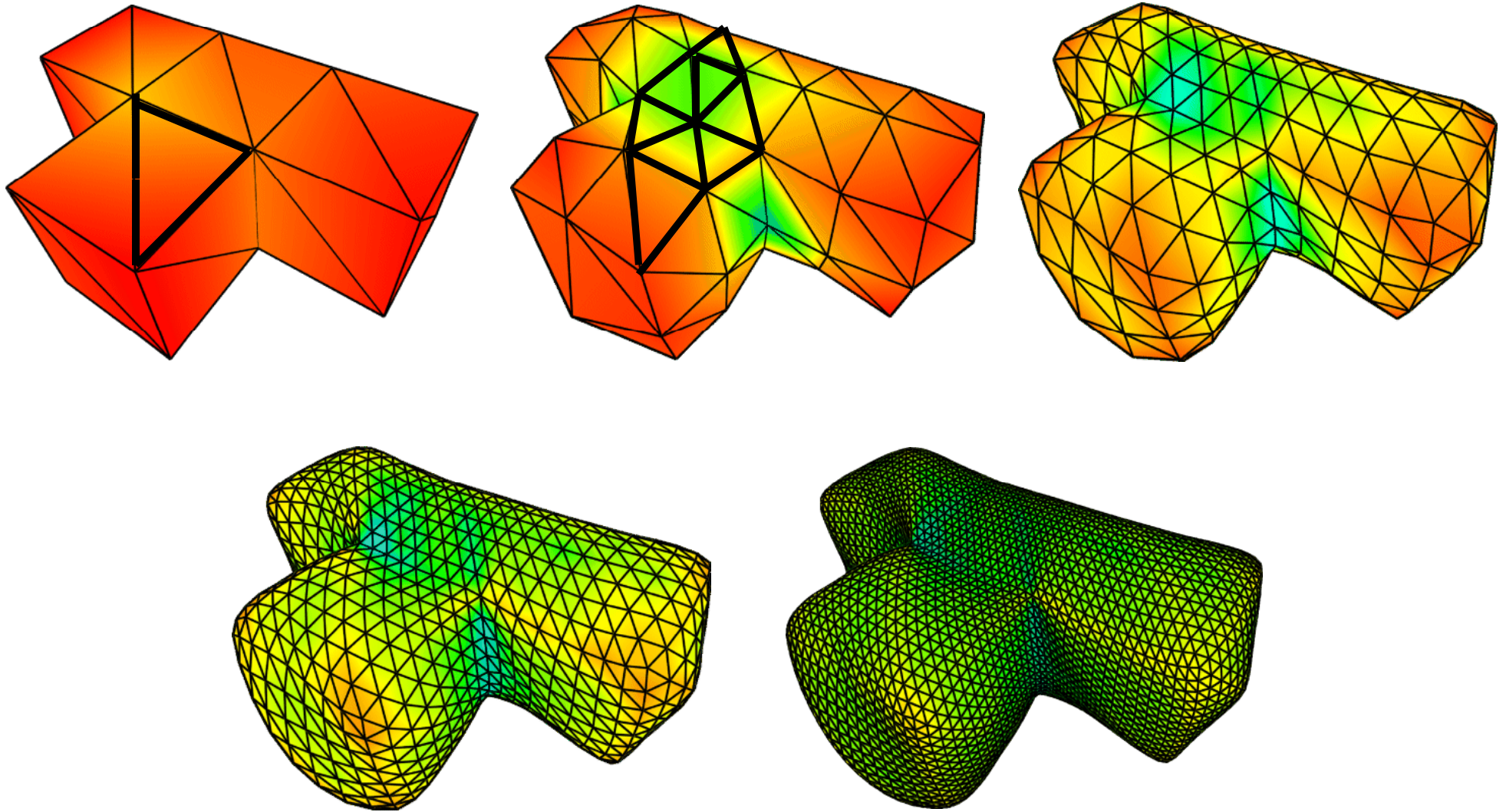
# Butterfly Subdivision



$$\mathbf{E}_1 = \frac{1}{2}(\mathbf{d}_1 + \mathbf{d}_2) + \omega(\mathbf{d}_3 + \mathbf{d}_4) - \frac{\omega}{2}(\mathbf{d}_5 + \mathbf{d}_6 + \mathbf{d}_7 + \mathbf{d}_8)$$

