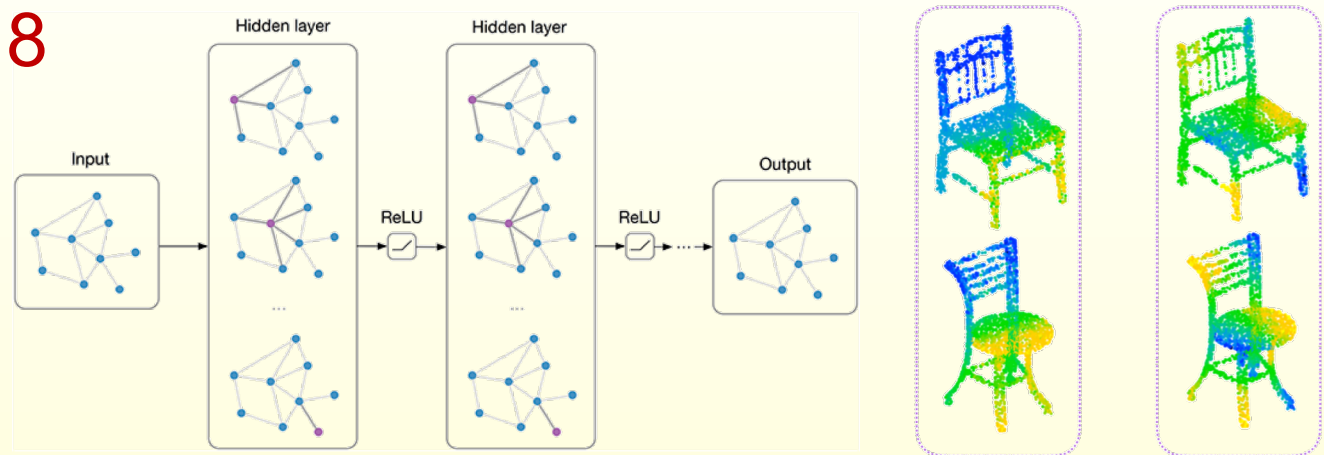


# CS233: Geometric and Topological Data Analysis

## Deep Nets for Graphs and Meshes

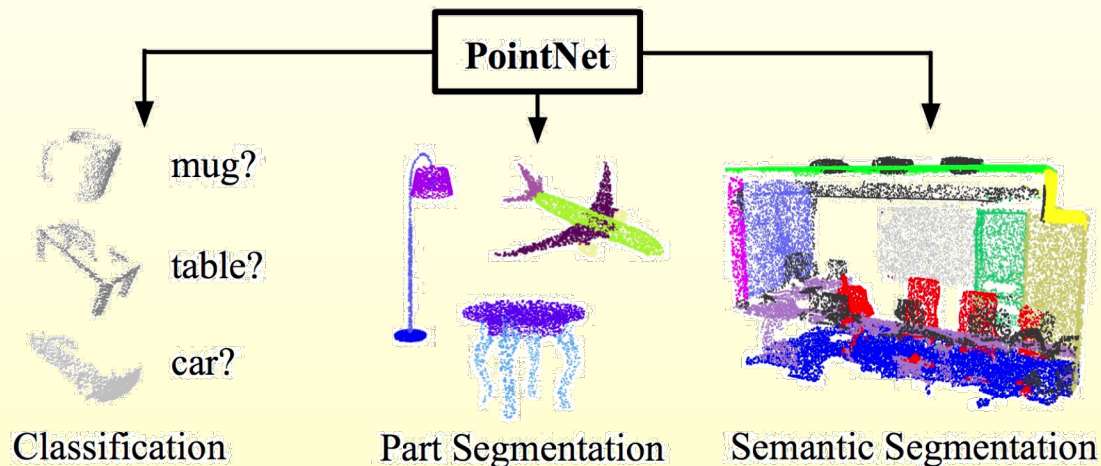
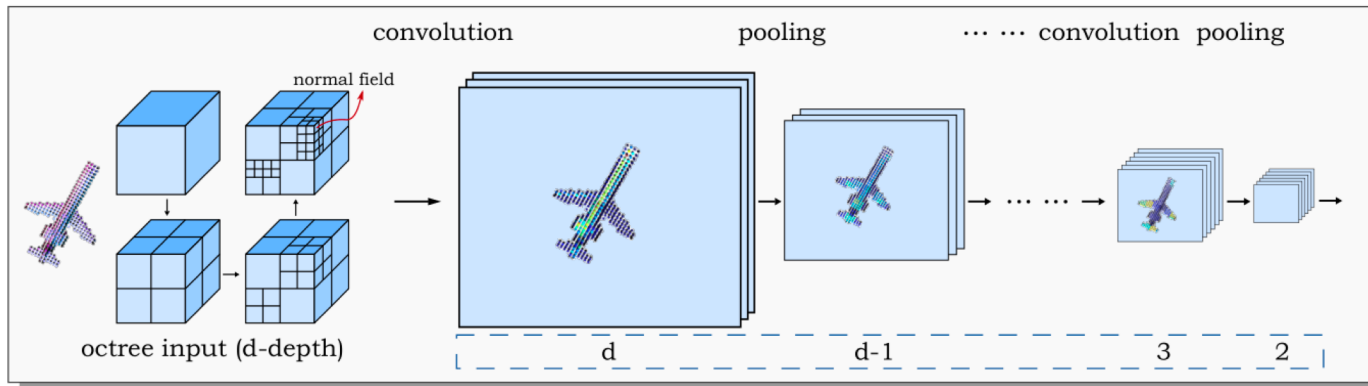
23 May 2018



Slides ack: Thomas Kipf, Li (Eric) Yi, Michael Bronstein

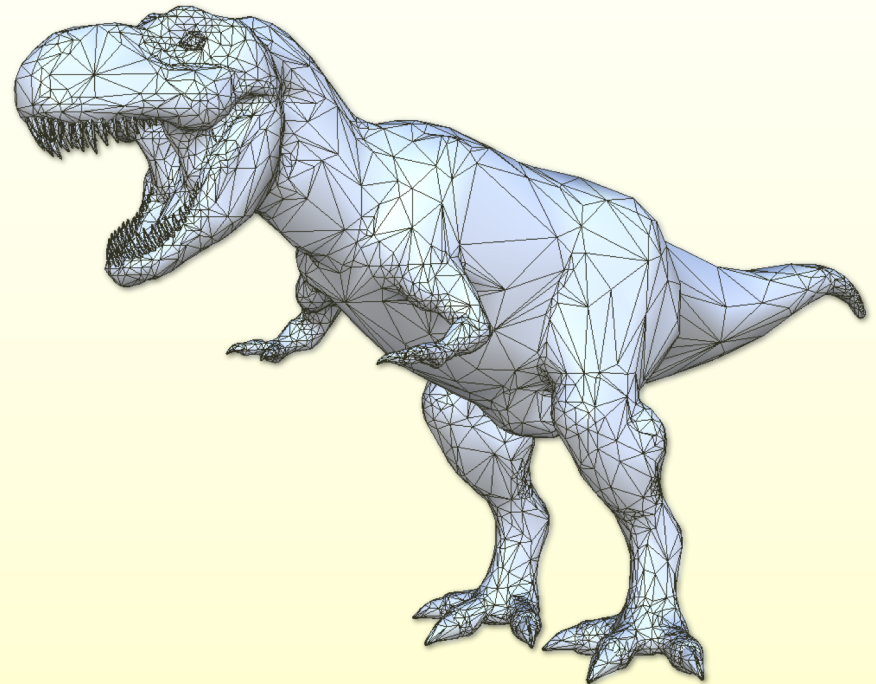
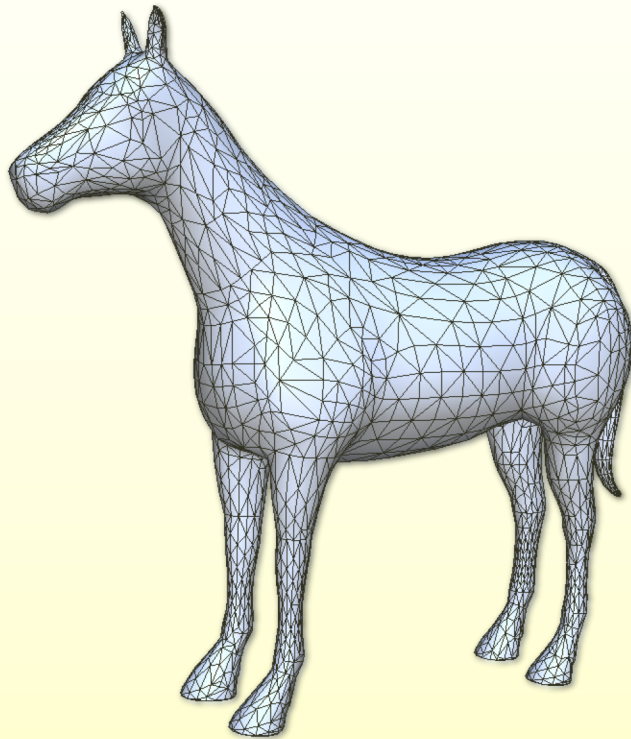
# Last Time: Neural Nets for Volumes/Point Sets

## O-CNN

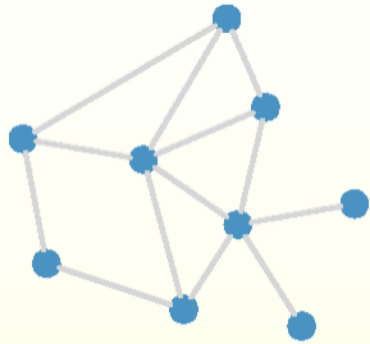


# Graph Representation

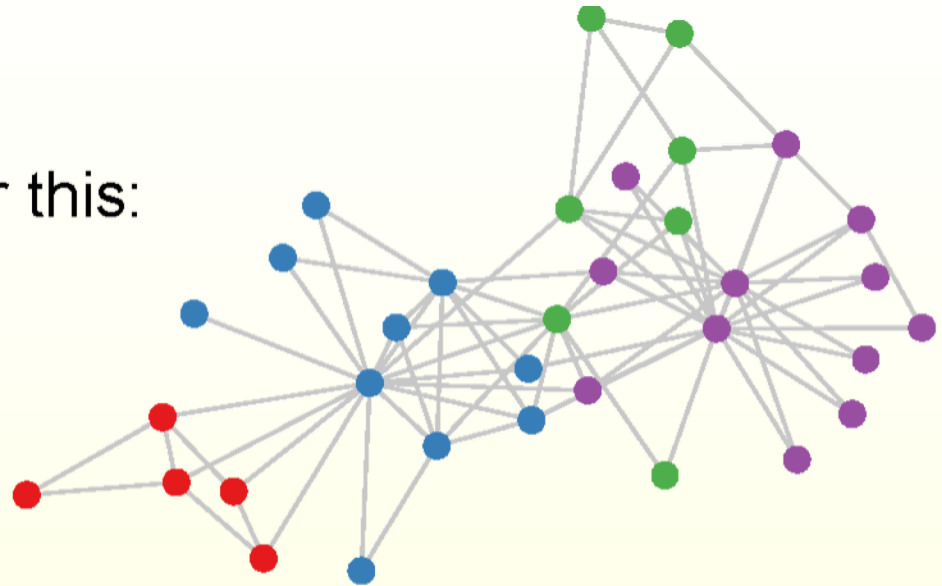
Graph representation is more common, and more effective to encode fine details.



# Graph Representation



or this:



## Real-world examples:

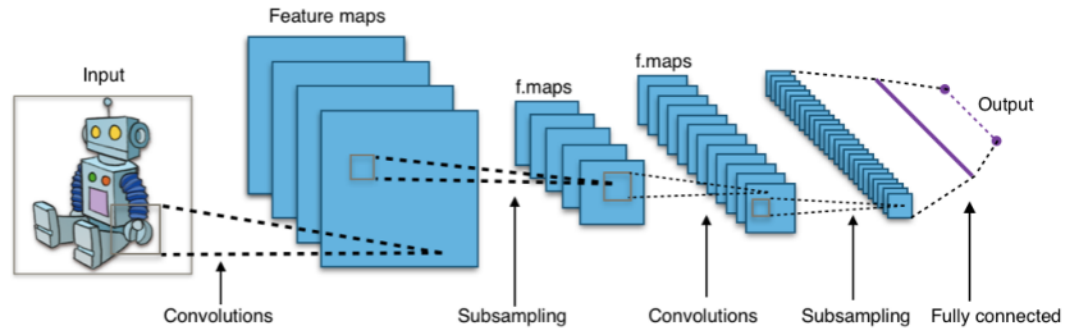
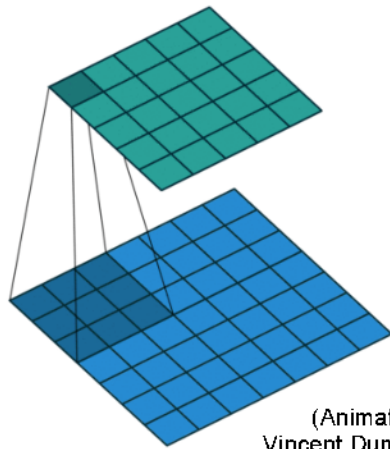
- Social networks
- World-wide-web
- Protein-interaction networks
- Telecommunication networks
- Knowledge graphs
- ...

# Graph CNN

# Recap: Deep learning on Euclidean data

**We know how to deal with this:**

Convolutional neural networks (CNNs)



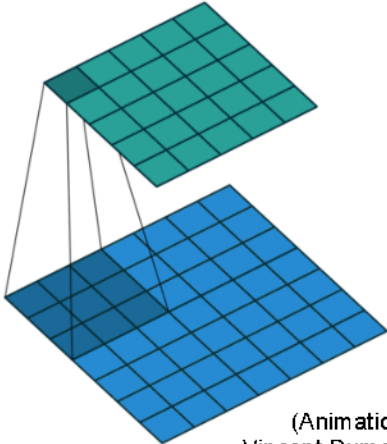
(Source: Wikipedia)

Deep neural nets exploit:

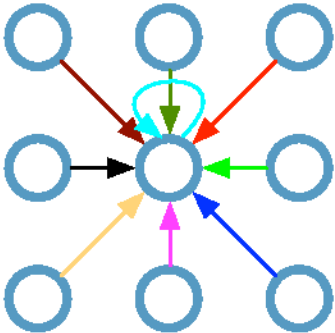
- Translational invariant (weight sharing)

# Convolutional neural networks (on grids)

Single CNN layer with 3x3 filter:

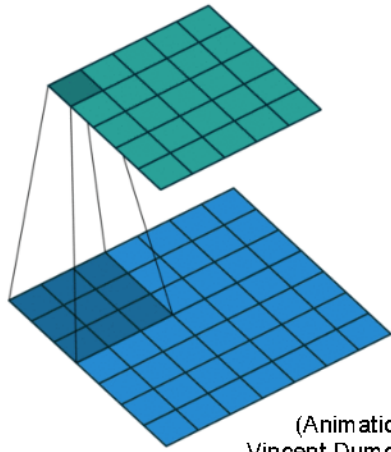


(Animation by Vincent Dumoulin)

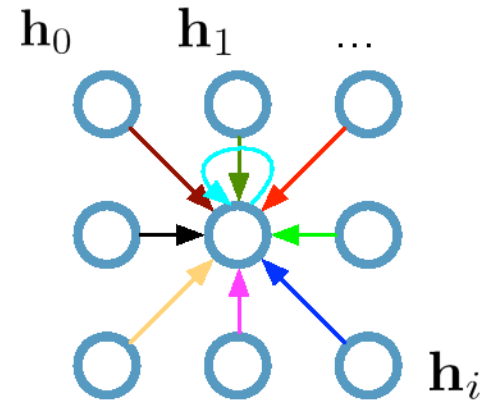


# Convolutional neural networks (on grids)

Single CNN layer with 3x3 filter:

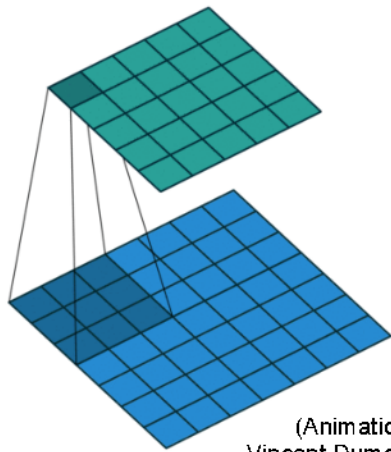


(Animation by  
Vincent Dumoulin)

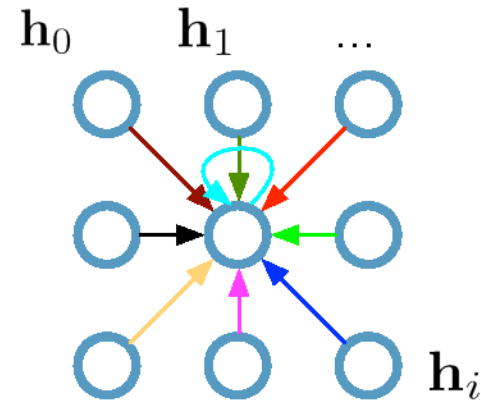


# Convolutional neural networks (on grids)

Single CNN layer with 3x3 filter:



(Animation by  
Vincent Dumoulin)

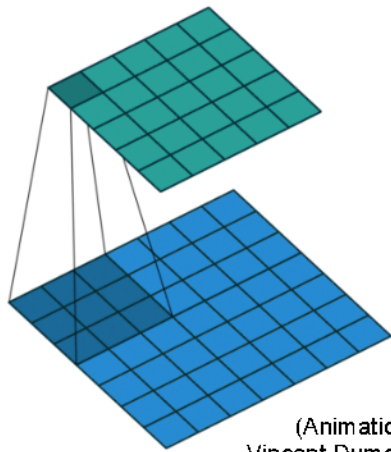


**Update for a single pixel:**

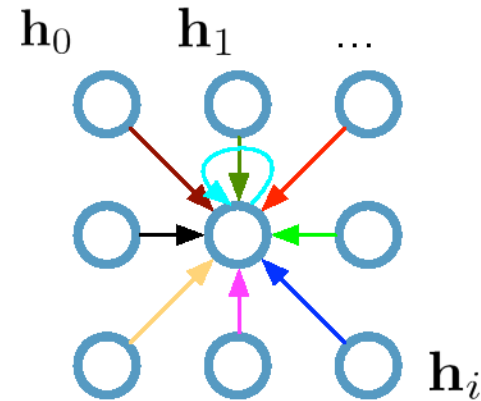
- Transform neighbors individually  $\mathbf{W}_i \mathbf{h}_i$
- Add everything up  $\sum_i \mathbf{W}_i \mathbf{h}_i$

# Convolutional neural networks (on grids)

Single CNN layer with 3x3 filter:



(Animation by Vincent Dumoulin)



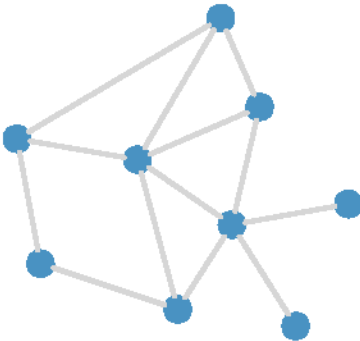
**Update for a single pixel:**

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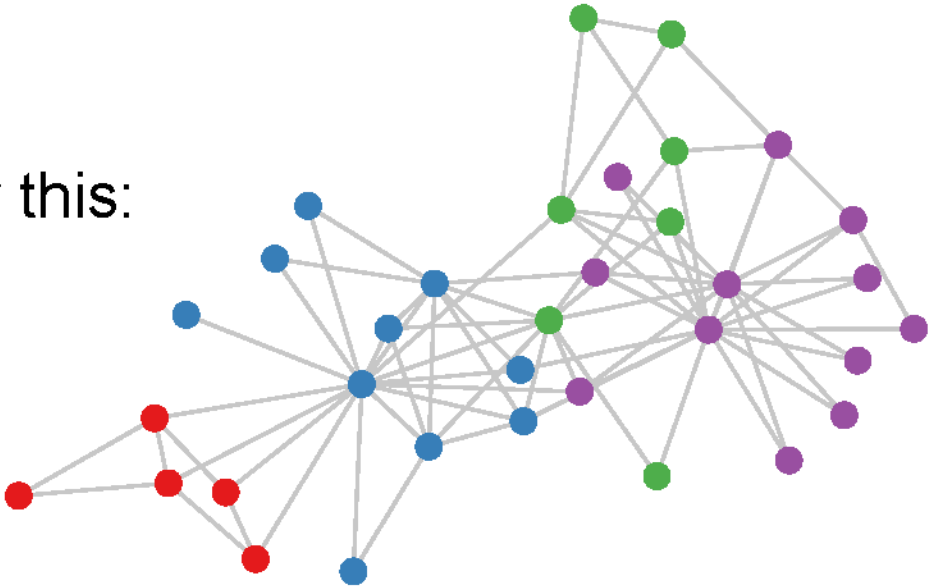
$$\text{Full update: } \mathbf{h}_4^{(l+1)} = \sigma \left( \mathbf{W}_0^{(l)} \mathbf{h}_0^{(l)} + \mathbf{W}_1^{(l)} \mathbf{h}_1^{(l)} + \dots + \mathbf{W}_8^{(l)} \mathbf{h}_8^{(l)} \right)$$

# Graph-structured data

What if our data looks like this?

