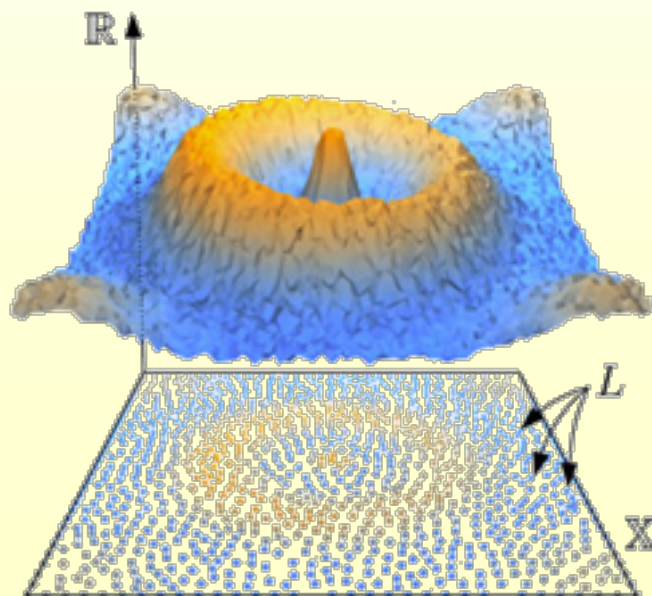


# CS233: Geometric and Topological Data Analysis

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also CME251

Leonidas J. Guibas  
Spring 2017-18



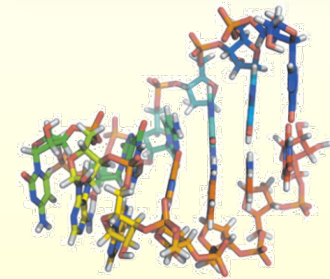
# Introduction

# Big Data Era

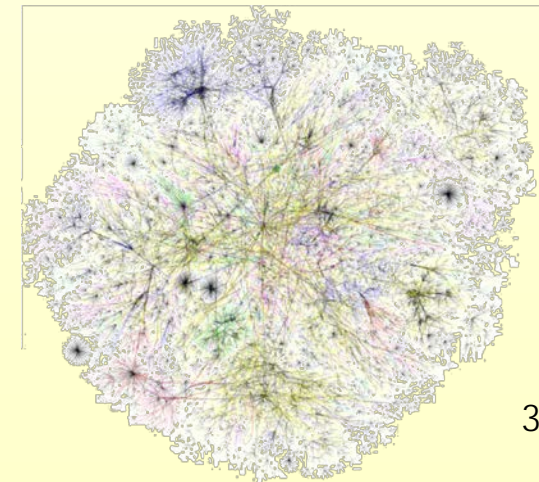
- ◆ Data from many kinds of sensors



- ◆ Data from simulations



- ◆ Data from the activities of individuals on the internet



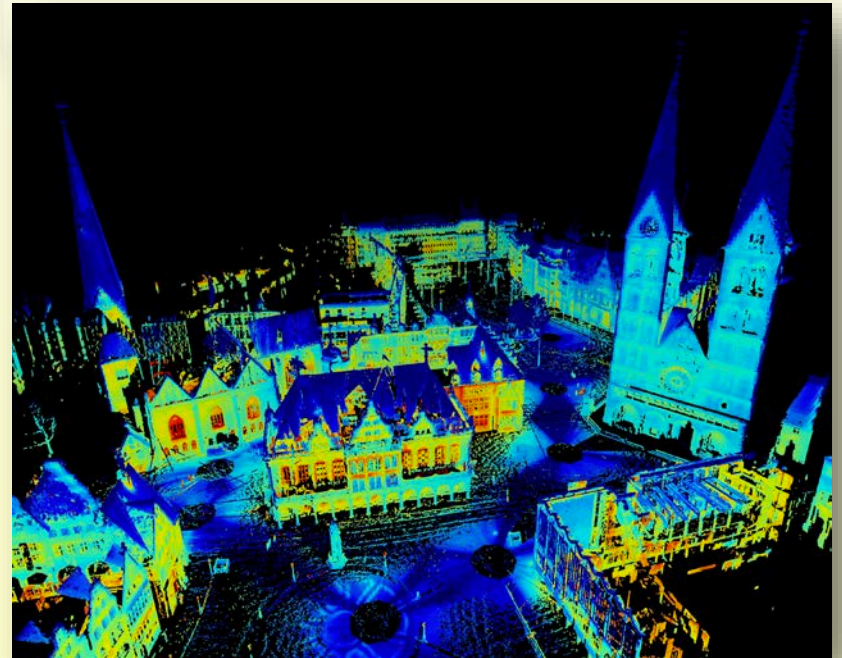
“Data Science”

# Data Sets of Geometric Character

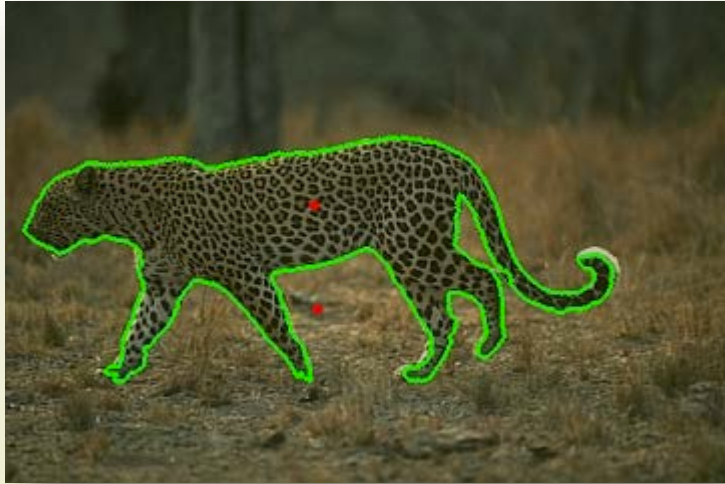


Vehicle GPS traces

3D city scans



# Geometry in Ordinary Images and Videos

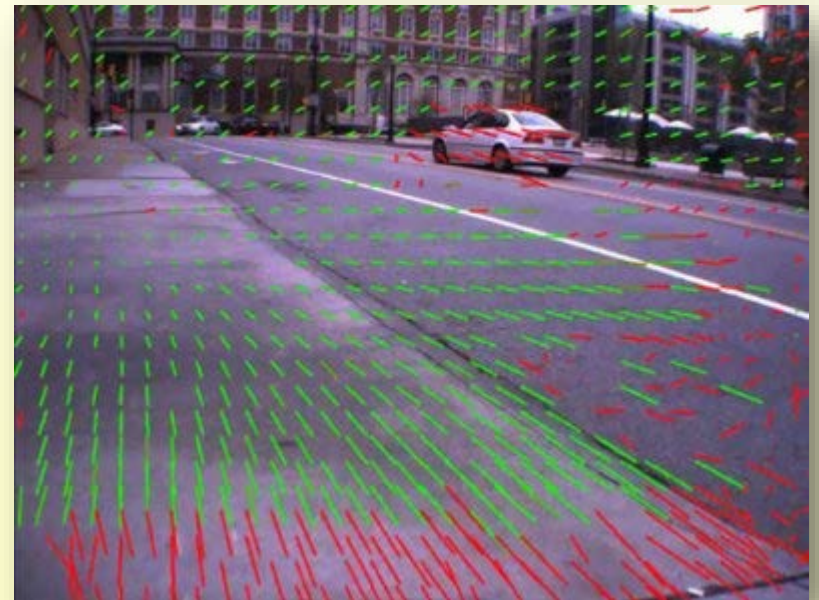


Object recognition

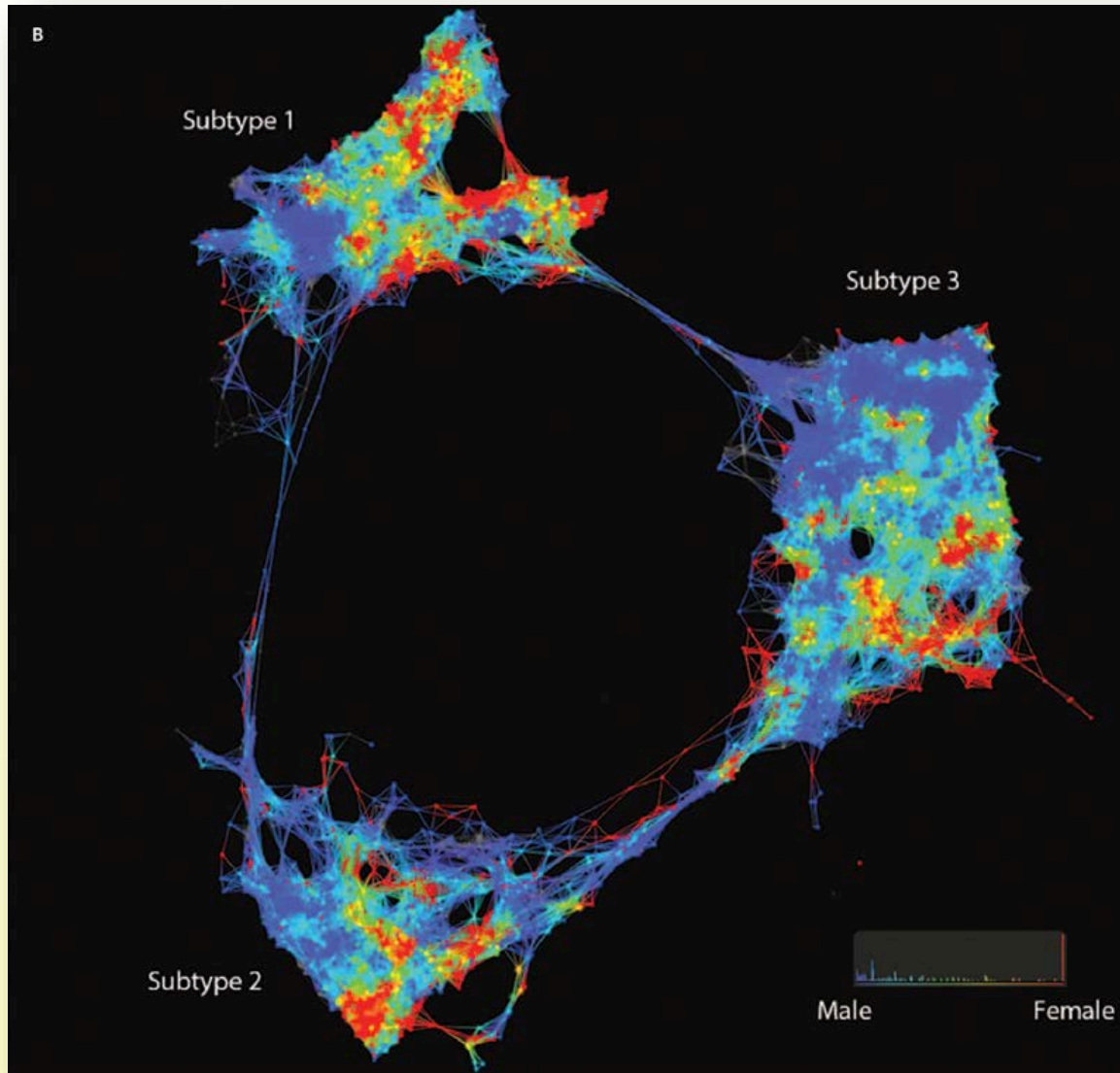
Segmentation involves geometry

Motion involves geometry

Optical flow



# Non-Geometric Data



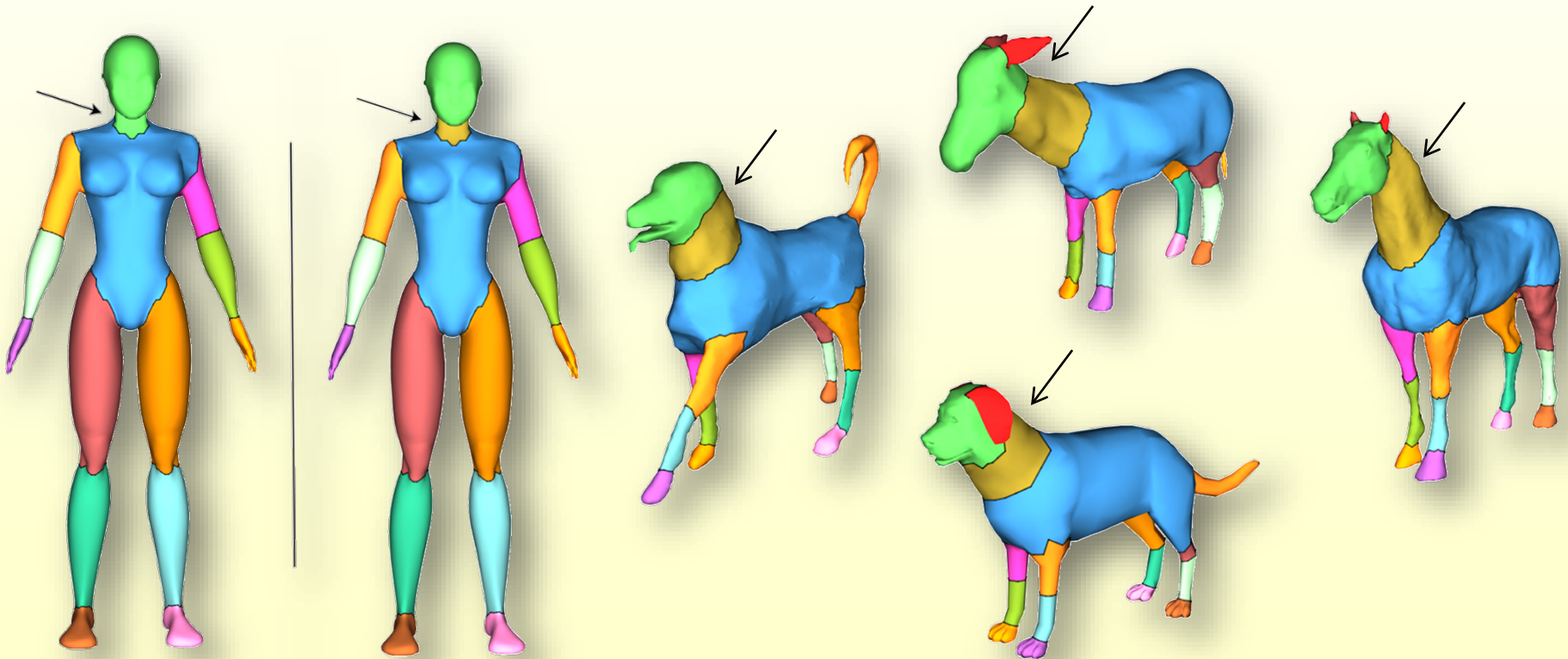
Diabetes II subtypes

# Key Course Goals

- ◆ Cover basic tools for geometric and topological data analysis, both supervised and unsupervised
- ◆ Present mathematical ways to encode and transfer “knowledge” about data
- ◆ Present methods for joint data analysis – benefiting from the “wisdom of the collection”

# Each Data Set Is Not Alone

- ◆ The interpretation of a particular piece of geometric data is deeply influenced by our interpretation of other related data



3D Segmentation

# Look at Data from Many Views



# Caveats

- ◆ 2nd time course is offered – still some rough edges
- ◆ Will cover a wide variety of techniques: LA, ML, Stat, optimization, geometry processing, ...
- ◆ Data sets will be primarily visual/geometric (images, 2D/3D point clouds, meshes, or CAD models)

# Prerequisites / Overlaps

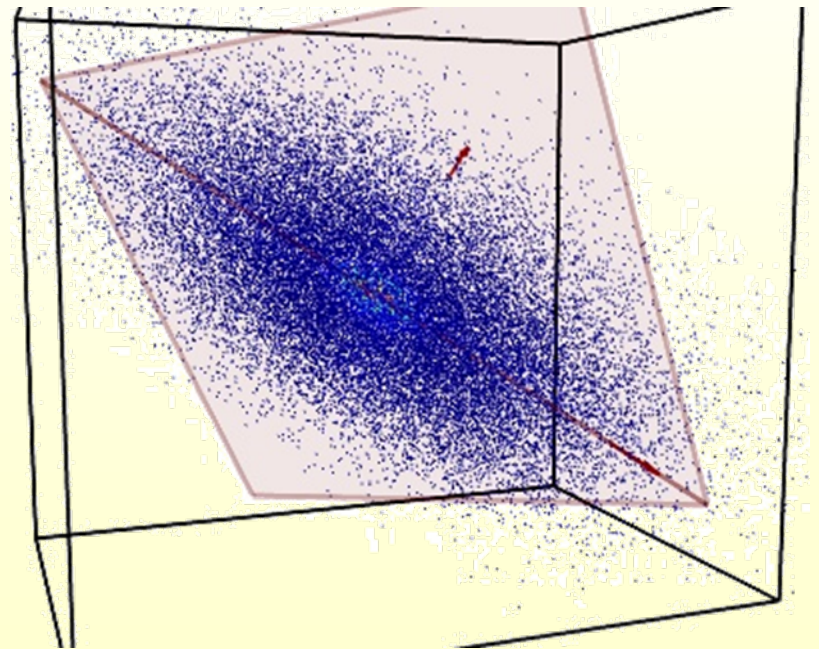
- ◆ Presumes some knowledge of linear algebra, optimization, algorithmic thinking, basic geometry ...
- ◆ As compared to CS229, STATS216, much greater emphasis on diverse data types (e.g., point clouds, graphs), in addition to the geometry / topology angle

# The Linear Space View of Data

## Unsupervised Methods

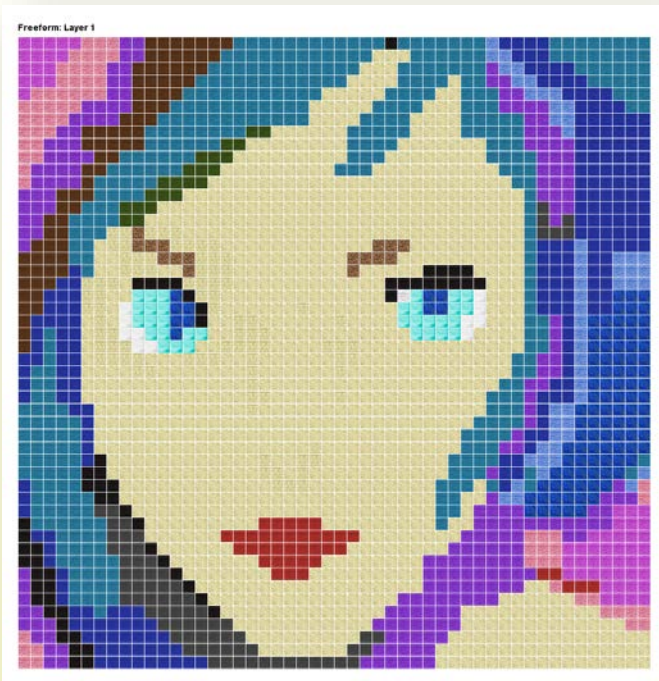
# Embedding Data into a Euclidean Space

- ◆ Attributes or features can be used to map data to a Euclidean space

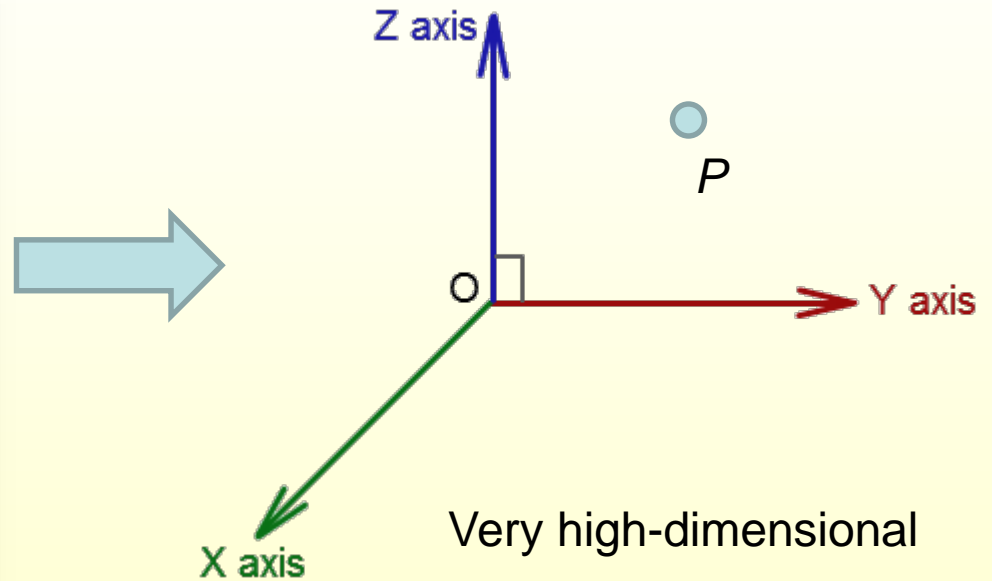


# Direct Embeddings

◆ Input

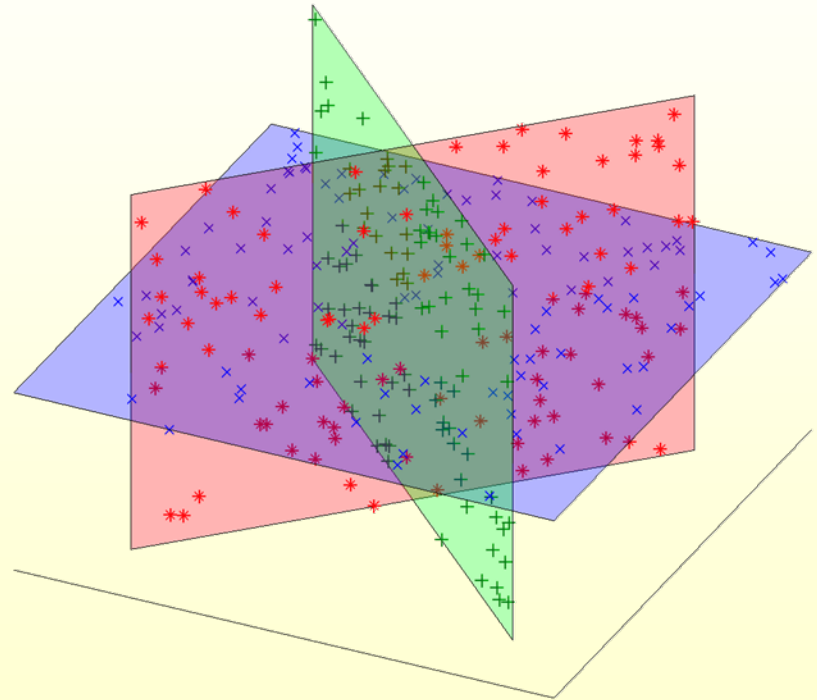


◆ Latent code



# Linear Space Methods

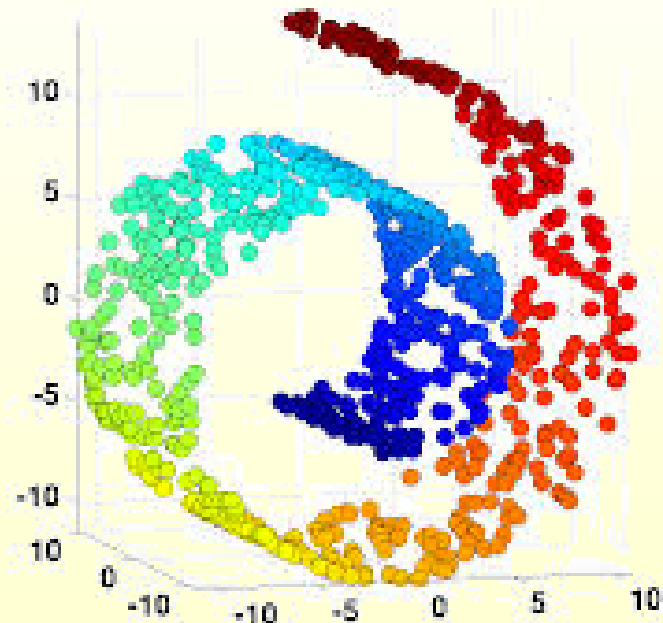
- ◆ Principal components analysis (PCA)
- ◆ Canonical correlation analysis (CCA)