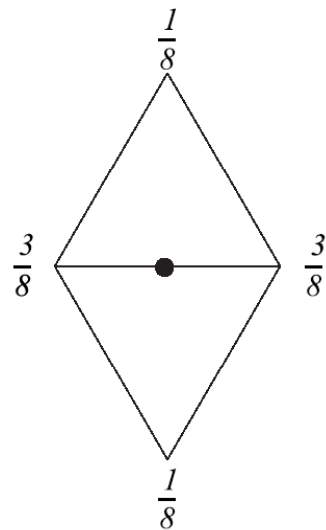
$$\mathbf{d}'_i = \mathbf{d}_i$$

# Butterfly Subdivision

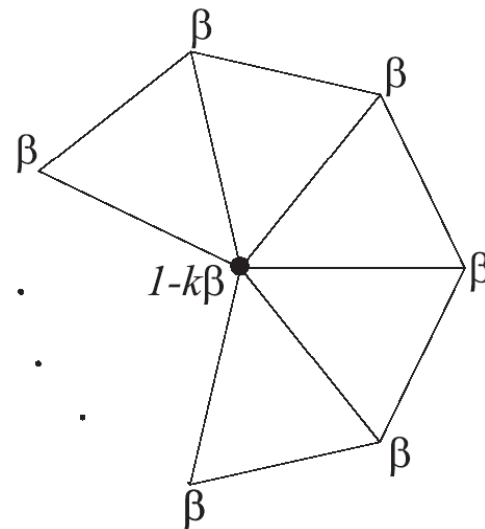


# Remark

- Different masks apply on the boundary
- Example: Loop



*Interior*



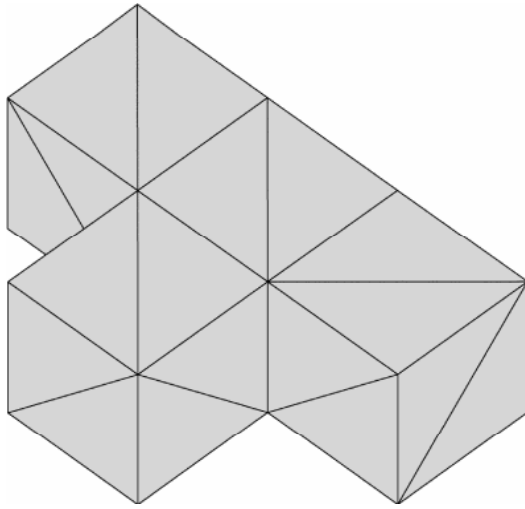
*Crease and boundary*



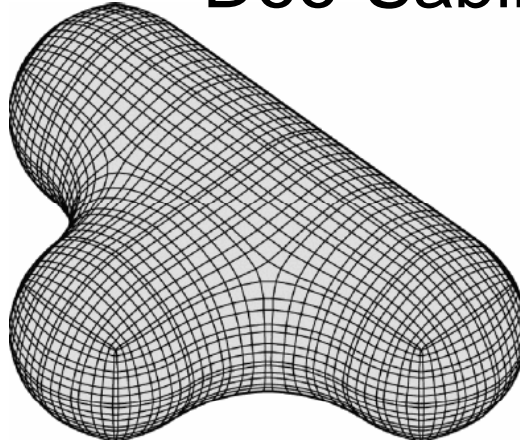
*a. Masks for odd vertices*

*b. Masks for even vertices*

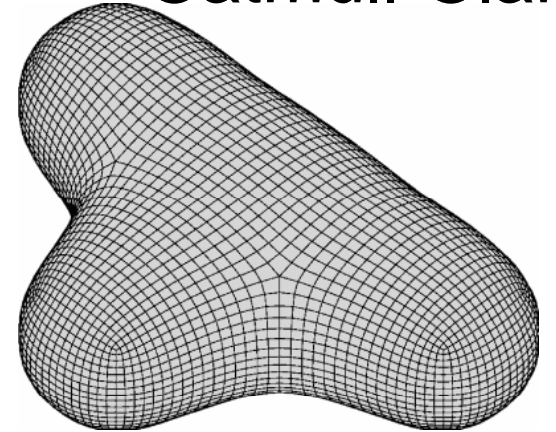
# Comparison



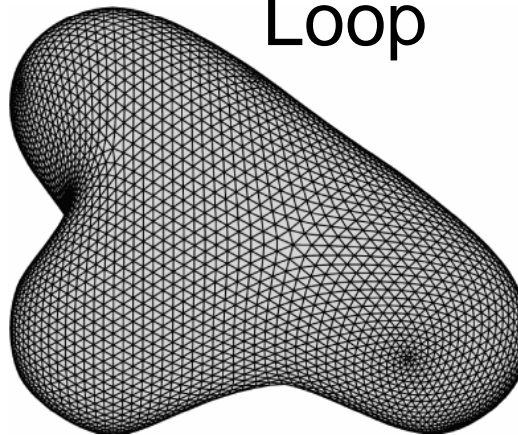