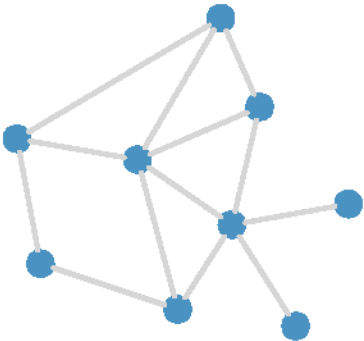


or this:

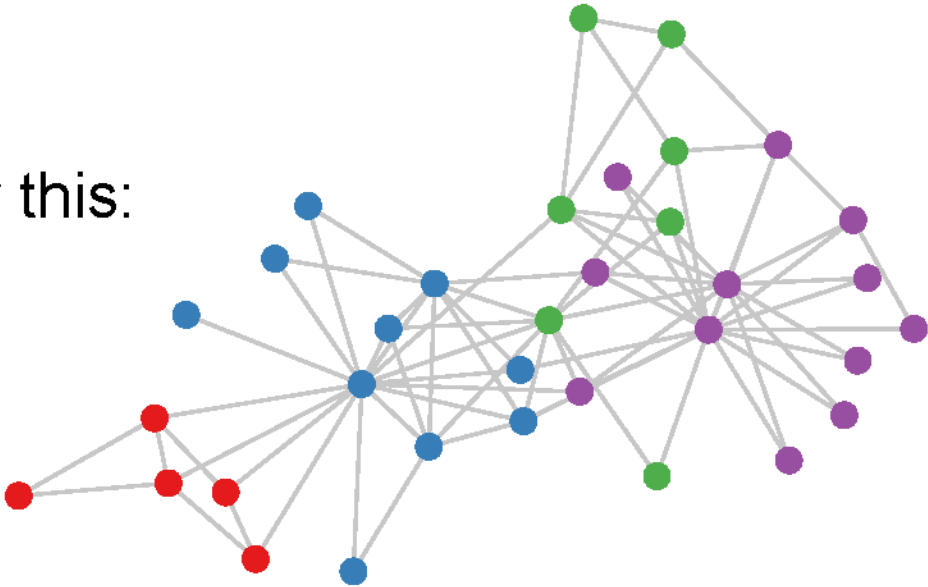


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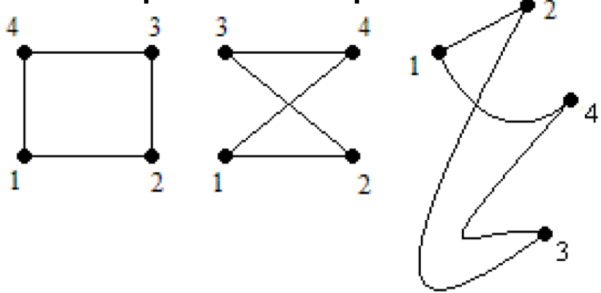


or this:



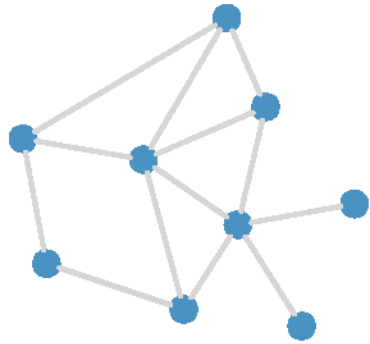
- No order in neighborhood.

Graph isomorphism

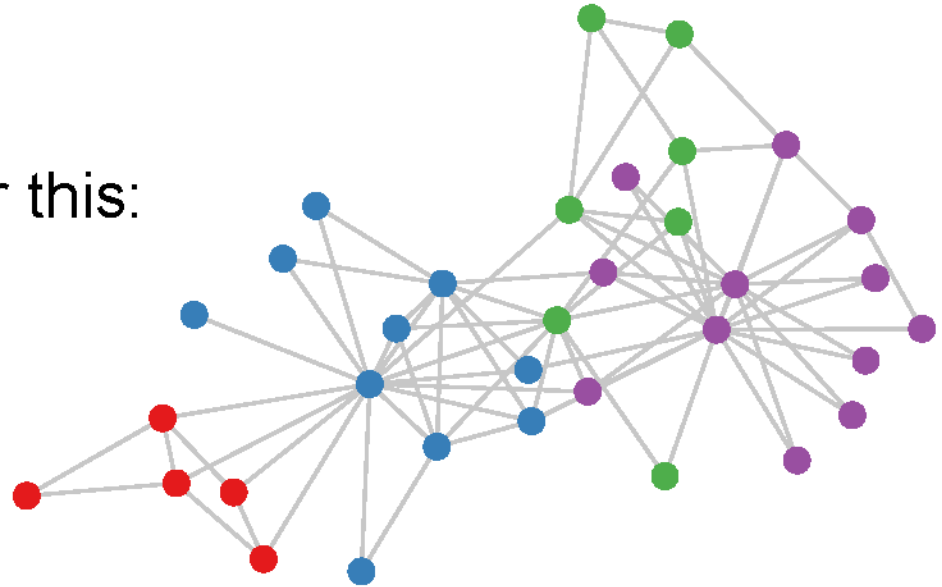


# Graph-structured data

What if our data looks like this?



or this:



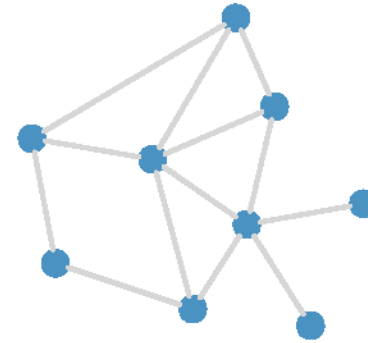
- No order in neighborhood.
- The numbers of neighbors are different.

# Graphs: Definitions

**Graph:**  $G = (\mathcal{V}, \mathcal{E})$

$\mathcal{V}$  : Set of nodes  $\{v_i\}$ ,  $|\mathcal{V}| = N$

$\mathcal{E}$  : Set of edges  $\{(v_i, v_j)\}$



**We can define:**

**A** (adjacency matrix):  $A_{ij} = \begin{cases} 1 & \text{if } (v_i, v_j) \in \mathcal{E} \\ 0 & \text{otherwise} \end{cases}$

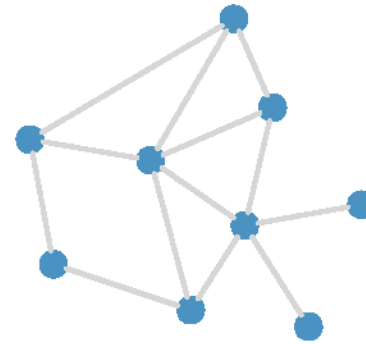
*(can also be weighted)*

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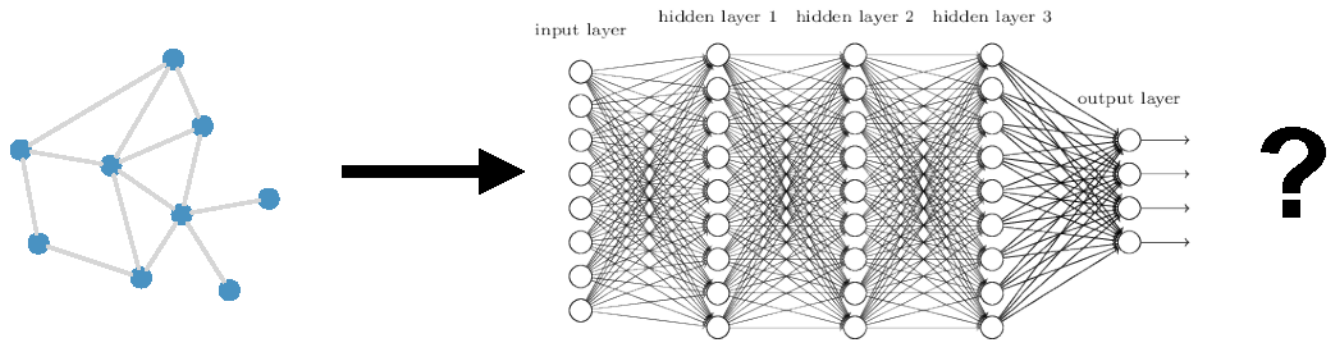
*(can also be weighted)*

**Model wish list:**

- Set of trainable parameters  $\{\mathbf{W}^{(l)}\}$
- Trainable in  $\mathcal{O}(|\mathcal{E}|)$  time
- Applicable even if the input graph changes

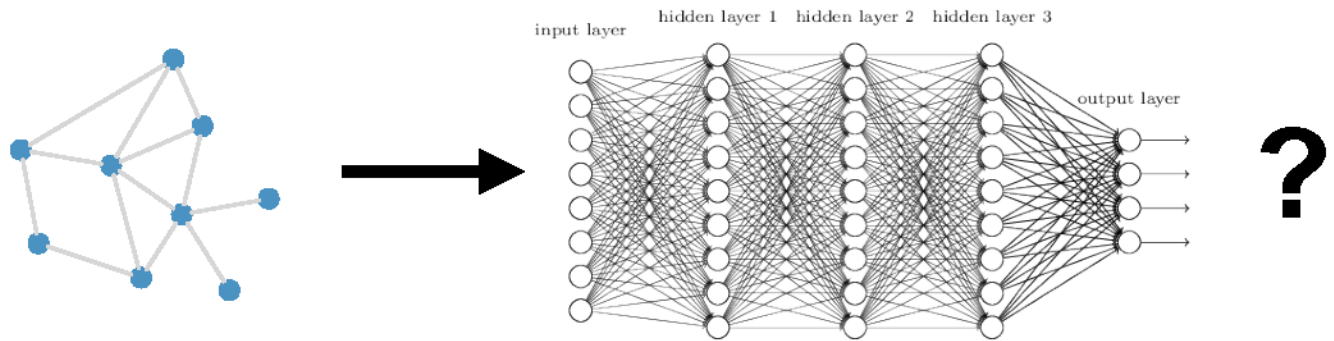
# A naive approach

- Take adjacency matrix  $\mathbf{A}$  and feature matrix  $\mathbf{X} \in \mathbb{R}^{N \times E}$
- Concatenate them  $\mathbf{X}_{\text{in}} = [\mathbf{X}, \mathbf{A}] \in \mathbb{R}^{N \times (N+E)}$
- Feed them into deep (fully connected) neural net
- Done?



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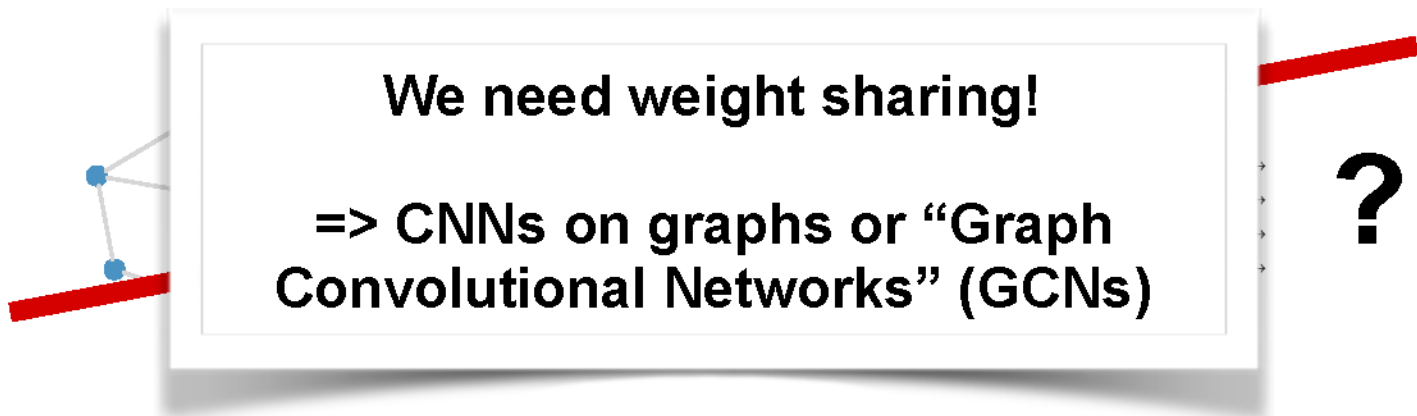


## Problems:

- Huge number of parameters  $\mathcal{O}(N)$
- Needs to be re-trained if number of nodes changes
- Does not generalize across graphs

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- Take adjacency matrix  $\mathbf{A}$  and feature matrix  $\mathbf{X} \in \mathbb{R}^{N \times E}$
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- Feed them into deep (fully connected) neural net
- Done?



**We need weight sharing!**

**=> CNNs on graphs or “Graph Convolutional Networks” (GCNs)**

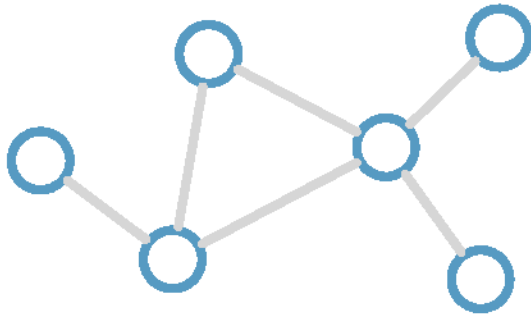
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# CNNs on graphs with spatial filters

(related idea was first proposed in Scarselli et al. 2009)

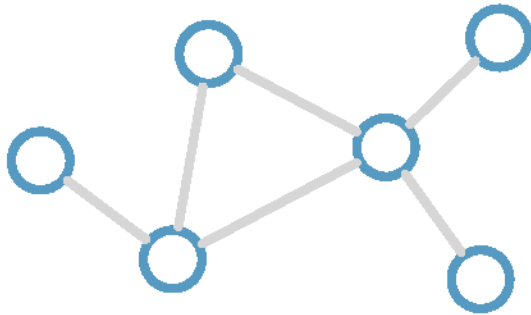
Consider this  
undirected graph:



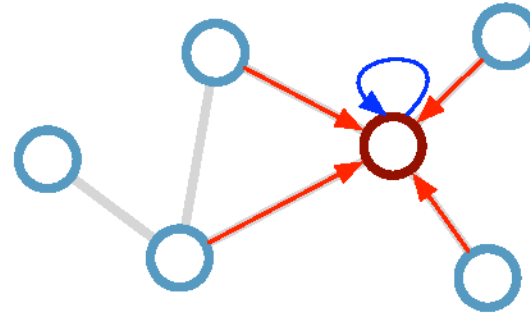
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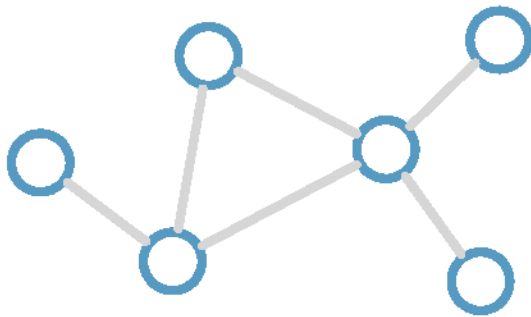
Calculate update  
for node in red:



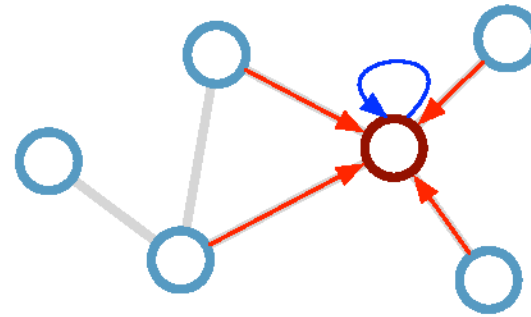
# CNNs on graphs with spatial filters

(related idea was first proposed in Scarselli et al. 2009)

Consider this undirected graph:



Calculate update for node in red:



**Update rule:**

$$\mathbf{h}_i^{(l+1)} = \sigma \left( \mathbf{h}_i^{(l)} \mathbf{W}_0^{(l)} + \sum_{j \in \mathcal{N}_i} \frac{1}{c_{ij}} \mathbf{h}_j^{(l)} \mathbf{W}_1^{(l)} \right)$$

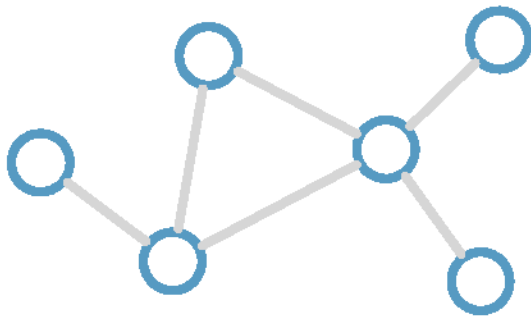
$\mathcal{N}_i$ : neighbor indices

$c_{ij}$ : norm. constant (per edge)

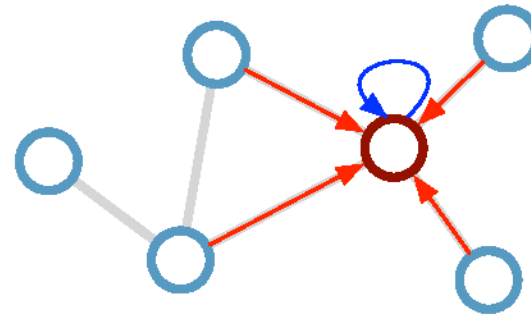
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**Update rule:**

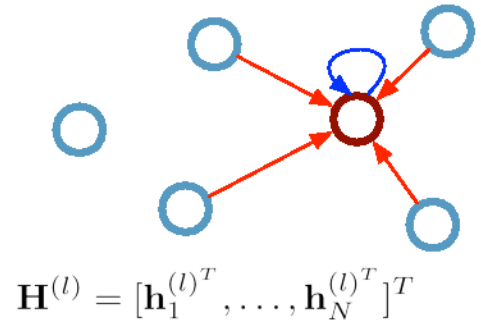
$$\mathbf{h}_i^{(l+1)} = \sigma \left( \mathbf{h}_i^{(l)} \mathbf{W}_0^{(l)} + \sum_{j \in \mathcal{N}_i} \frac{1}{c_{ij}} \mathbf{h}_j^{(l)} \mathbf{W}_1^{(l)} \right)$$

$\mathcal{N}_i$ : neighbor indices  
 $c_{ij}$ : norm. constant (per edge)

Apply the same transformation matrix for all neighbor nodes.

# Vectorized form

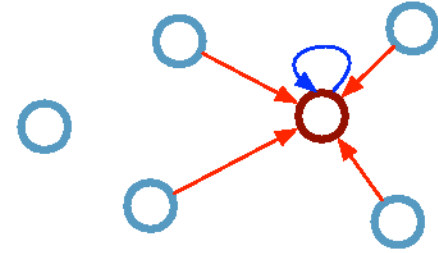
$$\mathbf{H}^{(l+1)}_{n \times k'} = \sigma \left( \mathbf{H}^{(l)}_{n \times k} \mathbf{W}_0^{(l)}_{k \times k'} + \tilde{\mathbf{A}}_{n \times n} \mathbf{H}^{(l)}_{n \times k} \mathbf{W}_1^{(l)}_{k \times k'} \right)$$



# Vectorized form

$$\mathbf{H}^{(l+1)} = \sigma \left( \mathbf{H}^{(l)} \mathbf{W}_0^{(l)} + \tilde{\mathbf{A}} \mathbf{H}^{(l)} \mathbf{W}_1^{(l)} \right)$$

with  $\tilde{\mathbf{A}} = \mathbf{D}^{-1} \mathbf{A}$



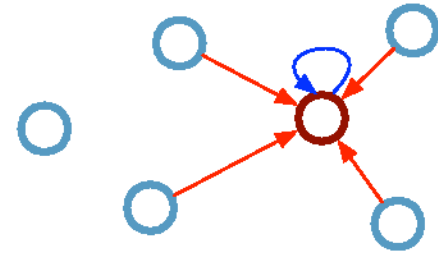
$$\mathbf{H}^{(l)} = [\mathbf{h}_1^{(l)T}, \dots, \mathbf{h}_N^{(l)T}]^T$$

$\mathbf{D}$  degree matrix: A diagonal matrix which contains information about the degree of each vertex.