Dimensionality reduction

# Data as Points on a Manifold

- ◆ Non-linear dimensionality reduction
- ◆ Low-d inside high-d

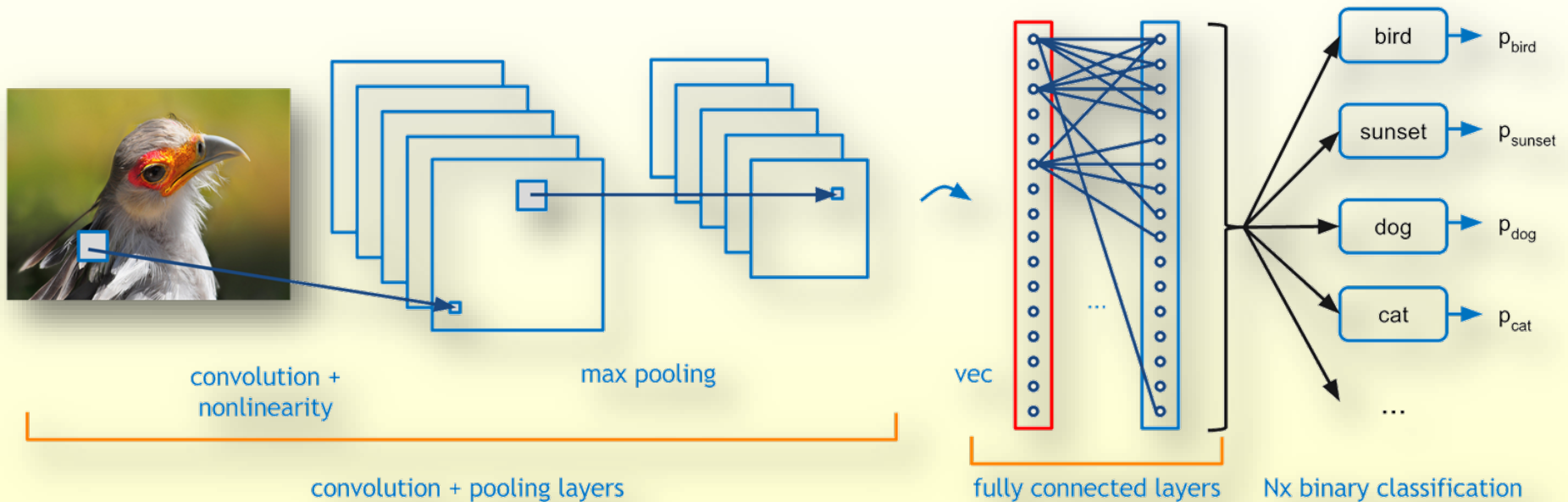


Isomap, locally linear embeddings, Laplacian eigenmaps

# Deep Learning

## Supervised Methods

# Deep Learning Networks

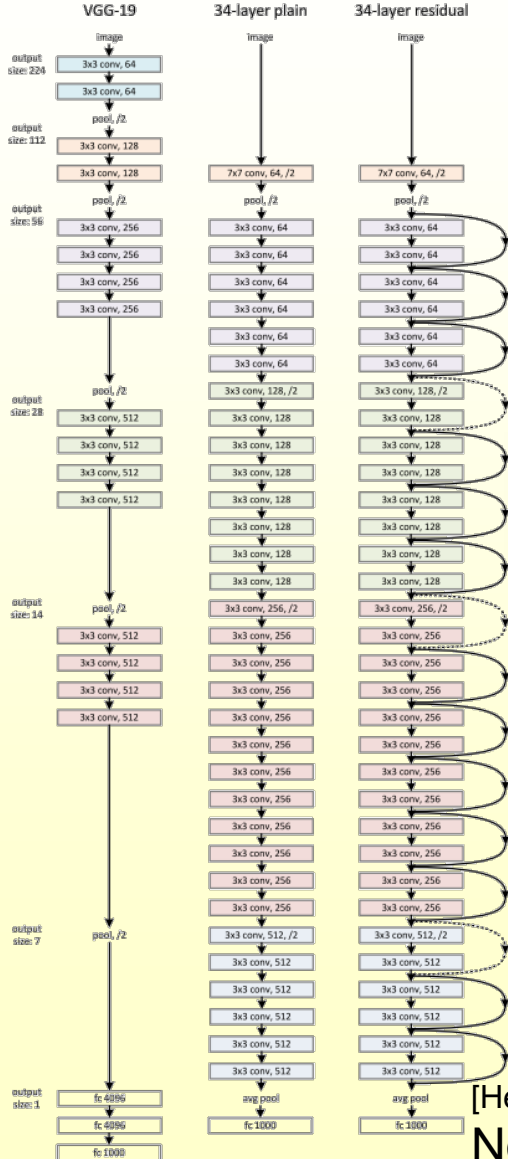


Classification

# Success Made Possible By ....



Plenty of annotated data



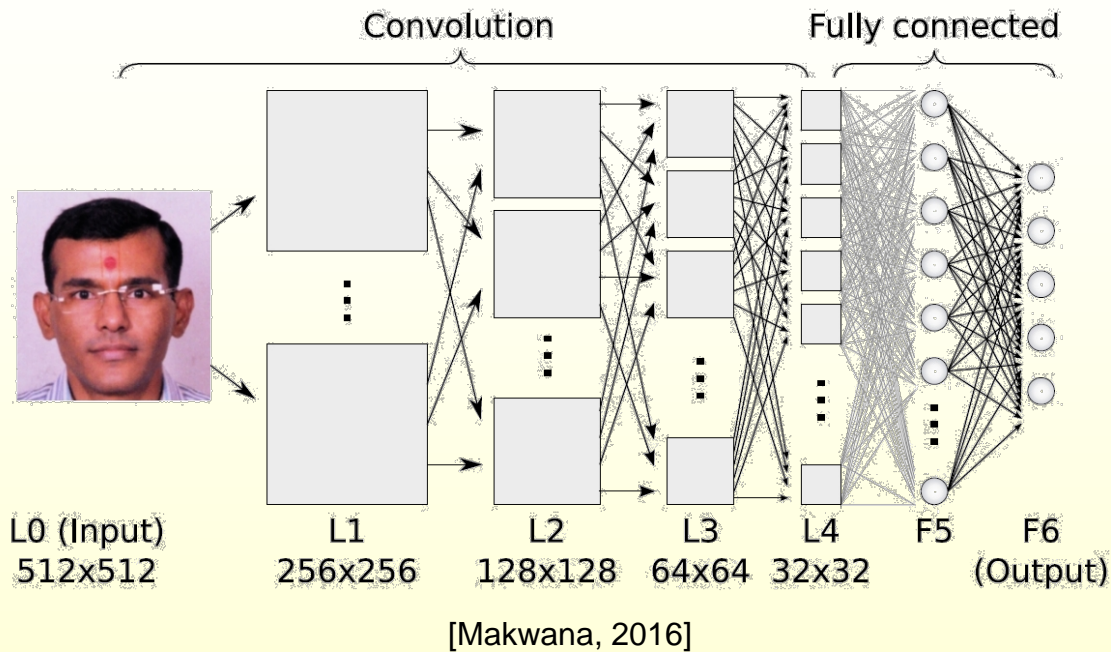
[He et al., 2015]

Novel deep architectures

Lots of computing power



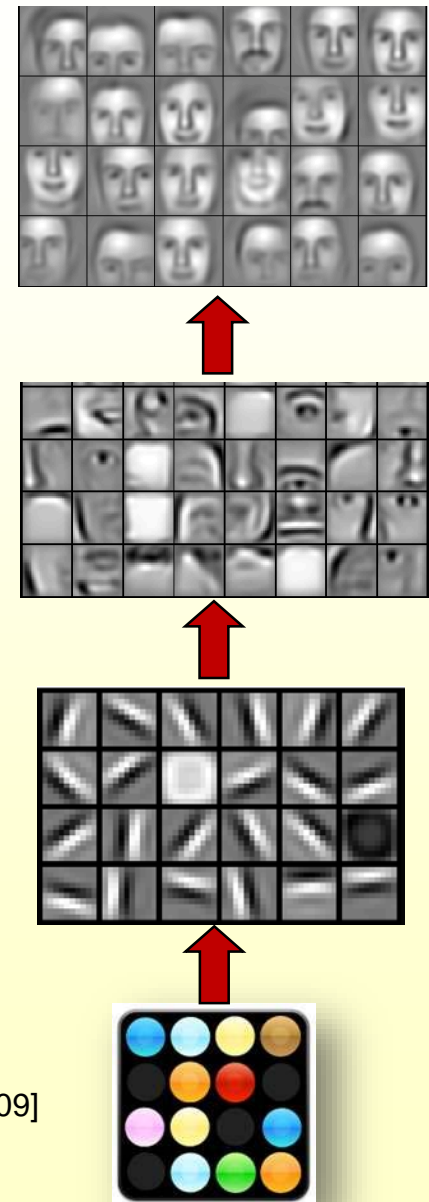
# Data Abstraction Across Layers



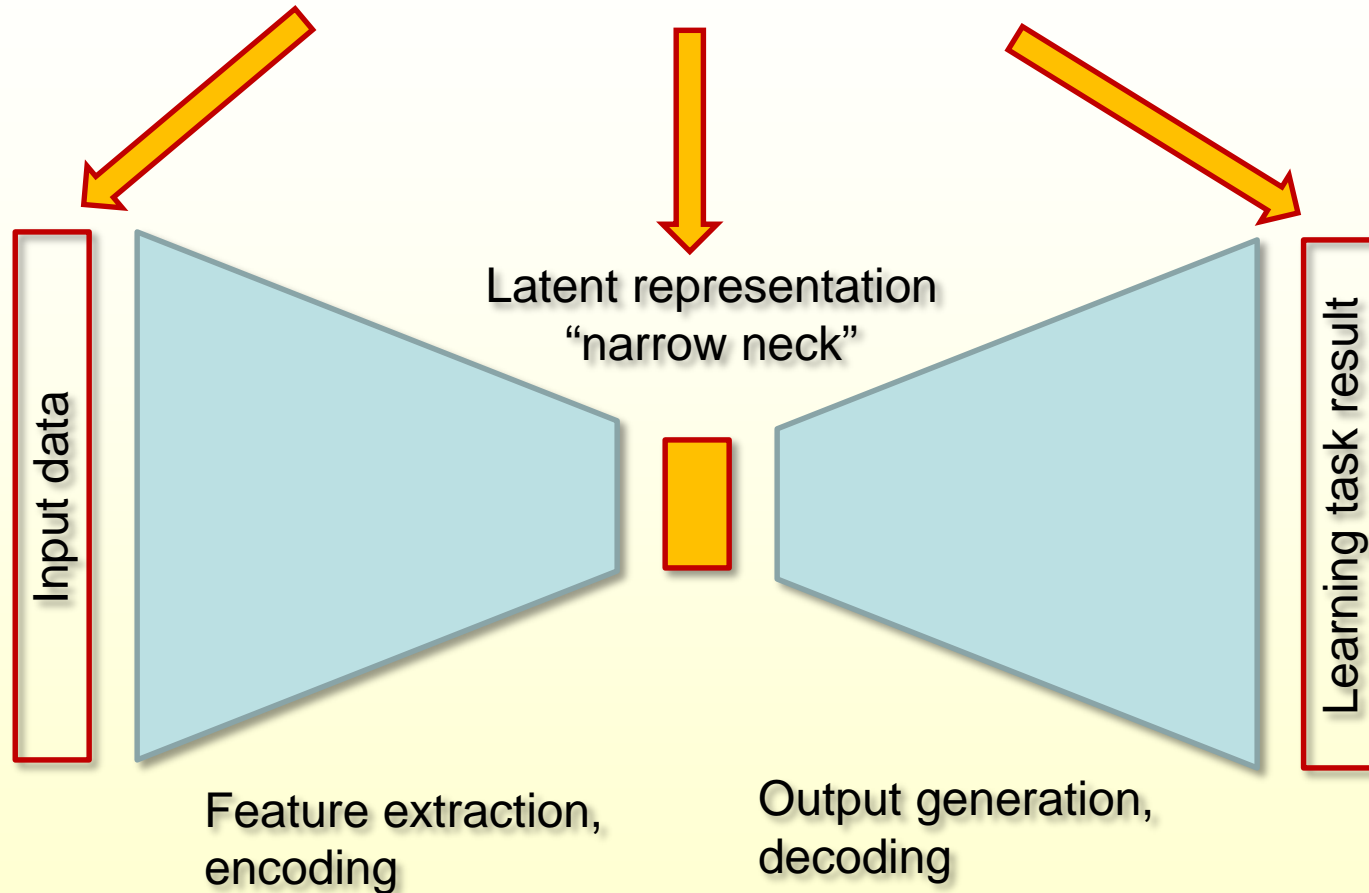
Data-driven feature learning at ascending abstraction layers

“Vertical” networks

[Lee et al., 2009]



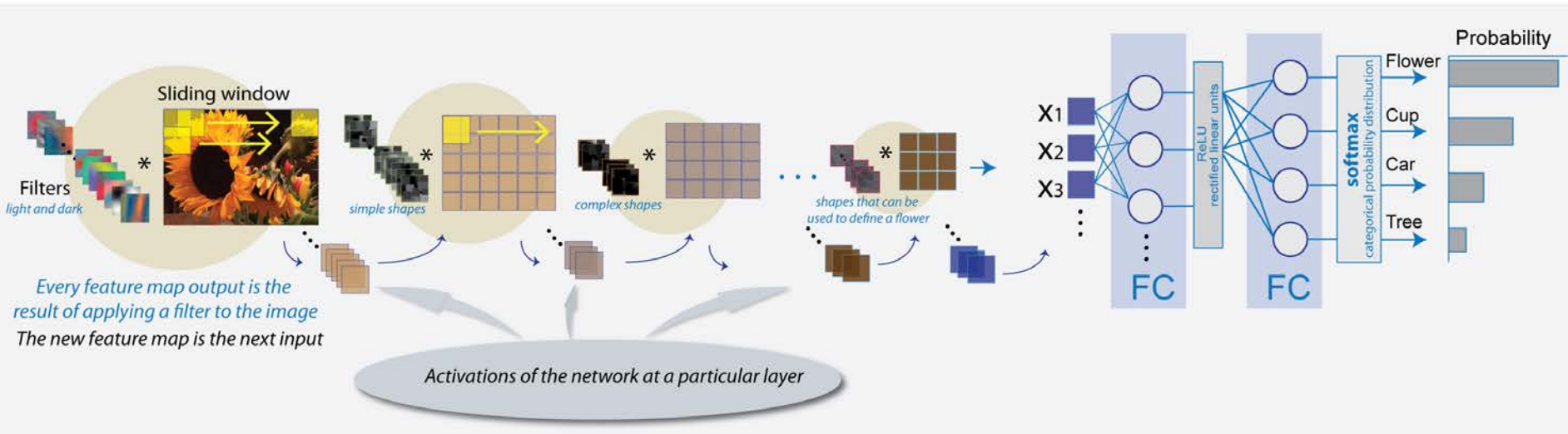
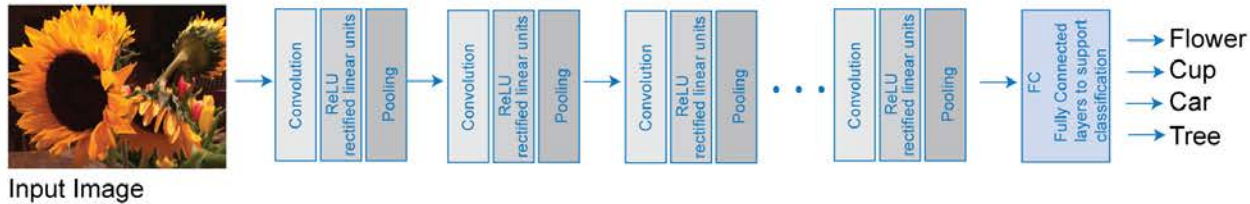
# Learning Pipelines



A latent code (= a point in a Euclidean feature space) acts as a low-d proxy for high-d input data, w.r.t. a learning task.