Doo-Sabin



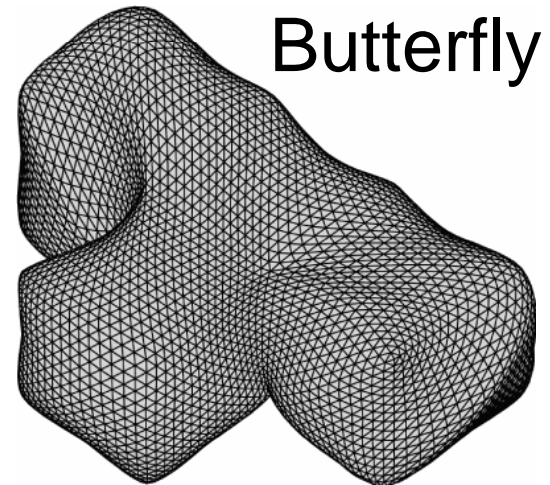
Catmull-Clark



Loop



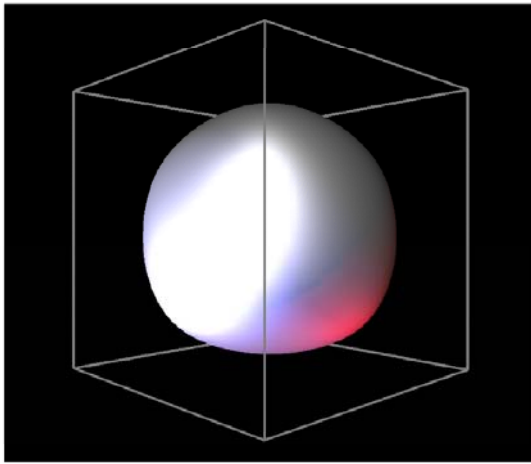
Butterfly



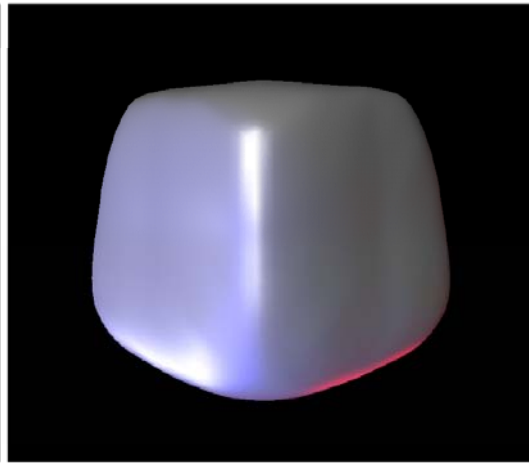


# Comparison

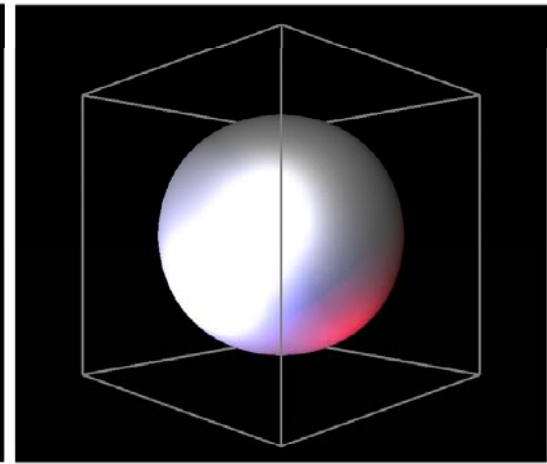
- Subdividing a cube
  - Loop result is assymmetric, because cube was triangulated first
  - Both Loop and Catmull-Clark are better then Butterfly ( $C^2$  vs.  $C^1$  )
  - Interpolation vs. smoothness