# Vectorized form

$$\mathbf{H}^{(l+1)} = \sigma \left( \mathbf{H}^{(l)} \mathbf{W}_0^{(l)} + \tilde{\mathbf{A}} \mathbf{H}^{(l)} \mathbf{W}_1^{(l)} \right)$$

$$\text{with } \tilde{\mathbf{A}} = \mathbf{D}^{-\frac{1}{2}} \mathbf{A} \mathbf{D}^{-\frac{1}{2}}$$



$$\mathbf{H}^{(l)} = [\mathbf{h}_1^{(l)T}, \dots, \mathbf{h}_N^{(l)T}]^T$$

# Vectorized form

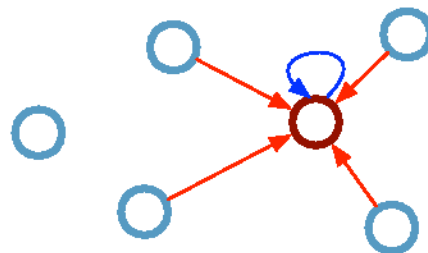
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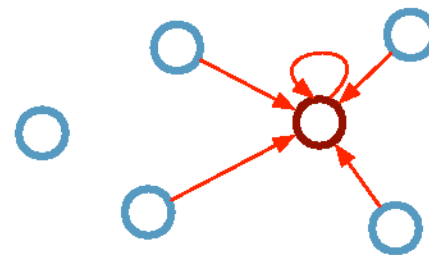
Or treat self-connection in the same way:

$$\mathbf{H}^{(l+1)} = \sigma \left( \hat{\mathbf{A}} \mathbf{H}^{(l)} \mathbf{W}_1^{(l)} \right)$$

$$\text{with } \hat{\mathbf{A}} = \tilde{\mathbf{D}}^{-\frac{1}{2}} (\mathbf{A} + \mathbf{I}_N) \tilde{\mathbf{D}}^{-\frac{1}{2}}$$



$$\mathbf{H}^{(l)} = [\mathbf{h}_1^{(l)T}, \dots, \mathbf{h}_N^{(l)T}]^T$$

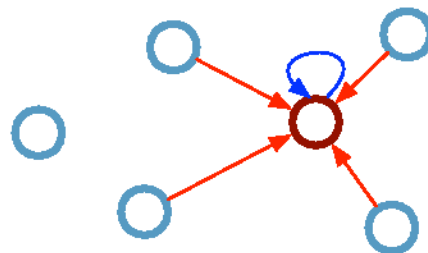


$$\tilde{D}_{ii} = \sum_j (A_{ij} + \delta_{ij})$$

# Vectorized form

$$\mathbf{H}^{(l+1)} = \sigma \left( \mathbf{H}^{(l)} \mathbf{W}_0^{(l)} + \tilde{\mathbf{A}} \mathbf{H}^{(l)} \mathbf{W}_1^{(l)} \right)$$

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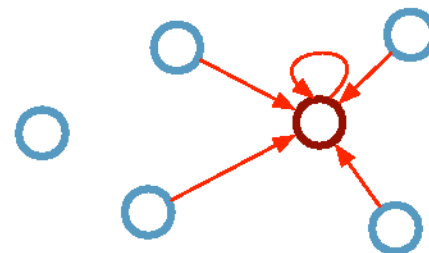


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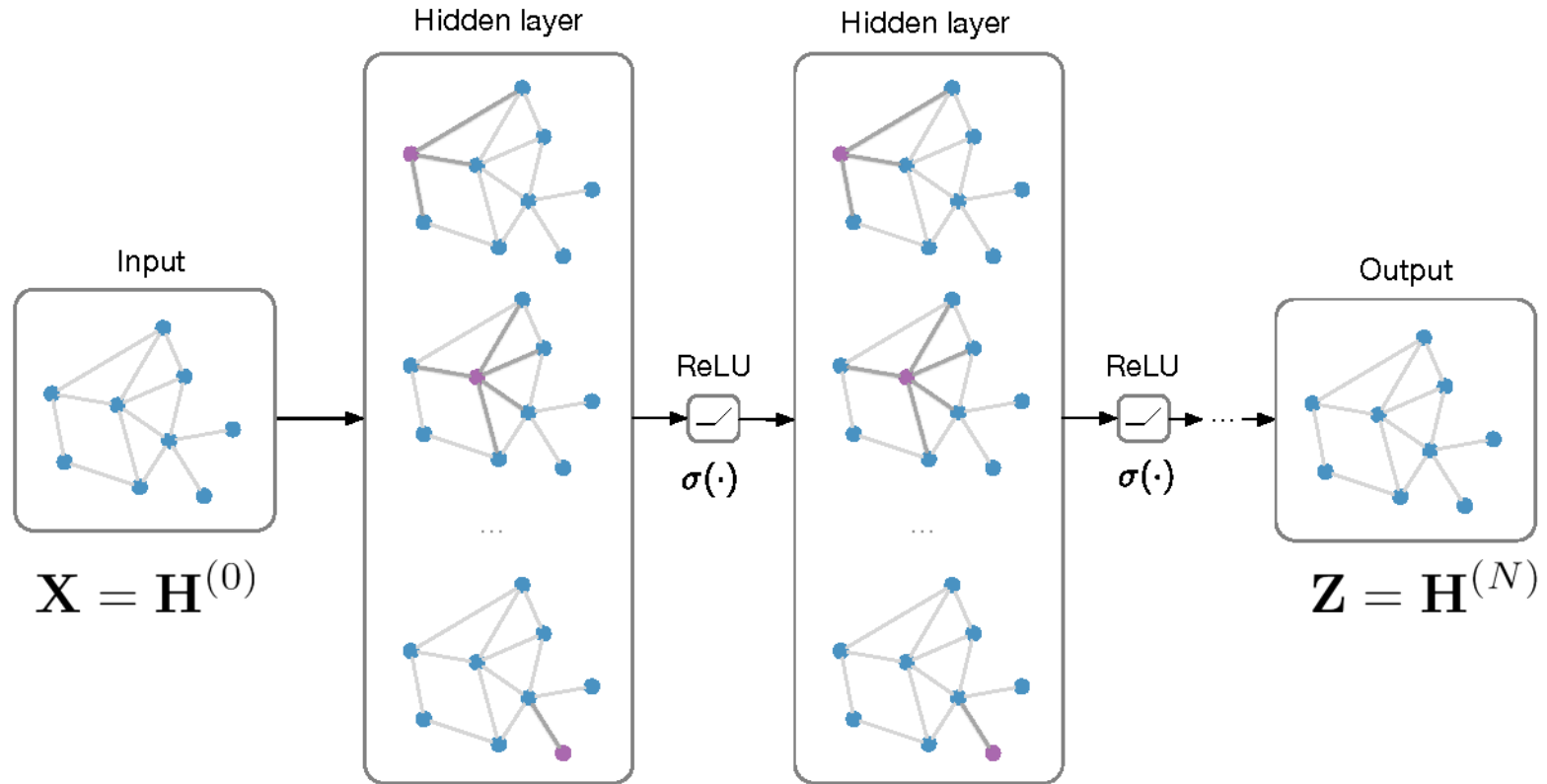
$$\tilde{D}_{ii} = \sum_j (A_{ij} + \delta_{ij})$$

$\mathbf{A}$  is typically **sparse**

- ➔ We can use sparse matrix multiplications!
- ➔ Efficient  $\mathcal{O}(|\mathcal{E}|)$  implementation in Theano or TensorFlow

# Model architecture (with spatial filters)

Input: Feature matrix  $\mathbf{X} \in \mathbb{R}^{N \times E}$ , preprocessed adjacency matrix  $\hat{\mathbf{A}}$



$$\mathbf{H}^{(l+1)} = \sigma \left( \hat{\mathbf{A}} \mathbf{H}^{(l)} \mathbf{W}^{(l)} \right)$$

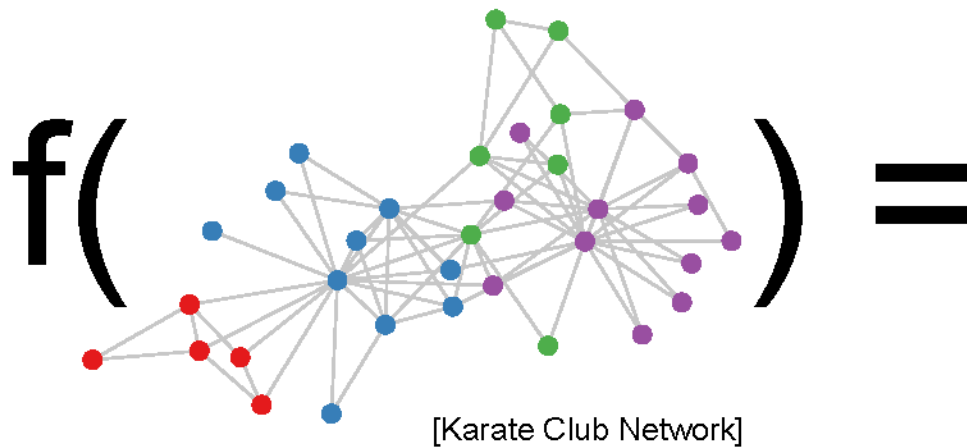
(or more sophisticated filters / basis functions)

[Kipf & Welling, ICLR 2017]

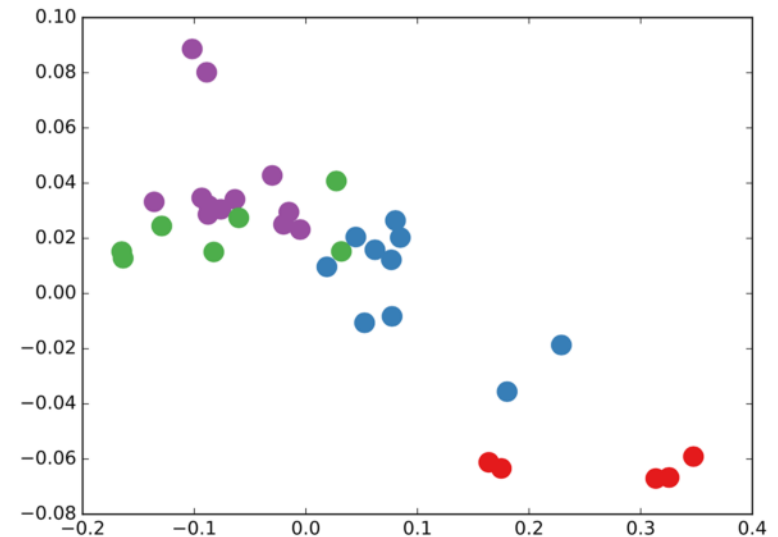
# What does it do? An example.

Forward pass through **untrained** 3-layer GCN model

Parameters initialized randomly



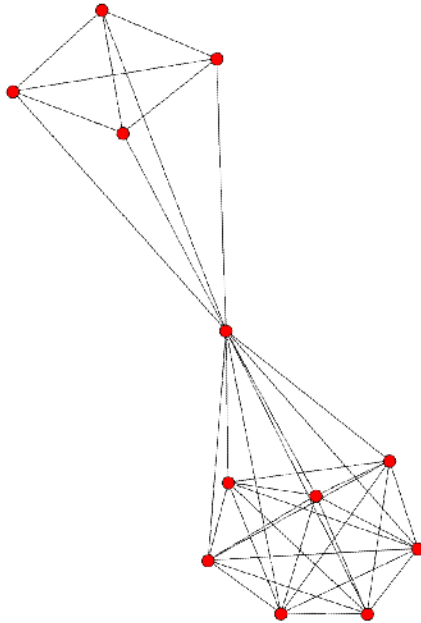
2-dim output per node



**Produces (useful?) random embeddings!**

# Connection to Weisfeiler-Lehman algorithm

A “classical” approach for node feature assignment



---

## Algorithm 1: WL-1 algorithm (Weisfeiler & Lehmann, 1968)

---

**Input:** Initial node coloring  $(h_1^{(0)}, h_2^{(0)}, \dots, h_N^{(0)})$

**Output:** Final node coloring  $(h_1^{(T)}, h_2^{(T)}, \dots, h_N^{(T)})$

$t \leftarrow 0$ ;

**repeat**

**for**  $v_i \in \mathcal{V}$  **do**

$h_i^{(t+1)} \leftarrow \text{hash} \left( \sum_{j \in \mathcal{N}_i} h_j^{(t)} \right)$ ;

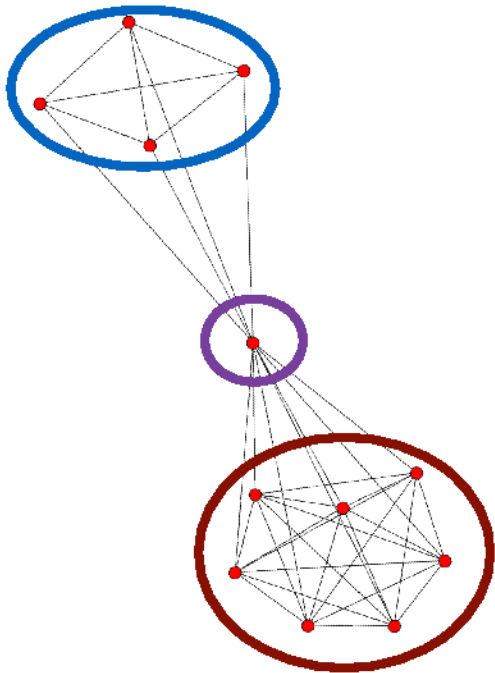
$t \leftarrow t + 1$ ;

**until** *stable node coloring is reached*;

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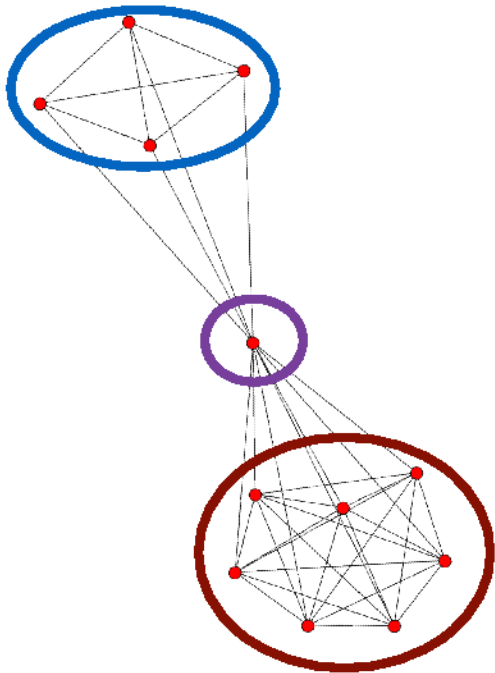
---

Useful as graph isomorphism check for *most* graphs

(exception: highly regular graphs)

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**repeat**

**for**  $v_i \in \mathcal{V}$  **do**

~~$h_i^{(t+1)} \leftarrow \text{hash} \left( \sum_{j \in \mathcal{N}_i} h_j^{(t)} \right);$~~

**until**

$$\text{GCN: } \mathbf{h}_i^{(l+1)} = \sigma \left( \sum_{j \in \mathcal{N}_i} \frac{1}{c_{ij}} \mathbf{h}_j^{(l)} \mathbf{W}_1^{(l)} \right)$$

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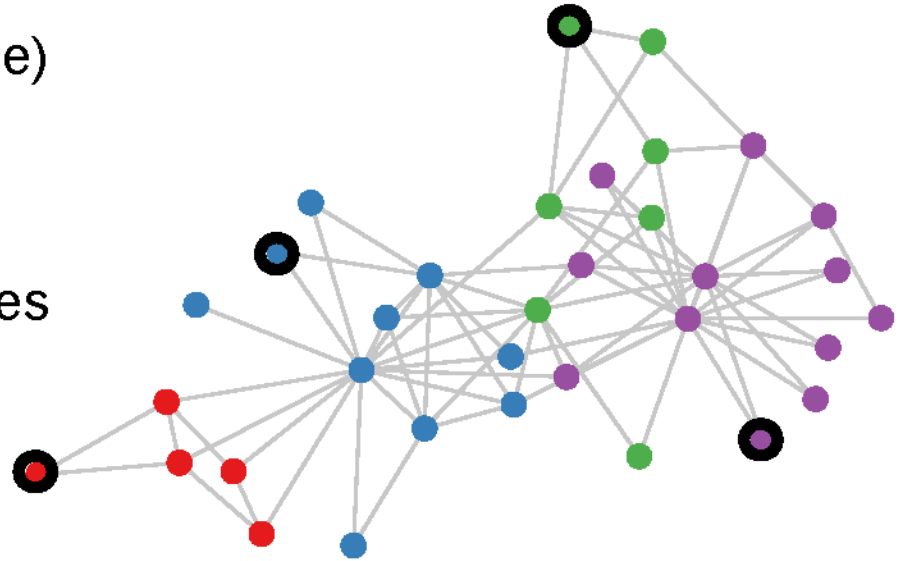
# Semi-supervised classification on graphs

## Setting:

Some nodes are labeled (black circle)  
All other nodes are unlabeled

## Task:

Predict node label of unlabeled nodes



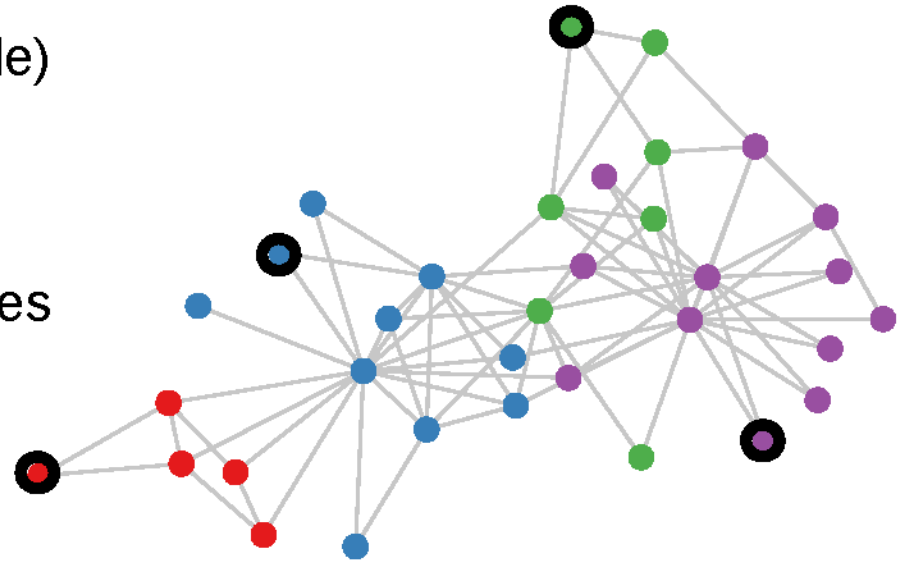
# Semi-supervised classification on graphs

## Setting:

Some nodes are labeled (black circle)  
All other nodes are unlabeled

## Task:

Predict node label of unlabeled nodes



## Standard approach:

graph-based regularization (“smoothness constraints”) [Zhu et al., 2003]

$$\mathcal{L} = \mathcal{L}_0 + \lambda \mathcal{L}_{\text{reg}} \quad \text{with} \quad \mathcal{L}_{\text{reg}} = \sum_{i,j} A_{ij} \|f(X_i) - f(X_j)\|^2$$

assumes: connected nodes likely to share same label

# Semi-supervised classification on graphs

## Embedding-based approaches

Two-step pipeline:

- 1) Get embedding for every node
- 2) Train classifier on node embedding

**Examples:** DeepWalk [Perozzi et al., 2014], node2vec [Grover & Leskovec, 2016]

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**Problem:** Embeddings are not optimized for classification!

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## Embedding-based approaches

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**Examples:** DeepWalk [Perozzi et al., 2014], node2vec [Grover & Leskovec, 2016]

**Problem:** Embeddings are not optimized for classification!

**Idea:** Train graph-based classifier end-to-end using GCN

Evaluate loss on labeled nodes only:

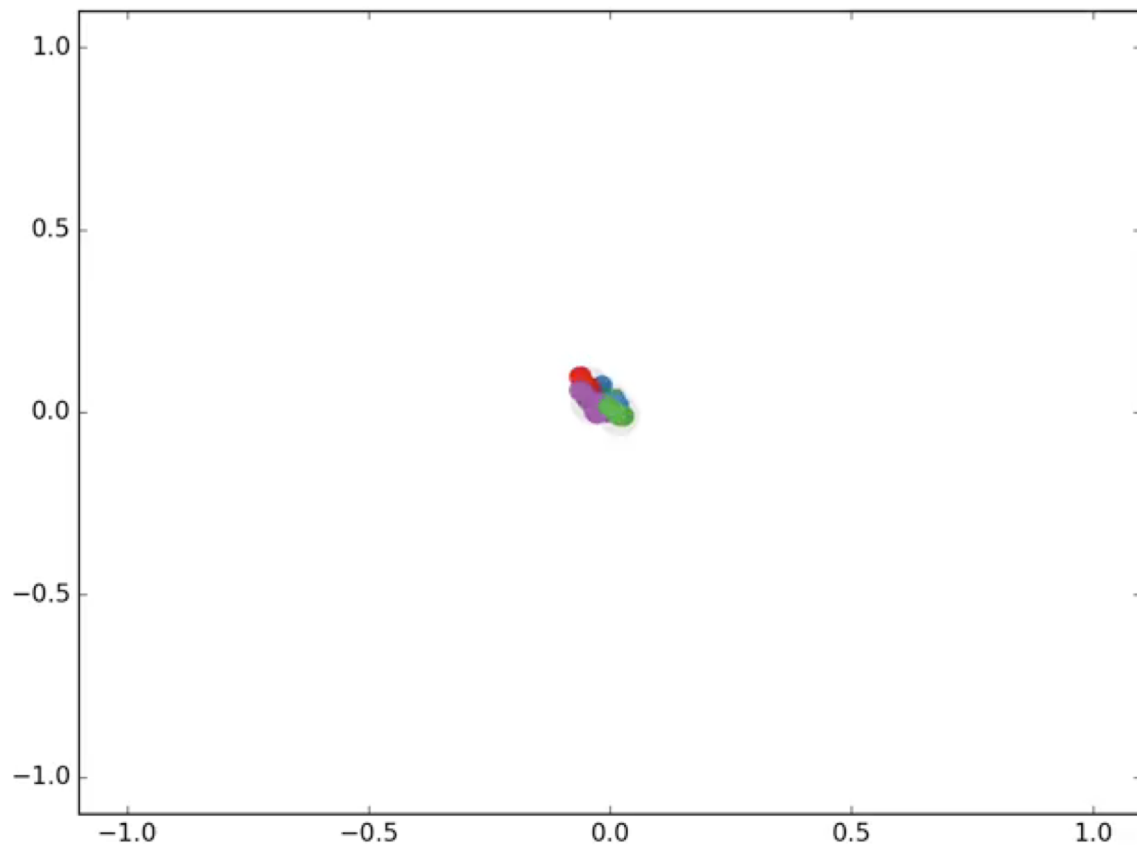
$$\mathcal{L} = - \sum_{l \in \mathcal{Y}_L} \sum_{f=1}^F Y_{lf} \ln Z_{lf}$$

$\mathcal{Y}_L$  set of labeled node indices

$\mathbf{Y}$  label matrix

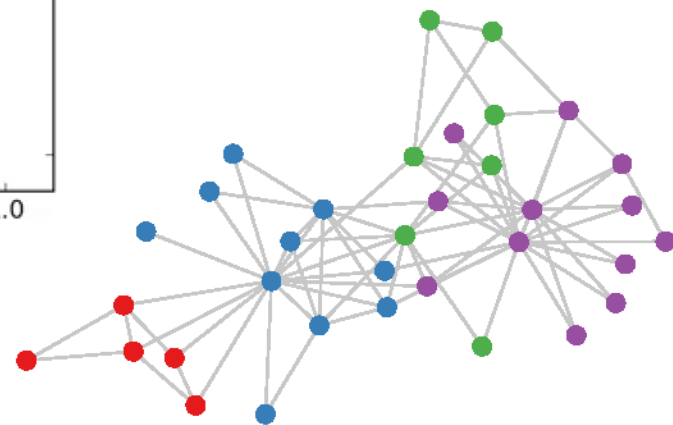
$\mathbf{Z}$  GCN output (after softmax)

# Toy example (semi-supervised learning)



Video also available here:

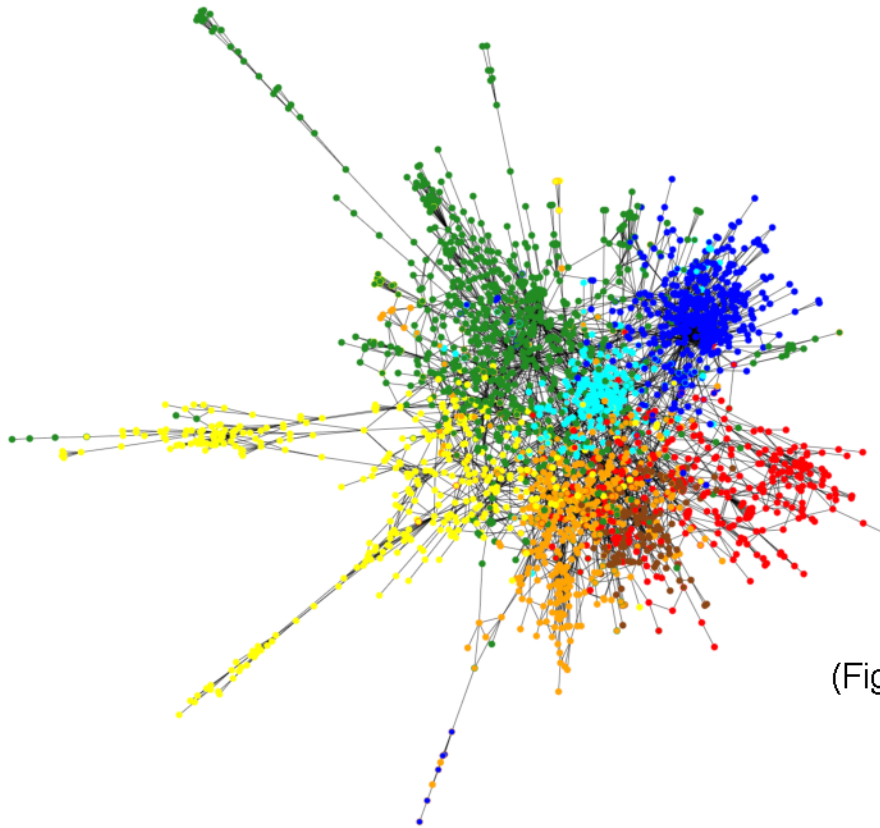
<http://tkipf.github.io/graph-convolutional-networks>



# Classification on citation networks

**Input:** Citation networks (nodes are papers, edges are citation links, optionally bag-of-words features on nodes)

**Target:** Paper category (e.g. stat.ML, cs.LG, ...)



(Figure from: Bronstein, Bruna, LeCun, Szlam, Vandergheynst, 2016)

# Experiments and results

**Model: 2-layer GCN**  $Z = f(X, A) = \text{softmax}\left(\hat{A} \text{ReLU}\left(\hat{A}XW^{(0)}\right)W^{(1)}\right)$

## Dataset statistics

Dataset	Type	Nodes	Edges	Classes	Features	Label rate
Citeseer	Citation network	3,327	4,732	6	3,703	0.036
Cora	Citation network	2,708	5,429	7	1,433	0.052
Pubmed	Citation network	19,717	44,338	3	500	0.003
NELL	Knowledge graph	65,755	266,144	210	5,414	0.001

(Kipf & Welling, Semi-Supervised Classification with Graph Convolutional Networks, ICLR 2017)

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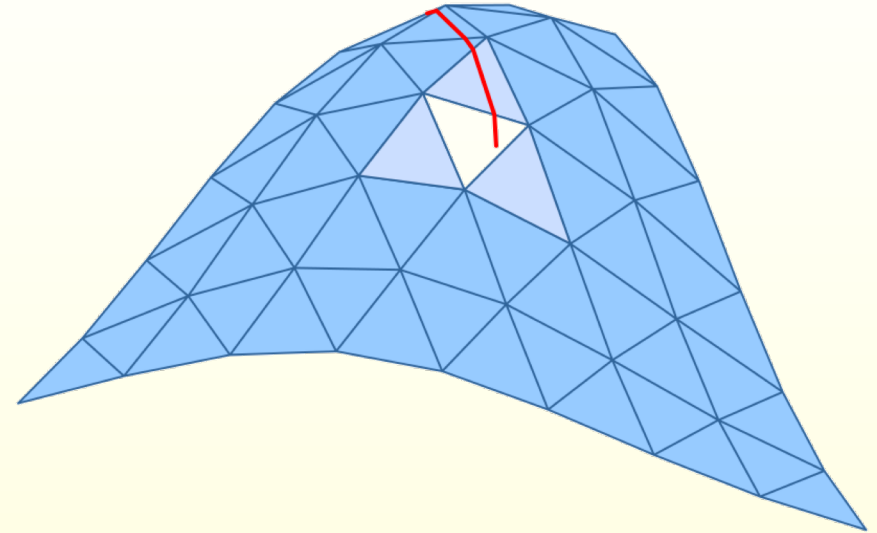
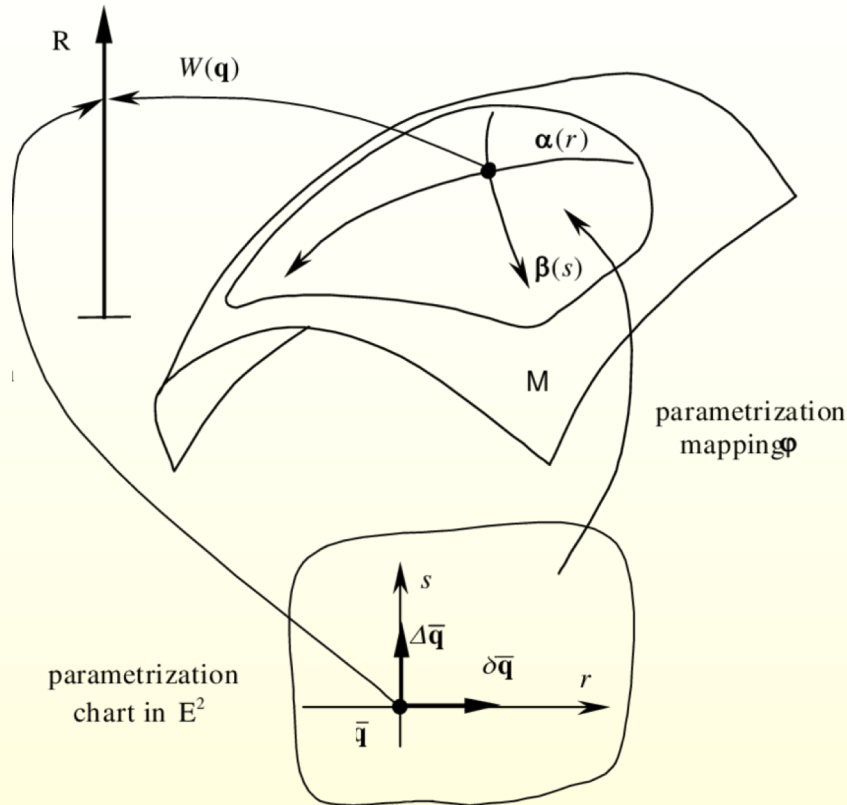
## Classification results (accuracy)

	Method	Citeseer	Cora	Pubmed	NELL
	ManiReg [3]	60.1	59.5	70.7	21.8
	SemiEmb [24]	59.6	59.0	71.1	26.7
	LP [27]	45.3	68.0	63.0	26.5
no input features	DeepWalk [18]	43.2	67.2	65.3	58.1
	Planetoid* [25]	64.7 (26s)	75.7 (13s)	77.2 (25s)	61.9 (185s)
	<b>GCN (this paper)</b>	<b>70.3 (7s)</b>	<b>81.5 (4s)</b>	<b>79.0 (38s)</b>	<b>66.0 (48s)</b>
	GCN (rand. splits)	67.9 ± 0.5	80.1 ± 0.5	78.9 ± 0.7	58.4 ± 1.7

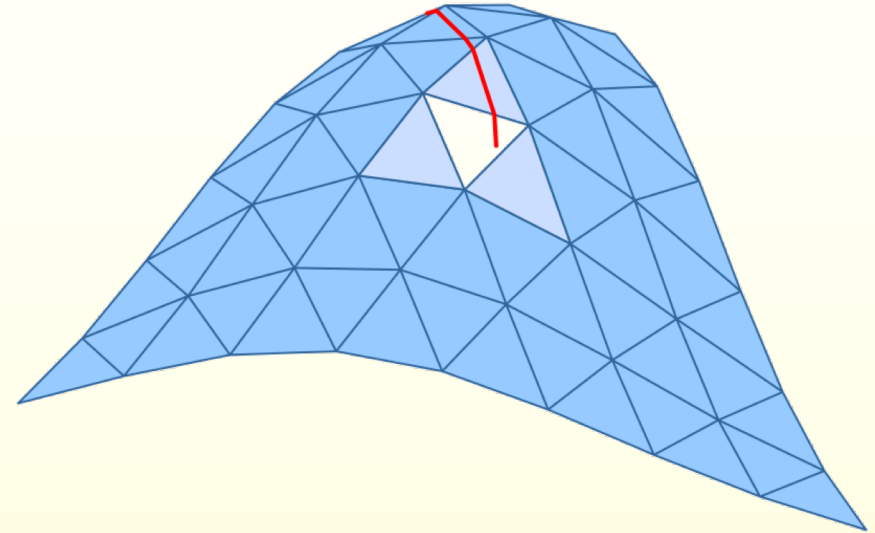
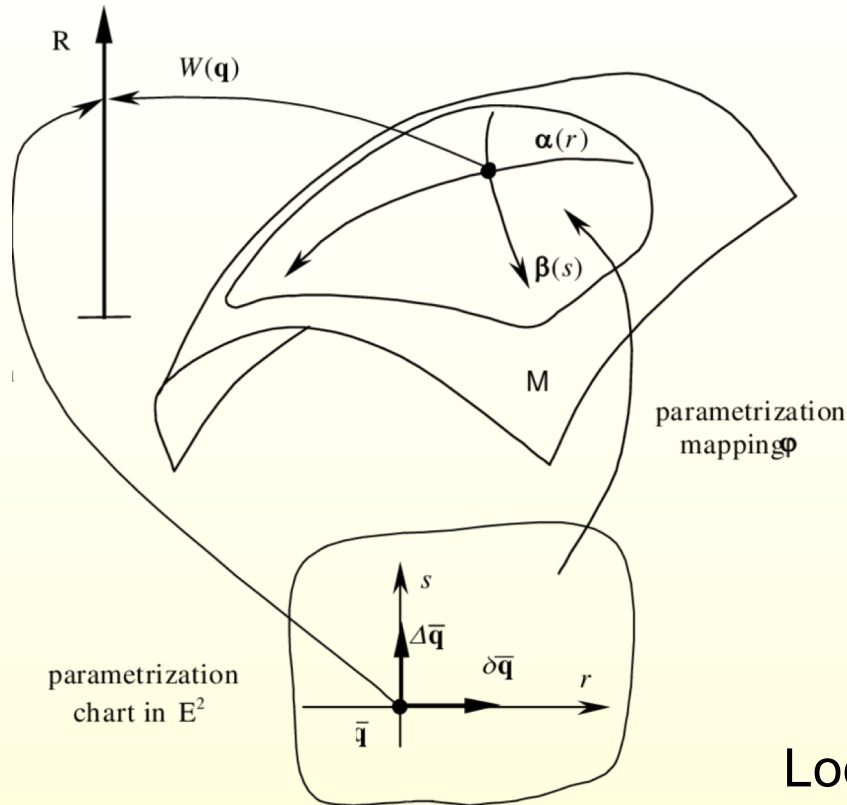
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# Geodesic CNN

# Convolution on Manifold



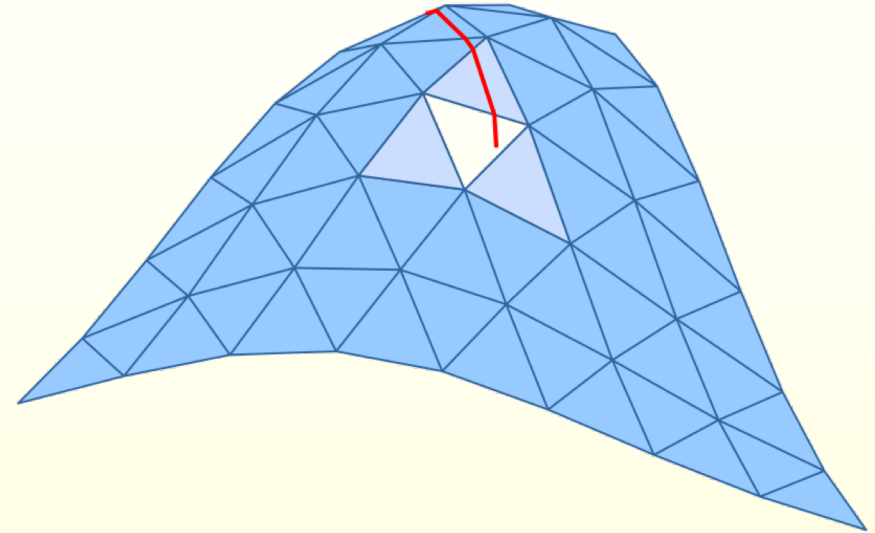
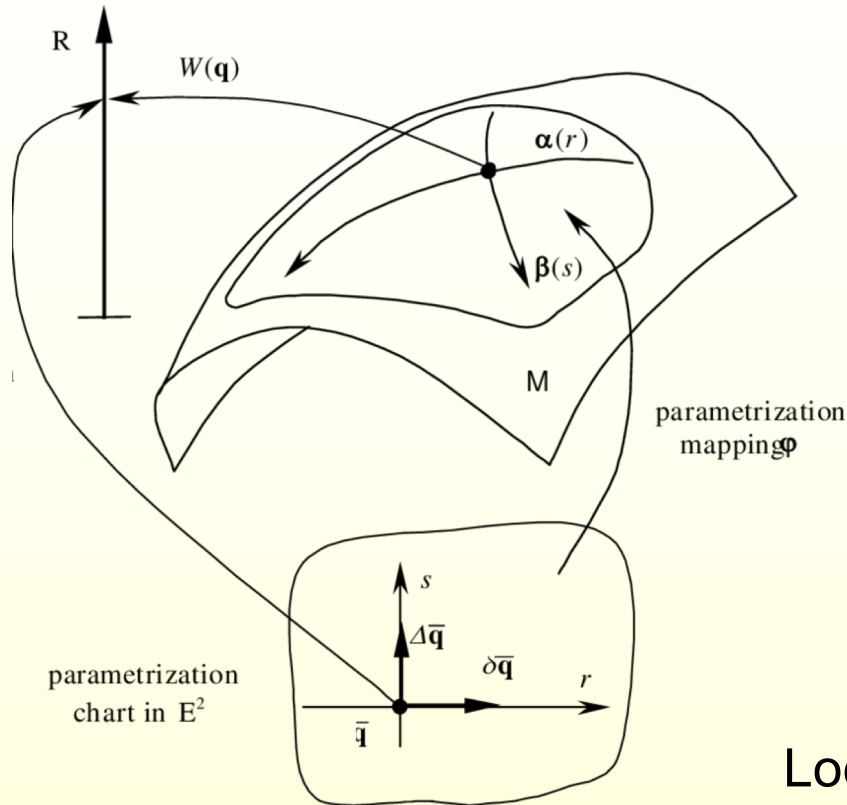
# Convolution on Manifold



Locally plane

- Can define orientations (circular order).

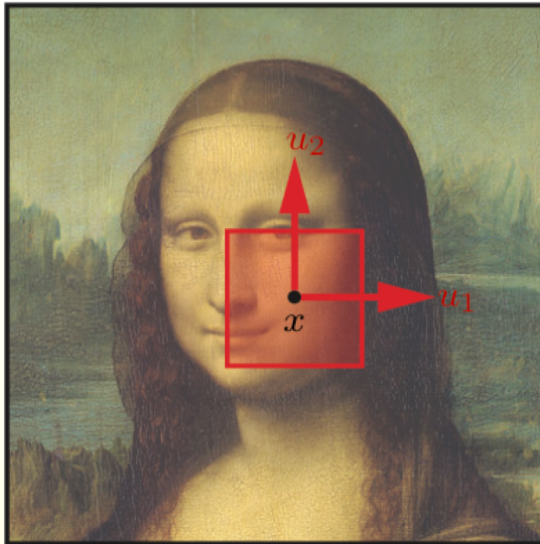
# Convolution on Manifold



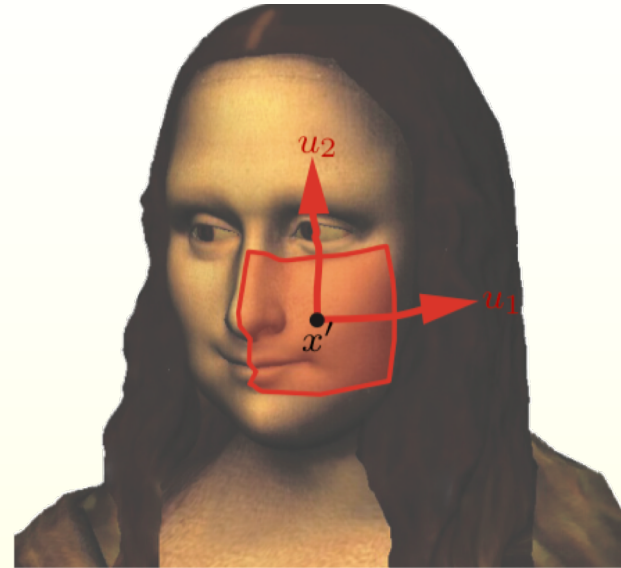
Locally plane

- Can define orientations
- Can compute distances on the surface.

# Patch Operator



Image



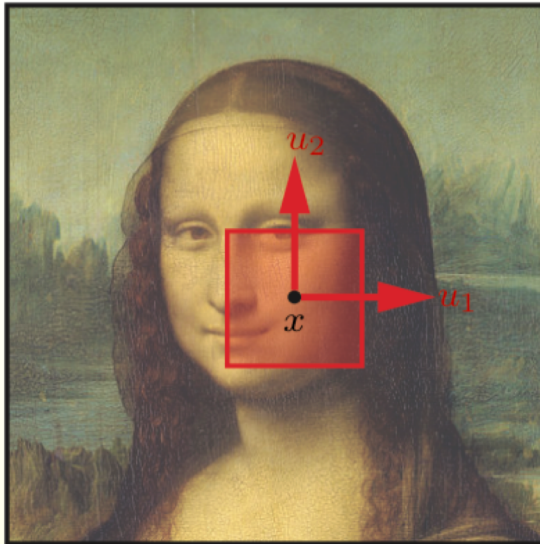
Manifold

- Local system of coordinates: bijection  $\varsigma_x : B_{\rho_0}(x) \rightarrow [0, 1]^2$
- Patch operator  $\mathcal{D} : L^2(\mathcal{X}) \rightarrow L^2([0, 1]^2)$  mapping  $f$  around  $x$

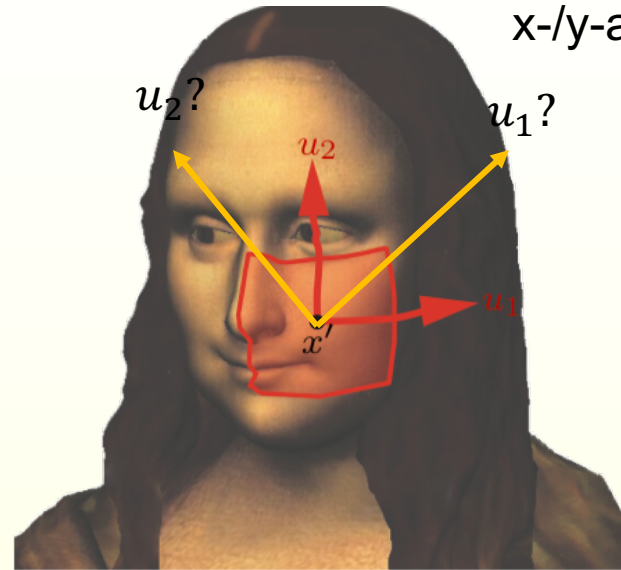
$$(\mathcal{D}(x)f)(\mathbf{u}) = (f \circ \varsigma_x^{-1})(\mathbf{u})$$

# Patch Operator

How to specify  
x-/y-axis?