# Input Diversity Limitations

# Convolutional Networks



Convolutions require regular array type inputs for weight sharing and other optimizations

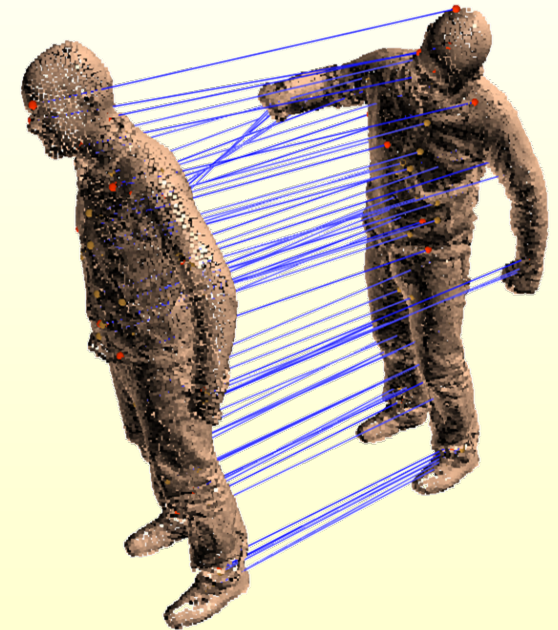
# Deep Learning for 3D Geometry Analysis



Classification

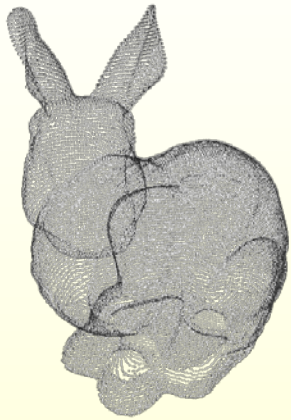


Segmentation/Parsing  
(object/scene)

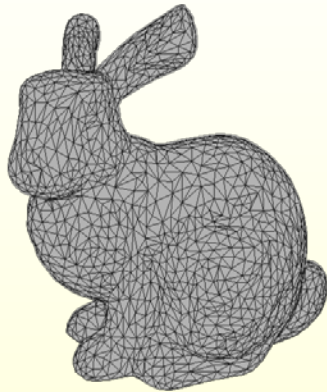


Correspondences

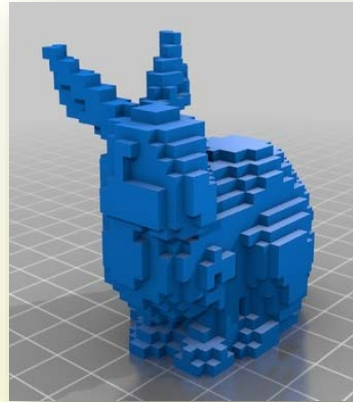
# Multiple Representations for 3D



Point Cloud



Mesh



Volumetric



Projected View

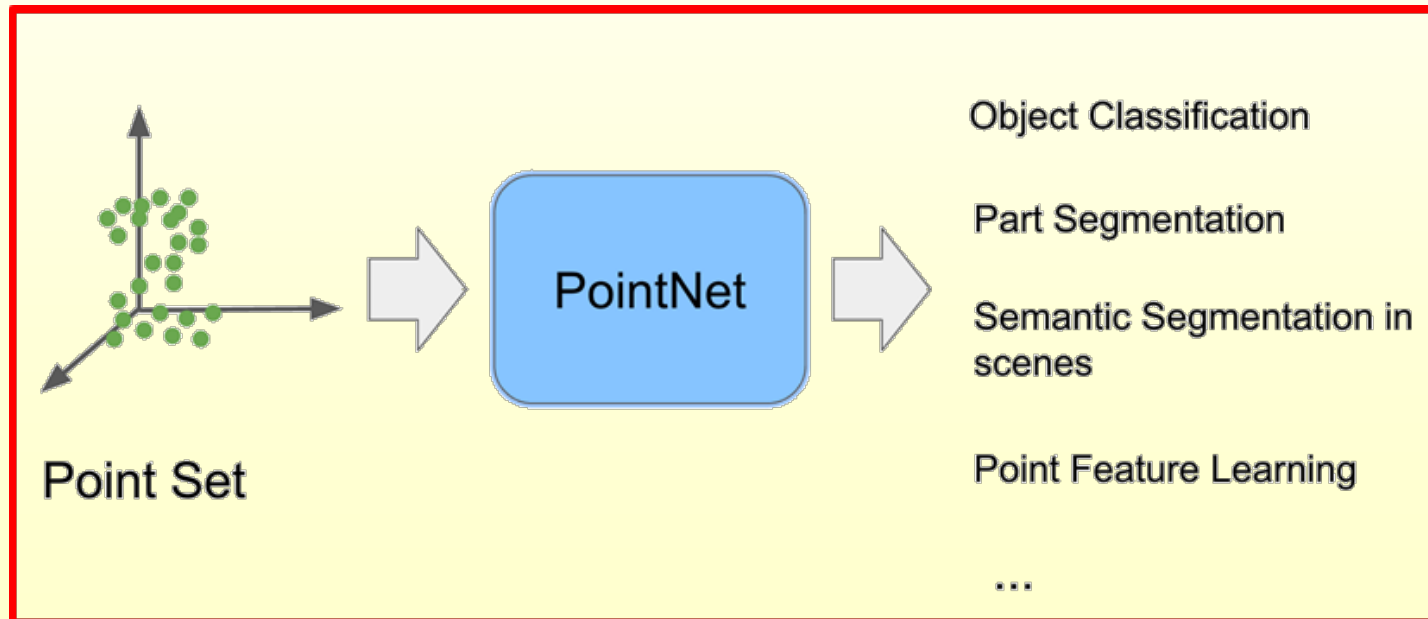
RGB(D)

...

These are irregular representations

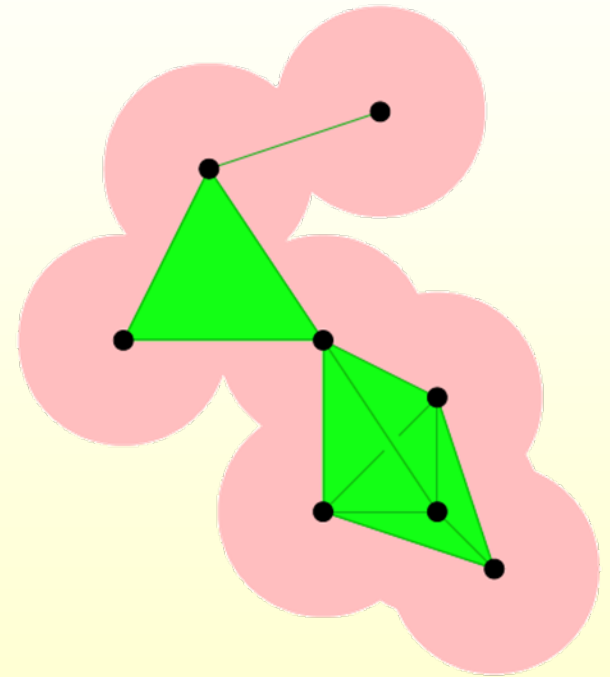
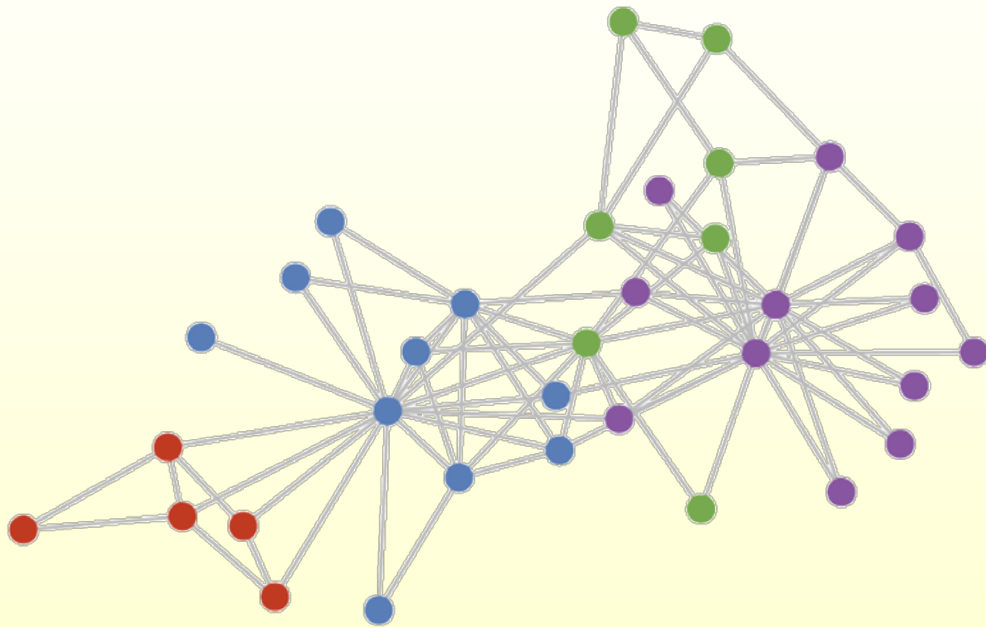
# PointNet: Working Directly with Point Cloud Data

- ◆ Goal: design a NN architecture that can work directly with point clouds
- ◆ Must deal with unstructured, unordered data

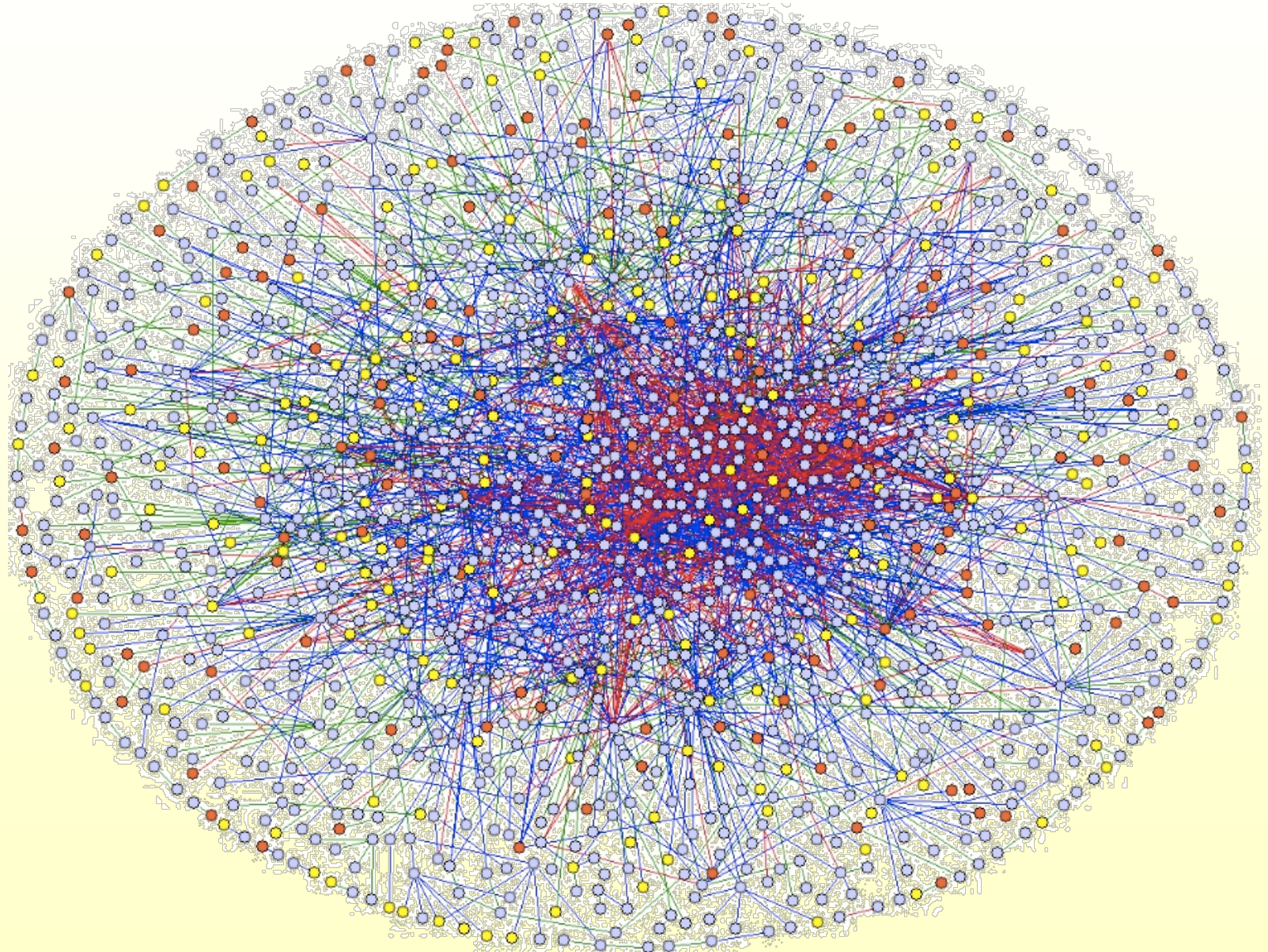


# The Graph View of Data

# Graphs and Simplicial Complexes

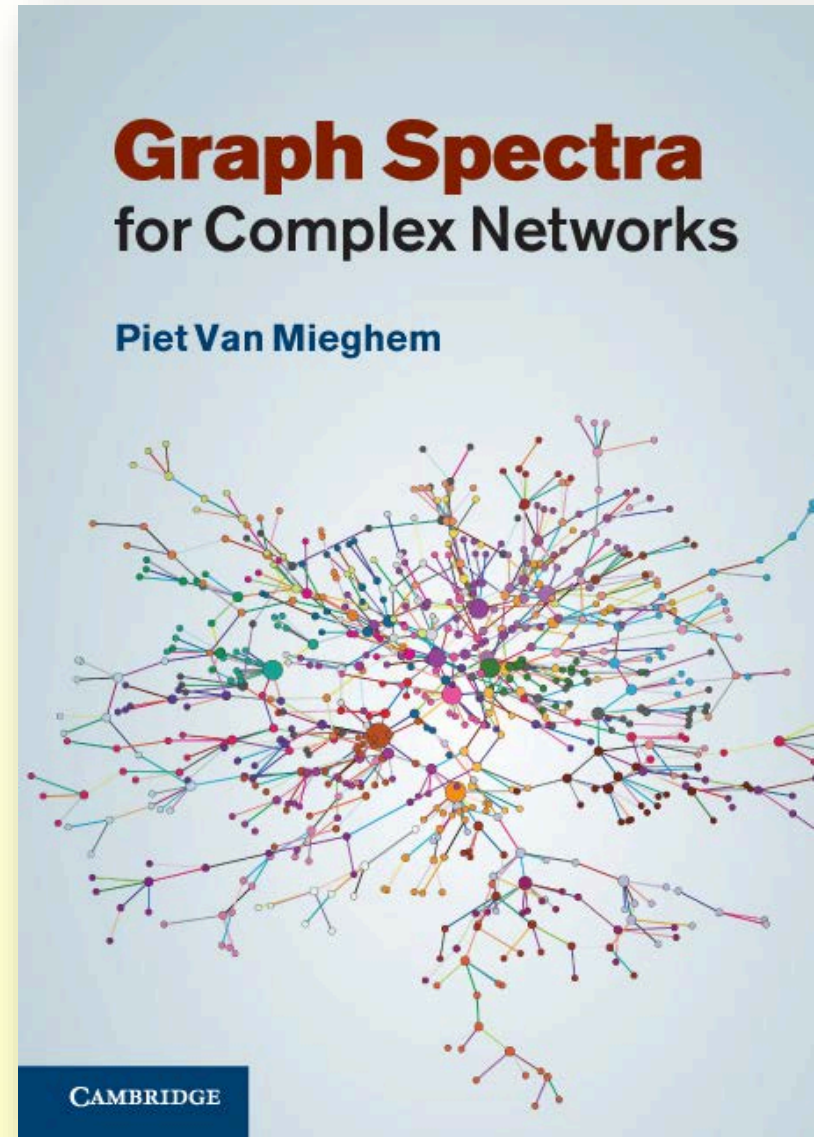


# A Graph View of Data



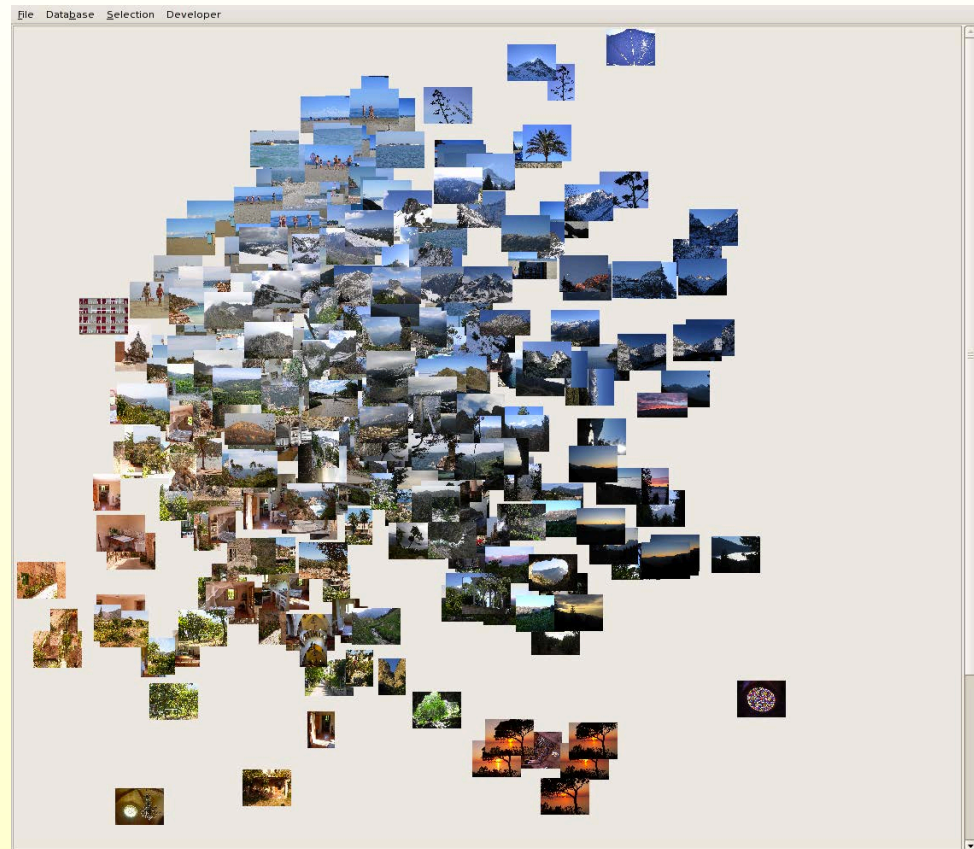
# Spectral Graph Theory

- ◆ Linking the graph-theoretic and linear algebraic view of data.



# From Feature Vectors to Feature Sets

- ◆ What to do if the number of features per data set is variable?
- ◆ Distance or similarity metrics
- ◆ Multidimensional scaling



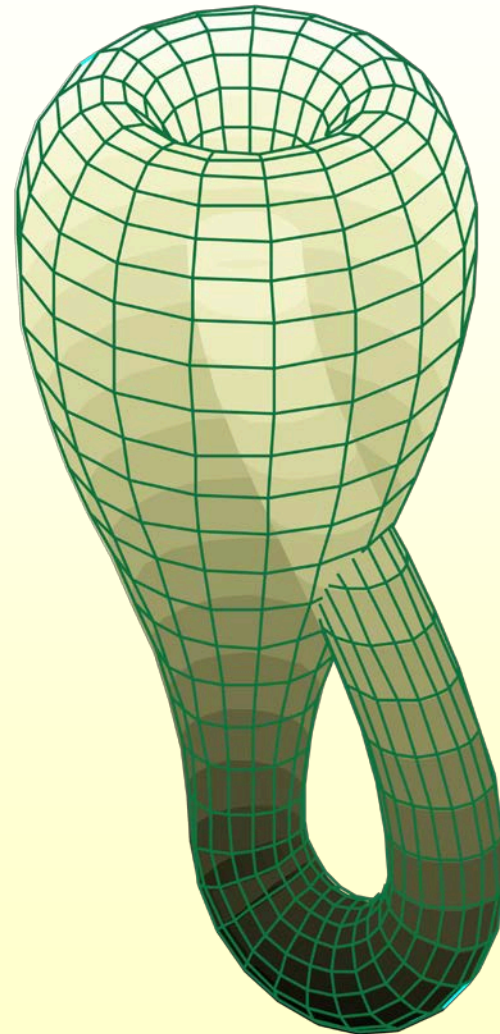
A “Procrustean” approach

# Topological Data Analysis

# Computational Topology

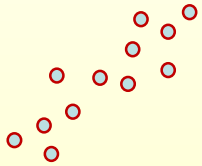
- ◆ Topology is the branch of mathematics that does not take distances too seriously.
- ◆ Large distances are often suspect ...