*Loop*



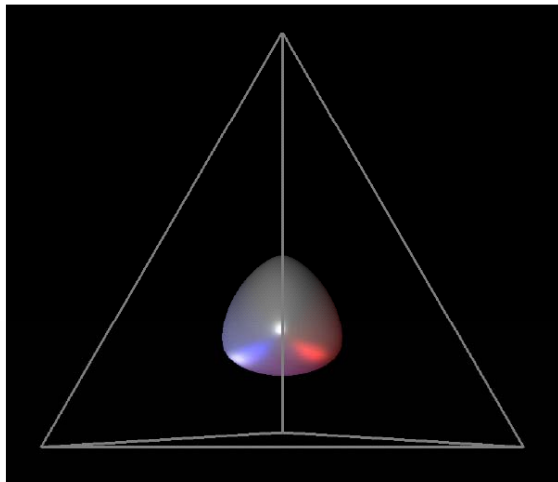
*Butterfly*



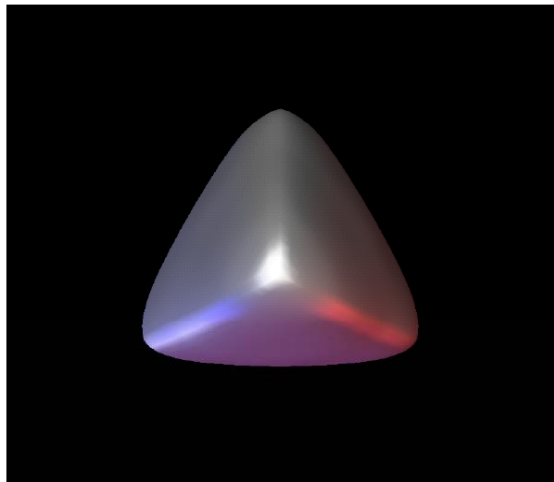
*Catmull-Clark*

# Comparison

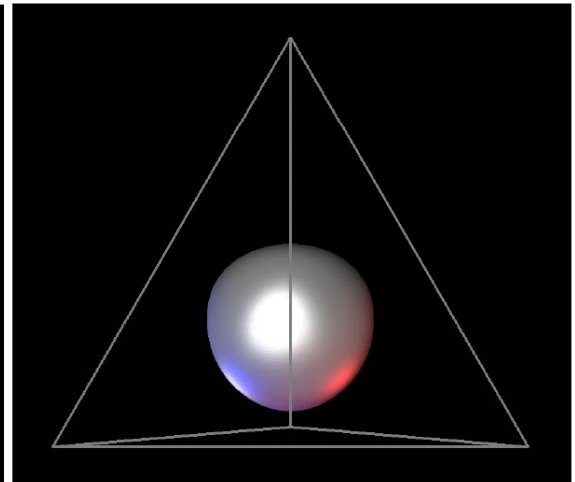
- Subdividing a tetrahedron
  - Same insights
  - Severe shrinking for approximating schemes



*Loop*



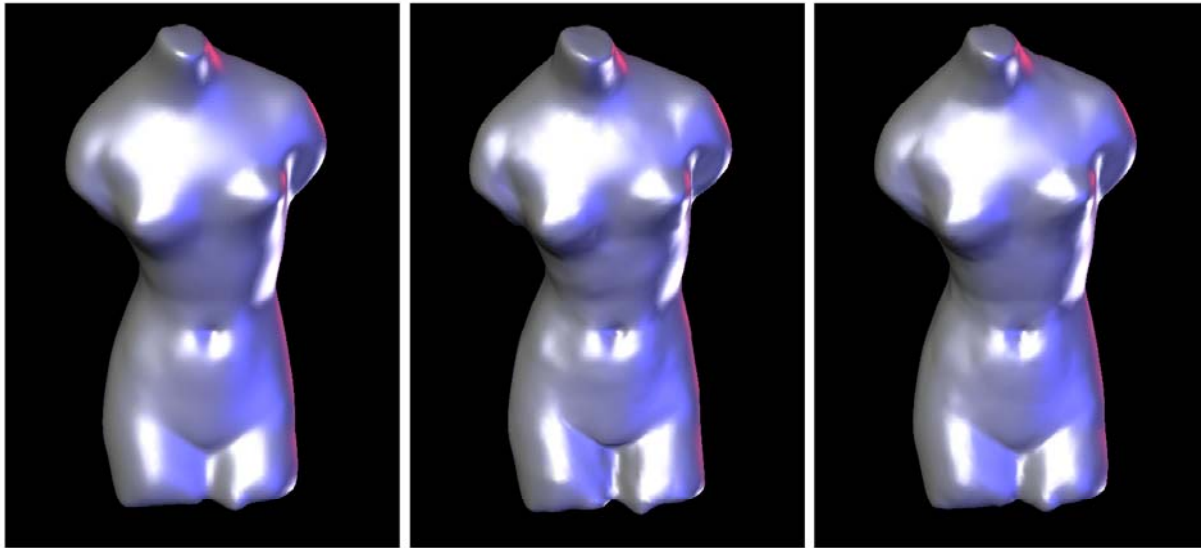
*Butterfly*



*Catmull-Clark*

# Comparison

- Spot the difference?
- For smooth meshes with uniform triangle size, different schemes provide very similar results
- Beware of interpolating schemes for control polygons with sharp features