Image



Manifold

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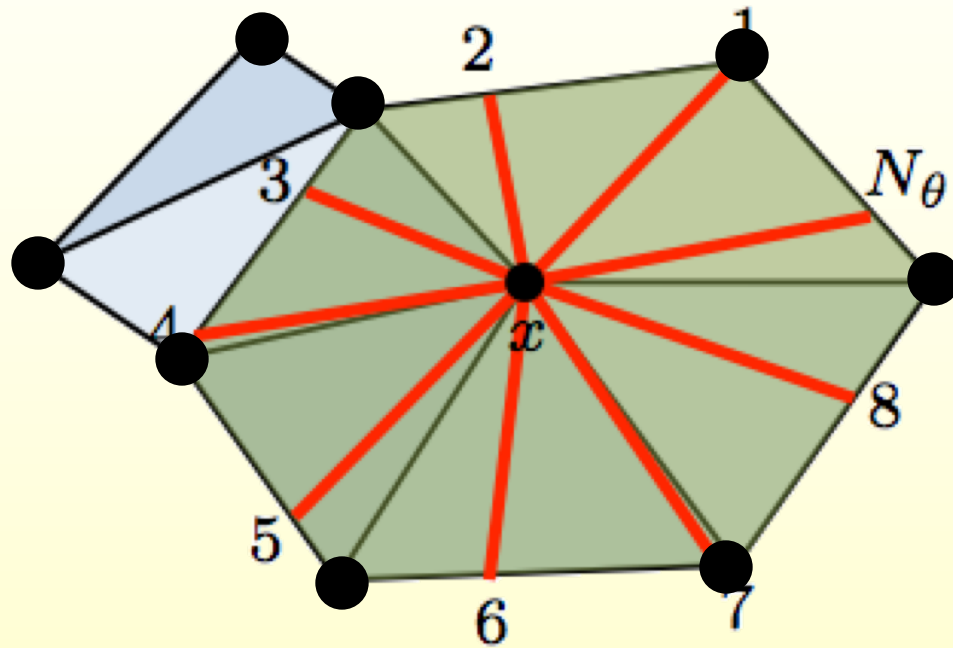
# Geodesic CNN

- ◆ Constructing convolution kernels:
  - ◆ Local system of geodesic *polar* coordinate.
  - ◆ Radial coordinate  $\rho$  - geodesic distance (truncated)
  - ◆ Angular coordinate  $\theta$  - direction of geodesics (origin choice)



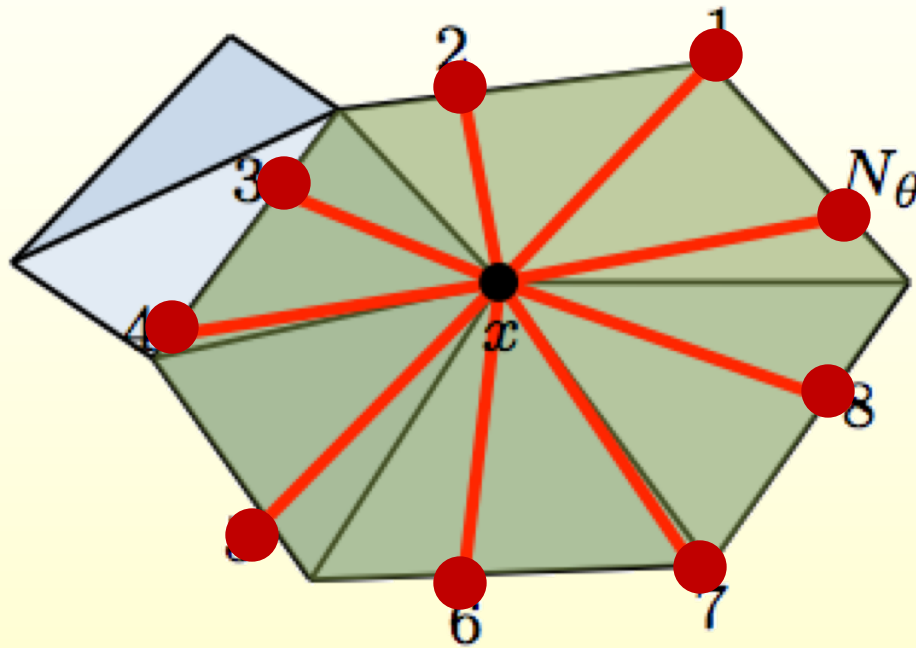
# Geodesic CNN

Output: features on *vertices*



# Geodesic CNN

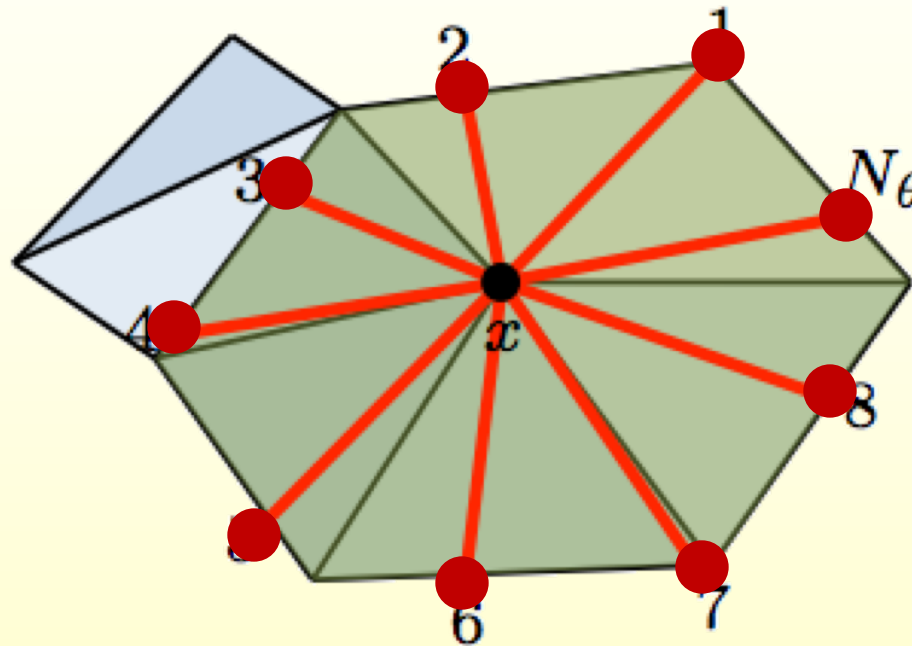
Input: features on *polar grid*



# Geodesic CNN

Input: features on *polar grid*

*Approximate from vertex features.*



# Geodesic CNN

$$(D(x)f)(\rho, \theta) = \frac{\int_X v_\rho(x, \xi) v_\theta(x, \xi) f(\xi) d\xi}{\int_X v_\rho(x, \xi) v_\theta(x, \xi) d\xi}$$



Radial weight

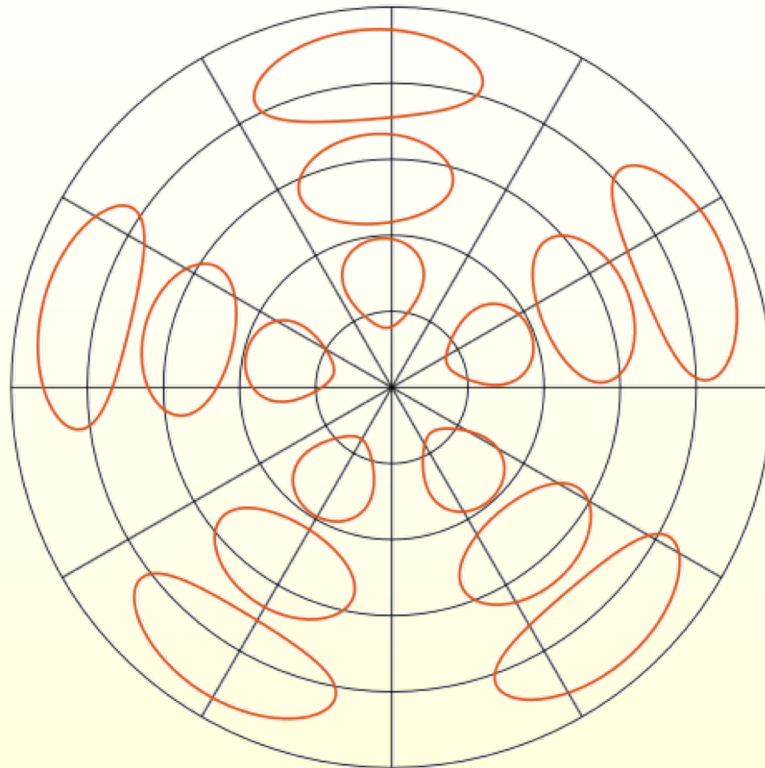
$$v_\rho(x, \xi) \propto e^{-(d_X(x, \xi) - \rho)^2 / \sigma_\rho^2}$$



Angular weight

$$v_\theta(x, \xi) \propto e^{-d_X^2(\Gamma(x, \theta), \xi) / \sigma_\theta^2}$$

# Geodesic CNN

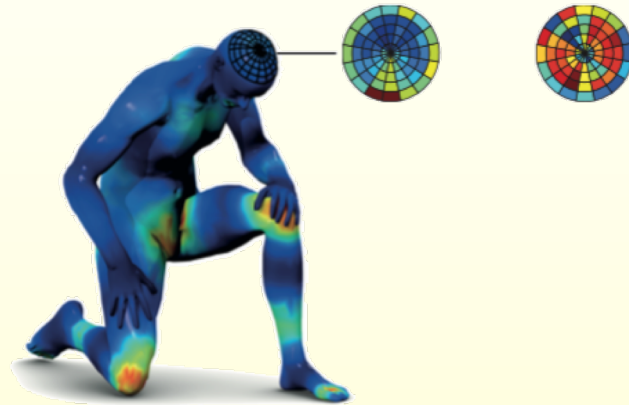


Weighting functions of the geodesic polar patch operator shown in  $(\rho, \theta)$  coordinates (contours mark the  $\frac{1}{2}$ -level set)

# Geodesic CNN

- **Geodesic convolution** = apply filter  $a$  to patches extracted from  $f \in L^2(X)$  in local geodesic polar coordinates

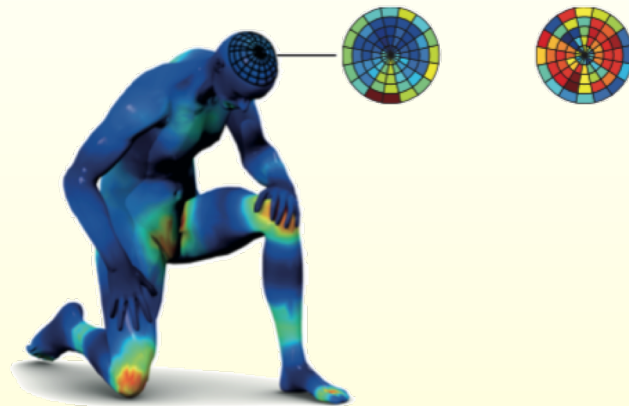
$$(f \star a)(x) = \sum_{\theta, r} \underbrace{(D(x)f)(r, \theta)}_{\text{patch}} \underbrace{a(\theta, r)}_{\text{filter}}$$



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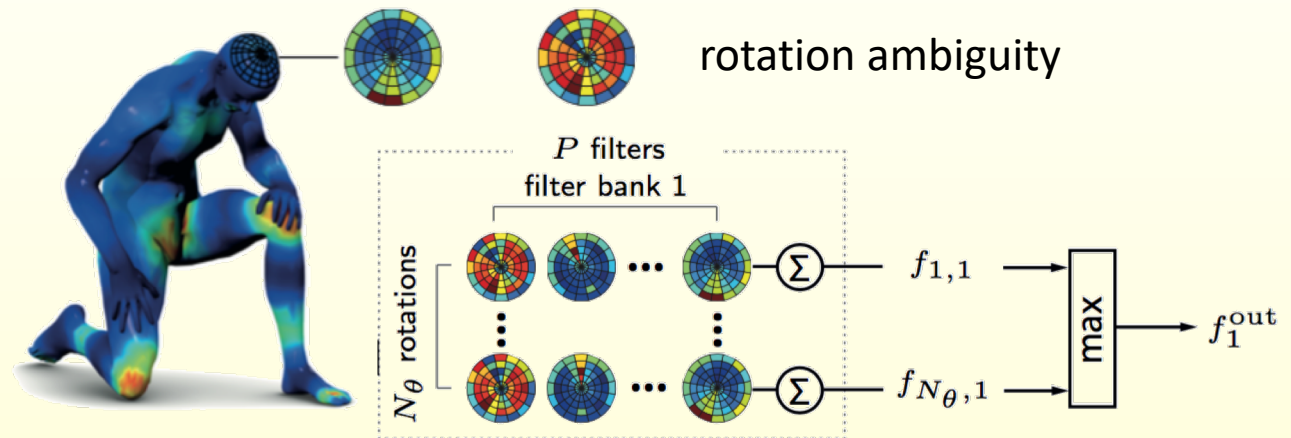


rotation ambiguity

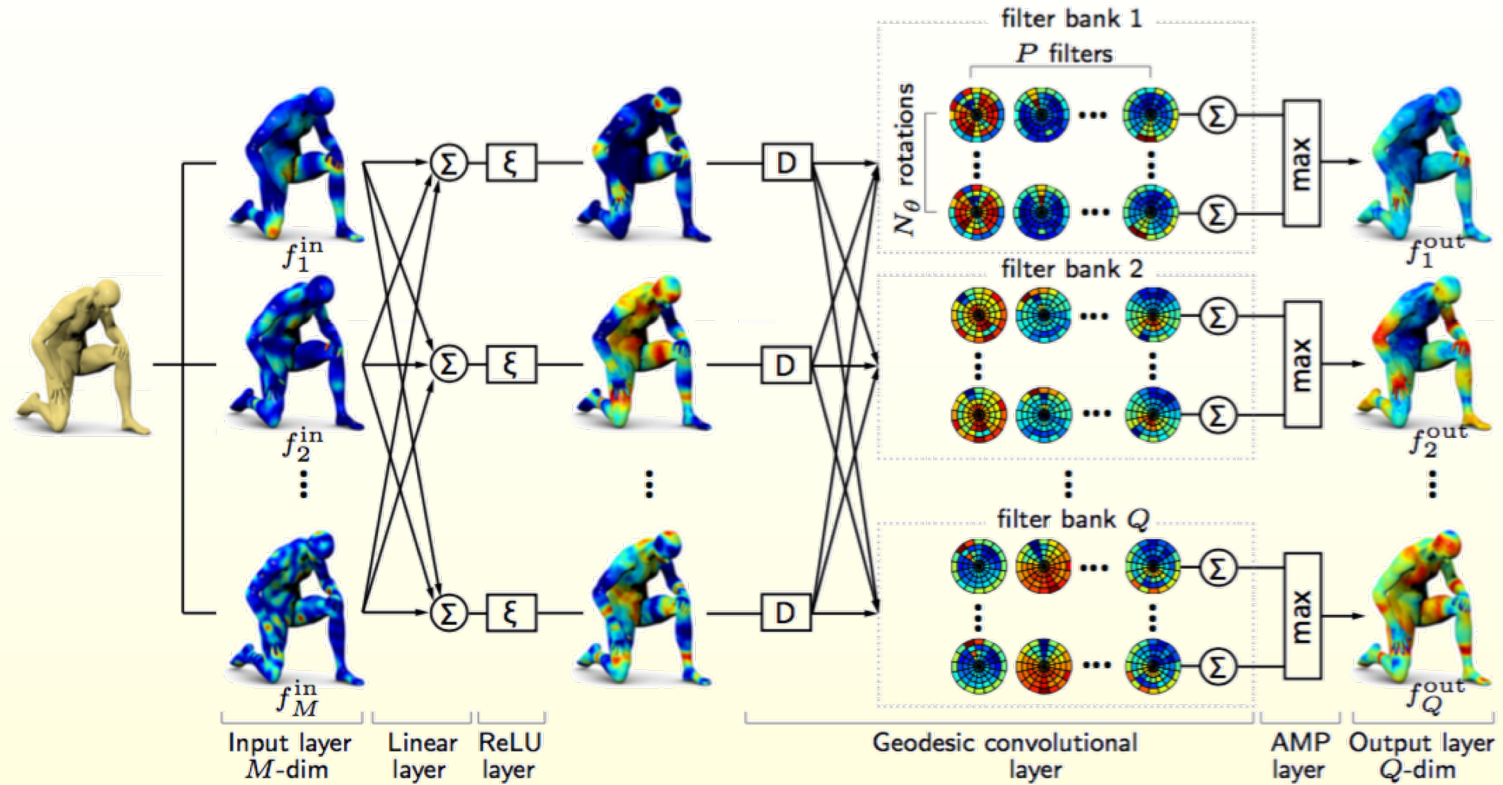
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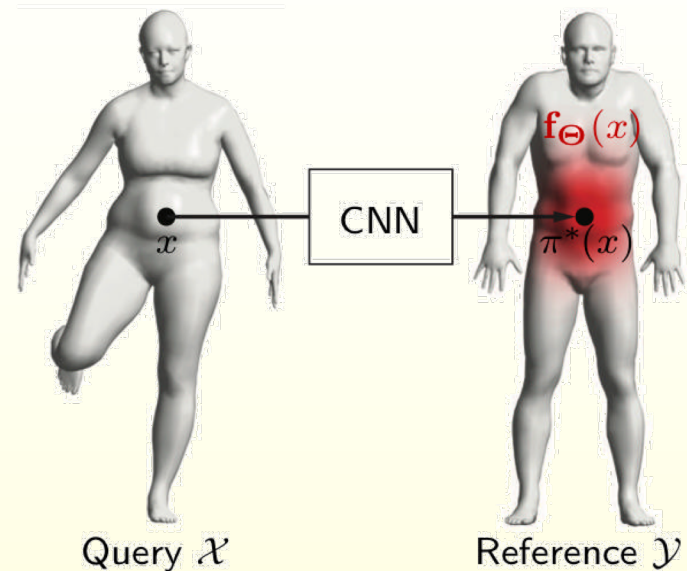


# Geodesic CNN



# Learning deformation-invariant correspondence

- Groundtruth correspondence  $\pi^* : \mathcal{X} \rightarrow \mathcal{Y}$  from query shape  $\mathcal{X}$  to some **reference shape**  $\mathcal{Y}$  (discretized with  $n$  vertices)
- Correspondence = **label** each query vertex  $x$  as reference vertex  $y$
- Net output at  $x$  after softmax layer
$$\mathbf{f}_{\Theta}(x) = (f_{\Theta,1}(x), \dots, f_{\Theta,n}(x))$$
= probability distribution on  $\mathcal{Y}$



Minimize on training set the **cross entropy** between groundtruth correspondence and output probability distribution w.r.t. net parameters  $\Theta$

$$\min_{\Theta} \sum_x H(\delta_{\pi^*(x)}, \mathbf{f}_{\Theta}(x))$$

# Blended Intrinsic Map



Pointwise correspondence error (geodesic distance from groundtruth)

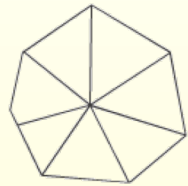
# Geodesic CNN



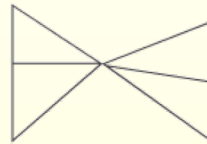
Pointwise correspondence error (geodesic distance from groundtruth)

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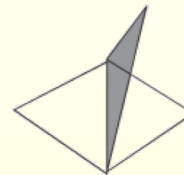
- ◆ Issues:
  - ◆ Requires lots of preprocessing.
  - ◆ Requires to have clean two-manifold meshes.



Manifold



Non-Manifold



Non-Manifold

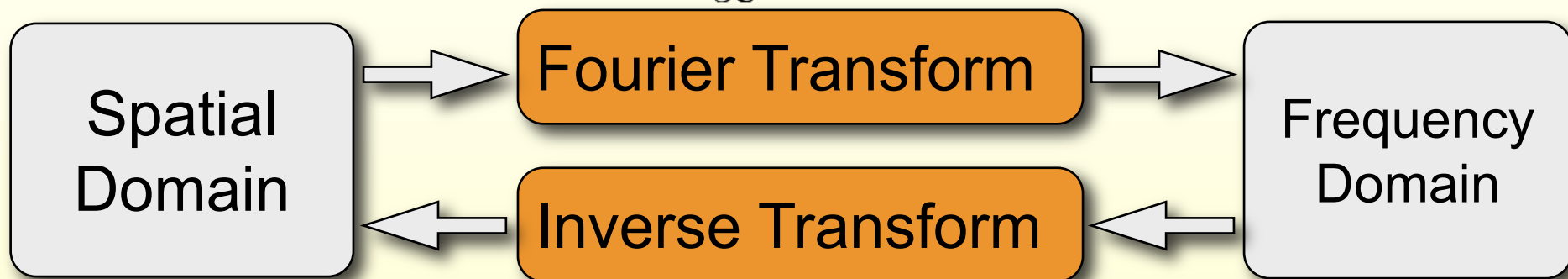
# Spectral CNN

# Fourier analysis

- ◆ Inner product for  $L_2$  function space  $\langle f, g \rangle := \int_{-\infty}^{\infty} f(x) \overline{g(x)} dx$
- ◆ Orthonormal basis : complex “waves”

$$e_u(x) := e^{i2\pi ux} = \cos(2\pi ux) - i \sin(2\pi ux)$$

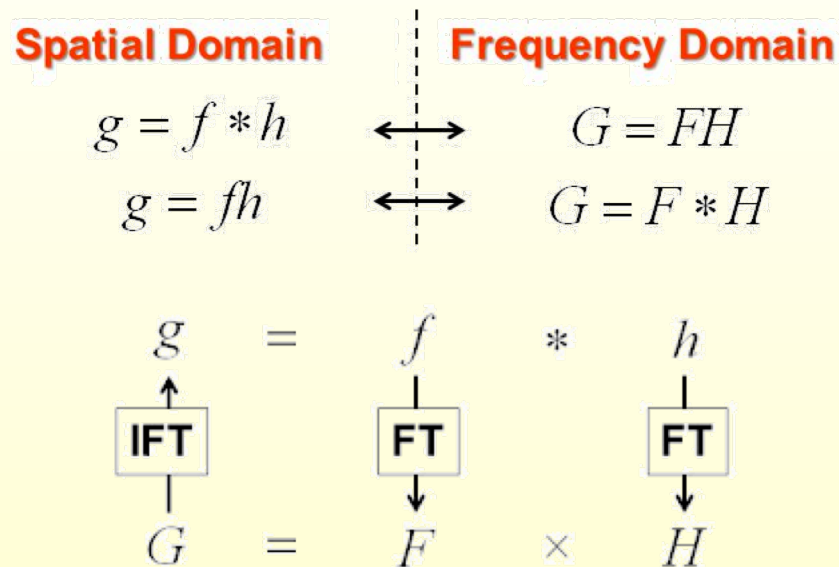
$$F(\omega) = \int_{-\infty}^{\infty} f(x) e^{-2\pi i \omega x} dx$$



$$f(x) = \int_{-\infty}^{\infty} F(\omega) e^{2\pi i \omega x} d\omega$$

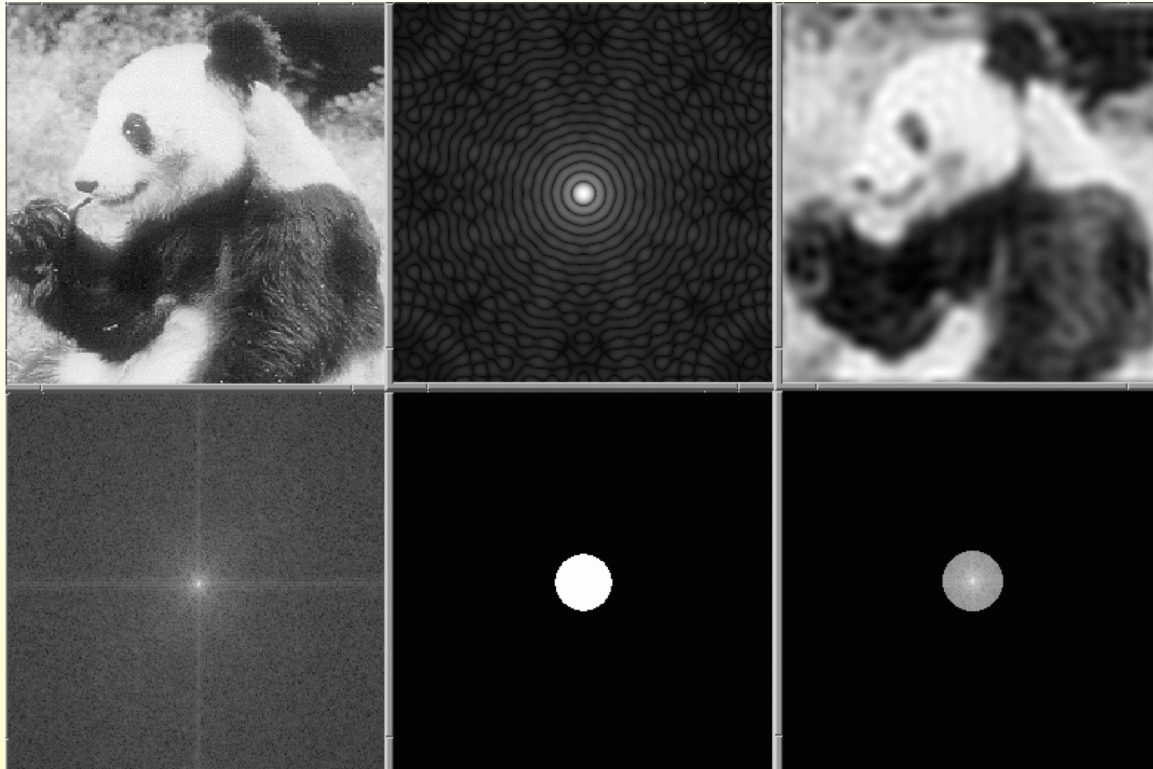
# Convolution Theorem

Convolution in one domain (e.g., space domain) equals point-wise multiplication in the other domain (e.g., frequency domain).