G. Carlsson

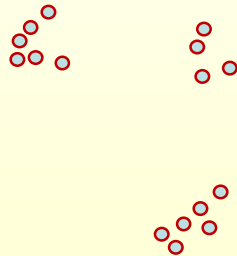


# In TDA, Sampled Spaces

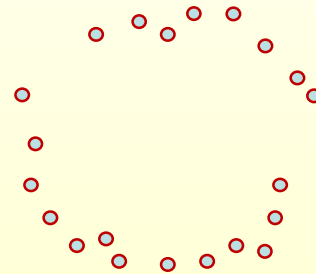
## “The Shape of Data”



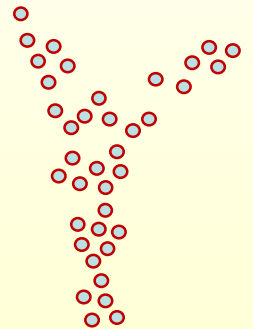
Regression



Cluster

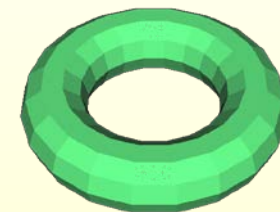
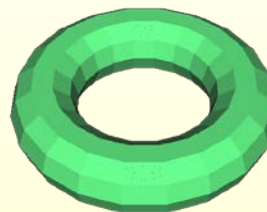
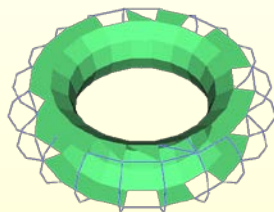
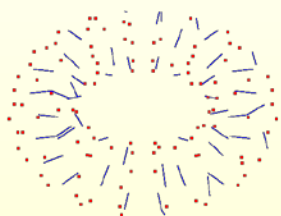
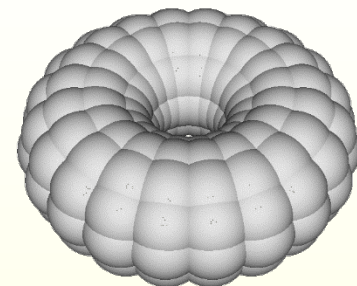
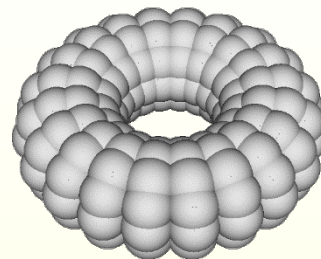
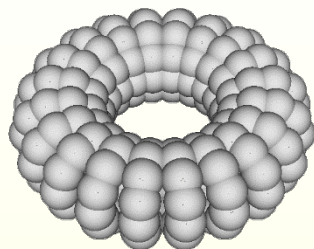
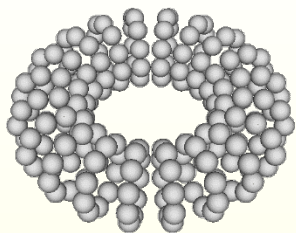


Loop



Flared

# A Multiscale View of Data

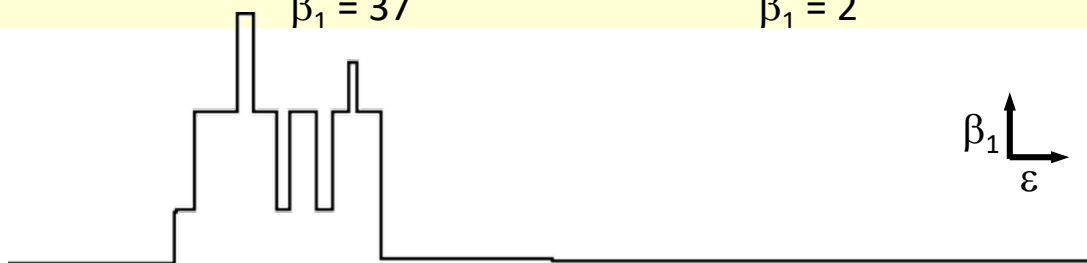


$\beta_0 = 150$   
 $\beta_1 = 0$

$\beta_0 = 1$   
 $\beta_1 = 37$

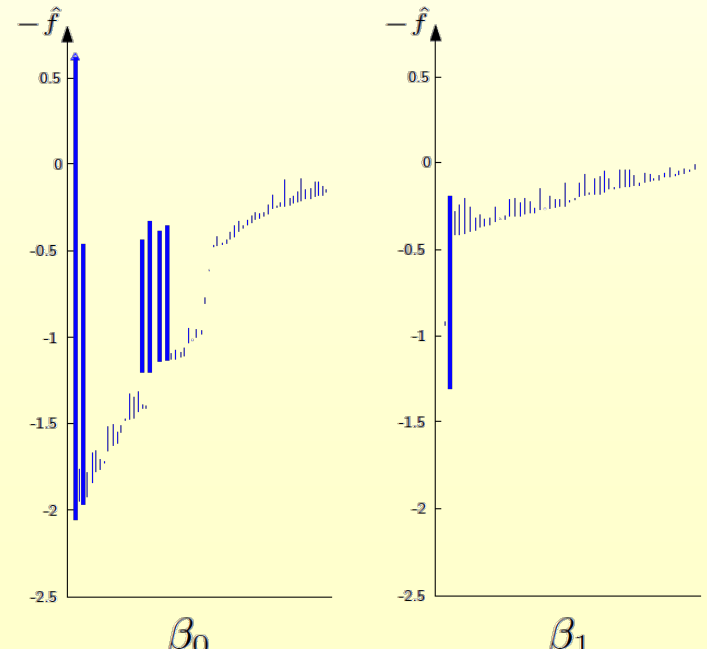
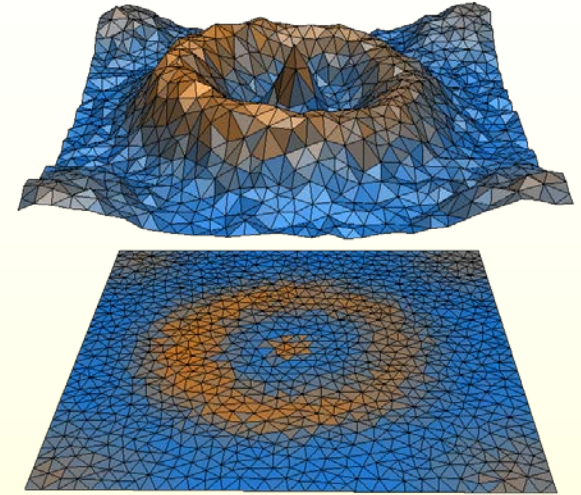
$\beta_0 = 1$   
 $\beta_1 = 2$

$\beta_0 = 1$   
 $\beta_1 = 1$

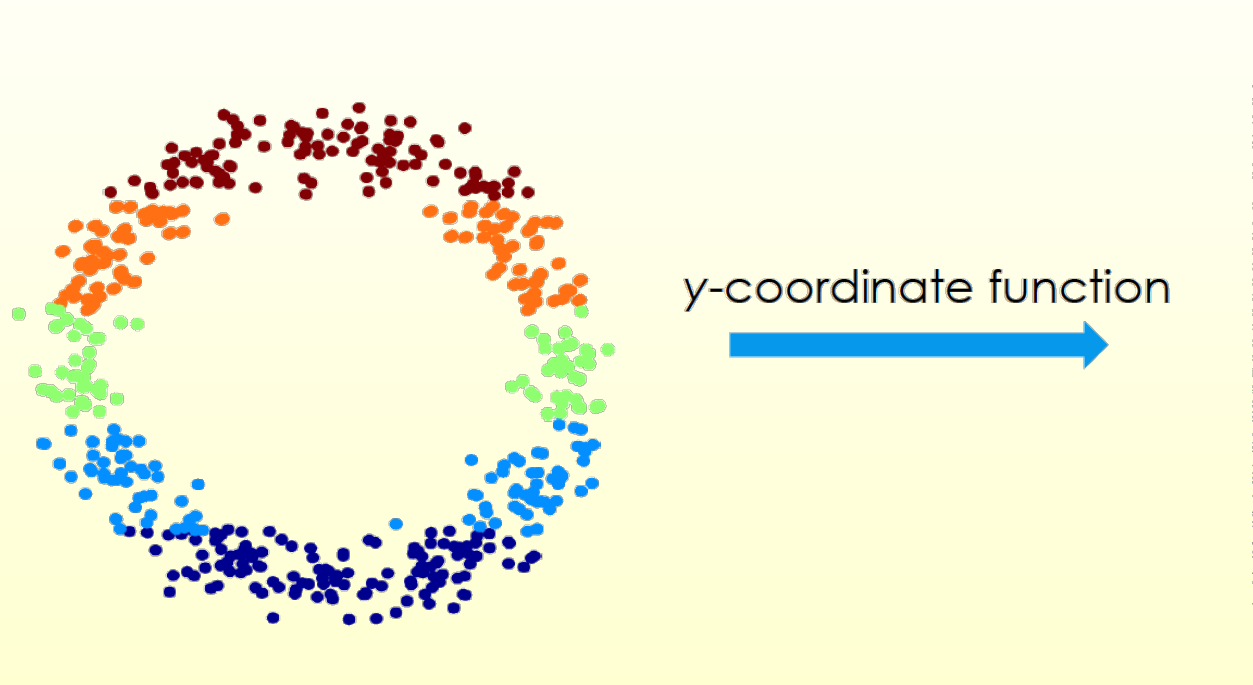


# Persistent Homology

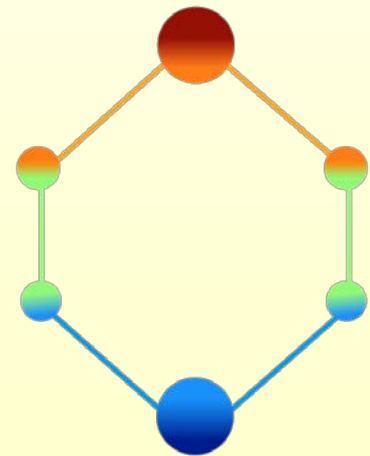
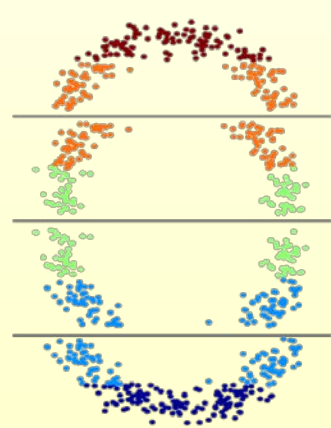
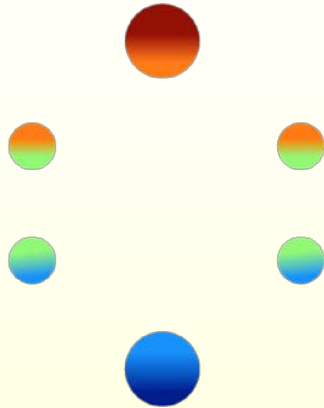
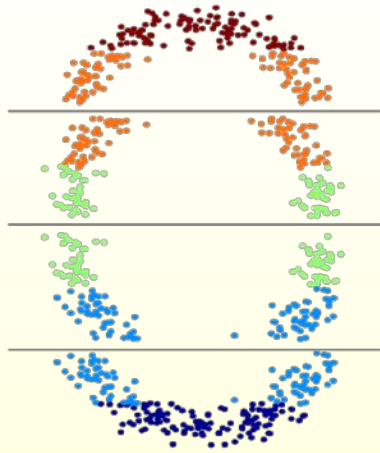
- ◆ Persistence of topological features
- ◆ Barcodes and persistence diagrams
- ◆ Data embeddings into non\_Euclidean spaces



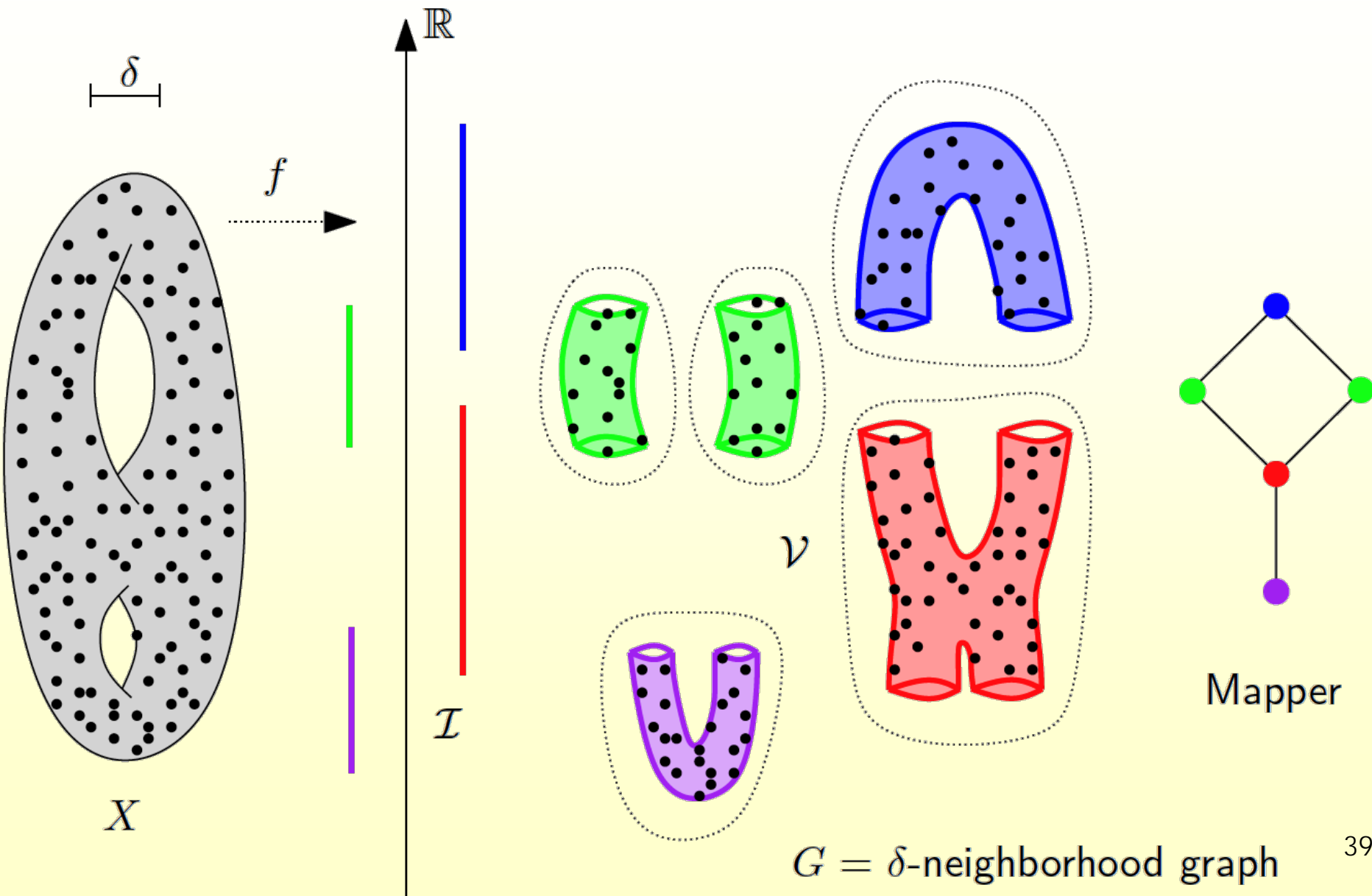
# Functions as Filters



# A Simplicial Complex

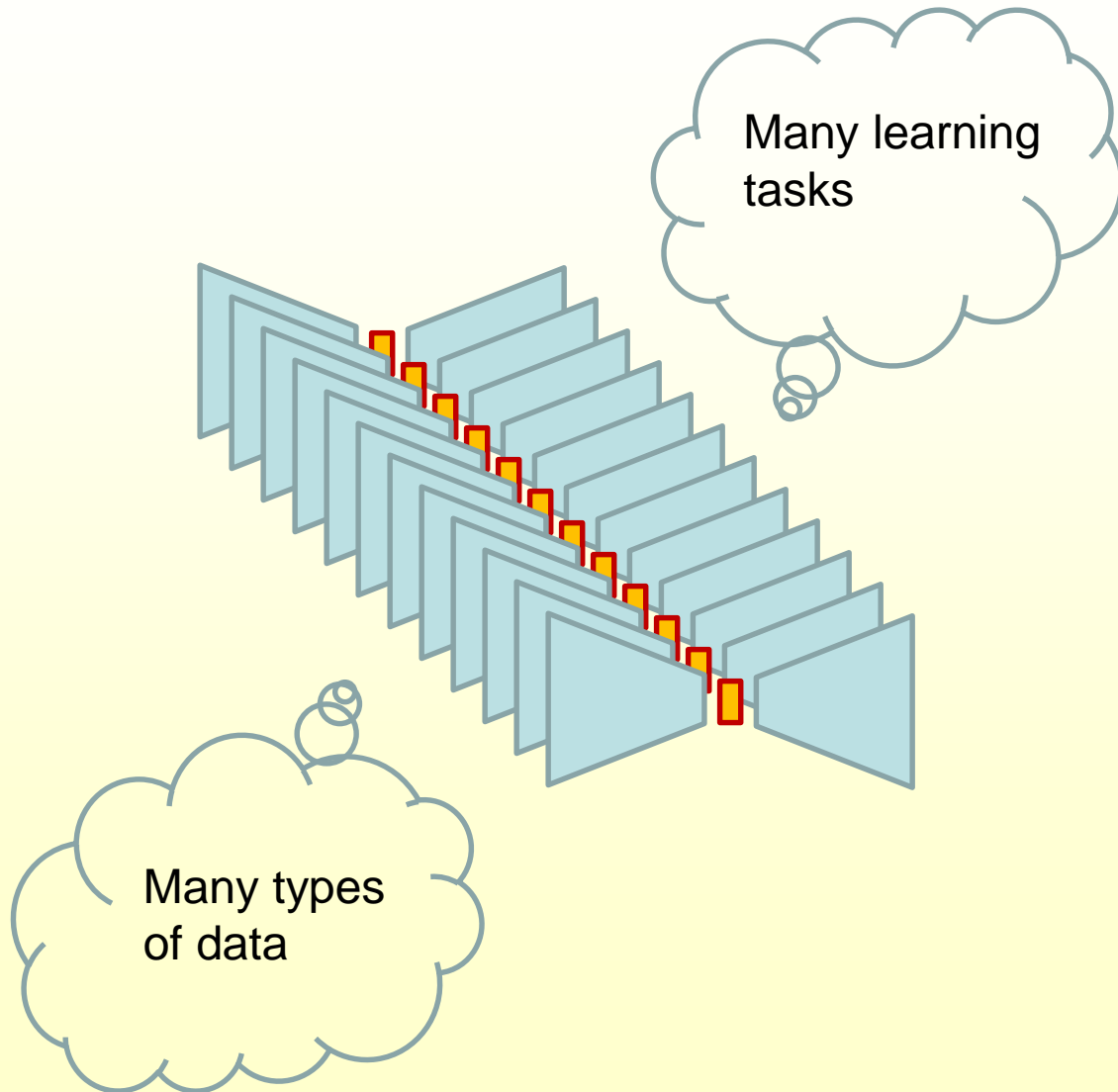


# Functions as Lenses on Data



# Some Limitations of the “Point” View of Data

# (Too) Many Latent Spaces



Codes

Latent vectors

Parametrizations

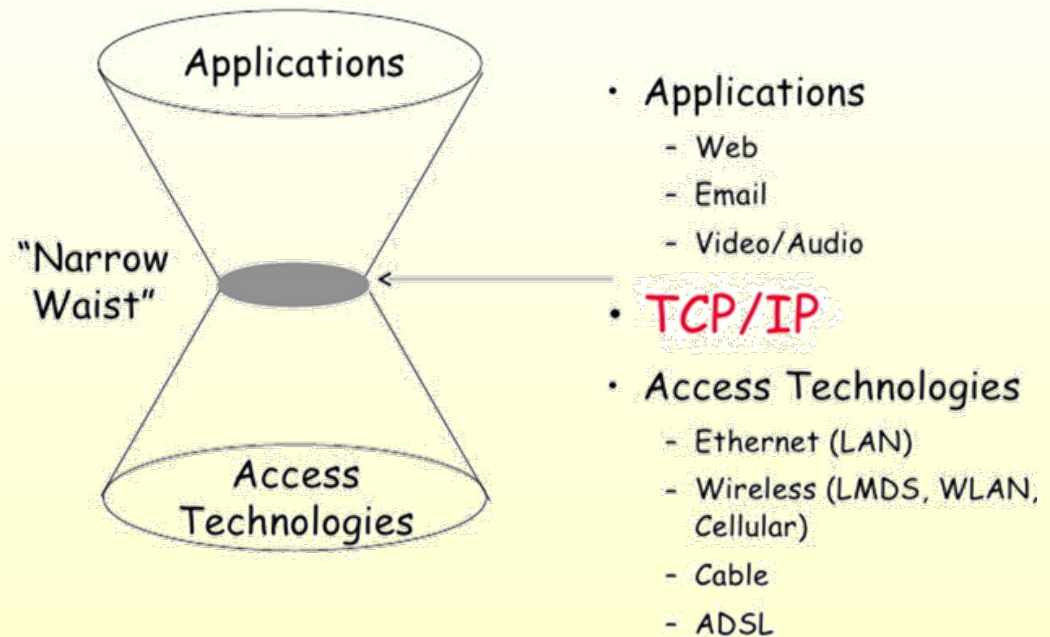
Representations

...

# A Shared “Narrow Neck / Waist” in Other Fields



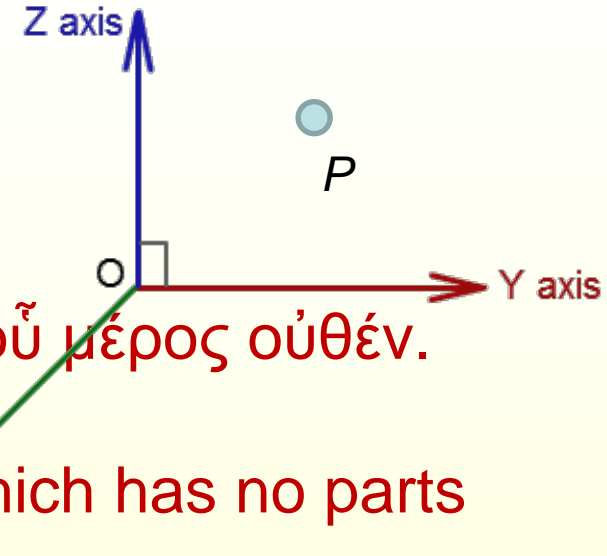
Power distribution



Network protocol stack

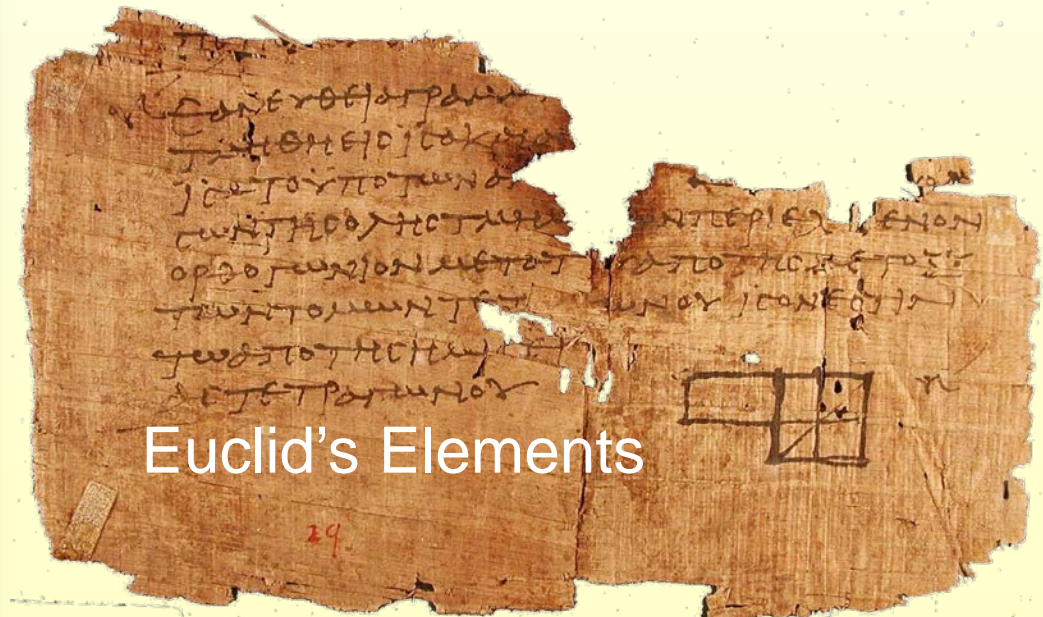
[Image: R. Katz]

# The Structure of Latent Spaces



α'. Σημεῖόν ἐστιν, οὐ μέρος οὐθέν.

a. A point is that which has no parts



Euclid's Elements

# Homomorphic Encryption

## Chapter 2

## Homomorphic Encryption

**Abstract** Homomorphic encryption is a form of encryption which allows specific types of computations to be carried out on ciphertexts and generate an encrypted result which, when decrypted, matches the result of operations performed on the plaintexts. This is a desirable feature in modern communication system architectures. RSA is the first public-key encryption scheme with a homomorphic property. However, for security, RSA has to pad a message with random bits before encryption to achieve semantic security. The padding results in RSA losing the homomorphic property. To avoid padding messages, many public-key encryption schemes with various homomorphic properties have been proposed in last three decades. In this chapter, we introduce basic homomorphic encryption techniques. It begins with a formal definition of homomorphic encryption, followed by some well-known homomorphic encryption schemes.