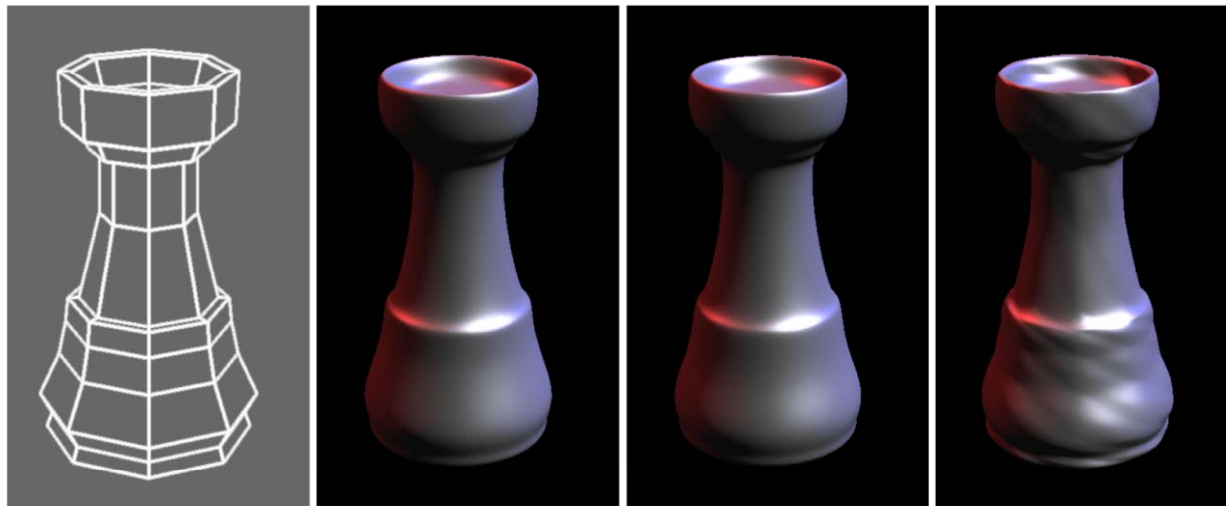
*Loop*

*Butterfly*

*Catmull-Clark*

# So Who Wins?

- Loop and Catmull-Clark best when interpolation is not required
- Loop best for triangular meshes
- Catmull-Clark best for quad meshes
  - Don't triangulate and then use Catmull-Clark



*Initial mesh*

*Loop*

*Catmull-Clark*

*Catmull-Clark, after  
triangulation*

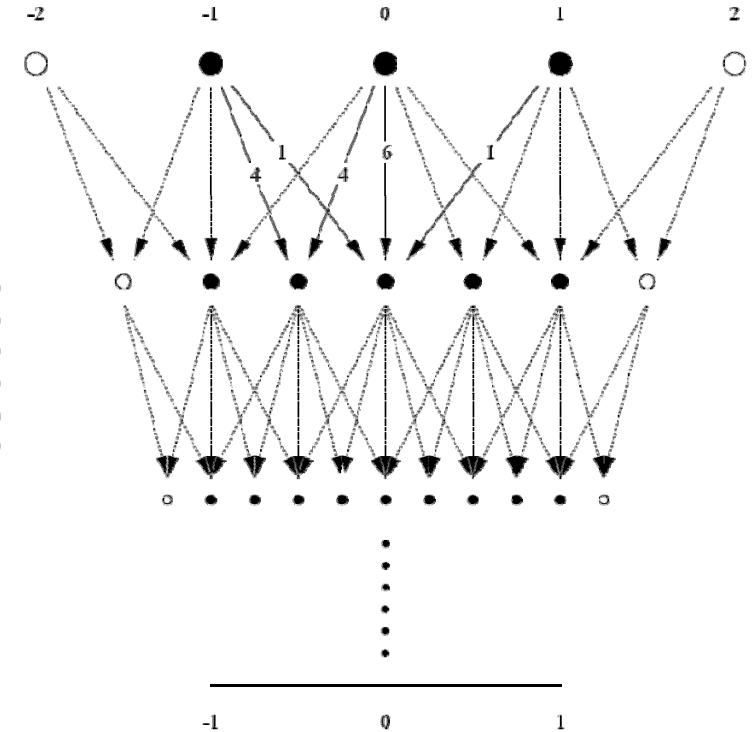
# Analysis of Subdivision

- Invariant neighborhoods
  - How many control-points affect a small neighborhood around a point ?
- Subdivision scheme can be analyzed by looking at a *local* subdivision matrix

# Local Subdivision Matrix

- Example: Cubic B-Splines

$$\begin{pmatrix} \mathbf{p}_{-2}^{j+1} \\ \mathbf{p}_{-1}^{j+1} \\ \mathbf{p}_0^{j+1} \\ \mathbf{p}_1^{j+1} \\ \mathbf{p}_2^{j+1} \end{pmatrix} = \frac{1}{8} \begin{pmatrix} 1 & 6 & 1 & 0 & 0 \\ 0 & 4 & 4 & 0 & 0 \\ 0 & 1 & 6 & 1 & 0 \\ 0 & 0 & 4 & 4 & 0 \\ 0 & 0 & 1 & 6 & 1 \end{pmatrix} \begin{pmatrix} \mathbf{p}_{-2}^j \\ \mathbf{p}_{-1}^j \\ \mathbf{p}_0^j \\ \mathbf{p}_1^j \\ \mathbf{p}_2^j \end{pmatrix}$$