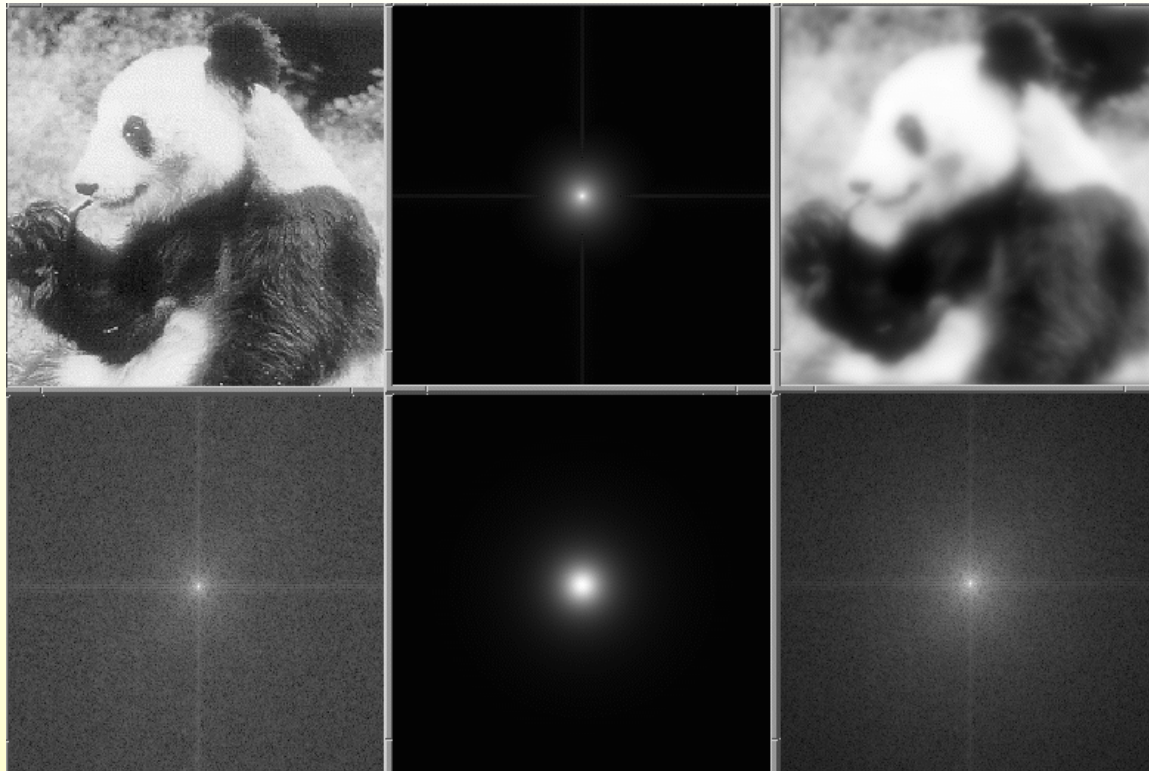
# Convolution Theorem

- ◆ Low-frequency filter

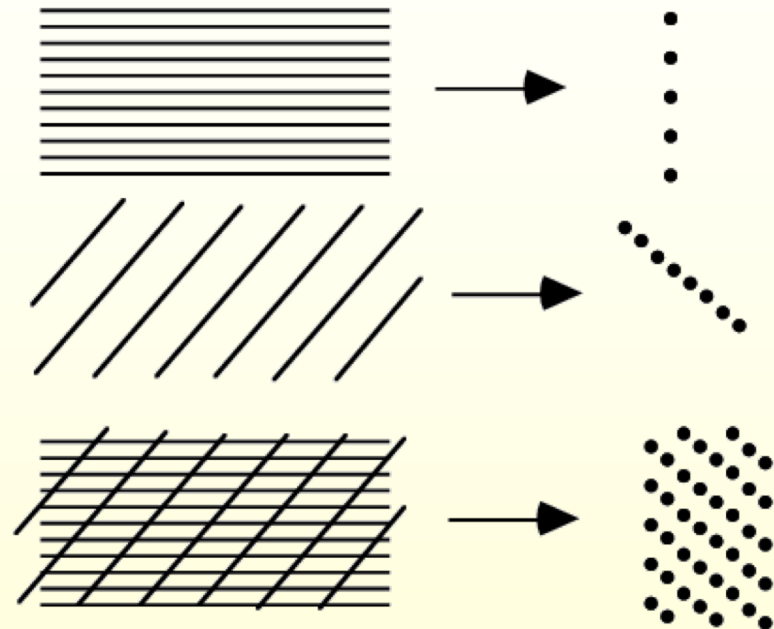
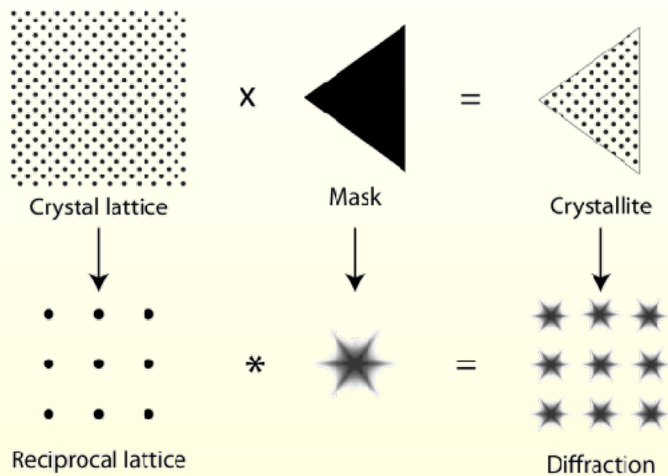


# Convolution Theorem

- ◆ Low-frequency filter



# Convolution Theorem



# Convolution Theorem

Convolution of two vectors  $\mathbf{f} = (f_1, \dots, f_n)^\top$  and  $\mathbf{g} = (g_1, \dots, g_n)^\top$

$$\mathbf{f} \star \mathbf{g} = \underbrace{\begin{bmatrix} g_1 & g_2 & \dots & \dots & g_n \\ g_n & g_1 & g_2 & \dots & g_{n-1} \\ \vdots & \vdots & \ddots & \ddots & \vdots \\ g_3 & g_4 & \dots & g_1 & g_2 \\ g_2 & g_3 & \dots & \dots & g_1 \end{bmatrix}}_{\text{circulant matrix}} \begin{bmatrix} f_1 \\ \vdots \\ f_n \end{bmatrix}$$

$n \times n$  square matrix

1-D Euclidean Space Proof

# Convolution Theorem

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$$= \Phi \begin{bmatrix} \hat{g}_1 & & \\ & \ddots & \\ & & \hat{g}_n \end{bmatrix} \Phi^\top \mathbf{f}$$

- *All circulant matrix have the same eigenvectors.*
- *Laplace operator is a circulant matrix.*

1-D Euclidean Space Proof

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$$= \Phi \begin{bmatrix} \hat{f}_1 \cdot \hat{g}_1 \\ \vdots \\ \hat{f}_n \cdot \hat{g}_n \end{bmatrix}$$

1-D Euclidean Space Proof

# Convolution Theorem

Spectral convolution of  $f, g \in L^2(\mathcal{X})$  can be defined by analogy

$$f \star g = \underbrace{\sum_{k \geq 1} \underbrace{\langle f, \phi_k \rangle_{L^2(\mathcal{X})} \langle g, \phi_k \rangle_{L^2(\mathcal{X})}}_{\text{product in the Fourier domain}} \phi_k}_{\text{inverse Fourier transform}}$$

# Spectral Transform on Mesh Surface

- ◆ The second derivative of sine/cosine function is the same with itself (except for the coefficient).

$$\frac{d^2}{dx^2} \sin(\omega x) = -\omega^2 \sin(\omega x)$$
$$\frac{d^2}{dx^2} \cos(\omega x) = -\omega^2 \cos(\omega x)$$

- ◆ Laplacian is a second derivative operator.

$$-\Delta V^k = \lambda^k V^k$$

# Convolution Theorem

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In matrix-vector notation

$$\mathbf{f} \star \mathbf{g} = \mathbf{\Phi} (\mathbf{\Phi}^\top \mathbf{g}) \circ (\mathbf{\Phi}^\top \mathbf{f})$$

$$\mathbf{f} \star \mathbf{g} = \underbrace{\mathbf{\Phi} \text{diag}(\hat{g}_1, \dots, \hat{g}_n) \mathbf{\Phi}^\top}_{\mathbf{G}} \mathbf{f}$$

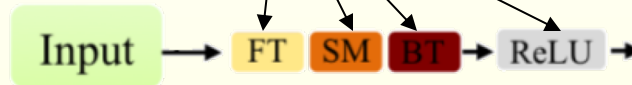
Spectral transform *diagonalizes* the convolution operator.

# Spectral CNN

Convolutional layer expressed in the **spectral domain**

$$\mathbf{g}_l = \xi \left( \sum_{l'=1}^p \Phi \hat{\mathbf{W}}_{l,l'} \Phi^\top \mathbf{f}_{l'} \right) \quad \begin{array}{l} l = 1, \dots, q \\ l' = 1, \dots, p \end{array}$$

where  $\hat{\mathbf{W}}_{l,l} = n \times n$  diagonal matrix of filter coefficients



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- ☹  $\mathcal{O}(n)$  parameters per layer
- ☹  $\mathcal{O}(n^2)$  computation of forward and inverse Fourier transforms  $\mathbf{\Phi}^\top, \mathbf{\Phi}$  (no FFT on manifolds or graphs)

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- ☹  $\mathcal{O}(n^2)$  computation of forward and inverse Fourier transforms  $\mathbf{\Phi}^\top, \mathbf{\Phi}$  (no FFT on manifolds or graphs)
- ☹ No guarantee of spatial localization of filters

# Spectral CNN

Convolutional layer expressed in the **spectral domain**

$$\mathbf{g}_l = \xi \left( \sum_{l'=1}^p \mathbf{\Phi} \hat{\mathbf{W}}_{l,l'} \mathbf{\Phi}^\top \mathbf{f}_{l'} \right) \quad \begin{array}{l} l = 1, \dots, q \\ l' = 1, \dots, p \end{array}$$

where  $\hat{\mathbf{W}}_{l,l} = n \times n$  diagonal matrix of filter coefficients

- ☹  $\mathcal{O}(n)$  parameters per layer
- ☹  $\mathcal{O}(n^2)$  computation of forward and inverse Fourier transforms  $\mathbf{\Phi}^\top, \mathbf{\Phi}$  (no FFT on manifolds or graphs)
- ☹ No guarantee of spatial localization of filters
- ☹ Filters are basis-dependent  $\Rightarrow$  does not generalize across domains

# Spectral CNN



Function  $f$



Filtered function  $\tilde{f}$



Same function,  
same filter,  
another shape

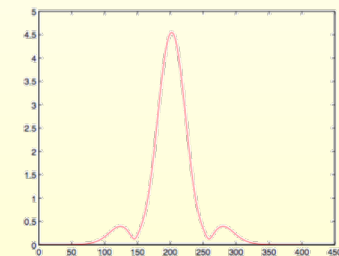
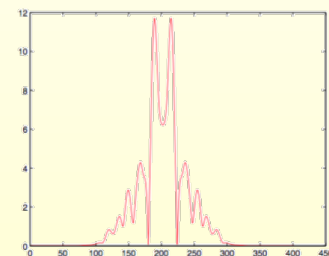
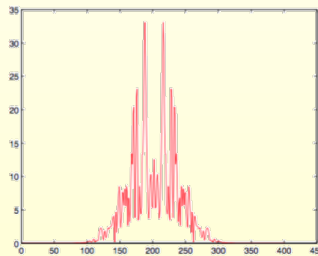
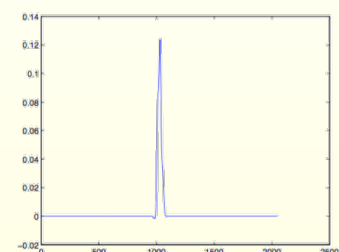
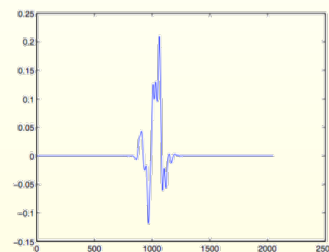
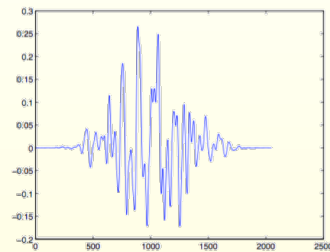
# Spectral CNN

- ◆ Observation:  
In Fourier analysis, smoothness and sparsity are dual notions.

$x$  fast decay



$\hat{x}$  smooth



# Spectral CNN

In the Euclidean setting (by Parseval's identity)

$$\int_{-\infty}^{+\infty} |x|^{2k} |f(x)|^2 dx = \int_{-\infty}^{+\infty} \left| \frac{\partial^k \hat{f}(\omega)}{\partial \omega^k} \right|^2 d\omega$$

⇒ Localization in space = smoothness in frequency domain

Parametrize the filter using a smooth spectral transfer function  $\tau(\lambda)$



# Spectral CNN

$$\begin{aligned}\tau_\alpha &= \Phi W \Phi^T \\ &= \Phi \text{Diag}(B\alpha) \Phi^T \\ &= \sum_{i=0}^r \alpha_i (\Phi \text{Diag}(b_i) \Phi^T) \\ &= \sum_{i=0}^r \alpha_i \Delta_i\end{aligned}$$

# Spectral CNN

Represent spectral transfer function as a **polynomial** of order  $r$

$$\tau_{\alpha}(\Delta) = \sum_{j=0}^r \alpha_j \Delta^j$$

where  $\alpha = (\alpha_0, \dots, \alpha_r)^T$  is the vector of filter parameters

😊  $\mathcal{O}(1)$  parameters per layer

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- ☺  $\mathcal{O}(1)$  parameters per layer
- ☺ Filters have guaranteed  $r$ -hops support

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- ☺ No explicit computation of  $\Phi^{\top}, \Phi \Rightarrow \mathcal{O}(nr)$  complexity

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- ☺ Filters have guaranteed  $r$ -hops support
- ☺ No explicit computation of  $\Phi^{\top}, \Phi \Rightarrow \mathcal{O}(nr)$  complexity
- ☹ Does not generalize across domains

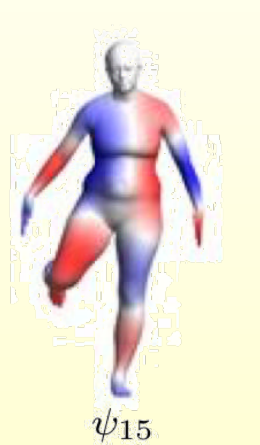
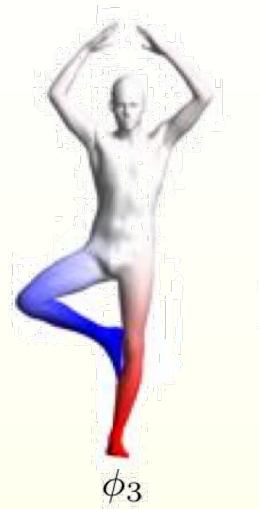
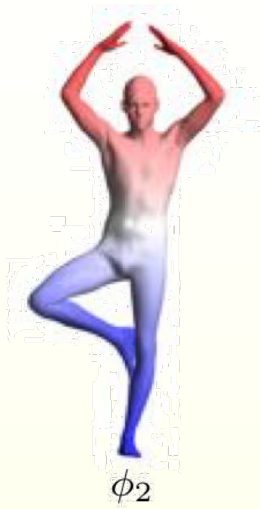
# Filtering in Different Bases

## Eigenmode switching:

Perturbation theory predicts that when eigenvalues move close to each other, the corresponding eigenvectors may switch order.



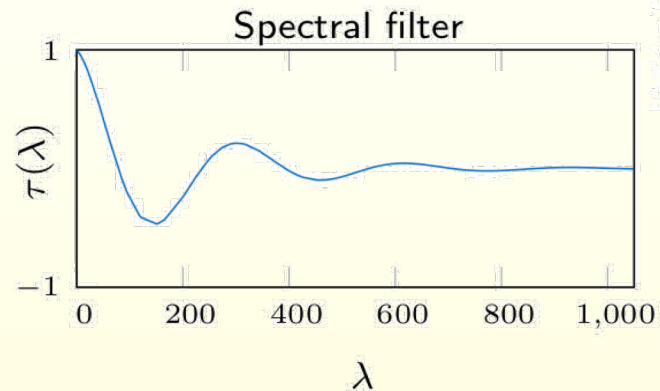
# Filtering in Different Bases



# Filtering in Different Bases



$$\Phi \tau(\Lambda_{\Phi}) \Phi^{\top} \delta_0$$



$$\Psi \tau(\Lambda_{\Psi}) \Psi^{\top} \delta_0$$

Apply spectral filter  $\tau(\lambda)$  in **different bases**  $\Phi$  and  $\Psi$   
 $\Rightarrow$  **different results!**

# Bases Synchronization



$$\Phi \tau(\Lambda_{\Phi}) \Phi^{\top} \delta_0$$



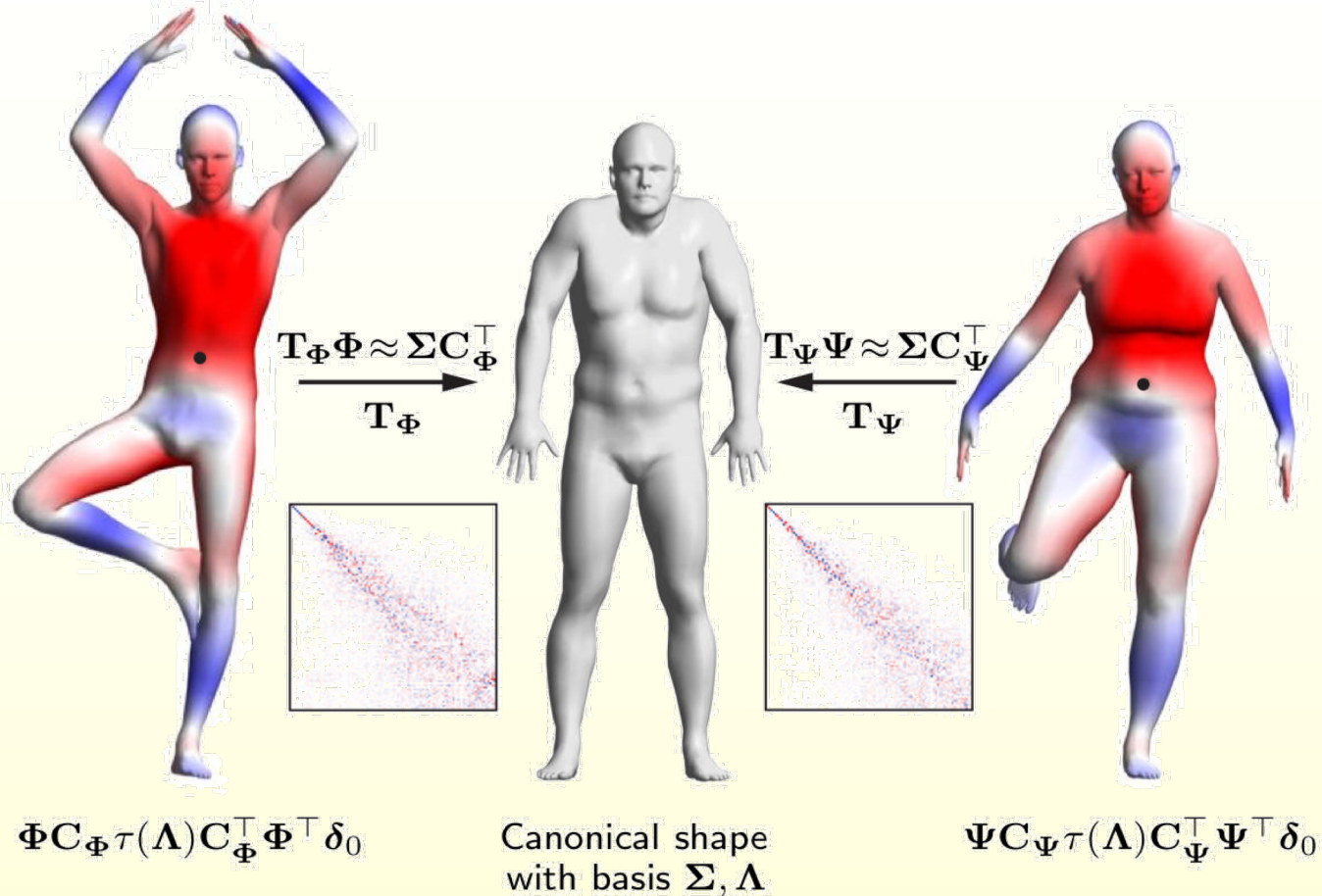
Canonical shape  
with basis  $\Sigma, \Lambda$



$$\Psi \tau(\Lambda_{\Psi}) \Psi^{\top} \delta_0$$

Apply spectral filter  $\tau(\lambda)$  in different bases  $\Phi$  and  $\Psi$   
 $\Rightarrow$  **different results!**