### 2.1 Homomorphic Encryption Definition

In abstract algebra, a homomorphism is a structure-preserving map between two algebraic structures, such as groups.

A group is a set,  $G$ , together with an operation  $\circ$  (called the group law of  $G$ ) that combines any two elements  $a$  and  $b$  to form another element, denoted  $a \circ b$ . If  $(G, \circ)$  is a group, the set and operation,  $(G, \circ)$ , must satisfy four requirements:

- 1. The result of the operation,  $a \circ b$ , is also in  $G$ .
- 2.  $(a \circ b) \circ c = a \circ (b \circ c)$ .
- 3. There is an identity element  $e$  in  $G$ , such that for every element  $a$  in  $G$ ,  $a \circ e = a$  and  $e \circ a = a$ .
- 4. For every element  $a$  in  $G$ , there is a unique inverse element  $a^{-1}$  in  $G$ , such that  $a \circ a^{-1} = e$  and  $a^{-1} \circ a = e$ .



Craig Gentry

# The Mathematics of Latent Codes

- ◆ What kinds of mathematical objects can serve well as latent space codes?
- ◆ How should such an object vary with
  - ◆ the input data?
  - ◆ the input modality?
  - ◆ the learning task?



# Relating Data Sets

# Horizontal Map Networks

# Horizontal Networks

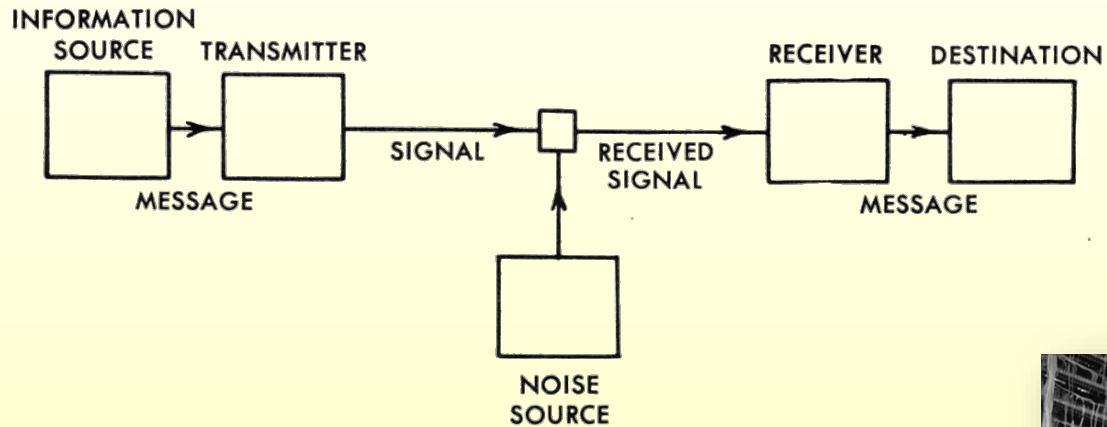


Similarity as a communications channel



34

*The Mathematical Theory of Communication*



Claude Shannon

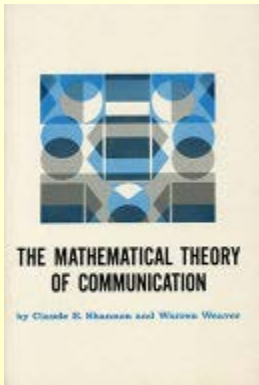
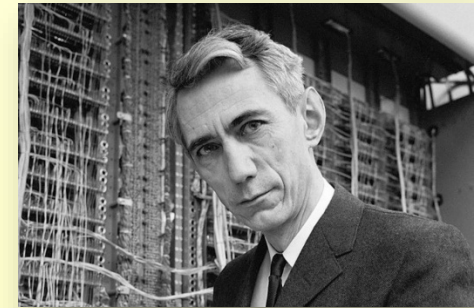


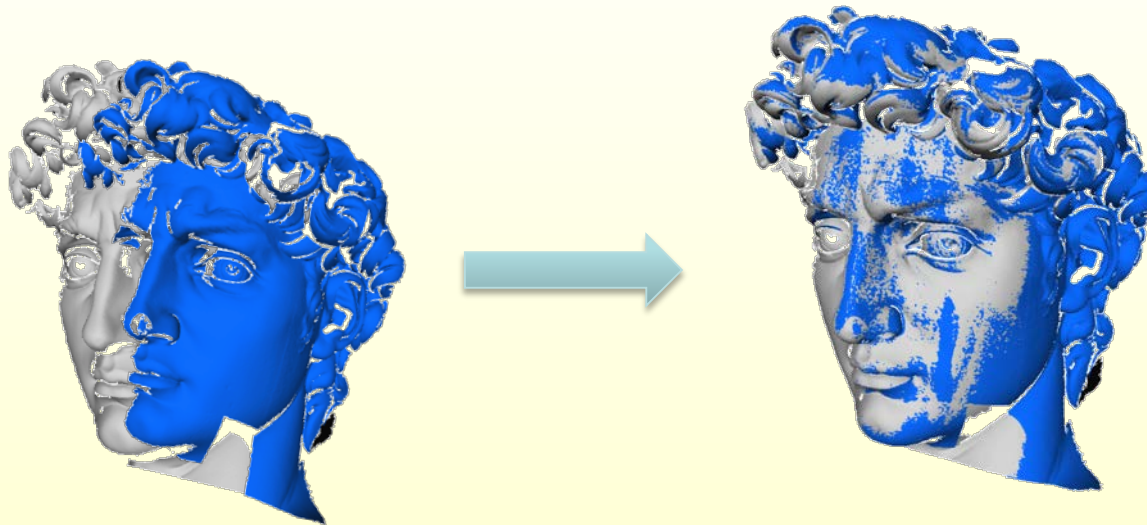
Fig. 1. — Schematic diagram of a general communication system.



# Networks of Visual Data



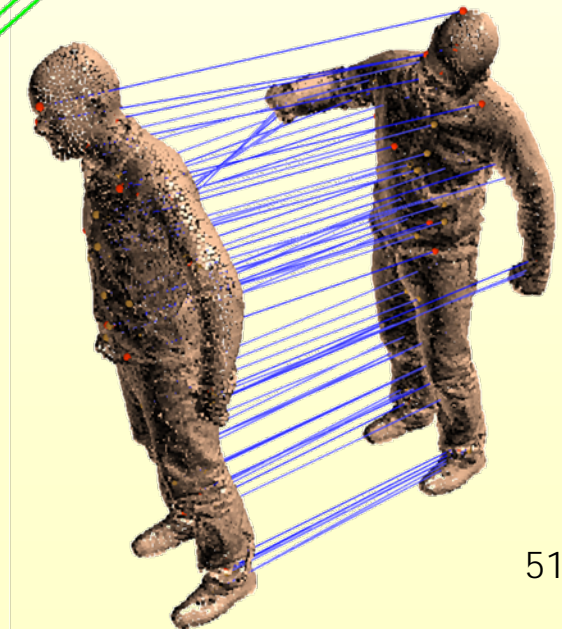
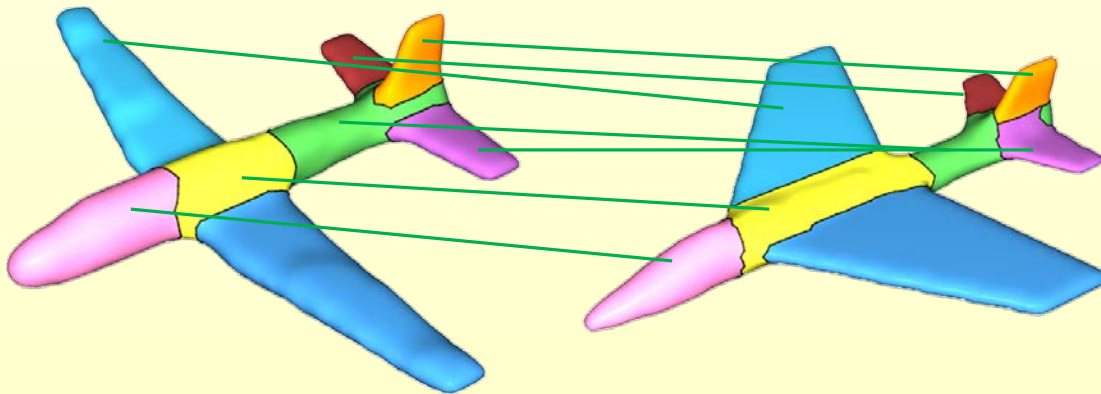
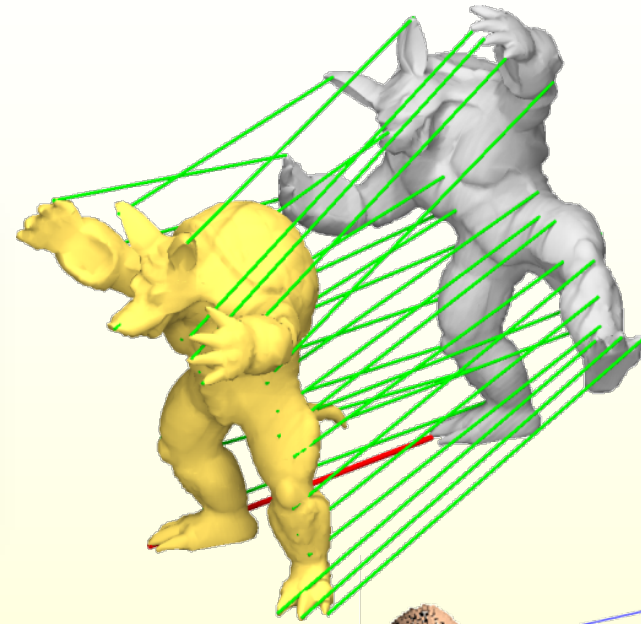
# Alignment and Registration



Rigid Registration

Low-dimensional transformation group

# Maps and Correspondences





# Societies, or Social Networks of Data Sets

Our understanding of data can greatly benefit from extracting these relations and building relational networks.

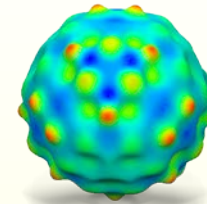
We can exploit the relational network to

- transport information around the network
- assess the validity of operations or interpretations of data (by checking consistency against related data)
- assess the quality of the relations themselves (by checking consistency against other relations through cycle closure, etc.)
- extract shared structure among the data

Thus the network becomes the great regularizer in joint data analysis.

# Functional Over Data and Functional Maps

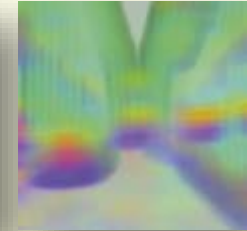
# Knowledge as Functions over Data



Curvature



Parts

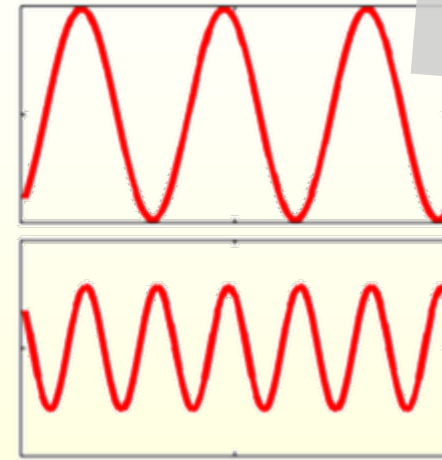
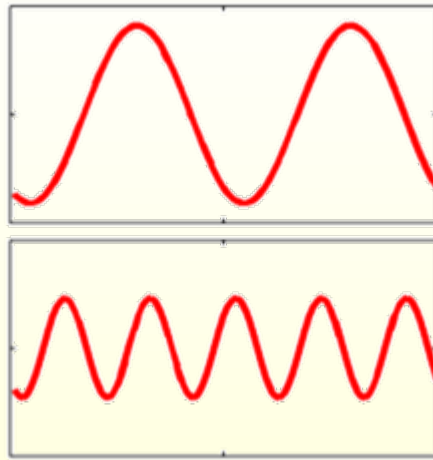
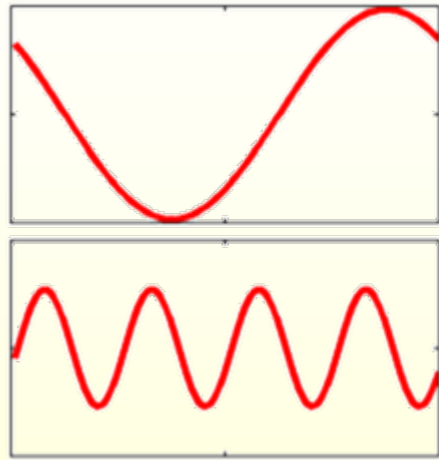


SIFT flow, C. Liu 2011



Knowledge towers over  
visual data: function spaces

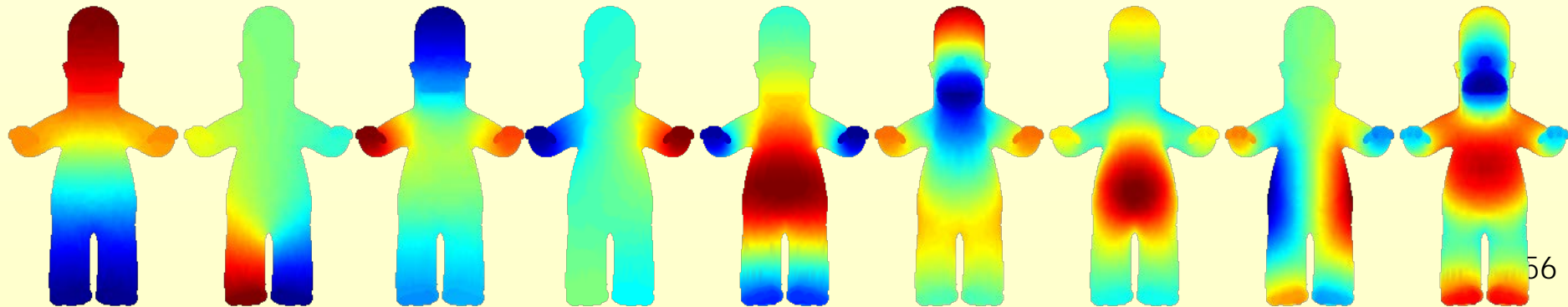
# Hierarchical Bases for a Function Space