- Invariant neighborhood size: 5

# Analysis of Subdivision

- Analysis via eigen-decomposition of matrix  $S$ 
  - Compute the eigenvalues

$$\{\lambda_0, \lambda_1, \dots, \lambda_{n-1}\}$$

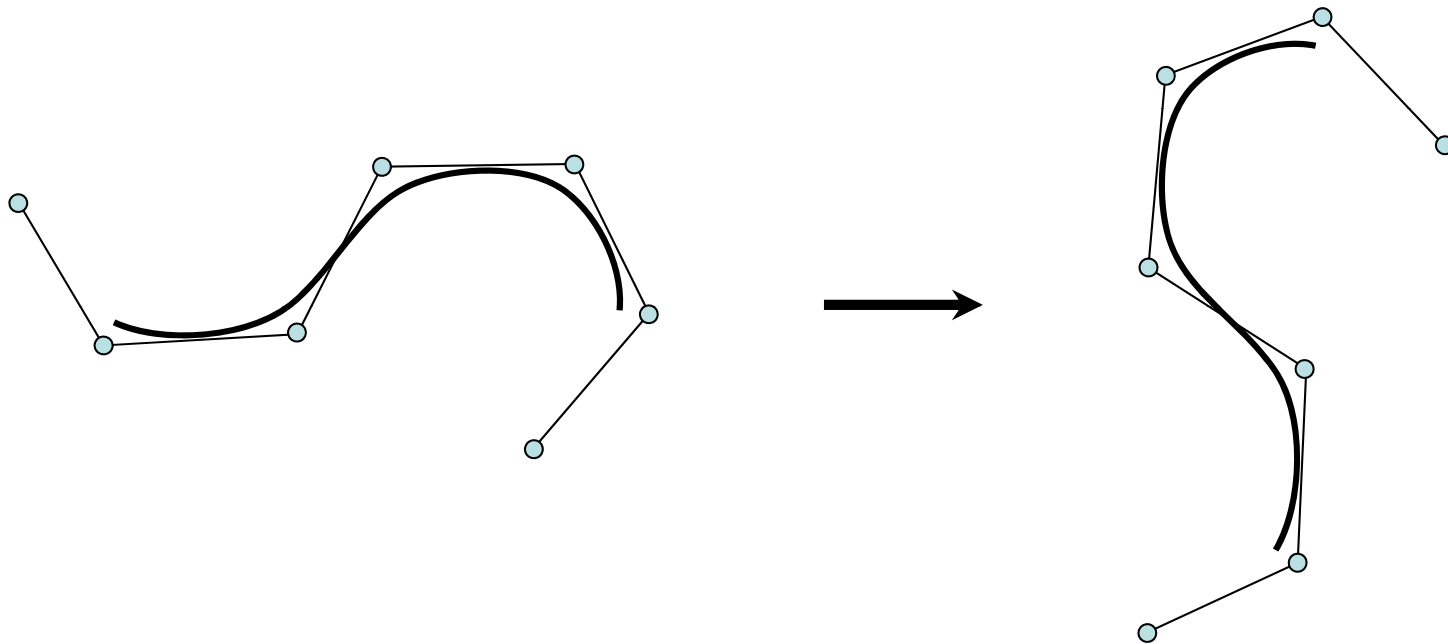
- and eigenvectors

$$X = \{\mathbf{x}_0, \mathbf{x}_1, \dots, \mathbf{x}_{n-1}\}$$

- Let  $\lambda_0 \geq \lambda_1 \geq \dots \geq \lambda_{n-1}$  be real and  $X$  a complete set of eigenvectors

# Analysis of Subdivision

- Invariance under affine transformations
  - $\text{transform}(\text{subdivide}(P)) = \text{subdivide}(\text{transform}(P))$





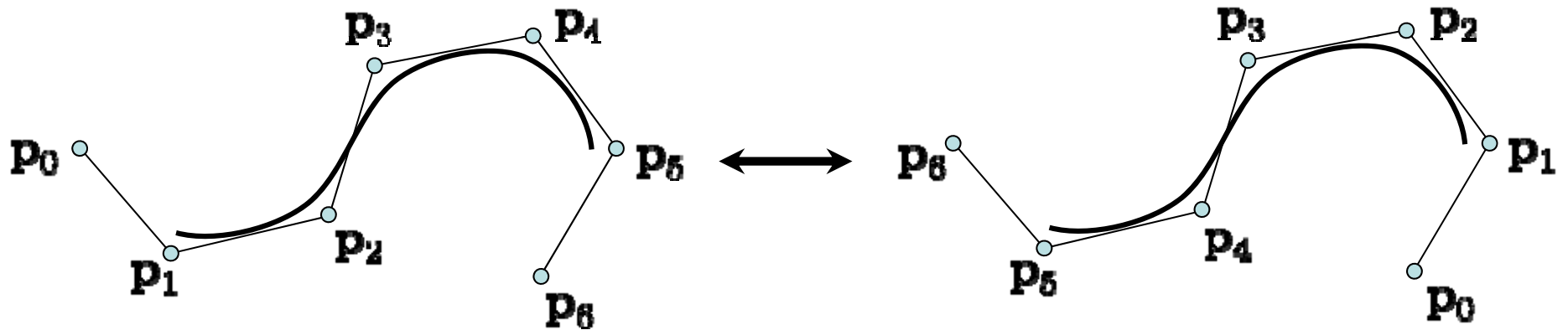
# Analysis of Subdivision

- Invariance under affine transformations
  - $\text{transform}(\text{subdivide}(P)) = \text{subdivide}(\text{transform}(P))$
- Rules have to be affine combinations
  - Even and odd weights each sum to 1

$$\sum_j S_{2i,j} = \sum_j S_{2i+1,j} = 1$$

# Analysis of Subdivision

- Invariance under reversion of point ordering
- Subdivision rules (matrix rows) have to be symmetric