# Bases Synchronization



Apply spectral filter  $\tau(\lambda)$  in **synchronized bases**  $\Phi C_\Phi$  and  $\Psi C_\Psi$   
 $\Rightarrow$  **similar results!**

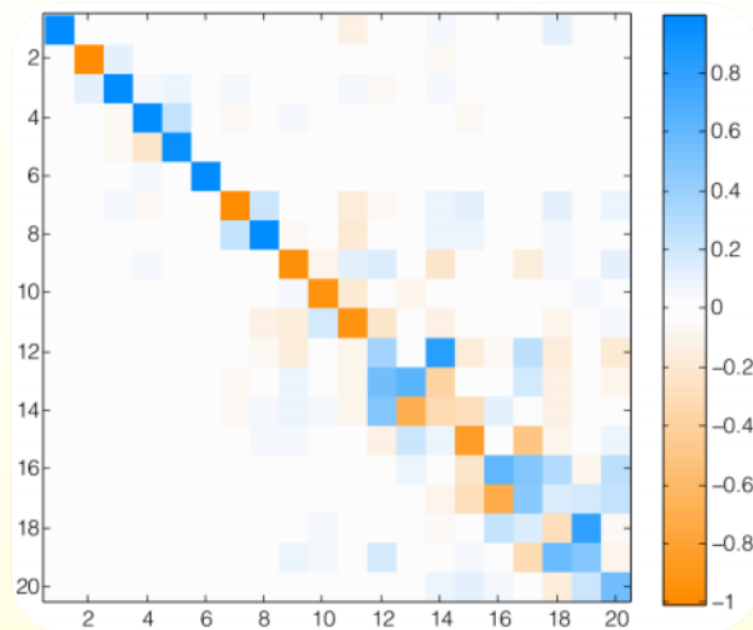
# Bases Synchronization



1							
	1						
		1					
			1				
				1			
					1		
						1	
							⋮

Permutation  
Matrix

# Bases Synchronization



Generalize to *Fuzzy* Permutation Matrix  
– called **Functional Map**

# Functional Map (Intro)



Shape  $A$

Spatial Domain

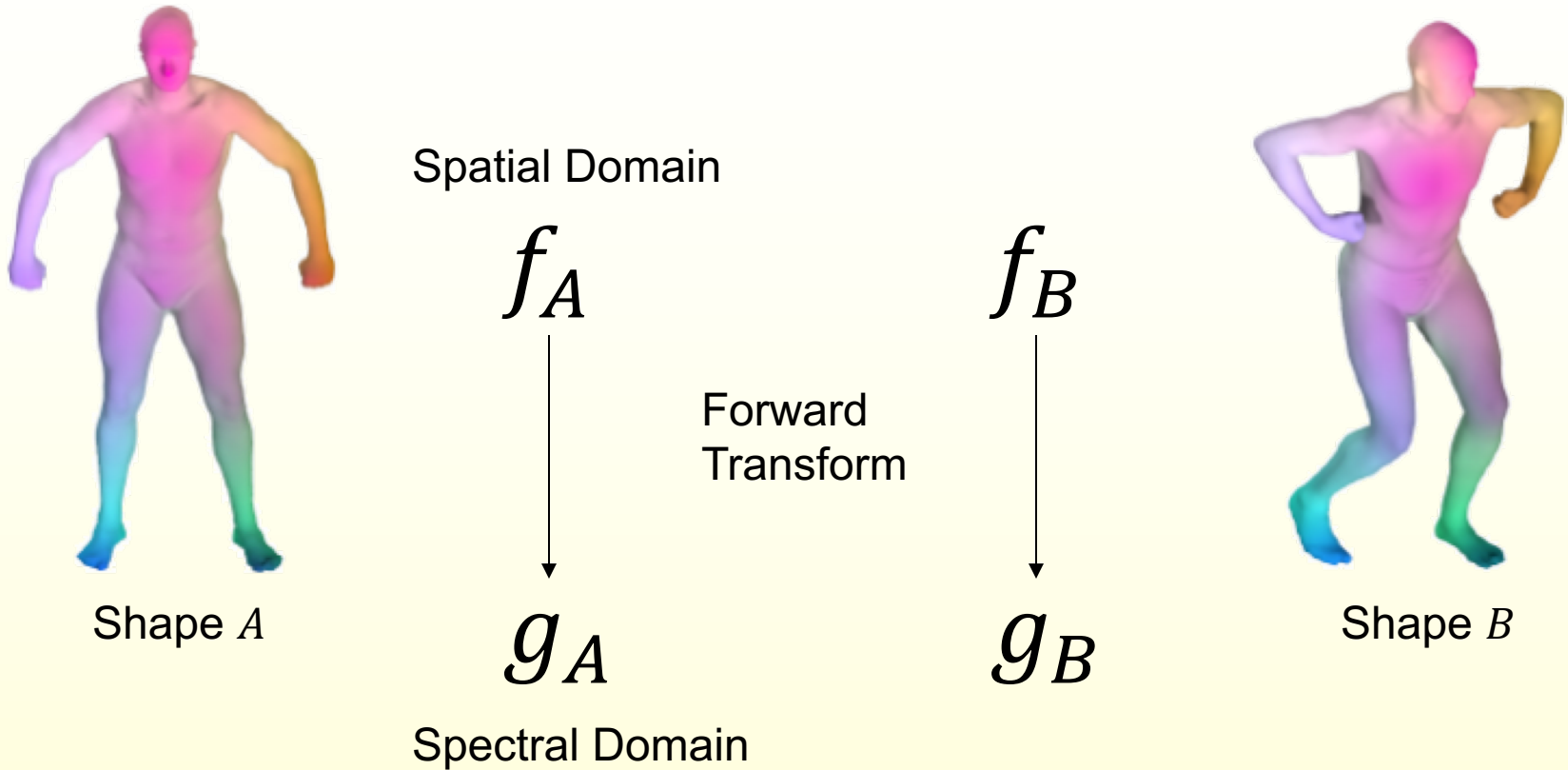
$$f_A$$

$$f_B$$

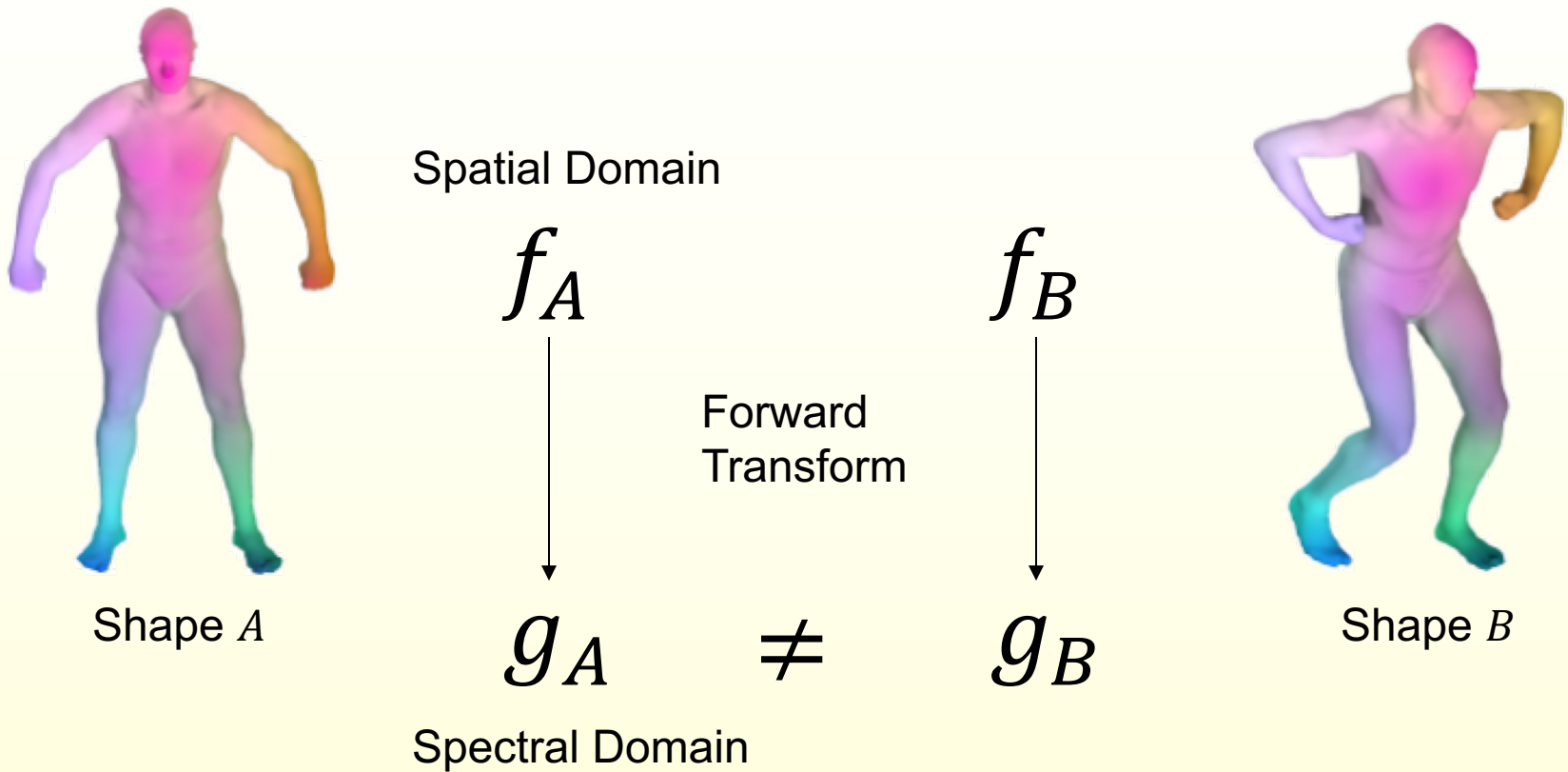


Shape  $B$

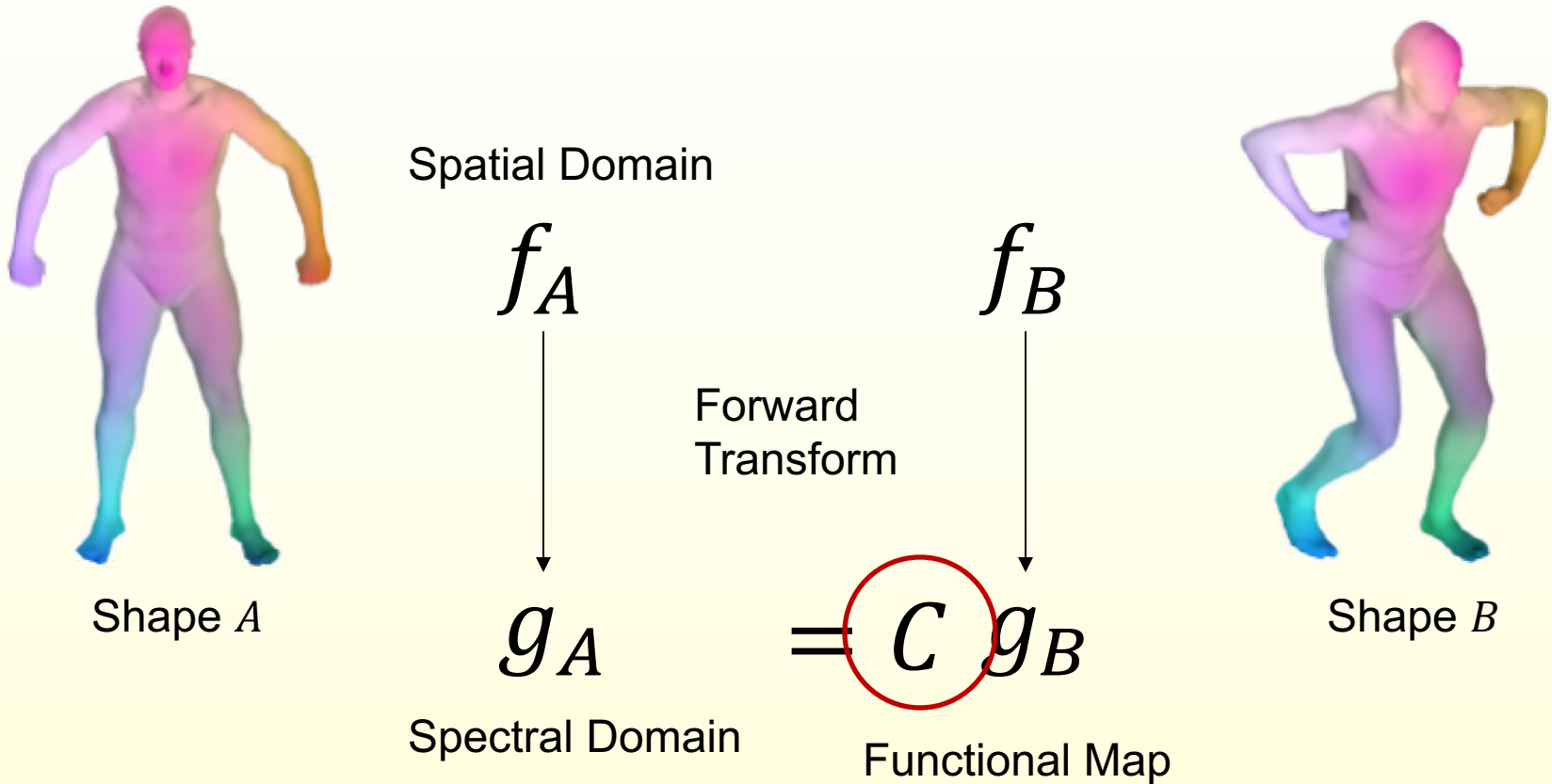
# Functional Map (Intro)



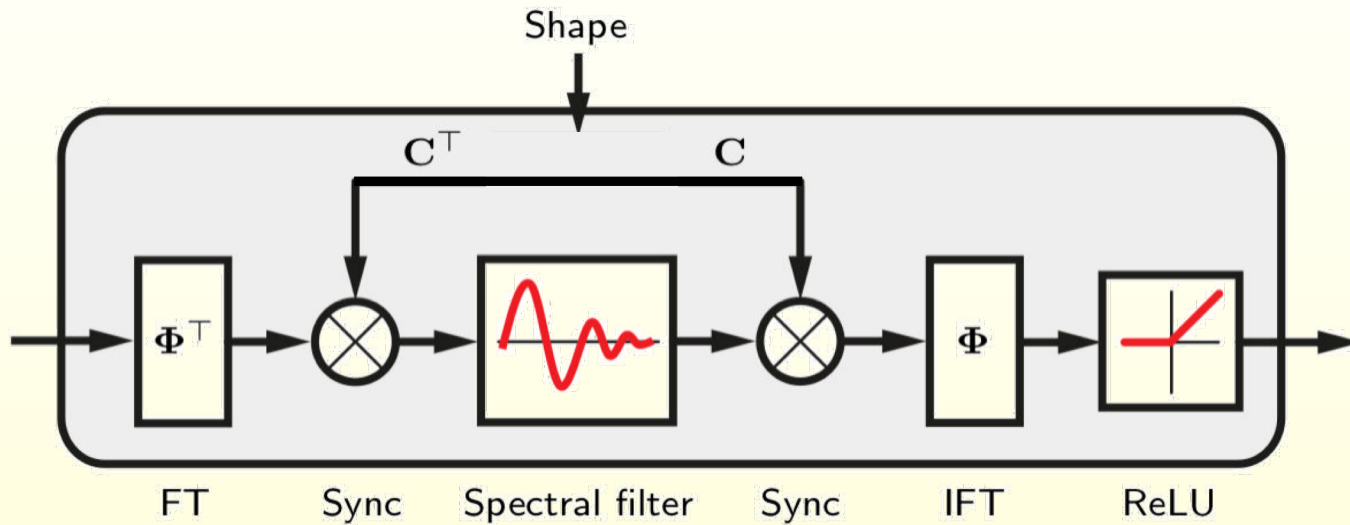
# Functional Map (Intro)



# Functional Map (Intro)

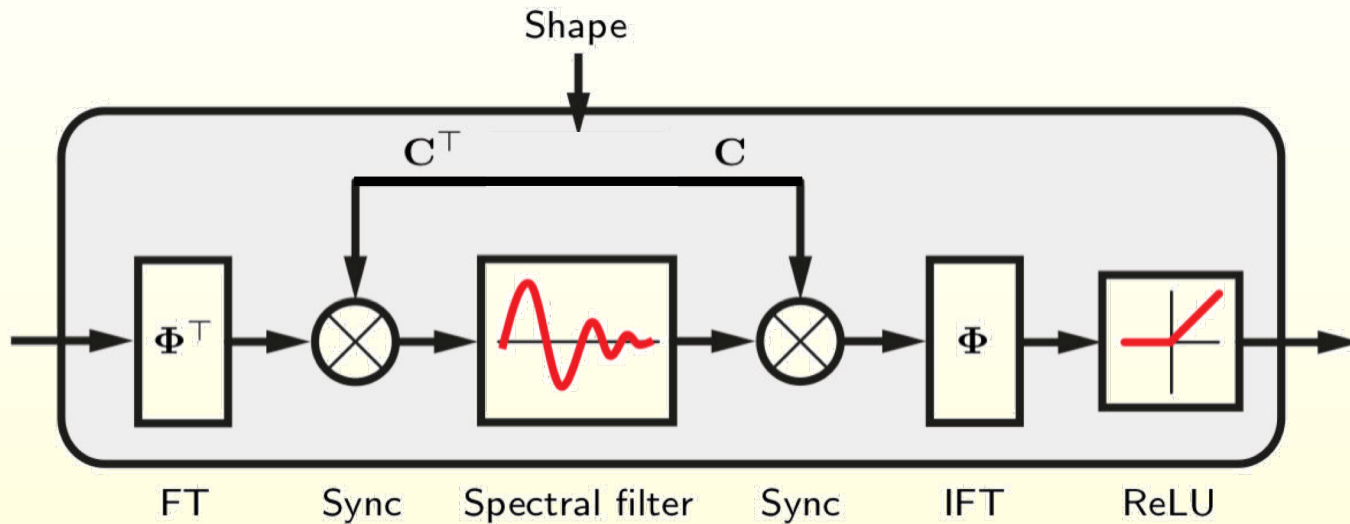


# SyncSpecCNN



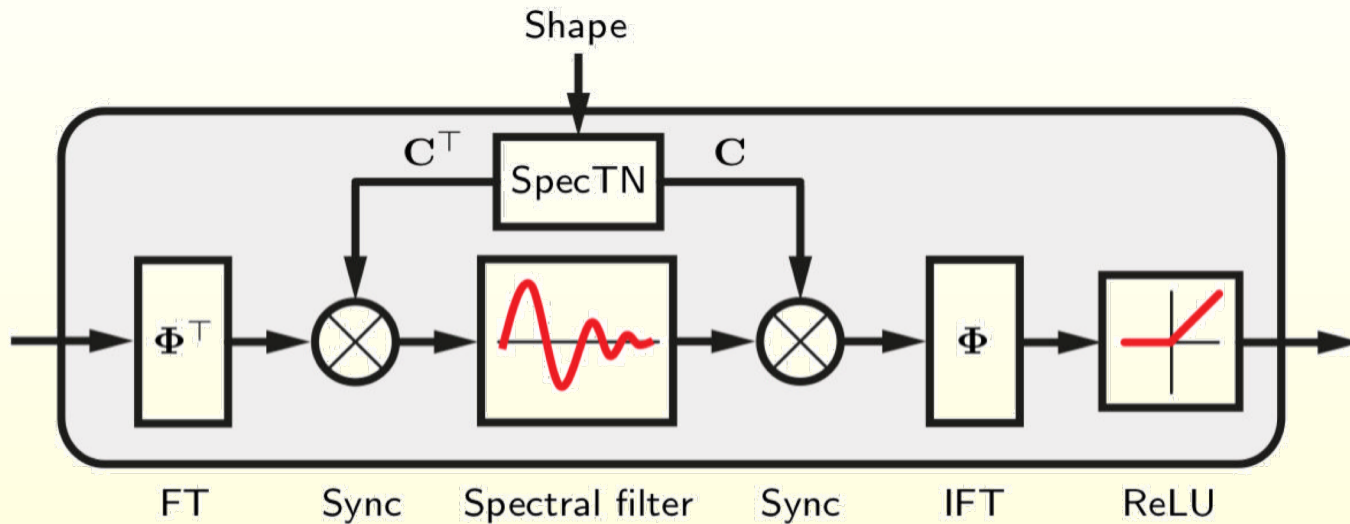
# SyncSpecCNN

- ◆ What if no mapping is provided?



# SyncSpecCNN

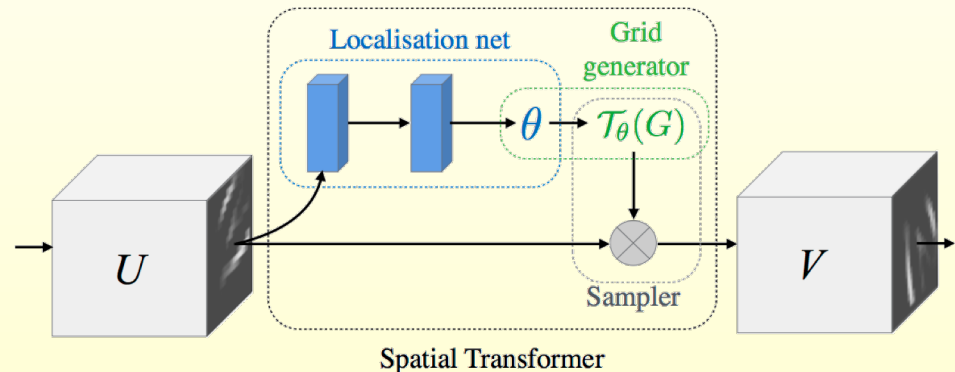
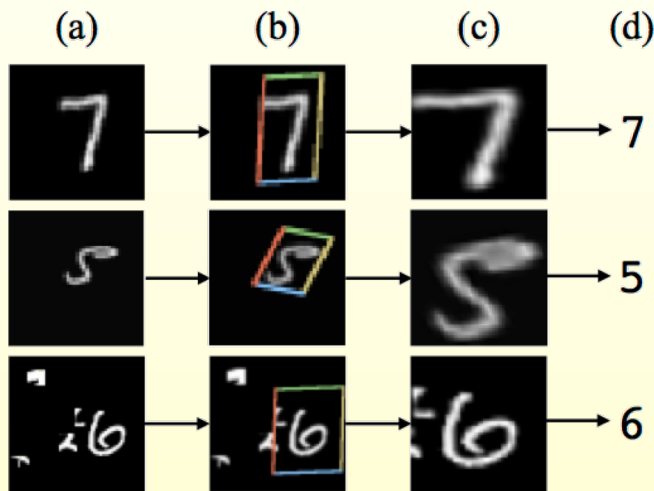
- ◆ What if no mapping is provided?  
: Let the neural network synchronize them!