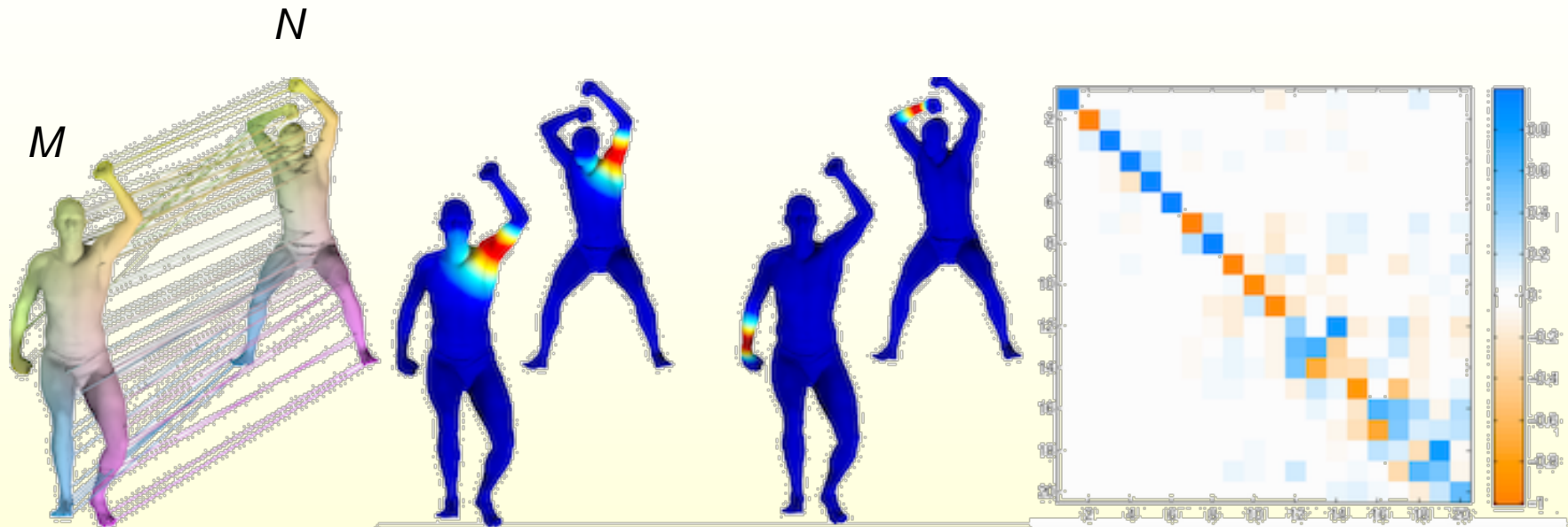
**Fourier**

**Laplace-Beltrami**

global support



# Functional Maps

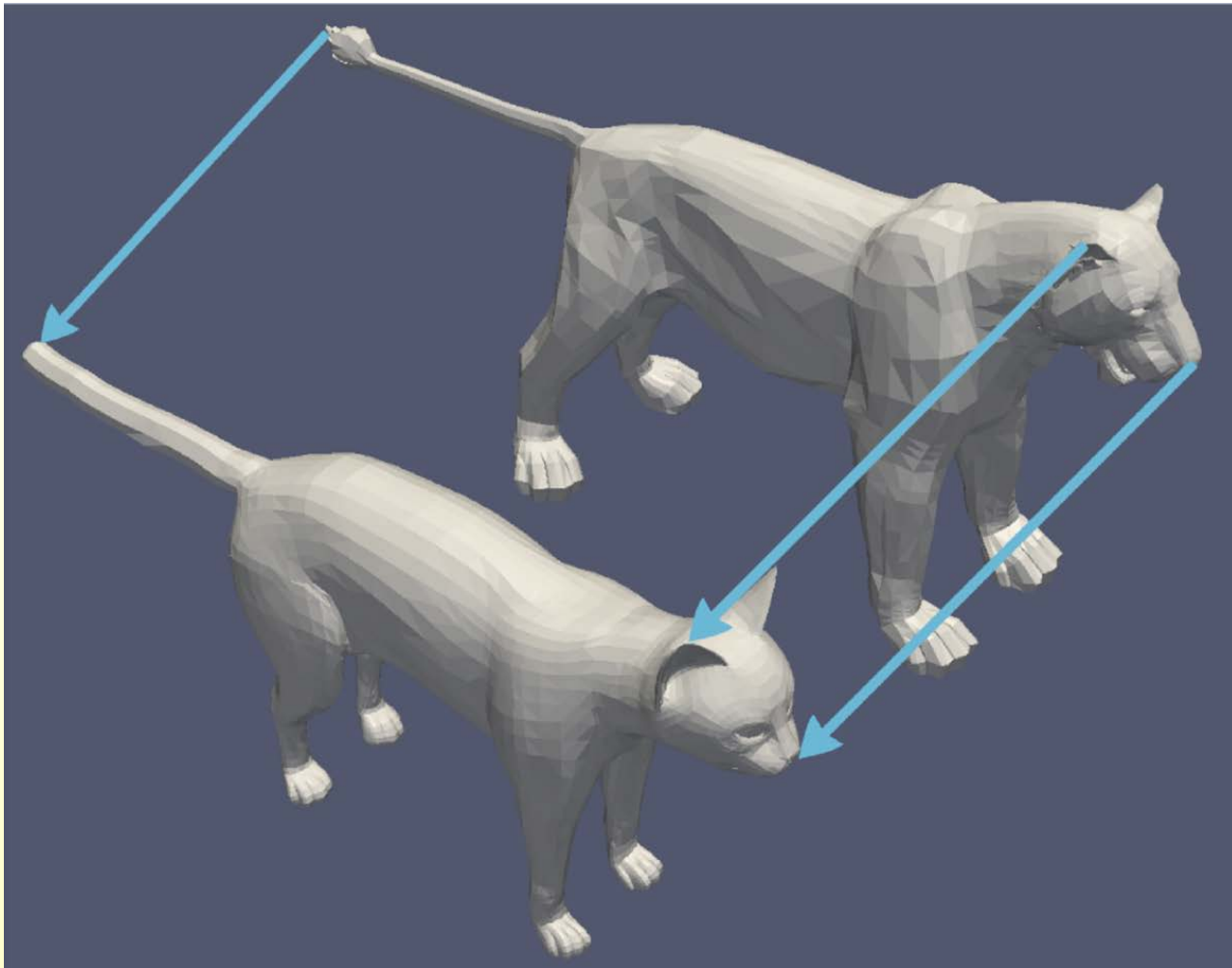


$$T : N \rightarrow M$$

$$C_T : L^2(M) \rightarrow L^2(N)$$
$$f \mapsto f \circ T$$

A contravariant functor

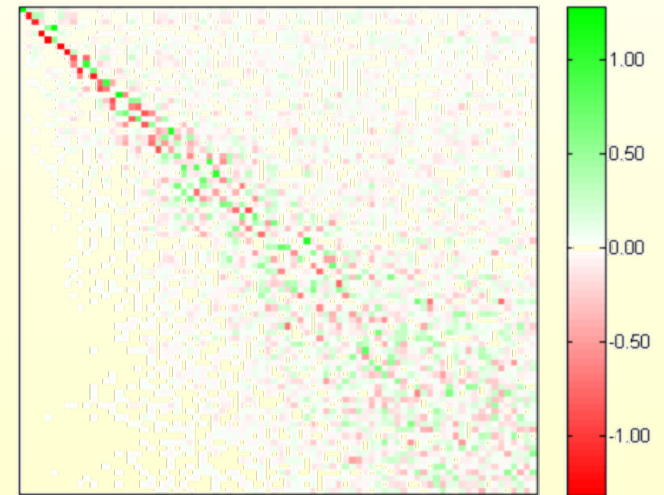
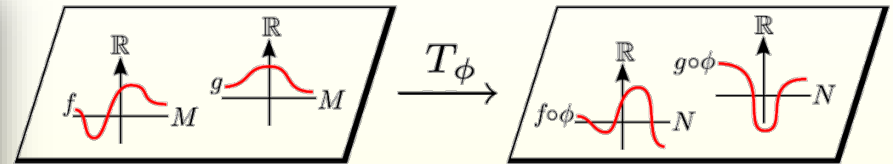
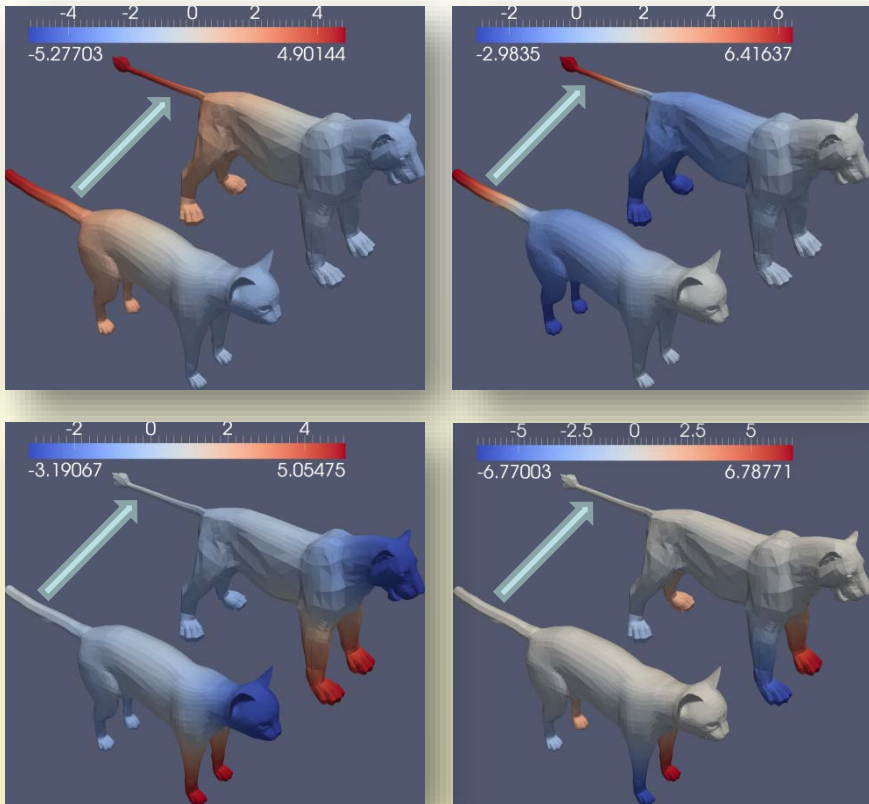
# Starting from a Regular Map $\varphi$



$\varphi$ : lion  $\rightarrow$  cat

# A Contravariant Functor

from cat to lion

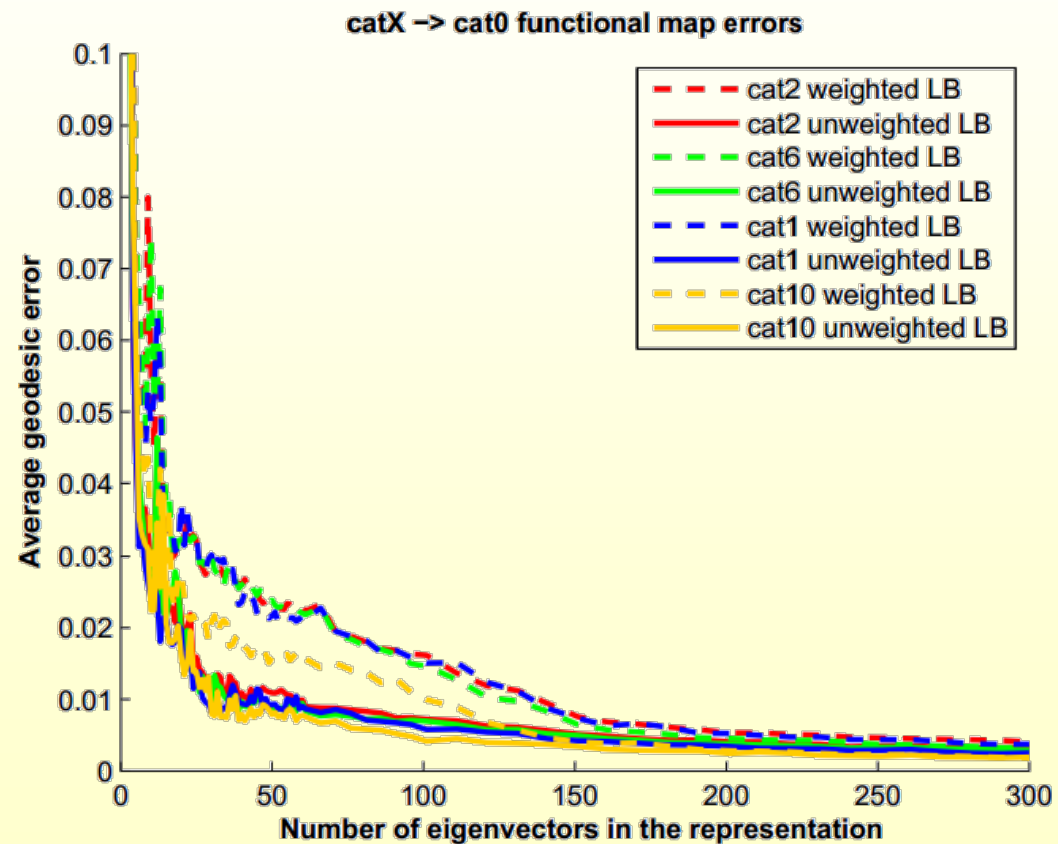
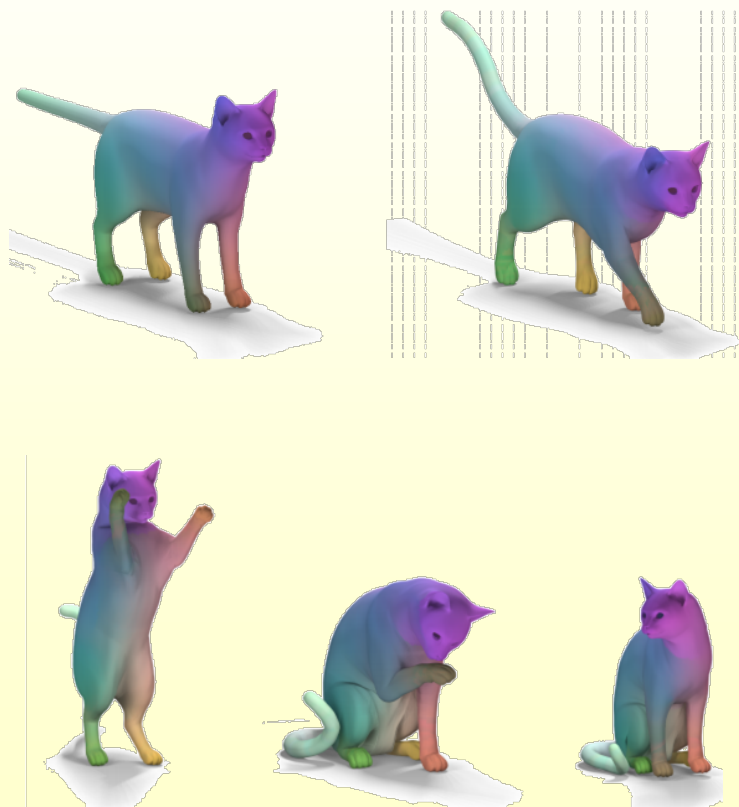


Functions on cat are transferred to lion using  $T_\phi$

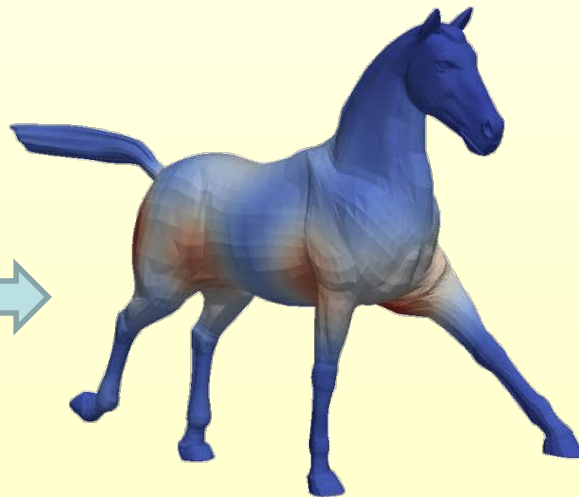
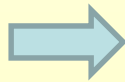
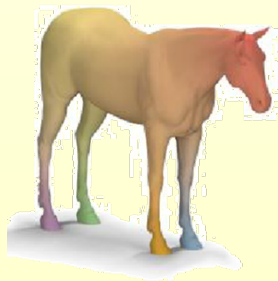
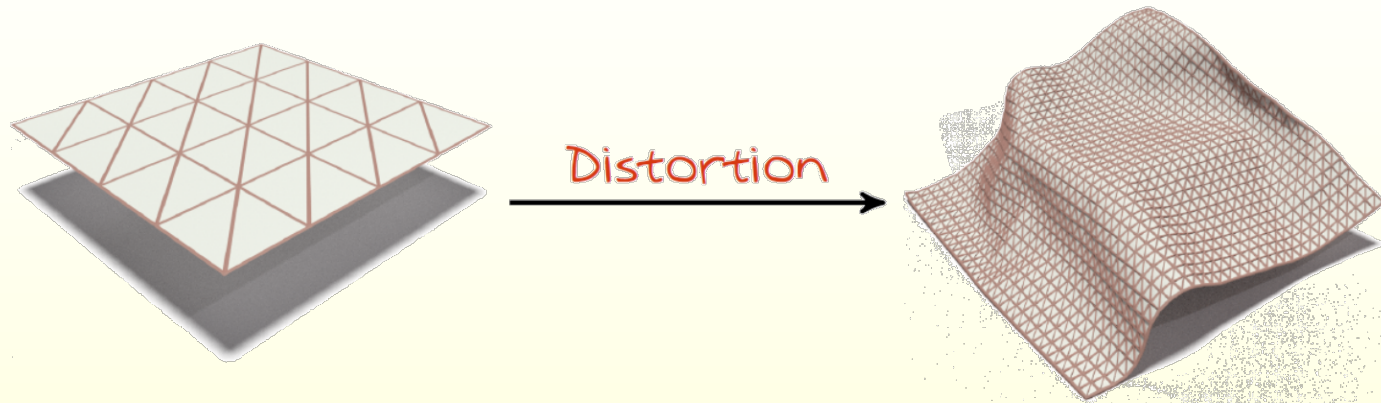
$T_\phi$  is a linear operator (matrix)

$$T_A : L^2(\text{cat}) \rightarrow L^2(\text{lion})$$

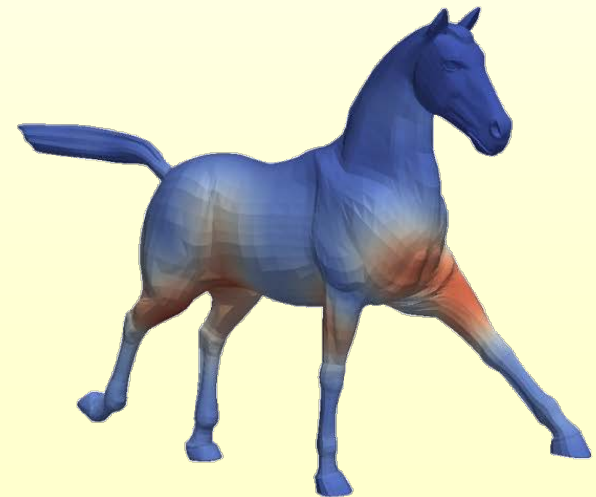
# Compact Encoding of Maps as Matrices



# Changes to Measure and Metric under a Map

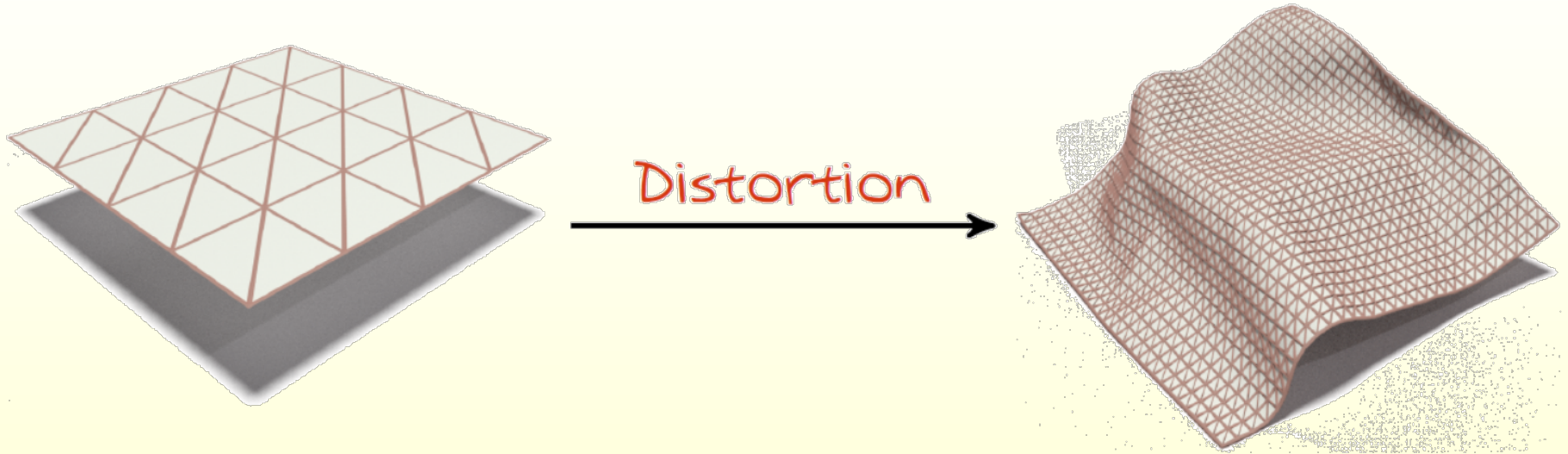


Area distortion



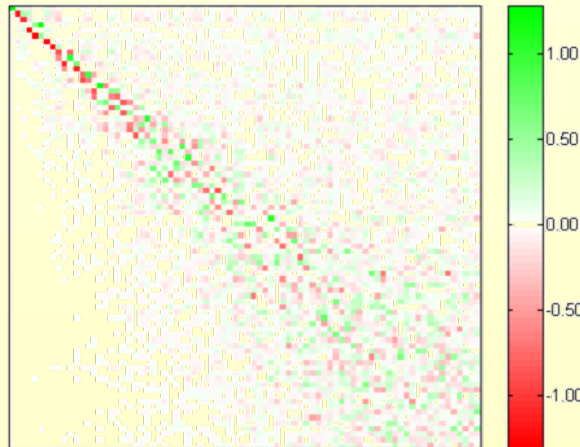
Conformal distortion

# Shape Differences are a Change Recipe



A recipe encoded as a matrix:

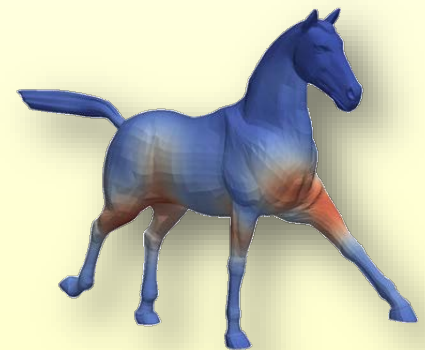
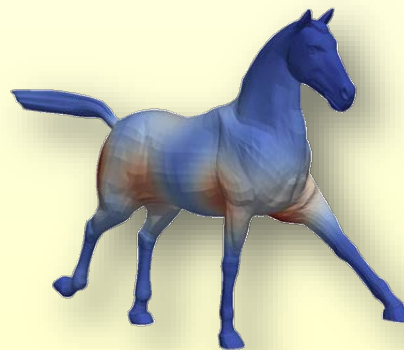
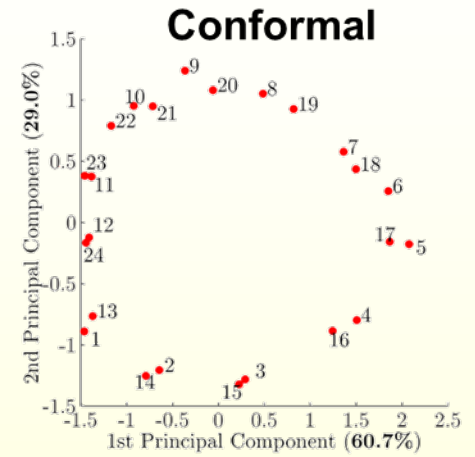
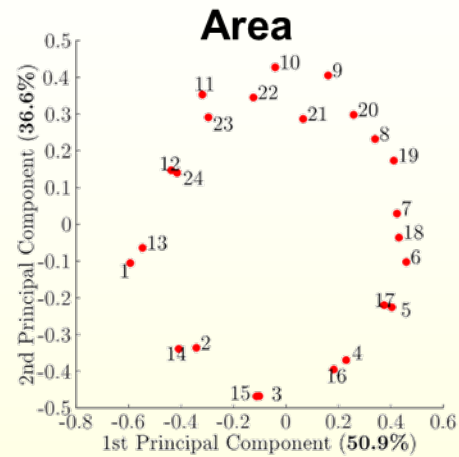
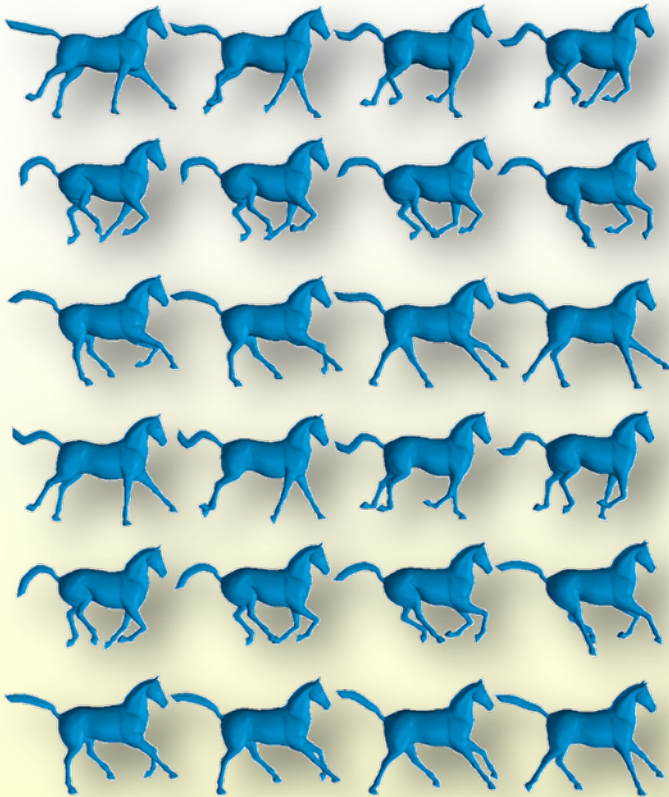
Area distortion  
Conformal distortion