# Analysis of Subdivision

**Conclusion:**  $\mathbf{1}$  has to be eigenvector of  $S$  with eigenvalue  $\lambda_0=1$

# Limit Behavior - Position

- Any vector is linear combination of eigenvectors:

$$\mathbf{p} = \sum_{i=0}^{n-1} a_i \mathbf{x}_i \quad a_i = \tilde{\mathbf{x}}_i^T \mathbf{p}$$

↖ rows of  $X^{-1}$

- Apply subdivision matrix:

$$S\mathbf{p}^0 = S \sum_{i=0}^{n-1} a_i \mathbf{x}_i = \sum_{i=0}^{n-1} a_i S\mathbf{x}_i = \sum_{i=0}^{n-1} a_i \lambda_i \mathbf{x}_i$$

# Limit Behavior - Position

- For convergence we need  $1 = \lambda_0 > \lambda_1 \geq \dots \geq \lambda_{n-1}$
- Limit vector:

$$\mathbf{p}^\infty = \lim_{j \rightarrow \infty} S^j \mathbf{p}^0 = \lim_{j \rightarrow \infty} \sum_{i=0}^{n-1} a_i \lambda_i^j \mathbf{x}_i = a_0 \cdot \mathbf{1}$$

$$\mathbf{p}_i^\infty = a_0 = \tilde{\mathbf{x}}_0^T \mathbf{p}^j \quad \text{independent of } j!$$

# Limit Behavior - Tangent

- Set origin at  $a_0$ :

$$\mathbf{p}^j = \sum_{i=1}^{n-1} a_i \lambda_i^j \mathbf{x}_i$$

- Divide by  $\lambda_1^j$

$$\frac{1}{\lambda_1^j} \mathbf{p}^j = a_1 \mathbf{x}_1 + \sum_{i=2}^{n-1} a_i \left( \frac{\lambda_i}{\lambda_1} \right)^j \mathbf{x}_i$$

- Limit tangent given by:

$$\mathbf{t}_i^\infty = a_1 = \tilde{\mathbf{x}}_1^T \mathbf{p}^j$$

# Limit Behavior - Tangent

- Curves:
  - All eigenvalues of  $S$  except  $\lambda_0=1$  should be less than  $\lambda_1$  to ensure existence of a tangent, i.e.

$$1 = \lambda_0 > \lambda_1 > \lambda_2 \geq \cdots \geq \lambda_{n-1}$$

- Surfaces:
  - Tangents determined by  $\lambda_1$  and  $\lambda_2$

$$1 = \lambda_0 > \lambda_1 = \lambda_2 > \lambda_3 \geq \cdots \geq \lambda_{n-1}$$

# Example: Cubic Splines

- Subdivision matrix & rules

$$S = \frac{1}{8} \begin{pmatrix} 1 & 6 & 1 & 0 & 0 \\ 0 & 4 & 4 & 0 & 0 \\ 0 & 1 & 6 & 1 & 0 \\ 0 & 0 & 4 & 4 & 0 \\ 0 & 0 & 1 & 6 & 1 \end{pmatrix} \quad \begin{aligned} \mathbf{p}_{2i}^{j+1} &= \frac{1}{8}\mathbf{p}_{i-1}^j + \frac{6}{8}\mathbf{p}_i^j + \frac{1}{8}\mathbf{p}_{i+1}^j \\ \mathbf{p}_{2i+1}^{j+1} &= \frac{1}{2}\mathbf{p}_i^j + \frac{1}{2}\mathbf{p}_{i+1}^j \end{aligned}$$

- Eigenvalues

$$(\lambda_0, \lambda_1, \lambda_2, \lambda_3, \lambda_4) = \left(1, \frac{1}{2}, \frac{1}{4}, \frac{1}{8}, \frac{1}{8}\right)$$



# Example: Cubic Splines

- Eigenvectors

$$X = \begin{pmatrix} 1 & -1 & 1 & 1 & 0 \\ 1 & -\frac{1}{2} & \frac{2}{11} & 0 & 0 \\ 1 & 0 & -\frac{1}{11} & 0 & 0 \\ 1 & \frac{1}{2} & \frac{2}{11} & 0 & 0 \\ 1 & 1 & 1 & 0 & 1 \end{pmatrix} \quad X^{-1} = \begin{pmatrix} 0 & \frac{1}{6} & \frac{4}{6} & \frac{1}{6} & 0 \\ 0 & -1 & 0 & 1 & 0 \\ & & \dots & & \\ & & \dots & & \\ & & \dots & & \end{pmatrix}$$