Convolutional filter of a Spectral Transformer Network

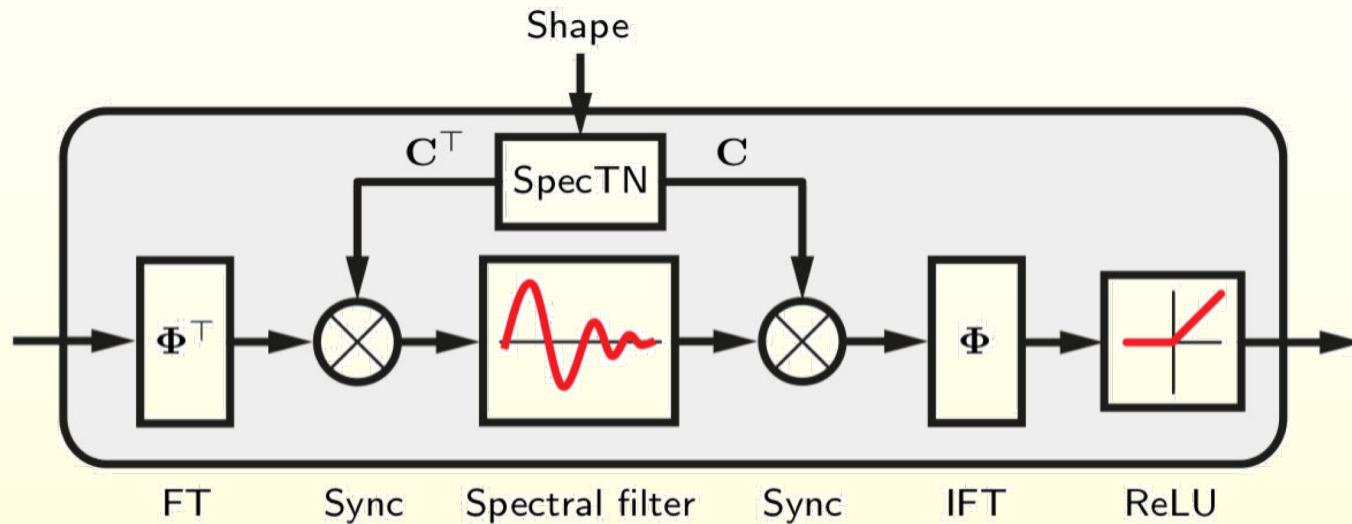
# SyncSpecCNN

- ◆ Spatial Transformer Network [Jaderberg et al., 2015]
  - ◆ Reduce the variation of input data.
  - ◆ Can be plugged into any architecture.



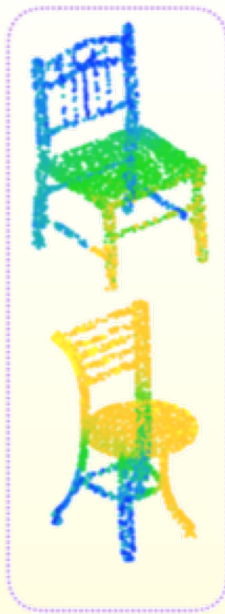
# SyncSpecCNN

## ◆ Spectral Transformer Network

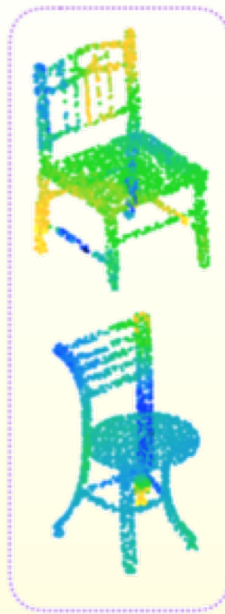


Convolutional filter of a Spectral Transformer Network

# Synchronization visualization



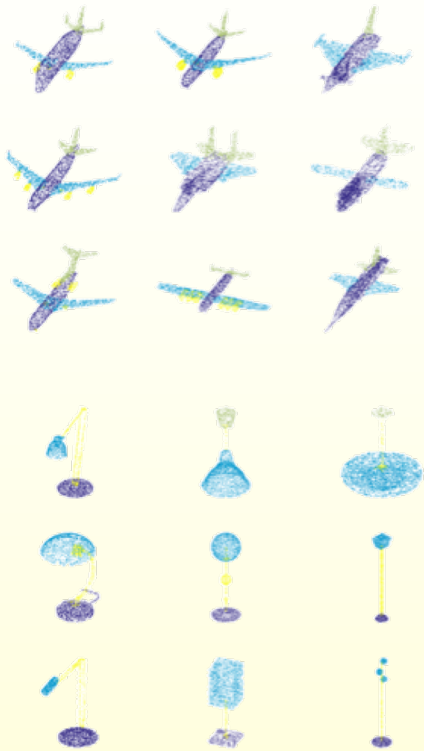
before synchronization



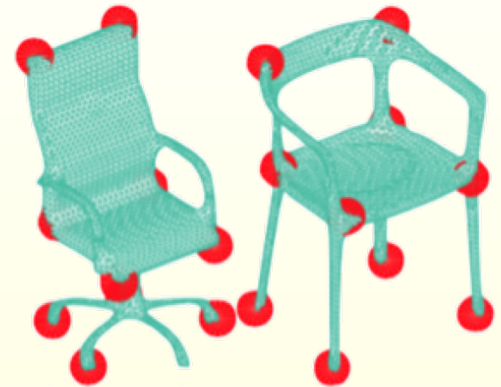
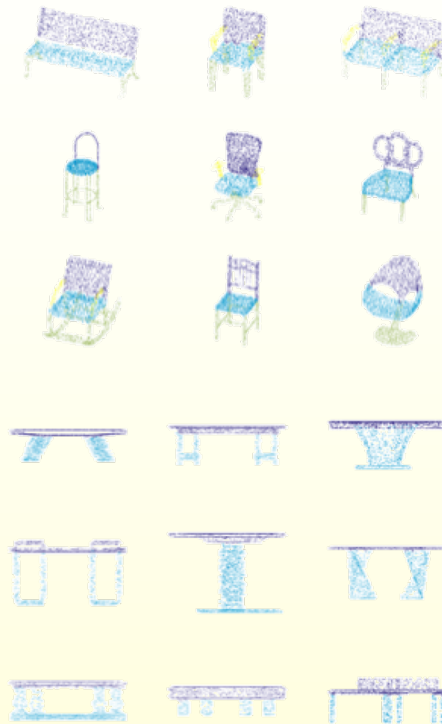
after synchronization



# SyncSpecCNN



part segmentation

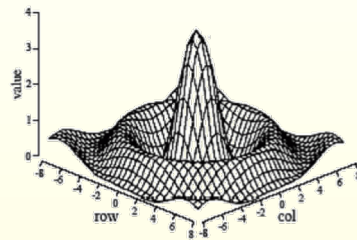
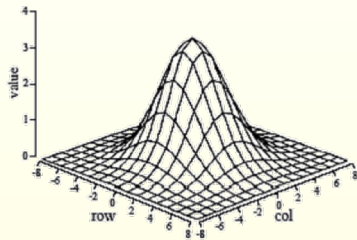


key point prediction

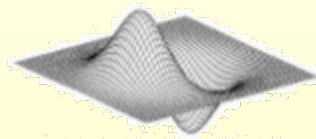
# Anisotropic CNN

# Homogeneous Diffusion

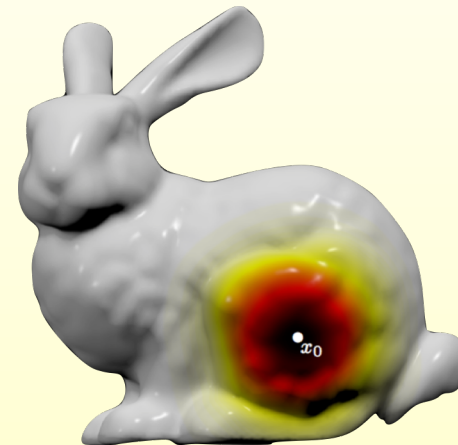
- ◆ Isotropic filters are less descriptive, in analogy to circular filters in image CNN



circular filters



edge filters

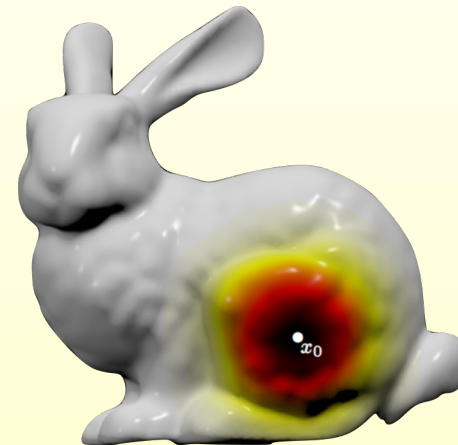


# Homogeneous Diffusion

- ◆ Heat kernel - isotropic diffusion

$$f_t(x) = -c\Delta f(x)$$

$c$  = thermal diffusivity constant describing heat conduction properties of the material (diffusion speed is equal everywhere)

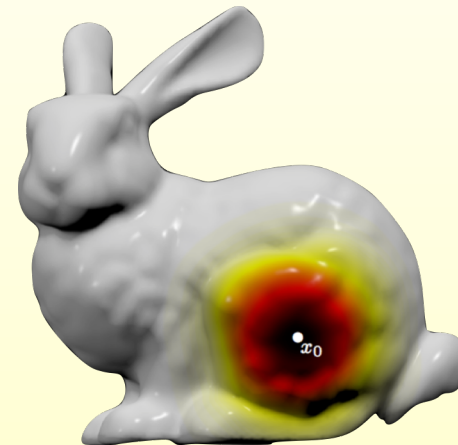


# Homogeneous Diffusion

- ◆ Heat kernel - isotropic diffusion

$$f_t(x) = -\operatorname{div}_X(c\nabla_X f(x))$$

$c$  = **thermal diffusivity constant** describing heat conduction properties of the material (diffusion speed is equal everywhere)



# Anisotropic Diffusion

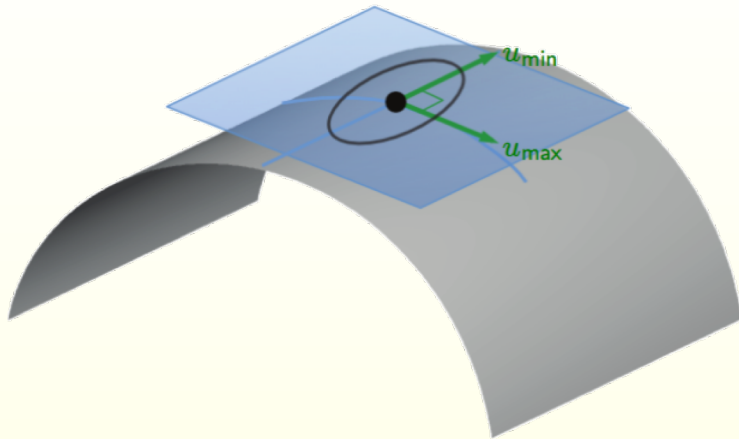
- ◆ Heat kernel - anisotropic diffusion

$$f_t(x) = -\operatorname{div}_X(A(x)\nabla_X f(x))$$

$A(x)$  = **heat conductivity tensor** describing heat conduction properties of the material (diffusion speed is position + direction dependent)

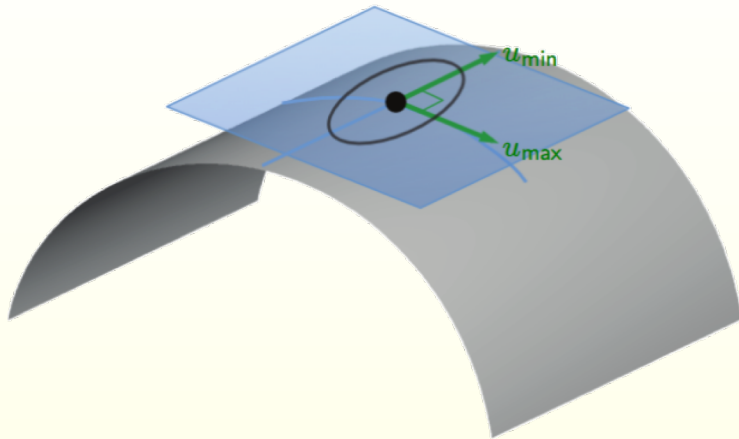


# Anisotropic Diffusion

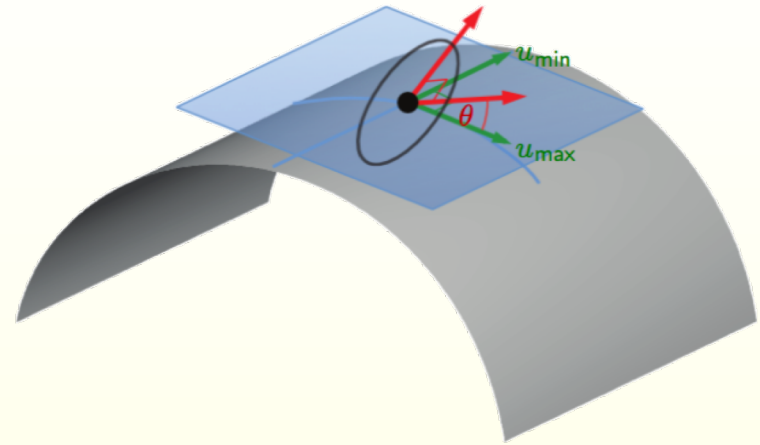


$$f_t(x) = -\operatorname{div}_X \left( \begin{bmatrix} \alpha & \\ & 1 \end{bmatrix} \nabla_X f(x) \right)$$

# Anisotropic Diffusion

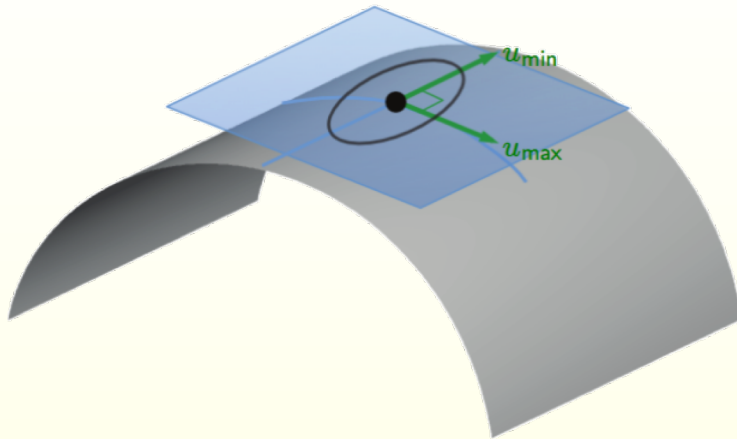


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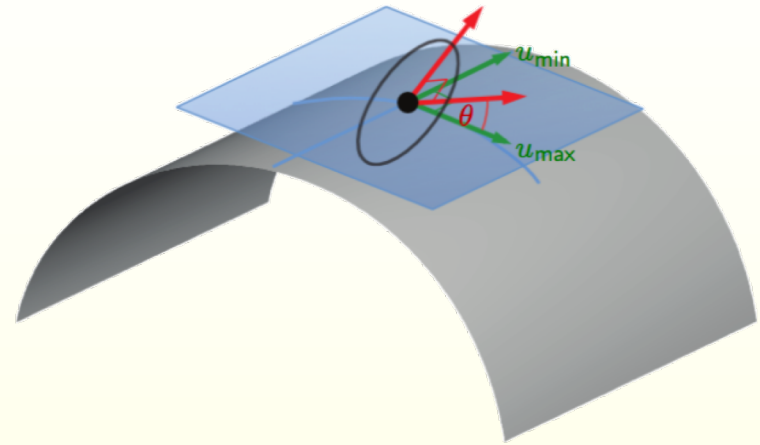


$$f_t(x) = -\operatorname{div}_X \left( \underbrace{R_\theta \begin{bmatrix} \alpha & \\ & 1 \end{bmatrix} R_\theta^\top}_{A_{\alpha\theta}(x)} \nabla_X f(x) \right)$$

# Anisotropic Diffusion



$$f_t(x) = -\operatorname{div}_X \left( \begin{bmatrix} \alpha & \\ & 1 \end{bmatrix} \nabla_X f(x) \right)$$



$$f_t(x) = -\operatorname{div}_X \left( \underbrace{R_\theta \begin{bmatrix} \alpha & \\ & 1 \end{bmatrix} R_\theta^\top}_{A_{\alpha\theta}(x)} \nabla_X f(x) \right)$$

- **Anisotropic Laplacian**  $\Delta_{\alpha\theta} f(x) = \operatorname{div}_X (A_{\alpha\theta}(x) \nabla_X f(x))$
- $\theta$  = orientation w.r.t. max curvature direction
- $\alpha$  = 'elongation'

# Blended Intrinsic Map



Pointwise correspondence error (geodesic distance from groundtruth)

# Geodesic CNN

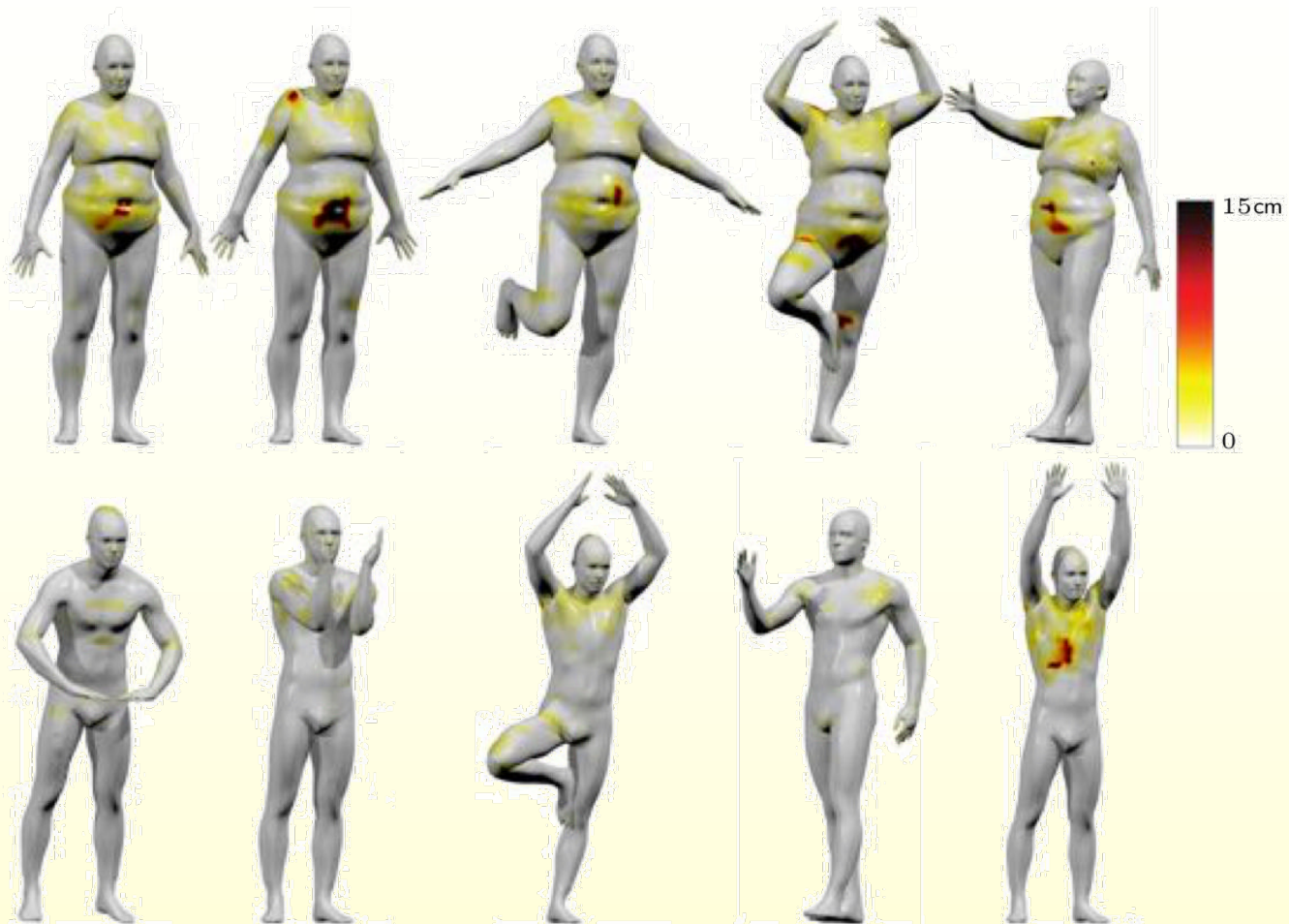


Pointwise correspondence error (geodesic distance from groundtruth)

# Anisotropic Heat Kernels



# Anisotropic CNN



Pointwise correspondence error (geodesic distance from groundtruth)

# Discussion

- ◆ Spatial construction is usually more efficient but less principled.
- ◆ Spectral construction is more principled but usually slow (computing Laplacian eigenvectors for large scale data could be painful).
- ◆ On going research tries to bridge the gap.

# References

- ◆ Kipf et al., Semi-Supervised Classification with Graph Convolutional Networks, ICLR 2017.
- ◆ Masci et al., Geodesic Convolutional Neural Networks on Riemannian Manifolds, ICCV Workshop 2015.
- ◆ Bruna et al., Spectral networks and locally connected networks on graphs, ICLR, 2013.
- ◆ Bronstein et al., Geometric deep learning: going beyond Euclidean data, IEEE Signal Processing Magazine, 2017.
- ◆ Yi et al., SyncSpecCNN: Synchronized Spectral CNN for 3D Shape Segmentation, CVPR 2017.

**The End**