A novel type of latent space representation for 3D data

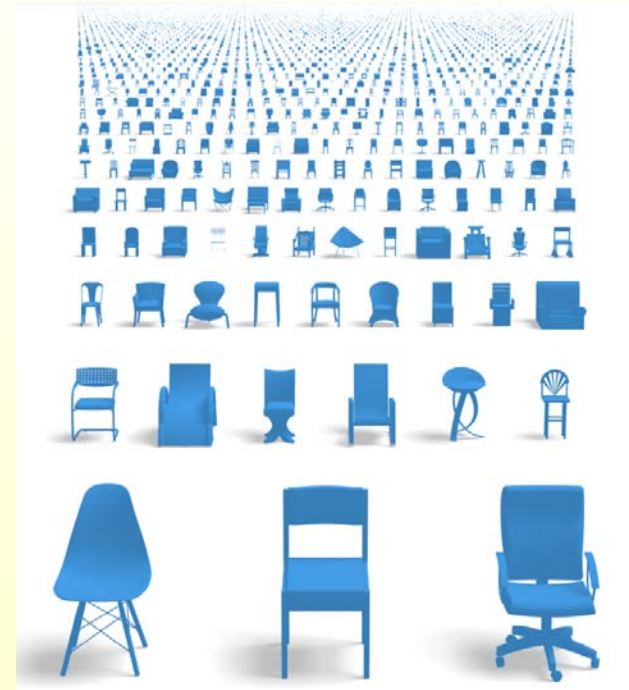
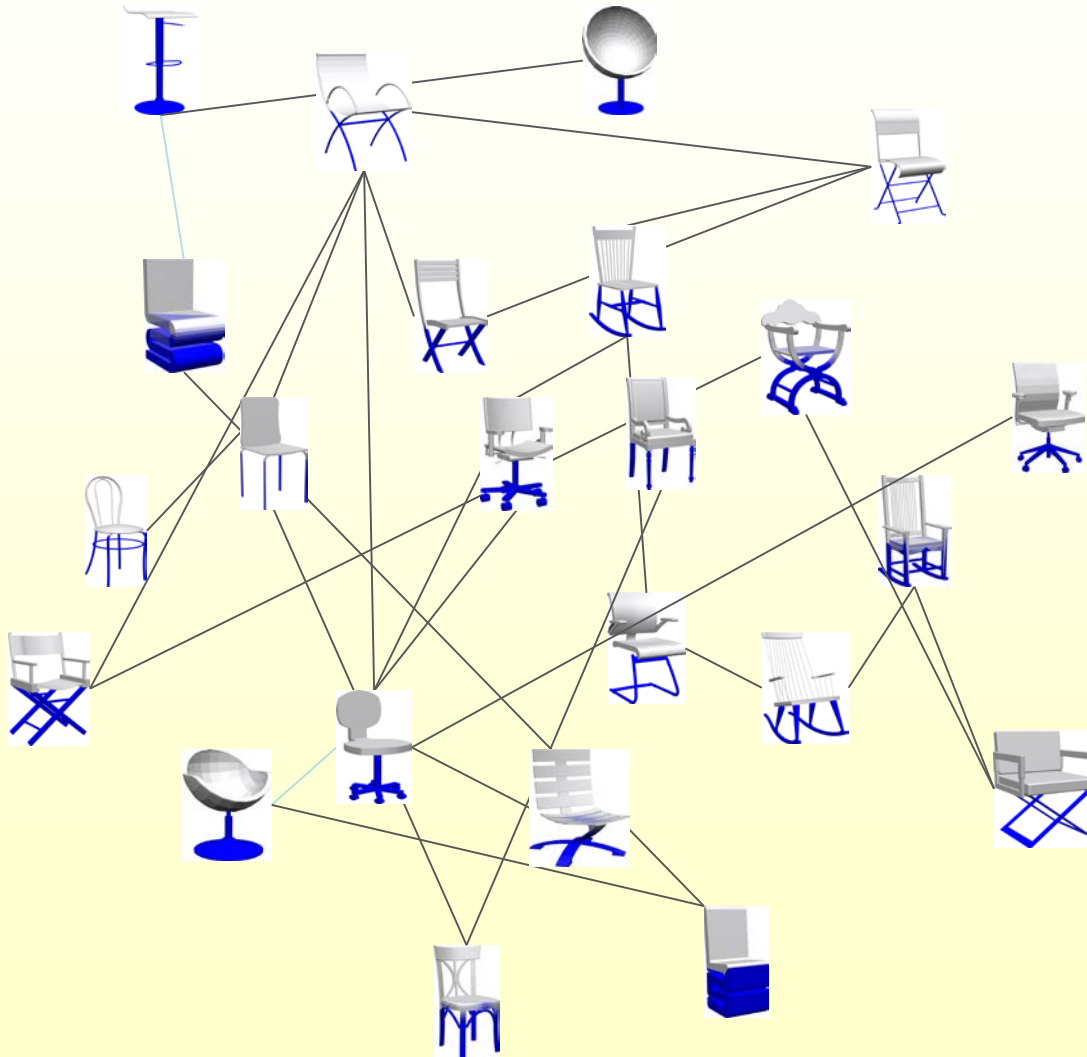
Under some conditions, lossless

# The Space of Shapes

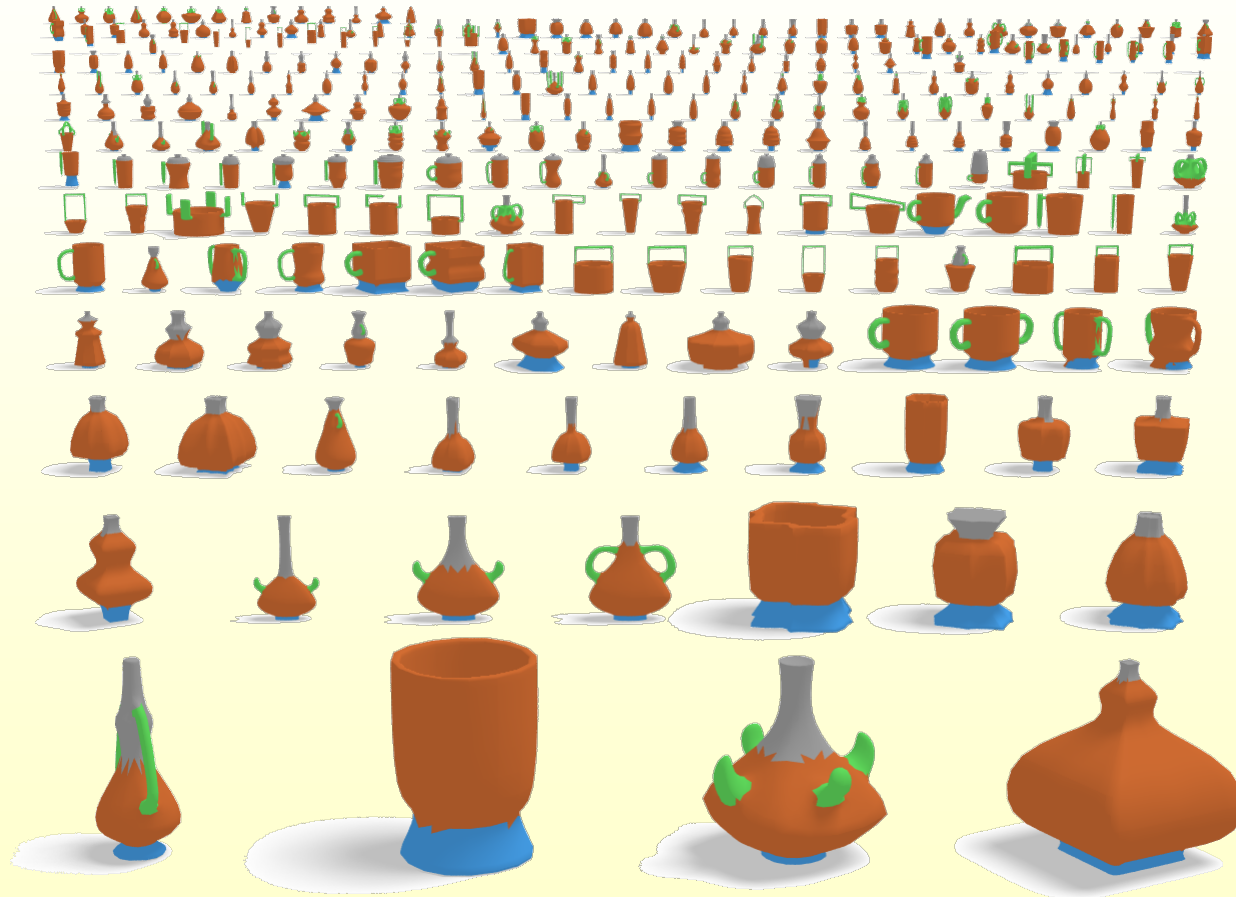


# Map Networks

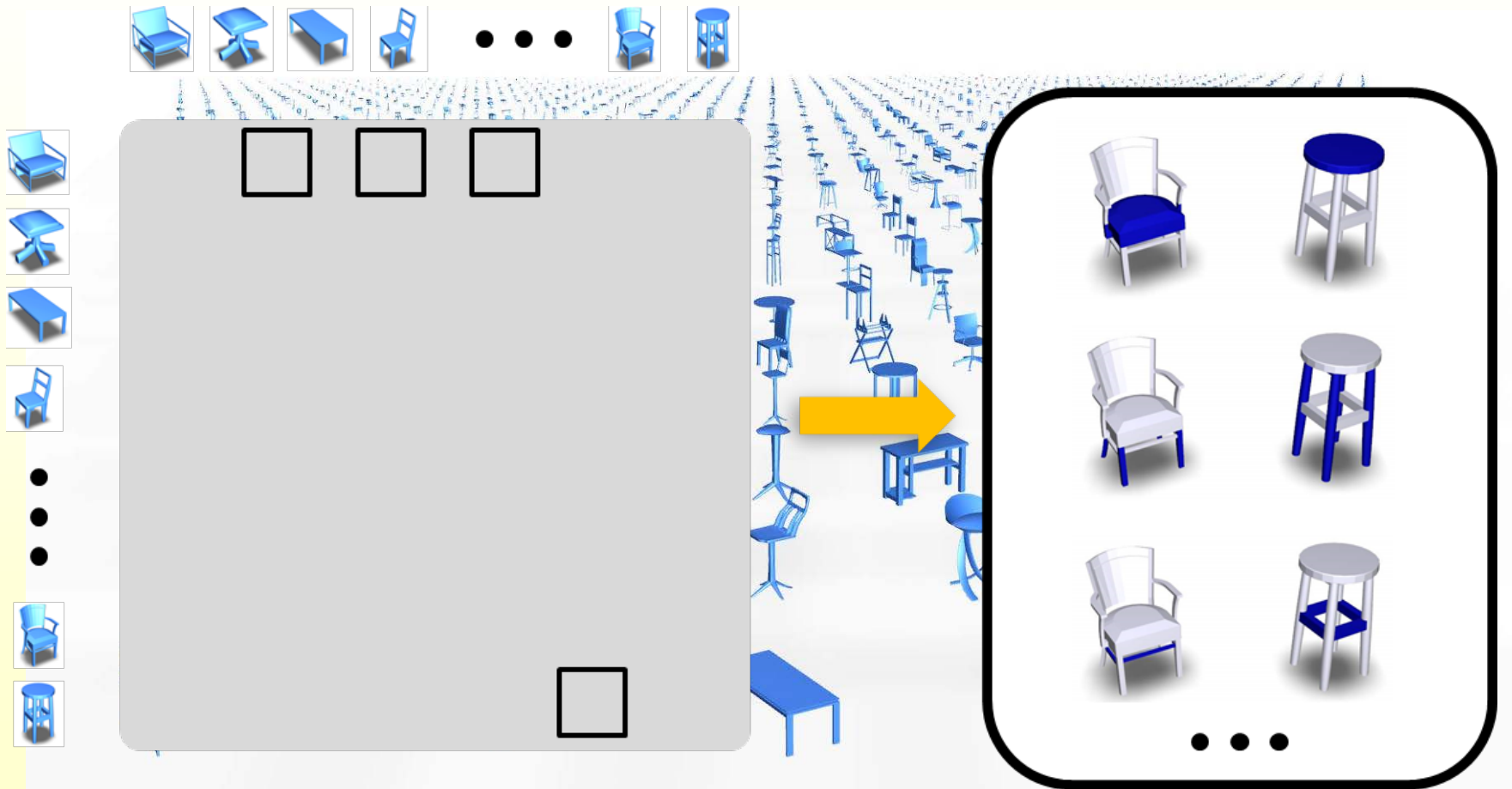
# Networks Between Data



# Joint Analysis: Co-Segmentation

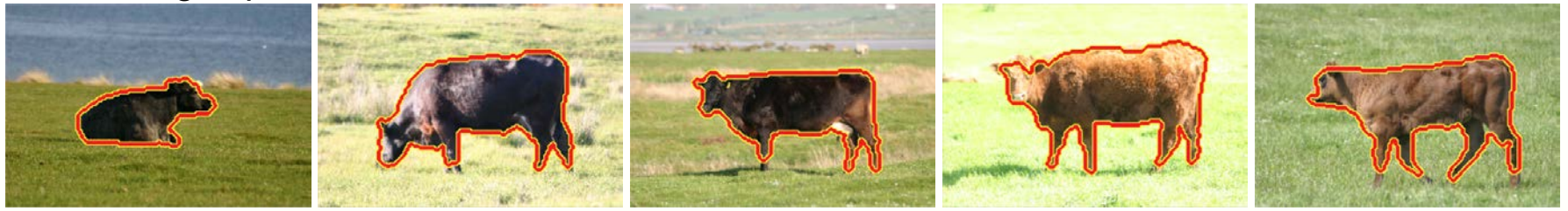


# Unsupervised Structure Extraction

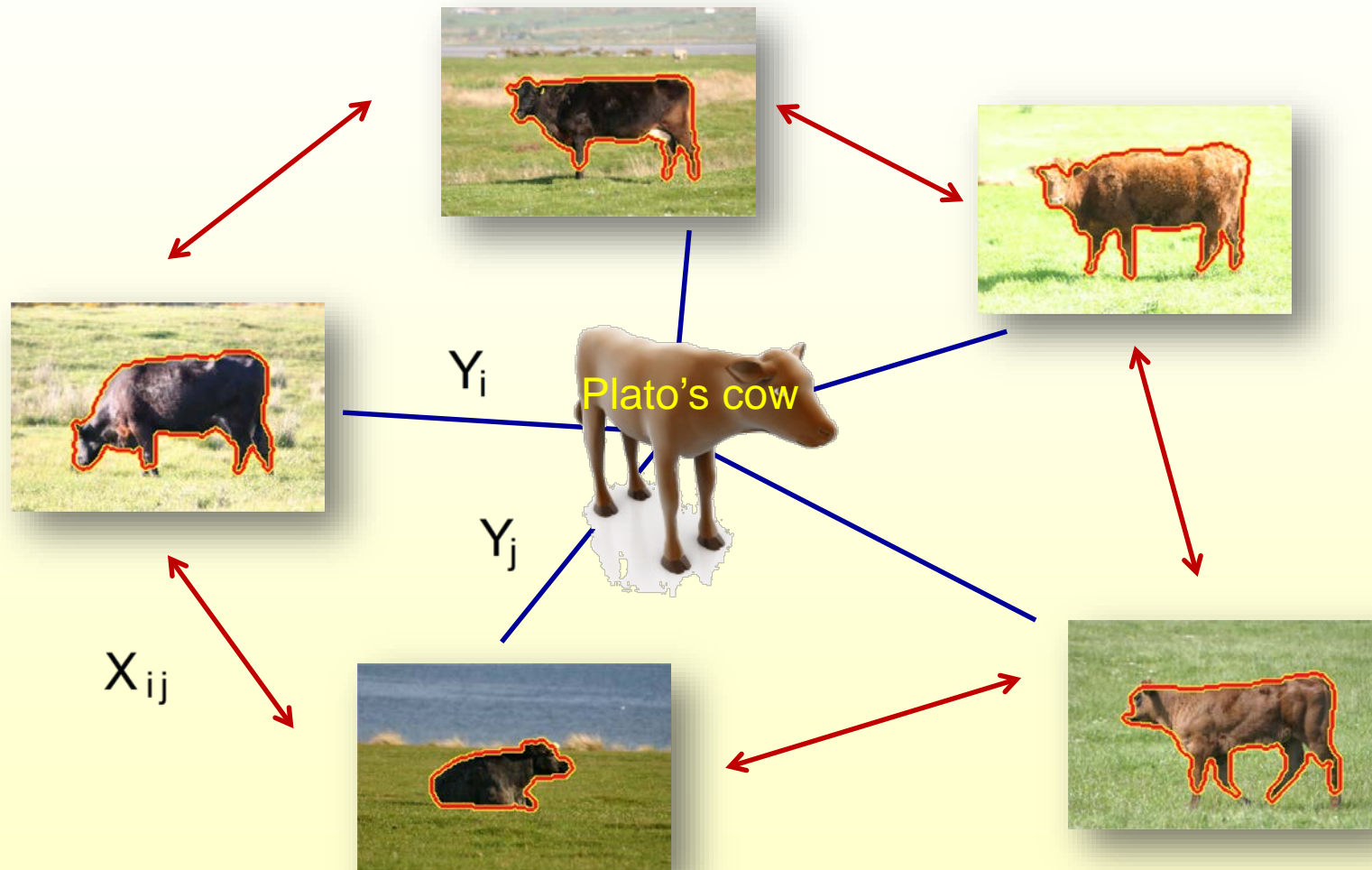


[Q. Huang, F. Wang, L. Guibas, '14]

MSRC: 5 images per class are shown

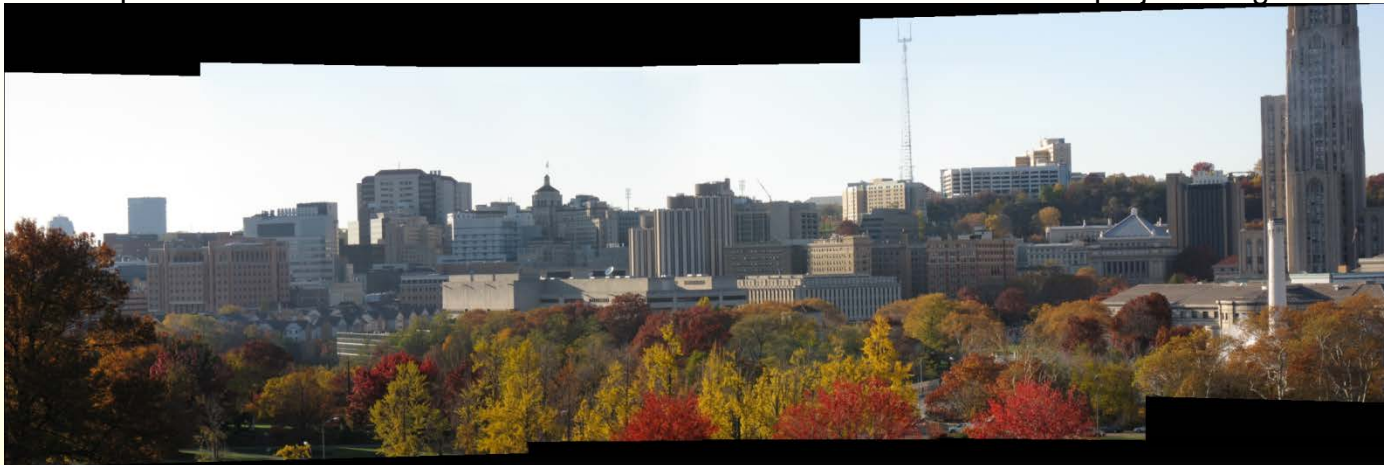


# The Network is the Abstraction



# Mosaicking or SLAM at the Level of Functions

<http://www.cs.cmu.edu/afs/cs.cmu.edu/academic/class/15463-f08/www/proj4/www/gme/>



# Visual Data Repositories for Storing Semantic Knowledge

# Semantic Networks

- ◆ Also known as **frame networks**
- ◆ They represent semantic relations between concepts
- ◆ Often used as a form of knowledge representation
- ◆ Stored as a directed or undirected graph consisting of vertices (concepts) and edges (concept relations)