- Limit position and tangent

$$\mathbf{p}_i^\infty = \tilde{\mathbf{x}}_0^T \mathbf{p}^j = \frac{1}{6} (\mathbf{p}_{i-1}^j + 4\mathbf{p}_i^j + \mathbf{p}_{i+1}^j)$$

$$\mathbf{t}_i^\infty = \tilde{\mathbf{x}}_1^T \mathbf{p}^j = \mathbf{p}_{i+1}^j - \mathbf{p}_i^j$$

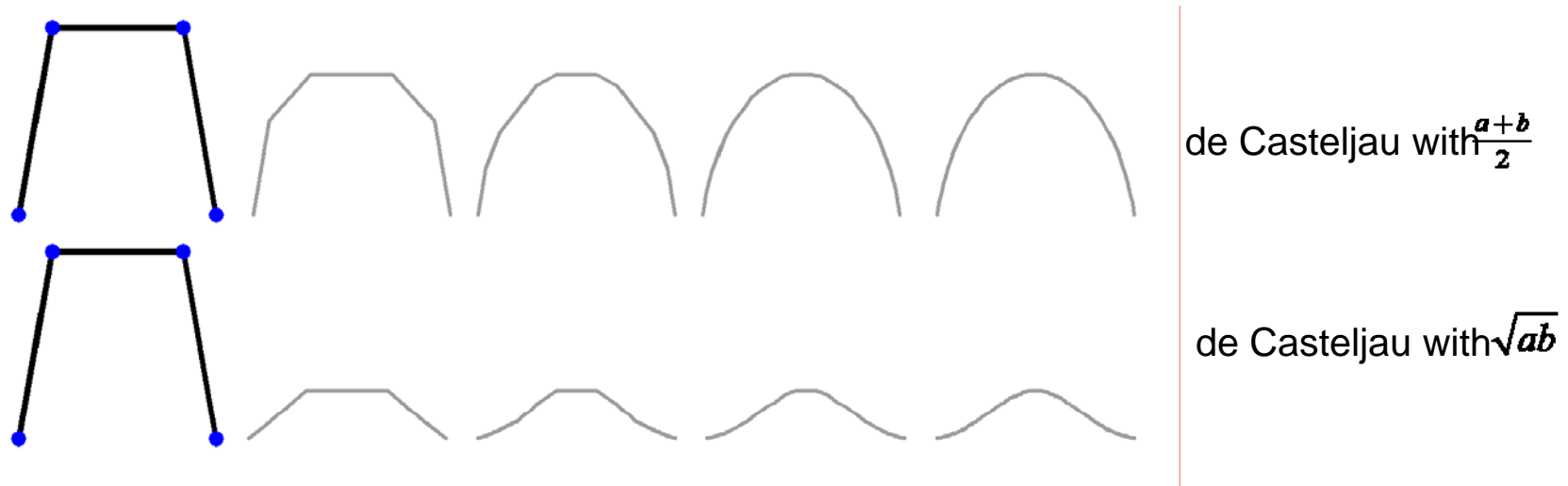
# Properties of Subdivision

- Flexible modeling
  - Handle surfaces of arbitrary topology
  - Provably smooth limit surfaces
  - Intuitive control point interaction
- Scalability
  - Level-of-detail rendering
  - Adaptive approximation
- Usability
  - Compact representation
  - Simple and efficient code

# Beyond Subdivision Surfaces

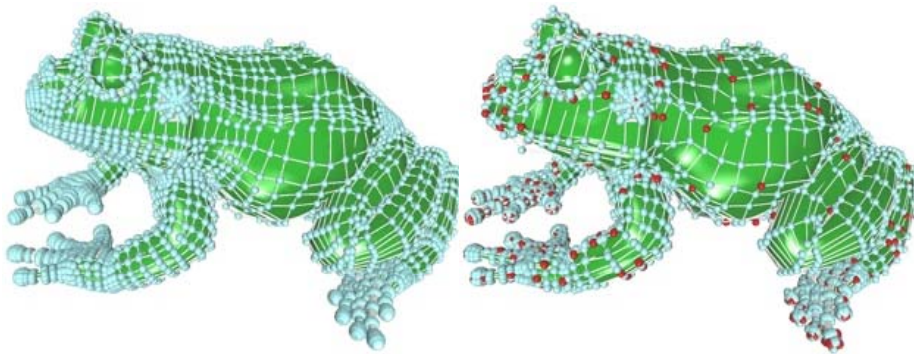
- Non-linear subdivision [Schaefer et al. 2008]

Idea: replace arithmetic mean with other function



# Beyond Subdivision Surfaces

- T-Splines [Sederberg et al. 2003]
  - Allows control points to be *T-junctions*
  - Can use less control points
  - Can model different topologies with single surface



NURBS

T-Splines



NURBS

T-Splines

# Beyond Subdivision Surfaces

- How do you subdivide a teapot?