# Examples of Semantic Net: WordNet

- ◆ a lexical database of English
- ◆ words -> synonym sets (synsets)

```
dog, domestic dog, Canis familiaris
=> canine, canid
    => carnivore
        => placental, placental mammal, eutherian, eutherian mammal
            => mammal
                => vertebrate, craniate
                    => chordate
                        => animal, animate being, beast, brute, creature, fauna
                            => ...
```

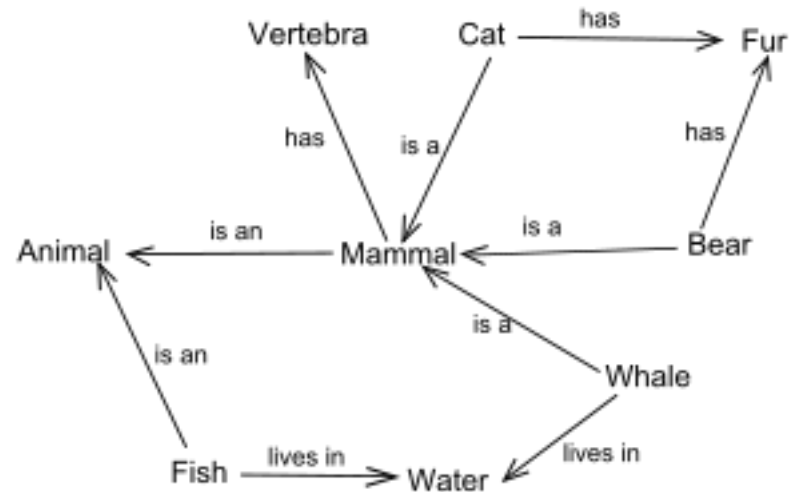
*G. A. Miller, R. Beckwith, C. D. Fellbaum, D. Gross, K. Miller. 1990.  
WordNet: An online lexical database. Int. J. Lexicograph.*

# Examples of Semantic Net

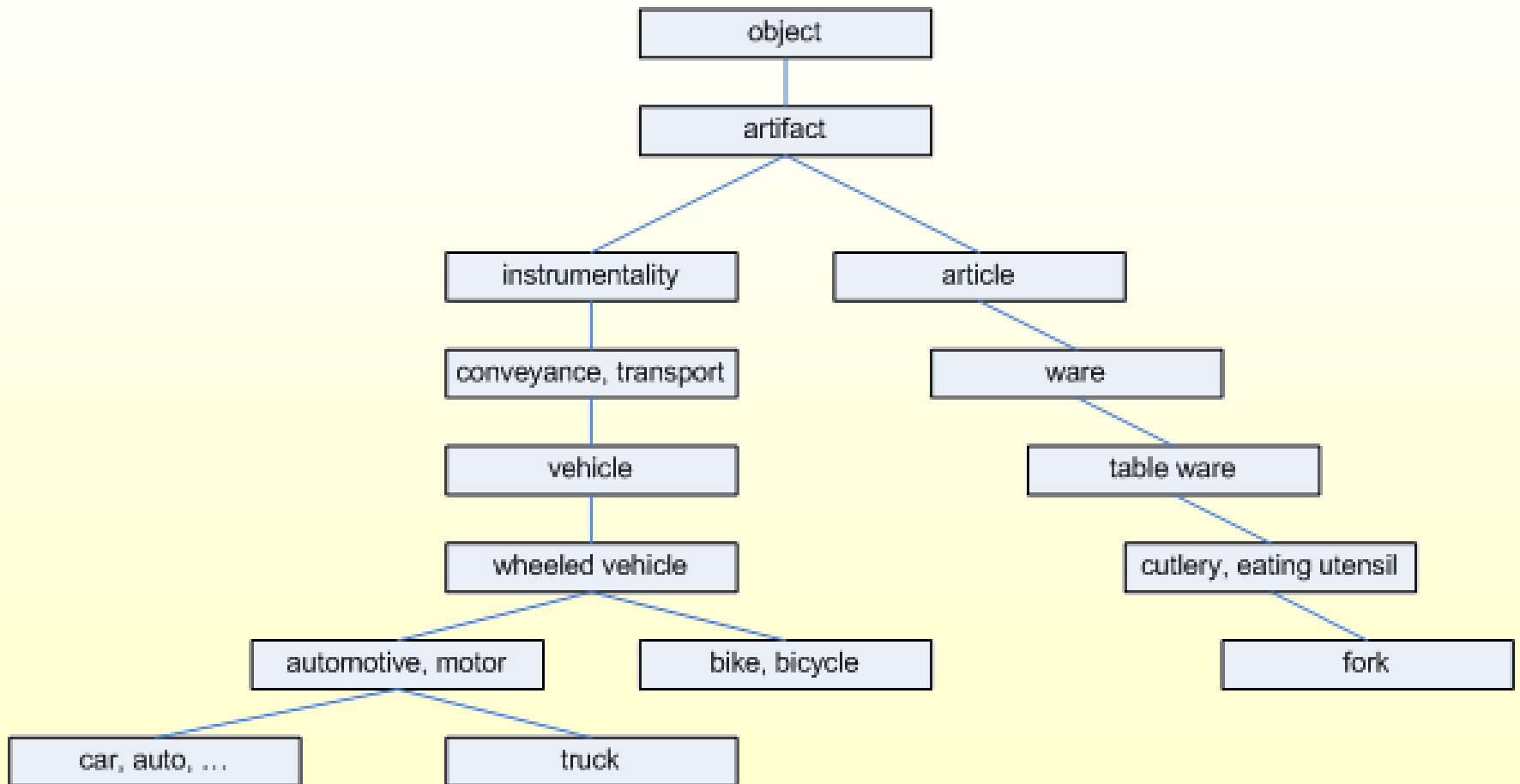
## Semantic Net in Lisp

```
(defun *database* ()  
'((canary (is-a bird)  
          (color yellow)  
          (size small))  
  (penguin (is-a bird)  
           (movement swim))  
  (bird (is-a vertebrate)  
        (has-part wings)  
        (reproduction egg-laying))))
```

## Graph representation



# Taxonomy: is-a relationship



# IMAGENET is a knowledge ontology

- Taxonomy (with WordNet backbone)



- [S: \(n\) Eskimo dog, husky](#) (breed of heavy-coated Arctic sled dog)
  - [direct hypernym / inherited hypernym / sister term](#)
    - [S: \(n\) working dog](#) (any of several breeds of usually large powerful dogs bred to work as draft animals and guard and guide dogs)
    - [S: \(n\) dog, domestic dog, Canis familiaris](#) (a member of the genus Canis (probably descended from the common wolf) that has been domesticated by man since prehistoric times; occurs in many breeds) "the dog barked all night"
      - [S: \(n\) canine, canid](#) (any of various fissiped mammals with nonretractile claws and typically long muzzles)
        - [S: \(n\) carnivore](#) (a terrestrial or aquatic flesh-eating mammal) "terrestrial carnivores have four or five clawed digits on each limb"
          - [S: \(n\) placental, placental mammal, eutherian, eutherian mammal](#) (mammals having a placenta; all mammals except monotremes and marsupials)
            - [S: \(n\) mammal, mammalian](#) (any warm-blooded vertebrate having the skin more or less covered with hair; young are born alive except for the small subclass of monotremes and nourished with milk)
              - [S: \(n\) vertebrate, craniate](#) (animals having a bony or cartilaginous skeleton with a segmented spinal column and a large brain enclosed in a skull or cranium)
                - [S: \(n\) chordate](#) (any animal of the phylum Chordata having a notochord or spinal column)
                  - [S: \(n\) animal, animate being, beast, brute, creature, fauna](#) (a living organism characterized by voluntary movement)
                    - [S: \(n\) organism, being](#) (a living thing that has (or can develop) the ability to act or function independently)
                      - [S: \(n\) living thing, animate thing](#) (a living (or once living) entity)
                        - [S: \(n\) whole, unit](#) (an assemblage of parts that is regarded as a single entity) "how big is that part compared to the whole?"; "the team is a unit"
                          - [S: \(n\) object, physical object](#) (a tangible and visible entity; an entity that can cast a shadow) "it was full of rackets, balls and other objects"
                            - [S: \(n\) physical entity](#) (an entity that has physical existence)
                              - [S: \(n\) entity](#) (that which is perceived or known or inferred to have its own distinct existence (living or nonliving))

# ShapeNet (>3M Models)

SHAPE NET

Search  Q Options ▾

Home About Download Statistics

**chair**  
a seat for one person, with a support for the back; 'he put his coat over the back of the chair and sat down'  
[ImageNet](#) [MetaData](#)

Choose a taxonomy:  
ShapeNetCore ▾

- airplane,aeroplane,plane(12,4501)
- aquarium,fish tank,marine museum(0,4)
- ashcan,trash can,garbage can,wastebin,ash bin(1,10)
- bag,traveling bag,travelling bag,grip,suitcase(1,10)
- basket,handbasket(2,140)
- bathtub,bathing tub,bath,tub(0,932)
- bed(13,353)
- bench(5,1953)
- birdhouse(0,79)
- boat(12,1635)
- bookshelf(0,495)
- bottle(6,550)
- bowl(1,234)
- bus,autobus,coach,charabanc,double-decker,jack bus(1,10)
- cabinet(9,1644)
- camera,photographic camera(4,134)
- can,tin,tin can(2,108)
- cap(4,81)
- car,auto,automobile,car,automobile,motorcar(18,244)
- cellular telephone,cellular phone,cellphone,cell phone(1,10)
- chair(23,7083)**
- chair(1,10)

Synset models

Displaying 1 to 40 of 7080

< 1 2 3 4 5 6 7 8 9 10 11 12 13 ... 177 >

club chair cantilever chair armchair straight chair straight chair club chair deck chair rex chair

straight chair club chair club chair swivel chair butterfly chair armchair armchair club chair

recliner cantilever chair swivel chair swivel chair armchair folding chair rocking chair club chair

green abstract chair

# Object Knowledge

Parts, symmetries, keywords, physical properties, materials, affordances, ...



Link to WordNet Taxonomy   Alignment+Symmetry   Part Hierarchy   Part Correspondences



WordNet synset

**Swivel chair:** a chair that swivels on its base

Hypernyms: chair > seat > furniture > ...

Part meronyms: backrest, seat, base

Sister terms: armchair, barber chair, ...

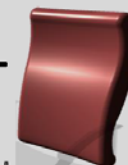
ImageNet



Swivel chair

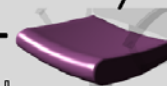


Backrest



Dim: 50 x 45 x 5 cm  
Material: foam, fabric  
Mass: 5 Kg  
Function: support

Seat



Base



Leg



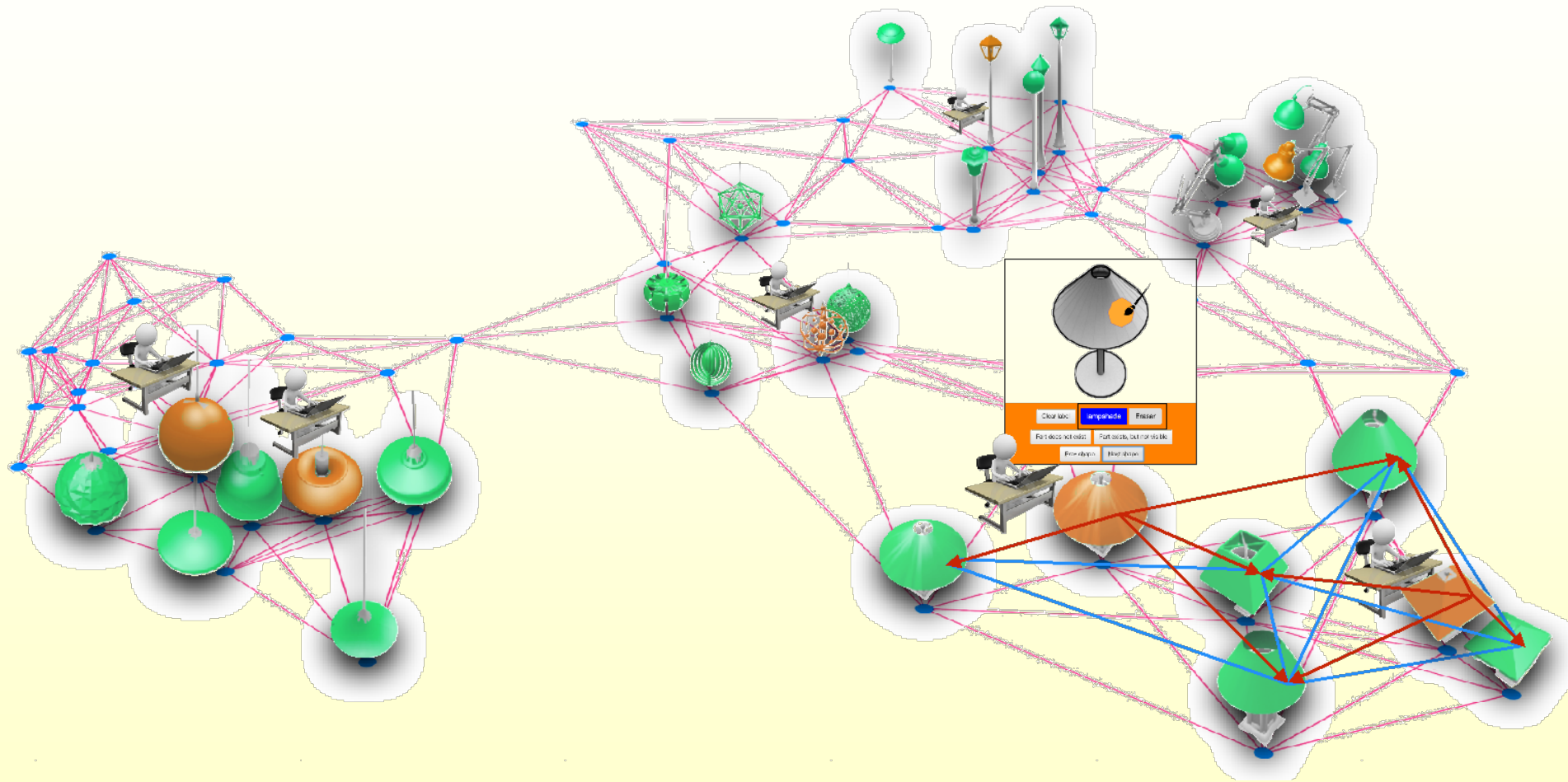
Wheel



Part Correspondences



# How to Generate Semantic Annotations

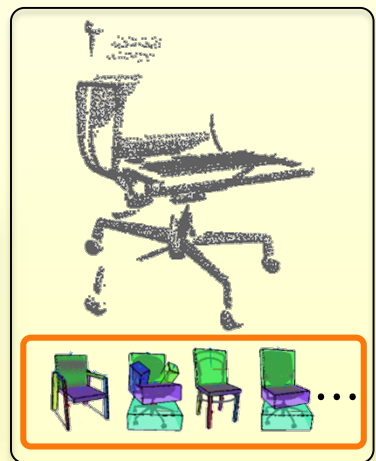
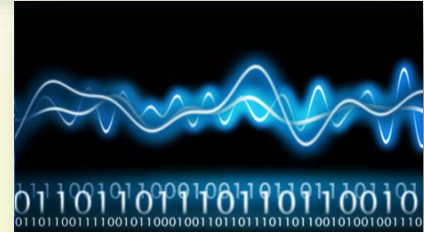


# Networks for Performing Knowledge Transfer and Inference

- ◆ Bring knowledge to sensor data – and make it available to humans and to computers (or robots)
- ◆ Making it possible to “See the Unseen”



a digital encyclopedia



# Course Outline

# Course Outline, I

| April 2   | April 4  |
|---|--|
| <p>Introduction; Geometric and topological perspective on data analysis; Data representations; Learning on point clouds and graphs; Joint data analysis.</p> <p><b>Reading:</b></p> <p>Lecture 1 Slides</p> | <p>Visual data sets: ImageNet and ShapeNet; annotation and annotation transport.</p> <p><b>Reading:</b></p> <p>Lecture 2 Slides</p>  |
| April 9   | April 11   |
| <p>Linear algebraic techniques: principal components analysis (PCA), Kernel PCA.</p> <p><b>Reading:</b></p> <p>Lecture 3 Slides</p>   | <p>Linear algebraic techniques: canonical correlation analysis (CCA).</p> <p><b>Reading:</b></p> <p>Homework 1 out.</p> <p>Lecture 4 Slides</p>                              |
| April 16  | April 18   |
| <p>Graph methods; spectral approaches, graph Laplacians, Laplacian embeddings, spectral clustering.</p> <p><b>Reading:</b></p> <p>Lecture 5 Slides</p>  | <p>Multidimensional scaling. Non-linear dimensionality reduction: locally linear embeddings, Laplacian eignemaps, Isomap.</p> <p><b>Reading:</b></p> <p>Lecture 6 Slides</p> |
| April 23  | April 25   |
| <p>Computational topology: topology review, complexes, homology groups.</p> <p><b>Reading:</b> <a href="#">I</a></p> <p>Lecture 7 Slides</p>  | <p>Persistent homology, barcodes and persistence diagrams.</p> <p><b>Reading:</b></p> <p>Homework 1 due. Homework 2 out.</p> <p>Lecture 8 Slides</p>                         |
| April 30  | May 2  |
| <p>Topological inference; the Mapper algorithm. Applications.</p> <p><b>Reading:</b></p> <p>Lecture 9 Slides</p>  | <p>Representations of 3D Geometry: Voxel-Grids, Point Clouds, Meshes and Other Boundary Models, Solid Models</p> <p><b>Reading:</b></p> <p>Lecture 10 Slides</p>             |

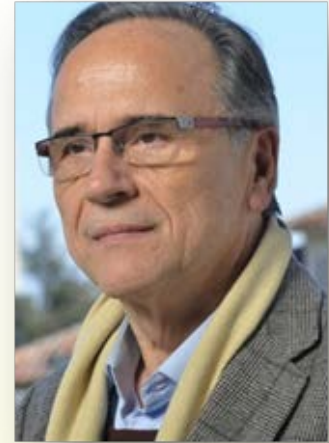
# Course Outline, II

|   |   |
|---|---|
| <p>Topological inference; the Mapper algorithm. Applications.</p> <p><b>Reading:</b></p> <p>Lecture 9 Slides</p>  | <p>Representations of 3D Geometry: Voxel-Grids, Point Clouds, Meshes and Other Boundary Models, Solid Models</p> <p><b>Reading:</b></p> <p>Lecture 10 Slides</p>                          |
| <b>May 7</b>  | <b>May 9</b>  |
| <p>Geometry processing; Laplace-Beltrami and other operators on meshes;</p> <p><b>Reading:</b></p> <p>Lecture 11 Slides</p>   | <p>Global and local shape descriptors; intrinsic descriptors, heat and wave kernel signatures.</p> <p><b>Reading:</b></p> <p>Homework 2 due. Homework 3 out.</p> <p>Lecture 12 Slides</p> |
| <b>May 14</b>   | <b>May 16</b>   |
| <p>Rigid and non-rigid shape alignment; isometric matching, conformal maps, Möbius voting, blended intrinsic maps</p> <p><b>Reading:</b></p> <p>Lecture 13 Slides</p> | <p>Volumetric and multi-view CNNs for 3D geometry</p> <p><b>Reading:</b></p> <p>Lecture 14 Slides</p>   |
| <b>May 21</b>   | <b>May 23</b>   |
| <p>Deep nets for pointclouds</p> <p><b>Reading:</b></p> <p>Lecture 15 Slides</p>  | <p>Deep nets for graphs and meshes</p> <p><b>Reading:</b></p> <p>Homework 3 due. Homework 4 out.</p> <p>Lecture 16 Slides</p>   |
| <b>May 28</b>   | <b>May 30</b>   |
| <p>Memorial day holiday -- no class</p>   | <p>Functional spaces and functional maps, variations; map visualization</p> <p><b>Reading:</b></p> <p>Lecture 17 Slides</p>   |
| <b>June 4</b>   | <b>June 6</b>   |
| <p>Shape differences and shape variability.</p> <p><b>Reading:</b> <a href="#">s</a></p> <p>Lecture 18 Slides</p>   | <p>Networks of shapes and images; cycle consistency; map processing and latent spaces.</p> <p><b>Reading:</b></p> <p>Lecture 19 Slides</p> <p>Homework 4 due.</p>                         |

# Class Mechanics

# The Team

◆ Instructor:



Leonidas Guibas

◆ CAs:



Panos  
Achlioptas



Vignesh  
Ganapathi-Subramanian

◆ Contributors:



Anastasia  
Dubrovina



Charles Qi



Minhyuk Sung



Eric Yi

# Class Mechanics

- ◆ Two weekly lectures
- ◆ Office hours
- ◆ Class web site <http://cs233.stanford.edu>  
<http://graphics.stanford.edu/courses/cs233-18-spring>
- ◆ Use Piazza, Gradescope



# Course Work

- ◆ Four assignments (modest programming in MATLAB for three, one in JavaPlex)
- ◆ A short (90 min) final
- ◆ Class participation and material contributions

# Key Course Goals

- ◆ Cover basic tools for geometric and topological data analysis, both supervised and unsupervised
- ◆ Present mathematical ways to encode and transfer “knowledge” about data
- ◆ Present methods for joint data analysis – benefiting from the “wisdom of the collection”

# SHAPE OF WATER



# Data Has Shape



